| LETTIN | G DATE August 3, 2007 | Proposal Subr | nitted By |
|--------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|--------------------------------|
| | UMBER 1A | Name | |
| | | Address | |
| | | City/State | |
| | | Zip Code | Telephone Number |
| | | FEIN Number | FAX Number |
| BIDDERS NEED NOT RETURN THE ENTIRE PROPOSAL (See instructions inside front cover) | by only those con AUTHORIZATIO Construction. (SEE INSTRUCT PROPOS (SEE INSTRUCT PROPOS (SEE INSTRUCT) DIVISION OF | GNATION Danville | Bureau of /ER) |
| ERS | ILLINOIS PROJEC | T NO. DNV-3684 | |
| BIDD | FEDERAL PROJE | | |
| Co Pleas | Option for Bituminous Materials ost Adjustments Selected? se See Pages 69 and 70 and the Appropriate Box Below: | PLEASE MARK THE APPR | l. |
| <u> </u> | Yes 🗌 No 📗 | | a Certified Check is included. |

INSTRUCTIONS

ABOUT IDOT PROPOSALS: All proposals issued by IDOT are potential bidding proposals. Each proposal contains all Certifications and Affidavits, a Proposal Signature Sheet and a Proposal Bid Bond required for Prime Contractors to submit a bid after written **Authorization to Bid** has been issued by IDOT's Central Bureau of Construction.

HOW MANY PROPOSALS SHOULD PROSPECTIVE BIDDERS REQUEST?: Prospective bidders should, prior to submitting their initial request for plans and proposals, determine their needs and request the total number of plans and proposals needed for each item requested. There will be a nonrefundable charge of \$15 for each set of plans and specifications issued.

WHO CAN BID?: Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT's Central Bureau of Construction.

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?: When a prospective prime bidder submits a "Request for Proposal Forms and Plans" he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a **Proposal Denial and/or Authorization Form**, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If **Authorization to Bid** cannot be approved, the **Proposal Denial and/or Authorization Form** will indicate the reason for denial. If a contractor has requested to bid but has not received a **Proposal Denial and/or Authorization Form**, they should contact the Central Bureau of Construction in advance of the letting date.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

- 1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
- 2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?

| Questions Regarding | Call |
|----------------------------------------------|--------------|
| Prequalification and/or Authorization to Bid | 217/782-3413 |
| Preparation and submittal of bids | 217/782-7806 |
| Mailing of plans and proposals | 217/782-7806 |



1. Proposal of _

PROPOSAL

for the improvement officially known as:

- (a) <u>Vermilion County</u> Airport
- (b) The proposed improvement shown in detail on the plans issued by the Department schedule and detail sheets included herein, includes, in general, the following described work:

Construct new airfield lighting vault - Relocate airfield electrical vault; Remove electrical equipment from terminal building.

TO THE DEPARTMENT OF TRANSPORTATION

2. The plans for the proposed work are those issued by the Department of Transportation to cover the work described above.

The specifications are those prepared by the Department of Transportation, Division of Aeronautics and designated as "Standard Specifications for Construction of Airports," adopted January, 1985, the "Supplemental Specifications and Recurring Special Provisions," adopted July 1, 2004 and the "Special Provisions" thereto, adopted and in effect on the date of invitation for bids.

3. **COMPLETION TIME/LIQUIDATED DAMAGES**. It being understood and agreed that the completion within the time limit is an essential part of the contract, the bidder agrees to complete the work within 96 calendar days, unless additional time is granted by the Engineer in accordance with the provisions of the specifications. In case of failure to complete the work on or before the time named herein, or within such extra time as may have been allowed by extensions, the bidder agrees that the Department of Transportation shall withhold from such sum as may be due him/her under the terms of this contract, the costs, as set forth below, which costs shall be considered and treated not as a penalty but as damages due to the State from the bidder by reason of the failure of the bidder to complete the work within the time specified in the contract. The following Schedule of Deductions supersedes the table given in Section 60-09 of the Division's Standard Specifications for Construction of Airports.

| | Day of Ove | rrun in Contract Time |
|---------------|-------------|-----------------------|
| Original Cont | ract Amount | Daily Charge |
| From More | To and | Calendar |
| Than | Including | Day |
| \$ 0 | \$ 25,000 | \$ 300 |
| 25,000 | 100,000 | 375 |
| 100,000 | 500,000 | 550 |
| 500,000 | 1,000,000 | 725 |
| 1,000,000 | 2,000,000 | 900 |
| 2,000,000 | 3,000,000 | 1,100 |
| 3,000,000 | 5,000,000 | 1,300 |
| 5,000,000 | 7,500,000 | 1,450 |
| 7,500,000 | 10,000,000 | 1,650 |

A daily charge shall be made for every day shown on the calendar beyond the specified contract time in calendar days.

Schedule of Deductions for Each

- 4. ASSURANCE OF EXAMINATION AND INSPECTION/WAIVER. The undersigned further declares that he/she has carefully examined the proposal, plans, specifications, supplemental and applicable recurring special provisions, form of contract and contract bonds, and special provisions, and that he/she has inspected in detail the site of the proposed work, and that he/she has familiarized themselves with all of the local conditions affecting the contract and the detailed requirements of construction, and understands that in making this proposal he/she waives all right to plead any misunderstanding regarding the same.
- 5. **EXECUTION OF CONTRACT AND CONTRACT BONDS.** The undersigned further agrees to execute a contract for this work and present the same to the department within fifteen (15) days after the contract has been mailed to him/her. The undersigned further agrees that he/she and his/her surety will execute and present within fifteen (15) days after the contract has been mailed to him/her contract bonds satisfactory to and in the form prescribed by the Department of Transportation, in the penal sum of the full amount of the contract, guaranteeing the faithful performance of the work in accordance with the terms of the contract and guaranteeing payment in full all bills and accounts for materials and labor used in the construction of the work.
- 6. **PROPOSAL GUARANTY.** Accompanying this proposal is either a bid bond on the department form, executed by a corporate surety company satisfactory to the department, or a proposal guaranty check consisting of a bank cashier's check or a properly certified check for not less than 5 per cent of the amount bid or for the amount specified in the following schedule:

| A | Amount of | o <u>f Bid</u> Gua | | Amount o | of Bid | Proposal <u>Guaranty</u> |
|-------------|-----------|--------------------|-------------------|----------|--------------|-----------------------------|
| Up to | | \$5,000\$1 | .50 \$2,000,000 | to | \$3,000,000 | \$100,000 |
| \$5,000 | to | \$10,000\$3 | \$00 \$3,000,000 | to | \$5,000,000 | \$150,000 |
| \$10,000 | to | \$50,000\$1,0 | \$5,000,000 | to | \$7,500,000 | \$250,000 |
| \$50,000 | to | \$100,000\$3,0 | 900 \$7,500,000 | to | \$10,000,000 | \$400,000 |
| \$100,000 | to | \$150,000\$5,0 | \$10,000,000 | to | \$15,000,000 | \$500,000 |
| \$150,000 | to | \$250,000\$7,5 | \$00 \$15,000,000 | to | \$20,000,000 | \$600,000 |
| \$250,000 | to | \$500,000\$12,5 | \$00 \$20,000,000 | to | \$25,000,000 | \$700,000 |
| \$500,000 | to | \$1,000,000\$25,0 | \$25,000,000 | to | \$30,000,000 | \$800,000 |
| \$1,000,000 | to | \$1,500,000\$50,0 | \$30,000,000 | to | \$35,000,000 | \$900,000 |
| \$1,500,000 | to | \$2,000,000\$75,0 | 000 over | | \$35,000,000 | \$1,000,000 |

Bank cashier's checks or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois, when the state is awarding authority; the county treasurer, when a county is the awarding authority; or the city, village, or town treasurer, when a city, village, or town is the awarding authority.

If a combination bid is submitted, the proposal guaranties which accompany the individual proposals making up the combination will be considered as also covering the combination bid.

The amount of the proposal guaranty check is _______ (). If this proposal is accepted and the undersigned shall fail to execute contract bonds as required herein, it is hereby agreed that the amount of the proposal guaranty shall become the property of the State of Illinois, and shall be considered as payment of damages due to delay and other causes suffered by the State because of the failure to execute said contract and contract bonds; otherwise, the bid bond shall become void or the proposal guaranty check shall be returned to the undersigned.

In the event that one proposal guaranty check is intended to cover two or more proposals, the amount must be equal to the sum

of the proposal guaranties which would be required for each individual proposal. If the guaranty check is placed in another proposal,

state below where it may be found.

| The proposal guaranty check will be found in the proposal for: | Item | |
|----------------------------------------------------------------|---------|--|
| | Airport | |

Mark the proposal cover sheet as to the type of proposal guaranty submitted.

7. COMBINATION BIDS. The undersigned further agrees that if awarded the contract for the sections contained in the following combination, he/she will perform the work in accordance with the requirements of each individual proposal comprising the combination bid specified in the schedule below, and that the combination bid shall be prorated against each section in proportion to the bid submitted for the same. If an error is found to exist in the gross sum bid for one or more of the individual sections included in a combination, the combination bid shall be corrected as provided below.

A combination bid is a total bid received on 2 or more proposals. No combination bids other than those specifically set up by the Department will be considered. Separate proposal forms will be issued for each project in the combination so bids may be submitted on the combination as well as on separate units of the combination. The Department reserves the right to make awards on combination bids or separate bids to the best advantage of the Department.

If a combination bid is submitted on 2 or more proposals, separate proposals on each individual contract shall also be submitted, and unless separate proposals are so submitted, the combination bid will not be considered. If the bidder desires to submit a combination bid, the bidder shall state, in the place provided in the proposal form, the amount of the combination bid for the entire combination.

If a combination bid is submitted on any stipulated combination, and errors are found to exist in computing the gross sum bid on any one or more of the individual proposals, corrections shall be made, by the Department and the amount of the combination bid shall be corrected so that it will be in the same proportion to the sum of the corrected gross sum bid as the combination bid submitted was to the sum of the gross sum bid submitted.

The following provisions shall govern combination bidding:

(a) A combination bid which is submitted for 2 or more proposals and awarded on that basis shall have the bid prorated against each proposal in proportion to the bid submitted for each proposal.

(b) Separate contracts shall be executed for each individual proposal included in the combination.

(c) The contract time for all contracts awarded on a combination bid shall be the sum of all calendar days contained within each contract included in the combination, unless otherwise provided in the contracts.

(d) In the event the Contractor fails to complete any or all of the contracts on the combination bid within the contract time, including any authorized extension, the liquidated damages shall be determined from the schedule of deductions shown above in paragraph 3 for each day of overrun in contract time, based on the combination bid total, and shall be computed on the combination and prorated against the 2 or more individual contracts based on the dollar value of each contract.

(e) The plans and Special Provisions for each separate contract shall be construed separately for all requirements, except as described in paragraphs (a) through (d) listed above.

When a combination bid is submitted, the schedule below must be completed in each proposal comprising the combination.

If alternate bids are submitted for one or more of the sections comprising the combination, a combination bid must be submitted for each alternate.

Schedule of Combination Bids

| Combination | | Combination B | Sid |
|-------------|----------------------------------|---------------|-------|
| No. | Sections Included in Combination | Dollars | Cents |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

8. SCHEDULE OF PRICES. The undersigned submits herewith his/her schedule of prices covering the work to be performed under this contract; he/she understands that he/she must show in the schedule the unit prices (with no more than two decimal places, i.e. \$25.35, not \$25.348) for which he/she proposes to perform each item of work, that the extensions must be made by him/her, and that if not so done his/her proposal may be rejected as irregular.

The undersigned further agrees that the unit prices submitted herewith are for the purpose of obtaining a gross sum, and for use in computing the value of additions and deductions; that if there is a discrepancy between the gross sum bid and that resulting from the summation of the quantities multiplied by their respective unit prices, the latter shall govern.

STATE JOB #-

ILLINOIS DEPARTMENT OF TRANSPORTATION ECMS002 DTGECM03 ECMR003 PAGE SCHEDULE OF PRICES CONTRACT NUMBER - VE045

RUN DATE - 06/28/07 RUN TIME - 210440

| COUNTY N VERMILIC | NAME CODE DIST AIRPOR DN 183 05 VERMILION COUNTY | T NAME | 3 | FED_PROJECT - 17-0032-B11 | | |
|----------------------|-----------------------------------------------------|---------------------------------------|-----------------|------------------------------|------------------------|-----------|
| ITEM NUMBER | PAY ITEM DESCRIPTION | UNIT OF MEASURE | QUANTITY | UNIT PRICE DOLLARS CENTS | TOTAL PRICE DOLLARS | E ICTS |
| AR109100 | CONSTRUCT ELECTRICAL VAULT | L.S. | 1.000 | | | |
| AR109200 | INSTALL ELECTRICAL EQUIPMENT | L.S. | 1.000 (| X = | | |
| AR109331 | 15 KW REGULATOR, STYLE 1 | ЕАСН | 4.000 > | X | | |
| AR109362 | 30 KW REGULATOR, STYLE 2 | ЕАСН | 1.000 > | X = | | |
| AR109902 | REMOVE ELECTRICAL EQUIPMENT | L.S. | 1.000 > | X | | |
| AR110504 | 4-WAY CONCRETE ENCASED DUCT | L.F. | 196.000 | - K | | |
| AR110900 | REMOVE DUCT | L.F. | 20.000 > | - (= | | |
| AR125565 | SPLICE CAN | ЕАСН | 10.000 × | · { | | |
| AR401900 | REMOVE BITUMINOUS PAVEMENT | S.Y. | 310.000 | - < | | |
| AR501512 | 12" PCC PAVEMENT | S.Y. | 240.000 × | - | | |
| AR501530 | PCC TEST BATCH | ЕАСН | 1.000 × | - (= | | |
| AR754210 | CONCRETE CURB | L.F. | / 75.000 X | - (| | |
| AR800082 | 2-1/C #4 XLP-USE, 1/C #8 GND. IN | L.F. | 6,567.000 X | - (| | |
| AR800250 | 2-1/C #8 5 KV UG CABLE IN UD | L.F. | 8,140.000 X | · - (= | | |
| AR800278 | 4-1/C #4 600V XLP-USE, 1-#8 GND I | L.F. | 160.000 X | | | |
| | | · · · · · · · · · · · · · · · · · · · | | [] | | |

1

| TOTAL | \$ T |
|-------|---------|
| | |

NOTE:

- 1. EACH PAY ITEM SHOULD HAVE A UNIT PRICE AND A TOTAL PRICE.
- 2. THE UNIT PRICE SHALL GOVERN IF NO TOTAL PRICE IS SHOWN OR IF THERE IS A DISCREPANCY BETWEEN THE PRODUCT OF THE UNIT PRICE MULTIPLIED BY THE QUANTITY.
- 3. IF A UNIT PRICE IS OMITTED, THE TOTAL PRICE WILL BE DIVIDED BY THE QUANTITY IN ORDER TO ESTABLISH A UNIT PRICE.
- 4. A BID MAY BE DECLARED UNACCEPTABLE IF NEITHER A UNIT PRICE NOR A TOTAL PRICE IS SHOWN.

THE PRECEDING SCHEDULE OF PRICES MUST BE

COMPLETED AND RETURNED.

STATE REQUIRED ETHICAL STANDARDS GOVERNING CONTRACT PROCUREMENT: ASSURANCES, CERTIFICATIONS AND DISCLOSURES

I. GENERAL

A. Article 50 of the Illinois Procurement Code establishes the duty of all State chief procurement officers, State purchasing officers, and their designees to maximize the value of the expenditure of public moneys in procuring goods, services, and contracts for the State of Illinois and to act in a manner that maintains the integrity and public trust of State government. In discharging this duty, they are charged by law to use all available information, reasonable efforts, and reasonable actions to protect, safeguard, and maintain the procurement process of the State of Illinois.

B. In order to comply with the provisions of Article 50 and to carry out the duty established therein, all bidders are to adhere to ethical standards established for the procurement process, and to make such assurances, disclosures and certifications required by law. By execution of the Proposal Signature Sheet, the bidder indicates that each of the mandated assurances has been read and understood, that each certification is made and understood, and that each disclosure requirement has been understood and completed.

C. In addition to all other remedies provided by law, failure to comply with any assurance, failure to make any disclosure or the making of a false certification shall be grounds for termination of the contract and the suspension or debarment of the bidder.

II. ASSURANCES

A. The assurances hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous assurance, and the surety providing the performance bond shall be responsible for the completion of the contract.

B. Felons

1. The Illinois Procurement Code provides:

Section 50-10. Felons. Unless otherwise provided, no person or business convicted of a felony shall do business with the State of Illinois or any state agency from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-10.

C. Conflicts of Interest

1. The Illinois Procurement Code provides in pertinent part:

Section 50-13. Conflicts of Interest.

(a) Prohibition. It is unlawful for any person holding an elective office in this State, holding a seat in the General Assembly, or appointed to or employed in any of the offices or agencies of state government and who receives compensation for such employment in excess of 60% of the salary of the Governor of the State of Illinois, or who is an officer or employee of the Capital Development Board or the Illinois Toll Highway Authority, or who is the spouse or minor child of any such person to have or acquire any contract, or any direct pecuniary interest in any contract therein, whether for stationery, printing, paper, or any services, materials, or supplies, that will be wholly or partially satisfied by the payment of funds appropriated by the General Assembly of the State of Illinois or in any contract of the Capital Development Board or the Illinois Toll Highway authority.

(b) Interests. It is unlawful for any firm, partnership, association or corporation, in which any person listed in subsection (a) is entitled to receive (i) more than 7 1/2% of the total distributable income or (ii) an amount in excess of the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.

(c) Combined interests. It is unlawful for any firm, partnership, association, or corporation, in which any person listed in subsection (a) together with his or her spouse or minor children is entitled to receive (i) more than 15%, in the aggregate, of the total distributable income or (ii) an amount in excess of 2 times the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.

(d) Securities. Nothing in this Section invalidates the provisions of any bond or other security previously offered or to be offered for sale or sold by or for the State of Illinois.

(e) Prior interests. This Section does not affect the validity of any contract made between the State and an officer or employee of the State or member of the General Assembly, his or her spouse, minor child or any combination of those persons if that contract was in existence before his or her election or employment as an officer, member, or employee. The contract is voidable, however, if it cannot be completed within 365 days after the officer, member, or employee takes office or is employed.

The current salary of the Governor is \$145,877.00. Sixty percent of the salary is \$87,526.20.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-13, or that an effective exemption has been issued by the Board of Ethics to any individual subject to the Section 50-13 prohibitions pursuant to the provisions of Section 50-20 of the Code and Executive Order Number 3 (1998). Information concerning the exemption process is available from the Department upon request.

D. Negotiations

1. The Illinois Procurement Code provides in pertinent part:

Section 50-15. Negotiations.

(a) It is unlawful for any person employed in or on a continual contractual relationship with any of the offices or agencies of State government to participate in contract negotiations on behalf of that office or agency with any firm, partnership, association, or corporation with whom that person has a contract for future employment or is negotiating concerning possible future employment.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-15, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

E. Inducements

1. The Illinois Procurement Code provides:

Section 50-25. Inducement. Any person who offers or pays any money or other valuable thing to any person to induce him or her not to bid for a State contract or as recompense for not having bid on a State contract is guilty of a Class 4 felony. Any person who accepts any money or other valuable thing for not bidding for a State contract or who withholds a bid in consideration of the promise for the payment of money or other valuable thing is guilty of a Class 4 felony.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-25, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

F. <u>Revolving Door Prohibition</u>

1. The Illinois Procurement Code provides:

Section 50-30. Revolving door prohibition. Chief procurement officers, associate procurement officers, State purchasing officers, their designees whose principal duties are directly related to State procurement, and executive officers confirmed by the Senate are expressly prohibited for a period of 2 years after terminating an affected position from engaging in any procurement activity relating to the State agency most recently employing them in an affected position for a period of at least 6 months. The prohibition includes, but is not limited to: lobbying the procurement process; specifying; bidding; proposing bid, proposal, or contract documents; on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to persons who terminate an affected position on or after January 15, 1999.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-30, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

G. <u>Reporting Anticompetitive Practices</u>

1. The Illinois Procurement Code provides:

Section 50-40. Reporting anticompetitive practices. When, for any reason, any vendor, bidder, contractor, chief procurement officer, State purchasing officer, designee, elected official, or State employee suspects collusion or other anticompetitive practice among any bidders, offers, contractors, proposers, or employees of the State, a notice of the relevant facts shall be transmitted to the Attorney General and the chief procurement officer.

2. The bidder assures the Department that it has not failed to report any relevant facts concerning the practices addressed in Section 50-40 which may involve the contract for which the bid is submitted.

H. Confidentiality

1. The Illinois Procurement Code provides:

Section 50-45. Confidentiality. Any chief procurement officer, State purchasing officer, designee, or executive officer who willfully uses or allows the use of specifications, competitive bid documents, proprietary competitive information, proposals, contracts, or selection information to compromise the fairness or integrity of the procurement, bidding, or contract process shall be subject to immediate dismissal, regardless of the Personnel code, any contract, or any collective bargaining agreement, and may in addition be subject to criminal prosecution.

2. The bidder assures the Department that it has no knowledge of any fact relevant to the practices addressed in Section 50-45 which may involve the contract for which the bid is submitted.

I. Insider Information

1. The Illinois Procurement Act provides:

Section 50-50. Insider information. It is unlawful for any current or former elected or appointed State official or State employee to knowingly use confidential information available only by virtue of that office or employment for actual or anticipated gain for themselves or another person.

2. The bidder assures the Department that it has no knowledge of any facts relevant to the practices addressed in Section 50-50 which may involve the contract for which the bid is submitted.

III. CERTIFICATIONS

A. The certifications hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous certification, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Bribery

1. The Illinois Procurement Code provides:

Section 50-5. Bribery.

(a) Prohibition. No person or business shall be awarded a contract or subcontract under this Code who:

(1) has been convicted under the laws of Illinois or any other state of bribery or attempting to bribe an officer or employee of the State of Illinois or any other state in that officer's or employee's official capacity; or

(2) has made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct.

(b) Businesses. No business shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of the business if the employee or agent is no longer employed by the business and:

(1) the business has been finally adjudicated not guilty; or

(2) the business demonstrates to the governmental entity with which it seeks to contract, and that entity finds that the commission of the offense was not authorized, requested, commanded, or performed by a director, officer, or high managerial agent on behalf of the business as provided in paragraph (2) of subsection (a) of Section 5-4 of the Criminal Code of 1961.

(c) Conduct on behalf of business. For purposes of this Section, when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business shall be chargeable with the conduct.

(d) Certification. Every bid submitted to and contract executed by the State shall contain a certification by the contractor that the contractor is not barred from being awarded a contract or subcontract under this Section. A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

2. The bidder certifies that it is not barred from being awarded a contract under Section 50.5.

C. Educational Loan

1. Section 3 of the Educational Loan Default Act provides:

§ 3. No State agency shall contract with an individual for goods or services if that individual is in default, as defined in Section 2 of this Act, on an educational loan. Any contract used by any State agency shall include a statement certifying that the individual is not in default on an educational loan as provided in this Section.

2. The bidder, if an individual as opposed to a corporation, partnership or other form of business organization, certifies that the bidder is not in default on an educational loan as provided in Section 3 of the Act.

D. Bid-Rigging/Bid Rotating

1. Section 33E-11 of the Criminal Code of 1961 provides:

§ 33E-11. (a) Every bid submitted to and public contract executed pursuant to such bid by the State or a unit of local government shall contain a certification by the prime contractor that the prime contractor is not barred from contracting with any unit of State or local government as a result of a violation of either Section 33E-3 or 33E-4 of this Article. The State and units of local government shall provide the appropriate forms for such certification.

(b) A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

A violation of Section 33E-3 would be represented by a conviction of the crime of bid-rigging which, in addition to Class 3 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be barred for 5 years from the date of conviction from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of state or local government. No corporation shall be barred from contracting with any unit of state or local government. No corporation of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

A violation of Section 33E-4 would be represented by a conviction of the crime of bid-rotating which, in addition to Class 2 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be permanently barred from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

2. The bidder certifies that it is not barred from contracting with the Department by reason of a violation of either Section 33E-3 or Section 33E-4.

E. International Anti-Boycott

1. Section 5 of the International Anti-Boycott Certification Act provides:

§ 5. State contracts. Every contract entered into by the State of Illinois for the manufacture, furnishing, or purchasing of supplies, material, or equipment or for the furnishing of work, labor, or services, in an amount exceeding the threshold for small purchases according to the purchasing laws of this State or \$10,000.00, whichever is less, shall contain certification, as a material condition of the contract, by which the contractor agrees that neither the contractor nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act.

2. The bidder makes the certification set forth in Section 5 of the Act.

F. Drug Free Workplace

1. The Illinois "Drug Free Workplace Act" applies to this contract and it is necessary to comply with the provisions of the "Act" if the contractor is a corporation, partnership, or other entity (including a sole proprietorship) which has 25 or more employees.

2. The bidder certifies that if awarded a contract in excess of \$5,000 it will provide a drug free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance, including cannabis, is prohibited in the contractor's workplace; specifying the actions that will be taken against employees for violations of such prohibition; and notifying the employee that, as a condition of employment on such contract, the employee shall abide by the terms of the statement, and notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

(b) Establishing a drug free awareness program to inform employees about the dangers of drug abuse in the workplace; the contractor's policy of maintaining a drug free workplace; any available drug counseling, rehabilitation, and employee assistance programs; and the penalties that may be imposed upon employees for drug violations.

(c) Providing a copy of the statement required by subparagraph (1) to each employee engaged in the performance of the contract and to post the statement in a prominent place in the workplace.

(d) Notifying the Department within ten (10) days after receiving notice from an employee or otherwise receiving actual notice of the conviction of an employee for a violation of any criminal drug statute occurring in the workplace.

(e) Imposing or requiring, within 30 days after receiving notice from an employee of a conviction or actual notice of such a conviction, an appropriate personnel action, up to and including termination, or the satisfactory participation in a drug abuse assistance or rehabilitation program approved by a federal, state or local health, law enforcement or other appropriate agency.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the actions and efforts stated in this certification.

G. Debt Delinquency

1. The Illinois Procurement Code provides:

Section 50-11 and 50-12. Debt Delinquency.

The contractor or bidder certifies that it, or any affiliate, is not barred from being awarded a contract under 30 ILCS 500. Section 50-11 prohibits a person from entering into a contract with a State agency if it knows or should know that it, or any affiliate, is delinquent in the payment of any debt to the State as defined by the Debt Collection Board. Section 50-12 prohibits a person from entering into a contract with a State agency if it, or any affiliate, has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act. The contractor further acknowledges that the contracting State agency may declare the contract void if this certification is false or if the contractor, or any affiliate, is determined to be delinquent in the payment of any debt to the State during the term of the contract.

H. Sarbanes-Oxley Act of 2002

1. The Illinois Procurement Code provides:

Section 50-60(c).

The contractor certifies in accordance with 30 ILCS 500/50-10.5 that no officer, director, partner or other managerial agent of the contracting business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 or a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 for a period of five years prior to the date of the bid or contract. The contractor acknowledges that the contracting agency shall declare the contract void if this certification is false.

I. Section 42 of the Environmental Protection Act

The contractor certifies in accordance with 30 ILCS 500/50-12 that the bidder or contractor is not barred from being awarded a contract under this Section which prohibits the bidding on or entering into contracts with the State of Illinois or a State agency by a person or business found by a court or the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act for a period of five years from the date of the order. The contractor acknowledges that the contracting agency may declare the contract void if this certification is false.

J. Executive Order Number 1 (2007) Regarding Lobbying on Government Procurements

The bidder hereby warrants and certifies that they have complied and will comply with the requirements set forth in this Order. The requirements of this warrant and certification are a material part of the contract, and the contractor shall require this warrant and certification provision to be included in all approved subcontracts.

IV. DISCLOSURES

A. The disclosures hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous disclosure, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Financial Interests and Conflicts of Interest

1. Section 50-35 of the Illinois Procurement Code provides that all bids of more than \$10,000 shall be accompanied by disclosure of the financial interests of the bidder. This disclosed information for the successful bidder, will be maintained as public information subject to release by request pursuant to the Freedom of Information Act.

The financial interests to be disclosed shall include ownership or distributive income share that is in excess of 5%, or an amount greater than 60% of the annual salary of the Governor, of the bidding entity or its parent entity, whichever is less, unless the contractor or bidder is a publicly traded entity subject to Federal 10K reporting, in which case it may submit its 10K disclosure in place of the prescribed disclosure. The disclosure shall include the names, addresses, and dollar or proportionate share of ownership of each person making the disclosure, their instrument of ownership or beneficial relationship, and notice of any potential conflict of interest resulting from the current ownership or beneficial interest of each person making the disclosure having any of the relationships identified in Section 50-35 and on the disclosure form.

In addition, all disclosures shall indicate any other current or pending contracts, proposals, leases, or other ongoing procurement relationships the bidding entity has with any other unit of state government and shall clearly identify the unit and the contract, proposal, lease, or other relationship.

2. <u>Disclosure Forms</u>. Disclosure Form A is attached for use concerning the individuals meeting the above ownership or distributive share requirements. Subject individuals should be covered each by one form. In addition, a second form (Disclosure Form B) provides for the disclosure of current or pending procurement relationships with other (non-IDOT) state agencies. **The forms must be included with each bid or incorporated by reference.**

C. Disclosure Form Instructions

Form A: For bidders that have previously submitted the information requested in Form A

The Department has retained the Form A disclosures submitted by all bidders responding to these requirements for the April 24, 1998 or any subsequent letting conducted by the Department. The bidder has the option of submitting the information again or the bidder may sign the following certification statement indicating that the information previously submitted by the bidder is, as of the date of signature, current and accurate. The Certification must be signed and dated by a person who is authorized to execute contracts for the bidding company. Before signing this certification, the bidder should carefully review its prior submissions to ensure the Certification is correct. If the Bidder signs the Certification, the Bidder should proceed to Form B instructions.

CERTIFICATION STATEMENT

I have determined that the Form A disclosure information previously submitted is current and accurate, and all forms are hereby incorporated by reference in this bid. Any necessary additional forms or amendments to previously submitted forms are attached to this bid.

(Bidding Company)

Name of Authorized Representative (type or print)

Title of Authorized Representative (type or print)

Signature of Authorized Representative

Date

Form A: For bidders who have NOT previously submitted the information requested in Form A

If the bidder is a publicly traded entity subject to Federal 10K reporting, the 10K Report may be submitted to meet the requirements of Form A. If a bidder is not subject to Federal 10K reporting, the bidder must determine if any individuals are required by law to complete a financial disclosure form. To do this, the bidder should answer each of the following questions. A "YES" answer indicates Form A must be completed. If the answer to each of the following questions is "NO", then the <u>NOT APPLICABLE STATEMENT</u> on the second page of Form A must be signed and dated by a person that is authorized to execute contracts for the bidding company. Note These questions are for assistance only and are not required to be completed.

- 1. Does anyone in your organization have a direct or beneficial ownership share of greater than 5% of the bidding entity or parent entity? YES _____ NO _____
- 2. Does anyone in your organization have a direct or beneficial ownership share of less than 5%, but which has a value greater than \$87,526.20? YES _____ NO_____
- Does anyone in your organization receive more than \$87,526.20 of the bidding entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.) YES _____ NO _____
- 4. Does anyone in your organization receive greater than 5% of the bidding entity's or parent entity's total distributive income, but which is less than \$87,526.20? YES _____ NO ____

(Note: Only one set of forms needs to be completed <u>per person per bid</u> even if a specific individual would require a yes answer to more than one question.)

A "YES" answer to any of these questions requires the completion of Form A. The bidder must determine each individual in the bidding entity or the bidding entity's parent company that would cause the questions to be answered "Yes". Each form must be signed and dated by a person that is authorized to execute contracts for your organization. **Photocopied or stamped signatures are not acceptable**. The person signing can be, but does not have to be, the person for which the form is being completed. The bidder is responsible for the accuracy of any information provided.

If the answer to each of the above questions is "NO", then the <u>NOT APPLICABLE STATEMENT</u> on page 2 of Form A must be signed and dated by a person that is authorized to execute contracts for your company.

Form B: Identifying Other Contracts & Procurement Related Information Disclosure Form B must be completed for each bid submitted by the bidding entity. It must be signed by an individual who is authorized to execute contracts for the bidding entity. *Note: Signing the <u>NOT APPLICABLE</u>* <u>STATEMENT</u> on Form A <u>does not</u> allow the bidder to ignore Form B. Form B must be completed, signed and dated or the bidder may be considered nonresponsive and the bid will not be accepted.

The Bidder shall identify, by checking Yes or No on Form B, whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other (non-IDOT) State of Illinois agency. If "No" is checked, the bidder only needs to complete the signature box on the bottom of Form B. If "Yes" is checked, the bidder must do one of the following:

Option I: If the bidder did not submit an Affidavit of Availability to obtain authorization to bid, the bidder must list all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. These items may be listed on Form B or on an attached sheet(s). Do not include IDOT contracts. Contracts with cities, counties, villages, etc. are not considered State of Illinois agency contracts and are not to be included. Contracts with other State of Illinois agencies such as the Department of Natural Resources or the Capital Development Board must be included. Bidders who submit Affidavits of Availability are suggested to use Option II.

Option II: If the bidder is required and has submitted an Affidavit of Availability in order to obtain authorization to bid, the bidder may write or type "See Affidavit of Availability" which indicates that the Affidavit of Availability is incorporated by reference and includes all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. For any contracts that are not covered by the Affidavit of Availability, the bidder must identify them on Form B or on an attached sheet(s). These might be such things as leases.

D. Bidders Submitting More Than One Bid

Bidders submitting multiple bids may submit one set of forms consisting of all required Form A disclosures and one Form B for use with all bids. Please indicate in the space provided below the bid item that contains the original disclosure forms and the bid items which incorporate the forms by reference.

• The bid submitted for letting item contains the Form A disclosures or Certification Statement and the Form B disclosures. The following letting items incorporate the said forms by reference:

RETURN WITH BID/OFFER

ILLINOIS DEPARTMENT OF TRANSPORTATION

Form A Financial Information & Potential Conflicts of Interest Disclosure

| Contractor Name | |
|------------------|---------------------------|
| Legal Address | |
| City, State, Zip | |
| Telephone Number | Fax Number (if available) |

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Code (30 ILCS 500). Vendors desiring to enter into a contract with the State of Illinois must disclose the financial information and potential conflict of interest information as specified in this Disclosure Form. This information shall become part of the publicly available contract file. This Form A must be completed for bids in excess of \$10,000, and for all open-ended contracts. A publicly traded company may submit a 10K disclosure in satisfaction of the requirements set forth in Form A. See <u>Disclosure Form Instructions</u>.

DISCLOSURE OF FINANCIAL INFORMATION

1. Disclosure of Financial Information. The individual named below has an interest in the BIDDER (or its parent) in terms of ownership or distributive income share in excess of 5%, or an interest which has a value of more than \$87,526.20 (60% of the Governor's salary as of 10/1/2000). (Make copies of this form as necessary and attach a separate Disclosure Form A for each individual meeting these requirements)

| OR INDIVIDUAL | L (type or print information) | | | |
|-----------------------------------------|---------------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|-------------------------------------------------|
| NAME: | | | | |
| ADDRESS | | | | |
| | | | | |
| Type of owne | ership/distributable income sha | re: | | |
| stock | sole proprietorship | partnership | other: (expla | in on separate sheet): |
| % or \$ value | of ownership/distributable incom | e share: | | |
| nflict of interest rel (a) State emp | ential Conflicts of Interest. Che ationships apply. If the answer to ployment, currently or in the prev | o any question is "Yes", ple | ease attach additiona tractual employmer Yes | al pages and describe. nt of services. No |
| the previous | | | Yes | No |
| any unit of lo | status; the holding of elective official government authorized by the rrently or in the previous 3 years | e Constitution of the State | | |
| | | | Yes | No |
| (d) Relations son, or daug | ship to anyone holding elective of | ffice currently or in the pre- | vious 2 years; spous | se, father, mother, |
| son, or daug | | | Yes | No |

RETURN WITH BID/OFFER

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of the expenses incurred in the discharge of that office currently or in the previous 3 years.

| | | Yes | No |
|--------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------|
| (f) Relationship to ar mother, son, or daug | nyone holding appointive office currently or in the ghter. | previous 2 years; spor | use, father, |
| - | | Yes | No |
| (g) Employment, cur | rrently or in the previous 3 years, as or by any regis | stered lobbyist of the S Yes | |
| (h) Relationship to a son, or daughter. | nyone who is or was a registered lobbyist in the pr | evious 2 years; spouse | e, father, mother, |
| | | Yes | No |
| committee registered | ployment, currently or in the previous 3 years, by a d with the Secretary of State or any county clerk of d with either the Secretary of State or the Federal B | the State of Illinois, o | |
| commutee registered | | | No |
| 2 years by any regist | nyone; spouse, father, mother, son, or daughter; wh tered election or re-election committee registered w is, or any political action committee registered with ections | with the Secretary of S | |
| reactar board of Lie | | Yes | No |
| This Disclosure Form A is Completed by: | APPLICABLE STATEMI submitted on behalf of the INDIVIDUAL name | | |
| | Name of Authorized Representative (type of | or print) | |
| Completed by: | Title of Authorized Representative (type or | r print) | |
| Completed by: | | | |
| | | | |
| | Signature of Individual or Authorized Repres | | Date |
| · · | Signature of Individual or Authorized Repres | sentative MENT | |
| Completed by: I have determined that no completion of this Form A. | Signature of Individual or Authorized Repres NOT APPLICABLE STATE individuals associated with this organization me | sentative MENT | |
| I have determined that no completion of this Form A. | Signature of Individual or Authorized Repres NOT APPLICABLE STATE individuals associated with this organization me | sentative MENT eet the criteria that w | vould require the |
| have determined that no completion of this Form A. | Signature of Individual or Authorized Repres NOT APPLICABLE STATE individuals associated with this organization me | sentative <u>MENT</u> eet the criteria that w ted on the previous p | vould require the |
| have determined that no completion of this Form A. | Signature of Individual or Authorized Repres NOT APPLICABLE STATE individuals associated with this organization me submitted on behalf of the CONTRACTOR list | sentative <u>MENT</u> eet the criteria that w ted on the previous p or print) | vould require the |

ILLINOIS DEPARTMENT OF TRANSPORTATION

Form B Other Contracts & Procurement Related Information Disclosure

| Contractor Name | |
|------------------|-------------------------------|
| Legal Address | |
| City, State, Zip | |
| Telephone Number | Fax Number (if available) |

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Act (30 ILCS 500). This information shall become part of the publicly available contract file. This Form B must be completed for bids in excess of \$10,000, and for all open-ended contracts.

DISCLOSURE OF OTHER CONTRACTS AND PROCUREMENT RELATED INFORMATION

1. Identifying Other Contracts & Procurement Related Information. The BIDDER shall identify whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other State of Illinois agency: Yes <u>No</u>

If "No" is checked, the bidder only needs to complete the signature box on the bottom of this page.

2. If "Yes" is checked. Identify each such relationship by showing State of Illinois agency name and other descriptive information such as bid or project number (attach additional pages as necessary). SEE DISCLOSURE FORM INSTRUCTIONS:

THE FOLLOWING STATEMENT MUST BE SIGNED

Name of Authorized Representative (type or print)

Title of Authorized Representative (type or print)

Signature of Authorized Representative

Date

SPECIAL NOTICE TO CONTRACTORS

The following requirements of the Illinois Department of Human Rights' Rules and Regulations are applicable to bidders on all construction contracts advertised by the Illinois Department of Transportation:

CONSTRUCTION EMPLOYEE UTILIZATION PROJECTION

- (a) All bidders on construction contracts shall complete and submit, along with and as part of their bids, a Bidder's Employee Utilization Form (Form BC-1256) setting forth a projection and breakdown of the total workforce intended to be hired and/or allocated to such contract work by the bidder including a projection of minority and female employee utilization in all job classifications on the contract project.
- (b) The Department of Transportation shall review the Employee Utilization Form, and workforce projections contained therein, of the contract awardee to determine if such projections reflect an underutilization of minority persons and/or women in any job classification in accordance with the Equal Employment Opportunity Clause and Section 7.2 of the Illinois Department of Human Rights' Rules and Regulations for Public Contracts adopted as amended on September 17, 1980. If it is determined that the contract awardee's projections reflect an underutilization of minority persons and/or women in any job classification, it shall be advised in writing of the manner in which it is underutilizing and such awardee shall be considered to be in breach of the contract unless, prior to commencement of work on the contract project, it submits revised satisfactory projections or an acceptable written affirmative action plan to correct such underutilization including a specific timetable geared to the completion stages of the contract.
- (c) The Department of Transportation shall provide to the Department of Human Rights a copy of the contract awardee's Employee Utilization Form, a copy of any required written affirmative action plan, and any written correspondence related thereto. The Department of Human Rights may review and revise any action taken by the Department of Transportation with respect to these requirements.



PART I. IDENTIFICATION

Human Rights

Bid Number: _____ Duration of Project: _____

Name of Bidder:

PART II. WORKFORCE PROJECTION

A. The undersigned bidder has analyzed minority group and female populations, unemployment rates and availability of workers for the location in which this contract work is to be performed, and for the locations from which the bidder recruits employees, and hereby submits the following workforce projection including a projection for minority and female employee utilization in all job categories in the workforce to be allocated to this contract: TADLEA

| | | | | | TABL | | | | | | | | - | - | TABLE | | | |
|---------------|------|-------|------|-----------------------------|-----------|----------|--------|------|------|------|-------|--------|--------|------|-------|-----|--------|----------|
| | | TOT | AL W | orkforc | e Project | tion for | Contra | act | | | | | | C | URREN | ΓEΝ | MPLOYE | ES |
| | | | | | | | | | | | TO BE | AS | SIGNED | | | | | |
| | | | | MINORITY EMPLOYEES TRAINEES | | | | | | TO C | ONT | FRACT | | | | | | |
| JOB | TO | TAL | | | | | *01 | ΓHER | APPF | REN- | ON TI | HE JOB | | TO | TAL | | MINO | ORITY |
| CATEGORIES | EMPL | OYEES | BL | ACK | HISP | ANIC | MI | NOR. | TIC | ES | TRA | INEES | | EMPL | OYEES | | EMPL | OYEES |
| | М | F | М | F | М | F | М | F | М | F | М | F | | М | F | | М | F |
| OFFICIALS | | | | | | | | | | | | | | | | | | |
| (MANAGERS) | | | | | | | | | | | | | | | | | | 1 |
| | | | | | | | | | | | | | | | | | | |
| SUPERVISORS | | | | | | | | | | | | | | | | | | 1 |
| SULKVISOKS | | | | | | | | | | | | | | | | | | |
| FOREMEN | | | | | | | | | | | | | | | | | | |
| POREWEN | | | | | | | | | | | | | | | | | | |
| CLERICAL | | | | | | | | | | | | | | | | | | |
| EQUIPMENT | | | | - | | | | - | | | | - | | | | | | |
| OPERATORS | | | | | | | | | | | | | | | | | | 1 |
| OPERATORS | | | | | | | | | | | | | | | | | | |
| MECHANICS | | | | | | | | | | | | | | | | | | |
| MECHANICS | - | | | - | | | | | | | | | | | | | | l |
| | | | | | | | | | | | | | | | | | | |
| TRUCK DRIVERS | _ | | - | - | | | | | | | | | | | | - | | |
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| IRONWORKERS | | | | | | | | | | | | | | | | | | ļ |
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| CARPENTERS | | | | | | | | | | | | | | | | | | |
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| CEMENT MASONS | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | |
| ELECTRICIANS | | | | | | | | | | | | | | | | | | |
| PIPEFITTERS, | | | | | | | | | | | | | | | | | | 1 |
| PLUMBERS | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | |
| PAINTERS | | | | | | | | | | | | | | | | | | |
| LABORERS, | | | | | | | | | | | | | 1 | | | 1 | | |
| SEMI-SKILLED | | | | | | | | | | | | | | | | | | 1 |
| LABORERS, | | | | | | | | | | | | | 1 | | | 1 | | |
| UNSKILLED | | | | 1 | | | | | | | | | | | | | | 1 |
| | | | 1 | 1 | | 1 | | | | | | 1 | 1 | | | 1 | | |
| TOTAL | | | | | | | | | | | | | | | | | | 1 |
| | | | | | | | | | | | | | | | | | | |

| TOTAL Training Projection for Contract | | | | | | | | |
|----------------------------------------|-------|-------|-----|-----|------|------|-----|------|
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| IN | EMPLO | OYEES | BLA | ACK | HISP | ANIC | MIN | NOR. |
| TRAINING | М | F | Μ | F | М | F | Μ | F |
| APPRENTICES | | | | | | | | |
| | | | | | | | | |
| ON THE JOB | | | | | | | | |
| TRAINEES | | | | | | | | |

*Other minorities are defined as Asians (A) or Native Americans (N).

Please specify race of each employee shown in Other Minorities column. Note: See instructions on page 2

| FOR DEPARTMENT USE ONLY |
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PART II. WORKFORCE PROJECTION - continued

B. Included in "Total Employees" under Table A is the total number of **new hires** that would be employed in the event the undersigned bidder is awarded this contract.

| The unders | igned b | idder j | orojects | that: | (number) | | | | | | _ new hires | would be |
|--------------|----------|---------|------------|-------|-----------|-------|--------------|----------|------|----------|--------------|-------------|
| recruited | from | the | area | in | which | the | contract | project | is | located; | and/or | (number) |
| | | | | | new hires | would | be recruited | from the | area | in which | the bidder's | s principal |
| office or ba | se of op | eration | n is locat | ted. | | | | | | | | |

C. Included in "Total Employees" under Table A is a projection of numbers of persons to be employed directly by the undersigned bidder as well as a projection of numbers of persons to be employed by subcontractors.

The undersigned bidder estimates that (number) ______ persons will be directly employed by the prime contractor and that (number) ______ persons will be employed by subcontractors.

PART III. AFFIRMATIVE ACTION PLAN

- A. The undersigned bidder understands and agrees that in the event the foregoing minority and female employee utilization projection included under **PART II** is determined to be an underutilization of minority persons or women in any job category, and in the event that the undersigned bidder is awarded this contract, he/she will, prior to commencement of work, develop and submit a written Affirmative Action Plan including a specific timetable (geared to the completion stages of the contract) whereby deficiencies in minority and/or female employee utilization are corrected. Such Affirmative Action Plan will be subject to approval by the contracting agency and the **Department of Human Rights**.
- B. The undersigned bidder understands and agrees that the minority and female employee utilization projection submitted herein, and the goals and timetable included under an Affirmative Action Plan if required, are deemed to be part of the contract specifications.

| Company | Telephone Number |
|---------|------------------|
| | |
| | |
| Address | |

NOTICE REGARDING SIGNATURE

The Bidder's signature on the Proposal Signature Sheet will constitute the signing of this form. The following signature block needs to be completed only if revisions are required.

 Signature:

 Title:

 Date:

Instructions: All tables must include subcontractor personnel in addition to prime contractor personnel.

- Table A -Include both the number of employees that would be hired to perform the contract work and the total
number currently employed (Table B) that will be allocated to contract work, and include all apprentices and
on-the-job trainees. The "Total Employees" column should include all employees including all minorities,
apprentices and on-the-job trainees to be employed on the contract work.
- Table B Include all employees currently employed that will be allocated to the contract work including any apprentices and on-the-job trainees currently employed.
- Table C -Indicate the racial breakdown of the total apprentices and on-the-job trainees shown in Table A.
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<u>CERTIFICATIONS REQUIRED BY STATE AND/OR FEDERAL LAW</u>. The bidder is required by State and/or Federal law to make the below certifications and assurances as a part of the proposal and contract upon award. It is understood by the bidder that the certifications and assurances made herein are a part of the contract.

By signing the Proposal Signature Sheet, the bidder certifies that he/she has read and completed each of the following certifications and assurances, that required responses are true and correct and that the certified signature of the Proposal Signature Sheet constitutes an endorsement and execution of each certification and assurance as though each was individually signed:

A. By the execution of this proposal, the signing bidder certifies that the bidding entity has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted bid. This statement made by the undersigned bidder is true and correct under penalty of perjury under the laws of the United States.

B. CERTIFICATION, EQUAL EMPLOYMENT OPPORTUNITY:

- 1. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause. YES _____ NO _____
- 2. If answer to #1 is yes, have you filed with the Joint Reporting Committee, the Director of OFCC, any Federal agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements of those organizations? YES _____ NO _____

C. BUY AMERICAN - STEEL AND MANUFACTURED PRODUCTS FOR CONSTRUCTION CONTRACTS (JAN 1991)

(a) The Aviation Safety and Capacity Expansion Act of 1990 provides that preference be given to steel and manufactured products produced in the United States when funds are expended pursuant to a grant issued under the Airport Improvement Program. The following terms apply:

1. <u>Steel and manufactured products</u>. As used in this clause, steel and manufactured products include (1) steel produced in the United States or (2) a manufactured product produced in the United States, if the cost of its components mined, produced or manufactured in the United States exceeds 60 percent of the cost of all its components and final assembly has taken place in the United States. Components of foreign origin of the same class or kind as the products referred to in subparagraphs (b)(1) or (2) shall be treated as domestic.

2. <u>Components</u>. As used in this clause, components means those articles, materials, and supplies incorporated directly into steel and manufactured products.

3. <u>Cost of Components</u>. This means the costs for production of the components, exclusive of final assembly labor costs.

(b) The successful bidder will be required to assure that only domestic steel and manufactured products will be used by the Contractor, subcontractors, materialmen, and suppliers in the performance of this contract, except those-

(1) that the U.S. Department of Transportation has determined, under the Aviation Safety and Capacity Expansion Act of 1990, are not produced in the United States in sufficient and reasonably available quantities of a satisfactory quality;

(2) that the U.S. Department of Transportation has determined, under the Aviation Safety and Capacity Expansion Act of 1990, that domestic preference would be inconsistent with the public interest; or

(3) that inclusion of domestic material will increase the cost of the overall project contract by more than 25 percent.

(End of Clause)

D. BUY AMERICAN CERTIFICATE (JAN 1991)

By submitting a bid/proposal under this solicitation, except for those items listed by the offeror below or on a separate and clearly identified attachment to this bid/proposal, the offeror certifies that steel and each manufactured product, is produced in the United States (as defined in the clause Buy American - Steel and Manufactured Products or Buy American - Steel and Manufactured Products For Construction Contracts) and that components of unknown origin are considered to have been produced or manufactured outside the United States.

Offerors may obtain from (IDOT, Division of Aeronautics) lists of articles, materials, and supplies excepted from this provision.

PRODUCT

COUNTRY OF ORIGIN

E. NPDES CERTIFICATION

In accordance with the provisions of the Illinois Environmental Protection Act, the Illinois Pollution Control Board Rules and Regulations (35 Ill. Adm. Code, Subtitle C, Chapter I), and the Clean Water Act, and the regulations thereunder, this certification is required for all construction contracts that will result in the disturbance of five or more acres total land area.

The undersigned bidder certifies under penalty of law that he/she understands the terms and conditions of the general National Pollutant Discharge Elimination System (NPDES) permit (ILR100000) that authorizes the storm water discharges associated with industrial activity from the construction site identified as part of this certification.

The Airport Owner or its Agent will:

- 1) prepare, sign and submit the Notice of Intent (NOI)
- 2) conduct site inspections and complete and file the inspection reports
- 3) submit Incidence of Non-Compliance (ION) forms
- 4) submit Notice of Termination (NOT) form

Prior to the issuance of the Notice-to-Proceed, for <u>each</u> erosion control measure identified in the Storm Water Pollution Prevention Plan, the contractor or subcontractor responsible for the control measure(s) must sign the above certification (forms to be provided by the Department).

F. NON-APPROPRIATION CLAUSE

By submitting a bid/proposal under this solicitation the offeror certifies that he/she understands that obligations of the State will cease immediately without penalty or further payment being required in any fiscal year the Illinois General Assembly fails to appropriate or otherwise make available sufficient funds for this contract.

G. Contractor is not delinquent in the payment of any debt to the State (or if delinquent has entered into a deferred payment plan to pay the debt), and Contractor acknowledges the contracting state agency may declare the contract void if this certification is false (30 ILCS 500/50-11, effective July 1, 2002).

NOTICE TO BIDDERS

- 1. TIME AND PLACE OF OPENING BIDS. Sealed proposals for the improvement described herein will be received by the Department of Transportation at the Harry R. Hanley Building, 2300 South Dirksen Parkway in Springfield, Illinois until 10:00 o'clock a.m., <u>August 3, 2007</u>. All bids will be gathered, sorted, publicly opened and read in the auditorium at the Department of Transportation's Harry R. Hanley Building shortly after the 10:00 a.m. cut off time.
- 2. **DESCRIPTION OF WORK**. The proposed improvement, shown in detail on the plans issued by the Department includes, in general, the following described work:

Construct new airfield lighting vault - Relocate airfield electrical vault; Remove electrical equipment from terminal building.

- **3. INSTRUCTIONS TO BIDDERS**. (a) This Notice, the invitation for bids, proposal and award shall, together with all other documents in accordance with Article 10-15 of the Illinois Standard Specifications for Construction of Airports, become part of the contract. Bidders are cautioned to read and examine carefully all documents, to make all required inspections, and to inquire or seek explanation of the same prior to submission of a bid.
 - (b) State law, and, if the work is to be paid wholly or in part with Federal-aid funds, Federal law requires the bidder to make various certifications as a part of the proposal and contract. By execution and submission of the proposal, the bidder makes the certification contained therein. A false or fraudulent certification shall, in addition to all other remedies provided by law, be a breach of contract and may result in termination of the contract.
- 4. AWARD CRITERIA AND REJECTION OF BIDS. This contract will be awarded to the lowest responsive and responsible bidder considering conformity with the terms and conditions established by the Department in the proposal and contract documents. The issuance of plans and proposal forms for bidding based upon a prequalification rating shall not be the sole determinant of responsibility. The Department reserves the right to determine responsibility at the time of award, to reject any or all proposals, to readvertise the proposed improvement, and to waive technicalities.
- **5. PRE-BID CONFERENCE.** There will be a pre-bid conference held at <u>N/A</u> at the Vermilion County Airport administration building. For engineering information, contact Chuck Taylor of Crawford, Murphy & Tilly, Inc. at (217) 787-8050.
- 6. DISADVANTAGED BUSINESS POLICY. The DBE goal for this contract is <u>0.0</u>%.
- 7. SPECIFICATIONS AND DRAWINGS. The work shall be done in accordance with the Illinois Standard Specifications for Construction of Airports, the Illinois Division of Aeronautics Supplemental Specifications and Recurring Special Provisions, the Special Provisions dated <u>June 22, 2007</u> and the Construction Plans dated <u>June 22, 2007</u> as approved by the Department of Transportation, Division of Aeronautics.

- 8. INSPECTION OF RECORDS. The Contractor shall maintain an acceptable cost accounting system. The Sponsor, the FAA, and the Comptroller General of the United States shall have access to any books, documents, paper, and records of the Contractor which are directly pertinent to the specific contract for the purposes of making an audit, examination, excerpts, and transcriptions. The Contractor shall maintain all required records for three years after the Sponsor makes final payment and all other pending matters are closed.
- **9. RIGHTS TO INVENTIONS.** All rights to inventions and materials generated under this contract are subject to Illinois law and to regulations issued by the FAA and the Sponsor of the Federal grant under which this contract is executed. Information regarding these rights is available from the FAA and the Sponsor.

10. TERMINATION OF CONTRACT.

- 1. The Sponsor may, be written notice, terminate this contract in whole or in part at any time, either for the Sponsor's convenience or because of failure to fulfill the contract obligations. Upon receipt of such notice services shall be immediately discontinued (unless the notice directs otherwise) and all materials as may have been accumulated in performing this contract, whether completed or in progress, delivered to the Sponsor.
- 2. If the termination is for the convenience of the Sponsor, an equitable adjustment in the contract price shall be made, but no amount shall be allowed for anticipated profit on unperformed services.
- 3. If the termination is due to failure to fulfill the Contractor's obligations, the Sponsor may take over the work and prosecute the same to completion by contract or otherwise. In such case, the Contractor shall be liable to the Sponsor for any additional cost occasioned to the Sponsor thereby.
- 4. If, after notice of termination for failure to fulfill contract obligations, it is determined that the Contractor had not so failed, the termination shall be deemed to have been effected for the convenience of the Sponsor. In such event, adjustment in the contract price shall be made as provided in paragraph 2 of this clause.
- 5. The rights and remedies of the sponsor provided in this clause are in addition to any other rights and remedies provided by law or under this contract.

- **11. BIDDING REQUIREMENTS AND BASIS OF AWARD.** When alternates are included in the proposal, the following shall apply:
 - a. Additive Alternates
 - (1) Bidders must submit a bid for the Base Bid and for all Additive Alternates.
 - (2) Award of this contract will be made to the lowest responsible qualified bidder computed as follows:

The lowest aggregate amount of (i) the Base Bid plus (ii) any Additive Alternate(s) which the Department elects to award.

The Department may elect not to award any Additive Alternates. In that case, award will be to the lowest responsible qualified bidder of the Base Bid.

- b. Optional Alternates
 - (1) Bidders must submit a bid for the Base Bid and for either Alternate A or Alternate B or for both Alternate A and Alternate B.
 - (2) Award of this contract will be made to the lowest responsible qualified bidder computed as follows:

The lower of the aggregate of either (i) the Base Bid plus Alternate A or (ii) the Base Bid plus Alternate B.

12. CONTRACT TIME. The Contractor shall complete all work within the specified contract time. Any calendar day extension beyond the specified contract time must be fully justified, requested by the Contractor in writing, and approved by the Engineer, or be subject to liquidated damages.

The contract time for this contract is <u>96</u> calendar days and is based on anticipated notice-to-proceed date of <u>September</u> <u>17, 2007</u>.

- **13. INDEPENDENT WEIGHT CHECKS.** The Department reserves the right to conduct random unannounced independent weight checks on any delivery for bituminous, aggregate or other pay item for which the method of measurement for payment is based on weight. The weight checks will be accomplished by selecting, at random, a loaded truck and obtaining a loaded and empty weight on an independent scale. In addition, the department may perform random weight checks by obtaining loaded and empty truck weights on portable scales operated by department personnel.
- 14. GOOD FAITH COMPLIANCE. The Illinois Department of Transportation has made a good faith effort to include all statements, requirements, and other language required by federal and state law and by various offices within federal and state governments whether that language is required by law or not. If anything of this nature has been left out or if additional language etc. is later required, the bidder/contractor shall cooperate fully with the Department to modify the contract or bid documents to correct the deficiency. If the change results in increased operational costs, the Department shall reimburse the contractor for such costs as it may find to be reasonable.

PROPOSAL SIGNATURE SHEET

The undersigned bidder hereby makes and submits this bid on the subject Proposal, thereby assuring the Department that all requirements of the Invitation for Bids and rules of the Department have been met, that there is no misunderstanding of the requirements of paragraph 4 of this Proposal, and that the contract will be executed in accordance with the rules of the Department if an award is made on this bid.

| | Firm Name | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-----------------------------|---------------------|
| (IF AN INDIVIDUAL) | Signature of Owner | | |
| | Business Address | | |
| | Firm Name | | |
| | By | | |
| (IF A CO-PARTNERSHIP) | Business Address | | |
| | Name and Address of All | Members of the Firm: | |
| | | | |
| | Corporate Name | | |
| | Ву | | Corporate Seal |
| (IF A CORPORATION) | Attest | | President |
| · · · · | | | Corporate Secretary |
| | Name of Corporate Office | | |
| | President | Corporate Secretary | Treasurer |
| | NOTARY CERTIFICA | ATION | |
| STATE OF ILLINOIS, COUNTY OF | ALL SIGNATURES MUST BE | NOTARIZED | |
| I,, | a Notary Public in and for said cour | nty, do hereby certify that | |
| (Ir | AND asert names of individual(s) signing | on behalf of bidder) | |
| who are each personally known to me to be bidder, appeared before me this day in pers voluntary act for the uses and purposes therein set for | on and acknowledged that they sign | | |
| Given under my hand and notarial seal this | | of | , A.D |
| My commission expires | | | (Seal) |

Notary Public



Return with Bid

Division of Aeronautics Proposal Bid Bond (Effective January 1, 2002)

Item No. <u>1A</u> Letting Date: August 3, 2007

Airport: <u>Vermilion County Airport</u> III. Proj. No. <u>DNV-3684</u> Fed. Proj. No. <u>3-17-0032-B11</u>

KNOW ALL MEN BY THESE PRESENTS. that we, _ PRINCIPAL, and _____

_, as

, as SURETY are held and firmly bound unto the, hereinafter called the SPONSOR, in the penal sum of 5 percent of the total bid price or of the amount specified in Section 6, PROPOSAL GUARANTEE of the Proposal Document, whichever is the lesser sum, well and truly to be paid unto the said SPONSOR, for the payment of which we bind ourselves, our heirs, executors, administrators, successors, and assigns.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the PRINCIPAL has submitted a Bid Proposal to the SPONSOR through its AGENT, the State of Illinois, Department of Transportation, Division of Aeronautics, for the improvement designated by the Transportation Bulletin Item Number and Letting Date indicated above;

NOW, THEREFORE, if the SPONSOR through its AGENT shall accept the Bid Proposal of the PRINCIPAL; and if PRINCIPAL shall within the time and as specified in the Bidding and Contract Documents, submit the DBE Utilization Plan that is acceptable and approved by the AGENT, and if after the award, the PRINCIPAL shall enter into a contract in accordance with the terms of the Bidding and Contract Documents including evidence of insurance coverage's and providing such bond as specified with good and sufficient surety for the faithful performance of such contract and for prompt payment of labor and material furnished in the prosecution thereof; or if, in the event of the failure of the PRINCIPAL to make the required DBE submission or to enter into such contract and to give the specified bond, the PRINCIPAL pays to the SPONSOR the difference not to exceed the penalty hereof between the amount in the Bid Proposal and such larger amount for which the SPONSOR may contract with another party to perform the work covered by said Proposal Document, then, this obligation to be void; otherwise to remain in full force and effect.

IN THE EVENT the SPONSOR acting through its AGENT determines the PRINCIPAL has failed to comply with any requirement as set forth in the preceding paragraph, then the SURETY shall pay the penal sum to the SPONSOR within fifteen (15) days of written demand therefor. If the SURETY does not make full payment within such period of time, the AGENT may bring an action to collect the amount owed. The SURETY is liable to the SPONSOR and to the AGENT for all its expenses, including attorney's fees, incurred in any litigation in which SPONSOR or AGENT prevail either in whole or in part.

IN WITNESS WHEREOF, the said PRINCIPAL and the said SURETY have caused this instrument to be signed by

| their respective officers this day of | A.D., 20 |
|-------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PRINCIPAL | SURETY |
| (Company Name) | (Company Name) |
| By: | By: |
| By:(Signature & Title) | By:(Signature of Attorney-in-Fact) |
| Notary Certification | n for Principal and Surety |
| State of Illinois) | |
|) ss: County of) | |
| I, | , a Notary Public in and for said County, do hereby certify that |
| | |
| (Insert names of individuals signing on behalf of PRI | NCIPAL & SURETY) |
| | whose names are subscribed to the foregoing instrument on behalf of son and acknowledged respectively, that they signed and delivered poses therein set forth. |
| Given under my hand and notary seal this | _day ofA.D., 20 |
| My commission expires | |
| | (Notary Public) |
| | n, the PRINCIPAL may file an Electronic Bid Bond. By signing below, as been executed and the PRINCIPAL and SURETY are firmly bound be Bid Bond as shown above. |

Electronic Bid Bond ID#



PROPOSALS

for construction work advertised for bids by the Illinois Department of Transportation

| Item No. | Item No. | Item No. |
|----------|----------|----------|
| | | |
| | | |
| | | |
| | | |
| | | |

Submitted By:

| Name: | |
|-----------|--|
| Address: | |
| | |
| | |
| Phone No. | |

Bidders should affix this form to the front of a 10" x 13" envelope and use that envelope for the submittal of bids. If proposals are mailed, they should be enclosed in a second or outer envelope addressed to:

Engineer of Design and Environment - Room 323 Illinois Department of Transportation 2300 South Dirksen Parkway Springfield, Illinois 62764

CONTRACTOR OFFICE COPY OF CONTRACT SPECIFICATIONS

NOTICE

None of the following material needs to be returned with the bid package unless the special provisions require documentation and/or other information to be submitted.



CONTRACT REQUIREMENTS

(1) <u>Airport Improvement Program projects</u>. The work in this contract is included in the federal Airport Improvement Program and is being undertaken and accomplished by the Illinois Department of Transportation, Division of Aeronautics and the Municipality, hereinafter called the Co-Sponsors, in accordance with the terms and conditions of a Grant Agreement between the Co-Sponsors and the United States, under the Airport and Airway Improvement Act of 1982 (Public Law 97-248; Title V, Section 501 et seq., September 3, 1982; 96 Stat. 671; codified at 49 U.S.C Section 2201 et seq.) and Part 152 of the Federal Aviation Regulations (14 CFR Part 152), pursuant to which the United States has agreed to pay a certain percentage of the costs of the Project that are determined to be allowable Project costs under the Act. The United States is not a party to this contract and no reference in this contract to FAA or representative thereof, or to any rights granted to the FAA or any representative thereof, or the United States, by the contract, makes the United States a party to this contract.

(2) <u>Consent of Assignment</u>. The Contractor shall obtain the prior written consent of the Co-Sponsors to any proposed assignment of any interest in or part of this contract.

(3) <u>Convict Labor</u>. No convict labor may be employed under this contract.

(4) <u>Veterans Preference</u>. In the employment of labor, except in executive, administrative, and supervisory positions, preference shall be given to veterans of the Vietnam era and disabled veterans as defined in Section 515(c) of the Airport and Airway Improvement Act of 1982. However, this preference shall apply only where the individuals are available and qualified to perform the work to which the employment relates.

(5) <u>Withholding: Sponsor from Contractor</u>. Whether or not payments or advances to the Co-Sponsors are withheld or suspended by the FAA, the Co-Sponsors may withhold or cause to be withheld from the Contractor so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics employed by the Contractor or any subcontractor on the work the full amount of wages required by this contract.

(6) <u>Nonpayment of Wages</u>. If the Contractor or subcontractor fails to pay any laborer or mechanic employed or working on the site of the work any of the wages required by this contract the Co-Sponsors may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment or advance of funds until the violations cease.

(7) <u>FAA Inspection and Review</u>. The Contractor shall allow any authorized representative of the FAA to inspect and review any work or materials used in the performance of this contract.

(8) <u>Subcontracts</u>. The Contractor shall insert in each of his subcontracts the provisions contained in Paragraphs (1), (3), (4), (5), (6), and (7) above and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts which they may enter into, together with a clause requiring this insertion in any further subcontracts that may in turn be made.

(9) <u>Contract Termination</u>. A breach of Paragraph (6), (7), and (8) above may be grounds for termination of the contract.

PROVISIONS REQUIRED BY THE REGULATIONS OF THE SECRETARY OF LABOR 29 CFR 5.5

- (a) Contract Provisions and Related Matters.
 - (1) Minimum Wages.

Revised 1/92

(i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provision of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraph 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(ii)(A) The contracting officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefor only when the following criteria have been met:

(1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(2) The classification is utilized in the area by the construction industry; and

(3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(ii)(B) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, D.C. 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB control number 1215-0140).

(ii)(C) In the event the Contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB control number 1215-0140).

(ii)(D) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1)(B) or (C) of this paragraph, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(iv) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program. (Approved by the Office of Management and Budget under OMB control number 1215-0140).

(2) Withholding. The Federal Aviation Administration shall upon its own action or written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the (Agency) may, after written notice to the Contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

(3) Payrolls and basic records.

(i) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such work, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs. (Approved by the Office Management and Budget under OMB control numbers 1215-0140 and 1215-0017).

(ii)(A) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Federal Aviation Administration if the agency is a party to the contract, but if the agency is not such a party, the Contractor will submit the payrolls to the applicant, sponsor, or owner, as the case may be, for transmission to the Federal Aviation Administration. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph 5.5(a)(3)(i) of Regulations, 29 CFR Part 5. This information may be submitted in any form desired.

Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime Contractor is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB control number 1215-0149).

(ii)(B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor, or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) That the payroll for the payroll period contains the information required to be maintained under paragraph 5.5(a)(3)(i) of Regulations, 29 CFR Part 5 and that such information is correct and complete;

(2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR Part 3;

(3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed as specified in the applicable wage determination incorporated into the contract.

(ii)(C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.

(ii)(D) The falsification of any of the above certifications may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 231 of Title 31 of the United States Code.

(iii) The Contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the (write the name of the agency) or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the Contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(4) Apprentices and Trainees

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as a apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a Contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ration permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contract will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(5) Compliance with Copeland Act requirements. The Contractor shall comply with the requirements of 29 CFR Part 3, which are incorporated by reference in this contract.

(6) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts the clauses contained in paragraph (a)(1) through (10) of this contract and such other clauses as the Federal Aviation Administration may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by an subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

(7) Contract determination: debarment. A breach of these contract clauses paragraphs (a)(1) through (10) and the 2nd clause (b)(1) through (5) below may be grounds for termination of the contract and for debarment as a Contractor and a subcontractor as provided in 29 CFR 5.12.

(8) Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by referenced in this contract.

(9) Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

(10) Certification of Eligibility.

(i) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

(b) Contract Work Hours and Safety Standards Act. The Agency Head shall cause or require the contracting officer to insert the following clauses set forth in paragraphs (b)(1), (2), (3), (4) and (5) of this section in full in AIP construction contracts in excess of \$2,000. These clauses shall be inserted in addition to the clauses required by paragraph 5.5(a) or paragraph 4.6 of Part 4 of this title. As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.

(1) Overtime requirements: No Contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen or guards (including apprentices and trainees described in paragraphs 5 and 6 above) shall require or permit any laborer, mechanic, watchman or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman or guard receives compensation at a rate not less than one and one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

(2) Violations: Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in subparagraph (1) of this paragraph, the Contractor and any subcontractor responsible therefore shall be liable to any affected employee for his/her unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman or guard employed in violation of the clause set forth in subparagraph (1) of this paragraph, in the sum of \$10.00 for each calendar day on which such employee was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by the clause set forth in subparagraph (1) of this paragraph.

(3) Withholding for unpaid wages and liquidated damages. The (write in the name of the Federal agency or the loan or grant recipient) shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in subparagraph (2) of this paragraph.

(4) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (1) through (4) of this paragraph and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraphs (1) through (4) of this paragraph.

(5) Working Conditions. No Contractor or subcontractor may require any laborer or mechanic employed in the performance of any contract to work in surroundings or under working conditions that are unsanitary, hazardous, or dangerous to his health or safety as determined under construction safety and health standards (29 CFR 1926) issued by Department of Labor.

(c) In addition to the clauses contained in paragraph (b), in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in paragraph 5.1, the Agency Head shall cause or require the contracting officer to insert a clause requiring that the Contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Agency Head shall cause or require the contracting officer to insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the Contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor, and the Contractor or subcontractor will permit such representatives to interview employees during working hours on the job. (Approved by the Office of Management and Budget under OMB control numbers 1215-0140 and 1215-0017).

FEDERAL REGULATIONS VOL. 40, #74, WEDNESDAY, APRIL 16, 1975, PAGE 17124, ADMINISTRATION OF THE CLEAR AIR ACT & WATER POLLUTION CONTROL ACT (with respect to Federal Grants)

In connection with the administration of the Clean Air Act and the Water Pollution Control Act with respect to Federal Grants, specific requirements have been imposed of any contract which is not exempt under the provisions of 40 CFR 15.5.

(1) Any facility listed on the EPA List of Violating Facilities pursuant to Paragraph 15.20 of 40 CFR as of the date of the contract award will not be utilized in the performance of any non-exempt contract or subcontract.

(2) The Contractor shall comply with all the requirements of Section 114 of the Clean Air Act, as amended, 42 USC 1857 et seq. and Section 308 of the Federal Water Pollution Control Act, as amended, 33 USC 1251 et seq. relating to inspection, monitoring, entry, reports and information, as well as all other requirements specified in Section 114 and Section 308 of the Air Act and Water Act, respectively, and all regulations and guidelines issued thereunder after the award of the contract.

(3) Prompt notification shall be required prior to contract award to the awarding official by the Contractor who will receive the award of the receipt of any communication from the Director, Office of Federal Activities, U.S. Environmental Protection Agency, indicating that a facility to be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

(4) The Contractor shall include or cause to be included the criteria and requirements in paragraphs 1 through 4 in any non-exempt subcontract and will take such action as the Government may direct as a means of enforcing such provisions.

Attachment No. 1

During the performance of the contract, the Contractor agrees as follows:

- (1) The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- (2) The Contractor will, in all solicitations or advertisements for employees placed by or on the behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.
- (3) The Contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or worker's representatives of the Contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (4) The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- (5) The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (6) In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of 24 September 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of 24 September 1965, or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by law.
- (7) The Contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order 11246 of 24 September 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as means of enforcing such provisions, including sanctions for noncompliance; provided, however, that in the event a Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

ATTACHMENT NO. 2

EACH PRIME CONTRACTOR SHALL INSERT IN EACH SUBCONTRACT THE CERTIFICATION IN APPENDIX B, AND FURTHER, SHALL REQUIRE ITS INCLUSION IN ANY LOWER TIER SUBCONTRACT, PURCHASE ORDER, OR TRANSACTION THAT MAY IN TURN BE MADE.

- Appendix B of 49 CFR Part 29 -

This certification applies to subcontractors, material suppliers, vendors and other lower tier participants. Appendix B--Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction, "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarrent.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

STATE REQUIRED CONTRACT PROVISIONS

ALL FEDERAL-AID CONSTRUCTION CONTRACTS

Effective February 1, 1969 Revised January 2, 1973

The following provisions are State of Illinois requirements and are in addition to the Federal requirements.

"EQUAL EMPLOYMENT OPPORTUNITY"

In the event of the Contractor's noncompliance with any provisions of this Equal Employment Opportunity Clause, the Illinois Fair Employment Practices Act or the Fair Employment Practices Commission's Rules and Regulations for Public Contracts, the Contractor may be declared nonresponsible and therefore ineligible for future contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations, and the contract may be canceled or avoided in whole or in part, and such other sanctions or penalties may be imposed or remedies invoked as provided by statute or regulation.

During the performance of this contract, the Contractor agrees as follows:

(1) That it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin or ancestry; and further that it will examine all job classifications to determine if minority persons or women are underutilized and will take appropriate affirmative action to rectify any such underutilization.

(2) That, if it hires additional employees in order to perform this contract or any portion hereof, it will determine the availability (in accordance with the Commission's Rules and Regulations for Public Contracts) of minorities and women in the area(s) from which it may reasonably recruit and it will hire for each job classification for which employees are hired in such a way that minorities and women are not underutilized.

(3) That, in all solicitations or advertisements for employees placed by it or on its behalf, it will state that all applicants will be afforded equal opportunity without discrimination because of race, color, religion, sex, national origin or ancestry.

(4) That it will send to each labor organization or representative of workers with which it has or is bound by a collective bargaining or other agreement or understanding, a notice advising such labor organization or representative of the Contractors obligations under the Illinois Fair Employment Practices Act and the Commission's Rules and Regulations for Public Contracts. If any such labor organization or representative fails or refuses to cooperate with the Contractor in its efforts to comply with such Act and Rules and Regulations, the Contractor will promptly so notify the Illinois Fair Employment Practices Commission and the contracting agency and will recruit employees from other sources when necessary to fulfill its obligations thereunder.

(5) That it will submit reports as required by the Illinois Fair Employment Practices Commission's Rules and Regulations for Public Contracts, furnish all relevant information as may from time to time be requested by the Commission or the contracting agency, and in all respects comply with the Illinois Fair Employment Practices Act and the Commission's Rules and Regulations for Public Contracts.

(6) That it will permit access to all relevant books, records, accounts and work sites by personnel of the contracting agency and the Illinois Fair Employment Practices Commission for purposes of investigation to ascertain compliance with the Illinois Fair Employment Practices Act and the Commission's Rules and Regulations for Public Contracts.

(7) That it will include verbatim or by reference the provisions of paragraphs 1 through 7 of this clause in every performance subcontract as defined in Section 2.10(b) of the Commission's Rules and Regulations for Public Contracts so that such provisions will be binding upon every subcontractor; and that it will also so include the provisions or paragraphs 1, 5, 6 and 7 in every supply subcontract as defined in Section 2.10(a) of the Commission's Rules and Regulations for Public Contracts so that such provisions will be binding upon every such subcontractor. In the same manner as with other provisions of this contract, the Contractor will be liable for compliance with applicable provisions of this clause by all its subcontractors; and further it will promptly notify the contracting agency and the Illinois Fair Employment Practices Commission in the event any subcontractor fails or refuses to comply therewith. In addition, no Contractor will utilize any subcontractor declared by the Commission to be nonresponsible and therefore ineligible for contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations.

CONSTRUCTION CONTRACT PROCUREMENT POLICIES

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SECTION 1

PROPOSAL REQUIREMENTS AND CONDITIONS

1-01 ADVERTISEMENT (Notice to Bidders). The State of Illinois shall publish the advertisement at such places and at such times as are required by local law or ordinances. The published advertisement shall state the time and place for submitting sealed proposals; a description of the proposed work; instructions to bidders as to obtaining proposal forms, plans, and specifications; proposal guaranty required; and the Owner's right to reject any and all bids.

For Federally assisted contracts the advertisement shall conform to the requirements of local laws and ordinances pertaining to letting of contracts and, in addition, shall conform to the requirements of the appropriate parts of the Federal Aviation Regulations applicable to the particular contract being advertised.

1-02 PREQUALIFICATION OF BIDDERS.

(a) When the awarding authority is the State of Illinois, each prospective bidder, prior to being considered for issuance of any proposal forms will be required to file, on forms furnished by the Department, an experience questionnaire and a confidential financial statement in accordance with the Department's Instructions for Prequalification of Contractors. The Statement shall include a complete report of the prospective bidder's financial resources and liabilities, equipment, past record and personnel, and must be submitted at least thirty (30) days prior to the scheduled opening of bids in which the Contractor is interested.

After the Department has analyzed the submitted "Contractor's Statement of Experience and Financial Condition" and related information and has determined appropriate ratings, the Department will issue to the Contractor a "Certificate of Eligibility". The Certificate will permit the Contractor to obtain proposal forms and plans for any Department of Transportation letting on work which is within the limits of the Contractor's potential as indicated on his "Certificate of Eligibility", subject to any limitations due to present work under contract or pending award as determined from the Contractor's submitted "Affidavit of Availability". Bidders intending to consistently submit proposals shall submit a "Contractor's Statement of Experience and Financial Condition" at least once a year. However, prequalification may be changed during that period upon the submission of additional favorable reports or upon reports of unsatisfactory performance.

Before a proposal is issued, the prospective bidder will be required to furnish an "Affidavit of Availability" indicating the location and amount of all uncompleted work under contract, or pending award, either as principal or subcontractor, as well as a listing of all subcontractors and value of work sublet to others. The prospective bidder may be requested to file a statement showing the amount and condition of equipment which will be available.

Before an award is made, the bidder may be required to furnish an outline of his plans for conducting the work.

(b) When the awarding authority for contract construction work is the County Board of a county; the Council, the City Council, or the President and Board of Trustees of a city, village or town, each prospective bidder, in evidence of his competence, shall furnish the awarding authority as a prerequisite to the release of proposal forms by the awarding authority, a certified or photostatic copy of a "Certificate of Eligibility" issued by the Department of Transportation, in accordance with Section 1-02(a).

The two low bidders must file within 24 hours after the letting a sworn affidavit, in triplicate, showing all uncompleted contracts awarded to them and all low bids pending award for Federal, State, County, Municipal and private work, using the blank form made available for this affidavit. One copy shall be filed with the awarding authority and two copies with the District Highway Office.

1-03 CONTENTS OF PROPOSAL FORMS. Upon request, the Department will furnish the prequalified bidders a proposal form. This form will state the location and description of the contemplated construction and will show the estimate of the various quantities and kinds of work to be performed or materials to be furnished, and will have a schedule of items for which unit bid prices are invited. The proposal form will state the time in which work must be completed, the amount of the proposal guaranty, labor requirements, and date, time and place of the opening of proposals. The form will also include any special provisions or requirements which vary from or are not contained in these specifications.

All papers bound with or attached to the proposal form are considered a part thereof and must not be detached or altered when the proposal is submitted. Any addenda officially issued by the Department, will be considered a part of the proposal whether attached or not.

For Federally assisted contracts, the proposal shall conform to the requirements of local laws and ordinances pertaining to letting of contracts and, in addition, shall conform to the requirements of the appropriate parts of the Federal Aviation Regulations pertaining to the particular contract being let.

1-04 ISSUANCE OF PROPOSAL FORMS. The Department shall refuse to issue a proposal form for any of the following reasons:

- (a) Lack of competency and adequate machinery, plant and other equipment, as revealed by the financial statement and experience questionnaires required under Section 1-02(a).
- (b) Uncompleted work which, in the judgment of the Department, might hinder or prevent the prompt completion of additional work if awarded.
- (c) False information provided on a bidder's "Affidavit of Availability".
- (d) Failure to pay, or satisfactorily settle, all bills due for labor and material on former contracts in force at the time of issuance of proposal forms.
- (e) Failure to comply with any prequalification regulations of the Department.
- (f) Default under previous contracts.
- (g) Unsatisfactory performance record as shown by past work for the Department, judged from the standpoint of workmanship and progress.
- (h) When the Contractor is suspended from eligibility to bid at a public letting where the contract is awarded by, or require approval of, the Department.
- (i) When any agent, servant, or employee of the prospective bidder currently serves as a member, employee, or agent of a governmental body that is financially involved in the proposed work.
- (j) When any agent, servant, or employee of the prospective bidder has participated in the preparation of plans or specifications for the proposed work.

1-05 INTERPRETATION OF QUANTITIES IN BID SCHEDULE. An estimate of quantities of work to be done and materials to be furnished under these specifications is given in the proposal. It is the result of careful calculations and is believed to be correct. It is given only as a basis for comparison of proposals and the award of the contract. The Owner does not expressly or by implication agree that the actual quantities involved will correspond exactly therewith; nor shall the bidder plead misunderstanding or deception because of such estimates of quantities, or of the character, location, or other conditions pertaining to the work. Payment to the Contractor will be made only for the actual quantities may be increased or decreased as provided in the subsection titled ALTERATION OF WORK AND QUANTITIES of Section 20 of the Illinois Standard Specifications for Construction of Airports without in any way invalidating the unit bid prices.

1-06 EXAMINATION OF PLANS, SPECIFICATIONS, AND SITE. The bidder is expected to carefully examine the site of the proposed work, the proposal, plans, specifications, and contract forms. He shall satisfy himself as to the character, quality, and quantities of work to be performed, materials to be furnished, and as to the requirements of the proposed contract. The submission of a proposal shall be prima facie evidence that the bidder has made such examination and is satisfied as to the conditions to be encountered in performing the work and as to the requirements of the proposed contract, plans, and specifications.

Boring logs, underground utilities and other records of subsurface investigations and tests are available for inspection of bidders. It is understood and agreed that such subsurface information, whether included in the plans, specifications, or otherwise made available to the bidder, was obtained and is intended for the Owner's design and estimating purposes only. Such information has been made available for the convenience of all bidders. It is further understood and agreed that each bidder is solely responsible for all assumptions, deductions, or conclusions which he may make or obtain from his examination of the boring logs and other records of subsurface investigations and tests that are furnished by the Owner.

1-07 PREPARATION OF THE PROPOSAL. The bidder shall submit his proposal on the form furnished by the Department. The proposal shall be executed property, and bids shall be made for all items indicated in the proposal form, except that when alternate bids are asked, a bid on more than one alternate for each item is not required, unless otherwise provided. The bidder shall indicate, in figures, a unit price for each of the separate items called for in the proposal; he shall show the products of the respective quantities and unit prices in the column provided for that purpose, and the gross sum shown in the place indicated in the proposal shall be the summation of said products. All writing shall be with ink or typewriter, except the signature of the bidder which shall be written with ink.

If the proposal is made by an individual, his name and business address shall be shown. If made by a firm or partnership, the name and business address of each member of the firm or partnership shall be shown. If made by a corporation, the proposal shall show the names, titles, and business address of the president, secretary, and treasurer, and the seal of the corporation shall be affixed and attested by the secretary.

The proposal shall be issued to a prequalified bidder in the same name and style as the financial statement used for prequalification and shall be submitted in like manner.

1-08 REJECTION OF PROPOSALS. The Department reserves the right to reject proposals for any of the conditions in Article 1-04 or for any of the following reasons:

- (a) More than one proposal for the same work from an individual, firm, partnership, or corporation under the same or different names.
- (b) Evidence of collusion among bidders.
- (c) Unbalanced proposals in which the prices for some items are obviously out of proportion to the prices for other items.
- (d) If the proposal does not contain a unit price for each pay item listed except in the case of authorized alternate pay items or lump sum pay items.
- (e) If the proposal is other than that furnished by the Department; or if the form is altered or any part thereof is detached.
- (f) If there are omissions, erasures, alterations, unauthorized additions, conditional or alternate bids, or irregularities of any kind which may tend to make the proposal incomplete, indefinite, or ambiguous as to its meaning.
- (g) If the bidder adds any provisions reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.
- (h) If the proposal is not accompanied by the proper proposal guaranty.
- (i) If the proposal is prepared with other than ink or typewriter.
- (j) If the proposal is submitted in any other name other than that to whom it was issued by the Department.

1-09 PROPOSAL GUARANTY. Each Proposal shall be accompanied by either a bid bond on the Department of Transportation, Division of Aeronautics form contained in the proposal, executed by a corporate surety company satisfactory to the Department or by a bank cashier's check or a properly certified check for not less than 5 percent of the amount bid.

Bank cashier's checks, or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois.

1-10 DELIVERY OF PROPOSALS. Each proposal should be submitted in a special envelope furnished by the Department. The blank spaces on the envelope shall be filled in correctly to clearly indicate its contents. When an envelope other than the special one furnished by the Department is used, it shall be of the same general size and shape and be similarly marked to clearly indicate its contents. When sent by mail, the sealed proposal shall be addressed to the Department at the address and in care of the official in whose office the bids are to be received. All proposals shall be filed prior to the time and place specified in the Notice to Bidders. Proposals received after the time for opening of bids will be returned to the bidder unopened.

1-11 WITHDRAWAL OF PROPOSALS. Permission will be given a bidder to withdraw a proposal if he makes his request in writing or by telegram before the time for opening proposals. If a proposal is withdrawn, the bidder will not be permitted to resubmit this proposal at the same letting. With the approval of the Engineer, a bidder may withdraw a proposal and substitute a new proposal prior to the time of opening bids.

1-12 PUBLIC OPENING OF PROPOSALS. Proposals will be opened and read publicly at the time and place specified in the Notice to Bidders. Bidders, their authorized agents, and other interested parties are invited to be present.

- 1-13 DISQUALIFICATION OF BIDDERS. A bidder shall be considered disqualified for any of the following reasons:
 - (a) Submitting more than one proposal from the same partnership, firm, or corporation under the same or different name.
 - (b) Evidence of collusion among bidders. Bidders participating in such collusion shall be disqualified as bidders for any future work of the Owner.
 - (c) If the bidder is considered to be in "default" for any reason specified in the Subsection 1-04 titled ISSUANCE OF PROPOSAL FORMS of this section.

1-14 WORKER'S COMPENSATION INSURANCE. Prior to the approval of his contract by the Division, the Contractor shall furnish to the Division certificates of insurance covering Worker's Compensation, or satisfactory evidence that this liability is otherwise taken care of in accordance with Section 4.(a) of the "Worker's Compensation Act of the State of Illinois" as amended.

SECTION 2

AWARD AND EXECUTION OF CONTRACT

2-01 CONSIDERATION OF PROPOSALS. After the proposals are publicly opened and read, they will be compared on the basis of the summation of the products obtained by multiplying the estimated quantities shown in the proposal by the unit bid prices. In the event of a discrepancy between unit bid prices and extensions, the unit bid price shall govern.

Until the award of a contract is made, the Owner reserves the right to reject a bidder's proposal for any of the following reasons:

- (a) If the proposal is irregular as specified in the subsection titled REJECTION OF PROPOSALS of Section 1.
- (b) If the bidder is disqualified for any of the reasons specified in the subsection titled DISQUALIFICATION OF BIDDERS of Section 1.

In addition, until the award of a contract is made, the Owner reserves the right to reject any or all proposals; waive technicalities, if such waiver is in the best interest of the Owner and is in conformance with applicable State and Local laws or regulations pertaining to the letting of construction contracts; advertise for new proposals; or proceed with the work otherwise.

2-02 AWARD OF CONTRACT. The award of contract will be made within 60 calendar days after the opening of proposals to the lowest responsible and qualified bidder whose proposal complies with all the requirements prescribed. The successful bidder will be notified by letter, that his bid has been accepted, and that he has been awarded the contract.

If a contract is not awarded within 60 days after the opening of proposals, a bidder may file a written request with the Division for the withdrawal of his bid and the Division will permit such withdrawal.

For Federally assisted contracts, unless otherwise specified in this subsection, no award shall be made until the FAA has concurred in the Owner's recommendation to make such award and has approved the Owner's proposal contract to the extent that such concurrence and approval are required by Federal Regulations.

2-03 CANCELLATION OF AWARD. The Division reserves the right to cancel the award without liability to the bidder at any time before a contract has been fully executed by all parties and is approved by the Owner in accordance with the subsection titled APPROVAL OF CONTRACT of this section. The Division at the time of cancellation will return the proposal guaranty.

2-04 RETURN OF PROPOSAL GUARANTY. The proposal guaranties of all except the two lowest bidders will be returned promptly after the proposals have been checked, tabulated, and the relation of the proposals established. Proposal guaranties of the two lowest bidders will be returned as soon as the Construction Contract, Performance Bonds, and Payment Bonds of the successful bidder have been properly executed and approved.

If any other form of proposal guaranty is used, other than a bid bond, a bid bond may be substituted at the Contractor's option.

2-05 REQUIREMENT OF PERFORMANCE AND PAYMENT BONDS. The successful bidder for a contract, at the time of the execution of the contract, shall deposit with the Division separate performance and payment bonds each for the full amount of the contract. The form of the bonds shall be that furnished by the Division, and the sureties shall be acceptable to the Division.

2-06 EXECUTION OF CONTRACT. The successful bidder shall sign (execute) the Contract and shall return the signed Contract to the Owner (Sponsor) for signature (execution) and subsequently return all copies to the Division. The fully executed surety bonds specified in the subsection title REQUIREMENTS OF PERFORMANCE AND PAYMENT BONDS of this section will be forwarded to the Division within 15 days of the date mailed or otherwise delivered to the successful bidder. If the Contract and Bonds are mailed, special handling is recommended.

If the bidder to whom award is to be made is a corporation organized under the laws of a State other than Illinois, the bidder shall furnish the Division a copy of the corporation's certificate of authority to do business in the State of Illinois with the return of the executed contract and bond. Failure to furnish such evidence of a certificate of authority within the time required will be considered as just cause for the annulment of the award and the forfeiture of the proposal guaranty to the State, not as a penalty, but in payment of liquidated damages sustained as a result of such failure.

2-07 APPROVAL OF CONTRACT. Upon receipt of the contract and bonds that have been executed by the successful bidder, the Owner shall complete the execution of the contract in accordance with local laws or ordinances, and return the contract to the Division for approval and execution by the Division. Delivery of the fully executed contract to the Contractor shall constitute the Department's approval to be bound by the successful bidder's proposal and the terms of the contract.

2-08 FAILURE TO EXECUTE CONTRACT. If the contract is not executed by the Division within 15 days following receipt from the bidder of the properly executed contracts and bonds, the bidder shall have the right to withdraw his bid without penalty.

Failure of the successful bidder to execute the contract and file acceptable bonds within 15 days after the contract has been mailed to him shall be just cause for the cancellation of the award and the forfeiture of the proposal guaranty which shall become the property of the State, not as a penalty, but as liquidation of damages sustained.

ILLINOIS DEPARTMENT OF TRANSPORTATION

DIVISION OF AERONAUTICS

The requirements of the following provisions written for Federally-assisted construction contracts, including all goals and timetables and affirmative action steps, shall also apply to all State-funded construction contracts awarded by the Illinois Department of Transportation.

NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246)

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

APPENDIX A

The following goal for female utilization in each construction craft and trade shall apply to all Contractors holding Federal and federally assisted construction contracts and subcontracts in excess of \$10,000. The goal is applicable to the Contractor's total on-site construction workforce, regardless of whether or not part of that workforce is performing work on a Federal, federally assisted or nonfederally related construction contact or subcontract.

AREA COVERED (STATEWIDE)

Goals for Women apply nationwide.

GOAL

| | Goal (percent) | |
|--------------------|-------------------|-----|
| Female Utilization | | 6.9 |

APPENDIX B

Until further notice, the following goals for minority utilization in each construction craft and trade shall apply to all Contractors holding Federal and federally-assisted construction contracts and subcontracts in excess of \$10,000. to be performed in the respective geographical areas. The goals are applicable to the Contractor's total on-site construction workforce, regardless of whether or not part of that workforce is performing work on a Federal, federally-assisted or nonfederally related construction contract.

| Economic Area | Goal (percent) |
|---------------------------------------------------------------------------------------------------------------------------|-------------------|
| 056 Paducah, KY: Non-SMSA Counties - IL - Hardin, Massac, Pope | 5.2 |
| KY - Ballard, Caldwell, Calloway, Carlisle, Crittenden, Fulton, Graves, Hickman, Livingston, Lyon, McCracken, Marshall | |

| Economic Area | Goal (percent) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| 080 Evansville, IN: Non-SMSA Counties - IL - Edwards, Gallatin, Hamilton, Lawrence, Saline, Wabash, White IN - Dubois, Knox, Perry, Pike, Spencer KY - Hancock, Hopkins, McLean, Mublenberg, Ohio, Union, Webster | 3.5 |
| 081 Terre Haute, IN: Non-SMSA Counties - IL - Clark, Crawford IN - Parke | 2.5 |
| 083 Chicago, IL: SMSA Counties: 1600 Chicago, IL - IL - Cook, DuPage, Kane, Lake, McHenry, Will | 19.6 |
| 3740 Kankakee, IL - IL - Kankakee | 9.1 |
| Non-SMSA Counties IL - Bureau, DeKalb, Grundy, Iroquois, Kendall, LaSalle, Livingston, Putnam IN - Jasper, Laporte, Newton, Pulaski, Starke | 18.4 |
| 084 Champaign - Urbana, IL: SMSA Counties: | |
| 1400 Champaign - Urbana - Rantoul, IL - IL - Champaign | 7.8 |
| Non-SMSA Counties - IL - Coles, Cumberland, Douglas, Edgar, Ford, Piatt, Vermilion | 4.8 |
| 085 Springfield - Decatur, IL: SMSA Counties: | |
| 2040 Decatur, IL - IL - Macon | 7.6 |
| 7880 Springfield, IL - IL - Mendard, Sangamon | 4.5 |
| Non-SMSA Counties IL - Cass, Christian, Dewitt, Logan, Morgan, Moultrie, Scott, Shelby | 4.0 |
| 086 Quincy, IL: Non-SMSA Counties | 3.1 |
| IL - Adams, Brown, Pike MO - Lewis, Marion, Pike, Ralls | |
| 087 Peoria, IL: SMSA Counties: 1040 Bloomington - Normal, IL - IL - McLean | 2.5 |

APPENDIX B (CONTINUED)

| Economic Area | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| 6120 Peoria, IL - IL - Peoria, Tazewell, Woodford | 4.4 |
| Non-SMSA Counties - IL - Fulton, Knox, McDonough, Marshall, Mason, Schuyler, Stark, Warren | 3.3 |
| 088 Rockford, IL: SMSA Counties: 6880 Rockford, IL - IL - Boone, Winnebago | 6.3 |
| Non-SMSA Counties - IL - Lee, Ogle, Stephenson | 4.6 |
| 098 Dubuque, IA: Non-SMSA Counties - IL - JoDaviess IA - Atlamakee, Clayton, Delaware, Jackson, Winnesheik WI - Crawford, Grant, Lafayette | 0.5 |
| 099 Davenport, Rock Island, Moline, IA - IL: SMSA Counties: 1960 Davenport, Rock Island, Moline, IA - IL - IL - Henry, Rock Island IA - Scott | 4.6 |
| Non-SMSA Counties - IL - Carroll, Hancock, Henderson, Mercer, Whiteside IA - Clinton, DesMoines, Henry, Lee, Louisa, Muscatine MO - Clark | 3.4 |
| 107 St. Louis, MO: SMSA Counties: 7040 St. Louis, MO - IL - IL - Clinton, Madison, Monroe, St. Clair MO - Franklin, Jefferson, St. Charles, St. Louis, St. Louis City | 14.7 |
| Non-SMSA Counties - IL - Alexander, Bond, Calhoun, Clay, Effingham, Fayette, Franklin, Greene, Jackson, Jasper, Jefferson, Jersey, Johnson, Macoupin, Marion, Montgomery, Perry, Pulaski, Randolph, Richland, Union, Washington, Wayne, Williamson MO - Bollinger, Butler, Cape Girardeau, Carter, Crawford, Dent, Gasconade, Iron, Lincoln, Madison, Maries, Mississippi, Montgomery, Perry, Phelps, Reynolds, Ripley, St. Francois, St. Genevieve, Scott, Stoddard, Warren, Washington, Wayne | 11.4 |

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the provisions and specifications set forth in its federally assisted contracts, and its efforts to meet the goals established for the geographical area where the contract resulting from this solicitation is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Illinois Division of Aeronautics will provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction contract and/or subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. This notification will list the name, address and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the contract is to be performed.

4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is the entire State of Illinois for the goal set forth in APPENDIX A and the county or counties in which the work is located for the goals set forth in APPENDIX B.

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246)

- 1. As used in these specifications:
 - a) "Covered area" means the geographical area described in the solicitation from which this contract resulted;
 - b) "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
 - c) "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941;
 - d) "Minority" includes:
 - (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
 - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- 2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000. the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.

- 3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
- 4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered Construction Contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.
- 5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
- 7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
 - a) Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working as such sites or in such facilities.
 - b) Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
 - c) Maintain a current file of the names, addresses and telephone numbers of each minority and female off-thestreet applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractors may have taken.

- d) Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- e) Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.
- f) Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreements; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g) Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with onsite supervisory personnel such as Superintendents, General Foreman, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h) Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- i) Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship of other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- j) Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's workforce.
- k) Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- 1) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m) Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- n) Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction Contractors and suppliers, including circulation of solicitations to minority and female Contractor associations and other business associations.

- p) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a Contractor association, joint Contractor-union, Contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- 9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specified minority group of women is underutilized).
- 10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
- 12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
- 13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.
- 14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy his requirement, Contractors shall not be required to maintain separate records.
- 15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

ANNUAL EEO-1 REPORT TO JOINT REPORTING COMMITTEE AS REQUIRED AT

41 CFR 60-1.7(a)

Any Contractor having a Federal contract of \$50,000 or more and 50 or more employees is required to file annual compliance reports on Standard Form 100 (EEO-1) with the Joint Reporting Committee in accordance with the instructions provided with the form. The Contractor will provide a copy of such a report to the contracting agency within 30 days after the award of a contract.

The Contractor shall require its subcontractors to file an SF 100 within 30 days after award of the subcontract if (1) it is not exempt from the provisions of these regulations in accordance with 60-1.5, (2) has 50 or more employees, (3) first tier subcontractor, and (4) has a subcontract amounting to \$50,000 or more.

Subcontractors below the first tier which perform construction work at the site of construction shall be required to file such a report if (1) it is not exempt from the provisions of these regulations in accordance with 60-1.5, (2) has 50 or more employees and has a subcontract amounting to \$50,000 or more.

The SF 100 is available at the following address:

Joint Reports Committee EEOC - Survey Division 1801 "L" Street N.W. Washington, D.C. 20750

Phone (202) 663-4968

DISADVANTAGED BUSINESS POLICY

I. <u>NOTICE</u>

This proposal contains the special provision entitled "Required Disadvantaged Business Participation." Inclusion of this Special Provision in this contract satisfies the obligations of the Department of Transportation under federal law as implemented by 49 CFR 23 and under the Illinois "Minority and Female Business Enterprise Act."

II. POLICY

It is public policy that the businesses defined in 49 CFR Part 23 shall have the maximum opportunity to participate in the performance of contracts financed in whole or in part with State or Federal funds. Consequently, the requirements of 49 CFR Part 23 apply to this contract.

III. OBLIGATION

The Contractor agrees to ensure that the businesses defined in 49 CFR Part 23 have the maximum opportunity to participate in the performance of this contract. In this regard, the Contractor shall take all necessary and reasonable steps, in accordance with 49 CFR Part 23, to ensure that the said businesses have the maximum opportunity to compete for and perform portions of this contract. The Contractor shall not discriminate on the basis of race, color, national origin, or sex in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

The Contractor shall include the above Policy and Obligation statements of this Special Provision in every subcontract, including procurement of materials and leases of equipment.

IV. DBE/WBE CONTRACTOR FINANCE PROGRAM

On contracts where a loan has been obtained through the DBE/WBE Contractor Finance Program, the Contractor shall cooperate with the Department by making all payments due to the DBE/WBE Contractor by means of a two-payee check payable to the Lender (Bank) and the Borrower (DBE/WBE Contractor).

V. BREACH OF CONTRACT

Failure to carry out the requirements set forth above and in the Special Provision shall constitute a breach of contract and may result in termination of the contract or liquidated damages as provided in the special provision.

(Rev. 9/21/92)

State of Illinois Department of Transportation

SPECIAL PROVISION FOR DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION

- I. <u>FEDERAL OBLIGATION</u>: The Department of Transportation, as a recipient of federal financial assistance, is required to take all necessary and reasonable steps to ensure nondiscrimination in the award and administration of contracts. Consequently, the federal regulatory provisions of 49 CFR part 26 apply to this contract concerning the utilization of disadvantaged business enterprises. This Special Provision will also be used by the Department to satisfy the requirements of the Business Enterprise for Minorities, Females, and Persons with Disabilities Act, 30 ILCS 575. For the purposes of this Special Provision, a disadvantaged business enterprise (DBE) means a business certified by the Department in accordance with the requirements of 49 CFR part 26 and listed in the DBE Directory or most recent addendum.
- II. <u>CONTRACTOR ASSURANCE</u>: The Contractor makes the following assurance and agrees to include the assurance in each subcontract that the Contractor signs with a subcontractor:

The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of federally-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

- III. OVERALL GOAL SET FOR THE DEPARTMENT: As a requirement of compliance with 49 CFR part 26, the Department has set an overall goal for DBE participation in its federally assisted contracts. That goal is 22.77% of all federal-aid funds the Department will expend in its federally assisted contracts for the subject reporting fiscal year. The Department is required to make a good faith effort to achieve this goal. The dollar amount paid to all approved DBE firms performing work called for in this contract is eligible to be credited toward fulfillment of the Department's overall goal.
- IV. <u>CONTRACT GOAL TO BE ACHIEVED BY THE CONTRACTOR</u>: This contract includes a specific DBE utilization goal established by the Department. The goal has been included because the Department has determined that the work of this contract has subcontracting opportunities that may be suitable for performance by DBE companies. This determination is based on an assessment of the type of work, the location of the work, and the availability of DBE companies to do a part of the work. The assessment indicates that, in the absence of unlawful discrimination, and in an arena of fair and open competition, DBE companies can be expected to perform <u>0.0%</u> of the work. This percentage is set as the DBE participation goal for this contract. Consequently, in addition to the other award criteria established for this contract, the Department will award this contract to a bidder who makes a good faith effort to meet this goal of DBE participation in the performance of the work. A bidder makes a good faith effort for award consideration if either of the following is done in accordance with the procedures set forth in this Special Provision:
 - A. The bidder documents that firmly committed DBE participation has been obtained to meet the goal; or
 - B. The bidder documents that a good faith effort has been made to meet the goal, even though the effort did not succeed in obtaining enough DBE participation to meet the goal.

- V. <u>DBE LOCATOR REFERENCES</u>: Bidders may consult the DBE Directory as a reference source for DBE companies certified by the Department. In addition, the Department maintains a letting and item specific DBE locator information system whereby DBE companies can register their interest in providing quotes on particular bid items advertised for letting. Information concerning DBE companies willing to quote work for particular contracts may be obtained by contacting the Department's Bureau of Small Business Enterprises at telephone number (217)785-4611, or by visiting the Department's web site at www.dot.state.il.us.
- VI. <u>BIDDING PROCEDURES</u>: Compliance with the bidding procedures of this Special Provision is required prior to the award of the contract and the failure of the as-read low bidder to comply will render the bid nonresponsive.
 - A. In order to assure the timely award of the contract, the as-read low bidder must submit a Disadvantaged Business Utilization Plan on Department form SBE 2026 within seven (7) working days after the date of letting. To meet the seven (7) day requirement, the bidder may send the Plan by certified mail or delivery service within the seven (7) working day period. If a question arises concerning the mailing date of a Plan, the mailing date will be established by the U.S. Postal Service postmark on the original certified mail receipt from the U.S. Postal Service or the receipt issued by a delivery service. It is the responsibility of the as-read low bidder to ensure that the postmark or receipt date is affixed within the seven (7) working days if the bidder intends to rely upon mailing or delivery to satisfy the submission day requirement. The Plan is to be submitted to the Department of Transportation, Bureau of Small Business Enterprises, Contract Compliance Section, 2300 South Dirksen Parkway, Room 319, Springfield, Illinois 62764 (Telefax: (217) 785-1524). It is the responsibility of the bidder to obtain confirmation of telefax delivery. The Department will not accept a Utilization Plan if it does not meet the seven (7) day submittal requirement, and the bid will be declared nonresponsive. In the event the bid is declared nonresponsive due to a failure to submit a Plan or failure to comply with the bidding procedures set forth herein, the Department may elect to cause the forfeiture of the penal sum of the bidder's proposal guaranty, and may deny authorization to bid the project if re-advertised for bids. The Department reserves the right to invite any other bidder to submit a Utilization Plan at any time for award consideration or to extend the time for award.
 - B. The Utilization Plan shall indicate that the bidder either has obtained sufficient DBE participation commitments to meet the contract goal or has not obtained enough DBE participation commitments in spite of a good faith effort to meet the goal. The Utilization Plan shall further provide the name, telephone number and telefax number of a responsible official of the bidder designated for purposes of notification of plan approval or disapproval under the procedures of this Special Provision.
 - C. The Utilization Plan shall include a DBE Participation Commitment Statement, Department form SBE 2025, for each DBE proposed for the performance of work to achieve the contract goal. The signatures on these forms must be original signatures. All elements of information indicated on the said form shall be provided, including but not limited to the following:
 - 1. The name and address of each DBE to be used;
 - 2. A description, including pay item numbers, of the commercially useful work to be done by each DBE;
 - 3. The price to be paid to each DBE for the identified work specifically stating the quantity, unit price and total subcontract price for the work to be completed by the DBE. If partial pay items are to be performed by the DBE, indicate the portion of each item, a unit price where appropriate and the subcontract price amount;
 - 4. A commitment statement signed by the bidder and each DBE evidencing availability and intent to perform commercially useful work on the project; and
 - 5. If the bidder is a joint venture comprised of DBE firms and non-DBE firms, the plan must also include a clear identification of the portion of the work to be performed by the DBE partner(s).

- D. The contract will not be awarded until the Utilization Plan submitted by the bidder is approved. The Utilization Plan will be approved by the Department if the Plan commits sufficient commercially useful DBE work performance to meet the contract goal. The Utilization Plan will not be approved by the Department if the Plan does not commit sufficient DBE performance to meet the contract goal unless the bidder documents that it made a good faith effort to meet the goal. The good faith procedures of Section VIII of this special provision apply. If the Utilization Plan is not approved because it is deficient in a technical matter, unless waived by the Department, the bidder will be notified and will be allowed no less than a five (5) working day period in order to cure the deficiency.
- VII. <u>CALCULATING DBE PARTICIPATION</u>: The Utilization Plan values represent work anticipated to be performed and paid for upon satisfactory completion. The Department is only able to count toward the achievement of the overall goal and the contract goal the value of payments made for the work actually performed by DBE companies. In addition, a DBE must perform a commercially useful function on the contract to be counted. A commercially useful function is generally performed when the DBE is responsible for the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. The Department and Contractor are governed by the provisions of 49 CFR part 26.55(c) on questions of commercially useful functions as it affects the work. Specific counting guidelines are provided in 49 CFR part 26.55, the provisions of which govern over the summary contained herein.

A. DBE as the Contractor: 100% goal credit for that portion of the work performed by the DBE's own forces, including the cost of materials and supplies. Work that a DBE subcontracts to a non-DBE firm does not count toward the DBE goals.

B. DBE as a joint venture Contractor: 100% goal credit for that portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the work performed by the DBE's own forces.

C. DBE as a subcontractor: 100% goal credit for the work of the subcontract performed by the DBE's own forces, including the cost of materials and supplies. Work that a DBE subcontractor in turn subcontracts to a non-DBE firm does not count toward the DBE goal.

D. DBE as a trucker: 100% goal credit for trucking participation provided the DBE is responsible for the management and supervision of the entire trucking operation for which it is responsible. At least one truck owned, operated, licensed and insured by the DBE must be used on the contact. Credit will be given for the full value of all such DBE trucks operated using DBE employed drivers. Goal credit will be limited to the value of the reasonable fee or commission received by the DBE if trucks are leased from a non-DBE company.

E. DBE as a material supplier:

- 1. 60% goal credit for the cost of the materials or supplies purchased from a DBE regular dealer.
- 2. 100% goal credit for the cost of materials or supplies obtained from a DBE manufacturer.
- 3. 100% credit for the value of reasonable fees and commissions for the procurement of materials and supplies if not a regular dealer or manufacturer.
- VIII. <u>GOOD FAITH EFFORT PROCEDURES</u>: If the bidder cannot obtain sufficient DBE commitments to meet the contract goal, the bidder must document in the Utilization Plan the good faith efforts made in the attempt to meet the goal. This means that the bidder must show that all necessary and reasonable steps were taken to achieve the contract goal. Necessary and reasonable steps are those which could reasonably be expected to obtain sufficient DBE participation. The Department will consider the quality, quantity and intensity of the kinds of efforts that the bidder has made. Mere *pro forma* efforts are not good faith efforts; rather, the bidder is expected to have taken those efforts that would be reasonably expected of a bidder actively and aggressively trying to obtain DBE participation sufficient to meet the contract goal.

- A. The following is a list of types of action that the Department will consider as part of the evaluation of the bidder's good faith efforts to obtain participation. These listed factors are not intended to be a mandatory checklist and are not intended to be exhaustive. Other factors or efforts brought to the attention of the Department may be relevant in appropriate cases, and will be considered by the Department.
 - 1. Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified DBE companies that have the capability to perform the work of the contract. The bidder must solicit this interest within sufficient time to allow the DBE companies to respond to the solicitation. The bidder must determine with certainty if the DBE companies are interested by taking appropriate steps to follow up initial solicitations.
 - 2. Selecting portions of the work to be performed by DBE companies in order to increase the likelihood that the DBE goals will be achieved. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.
 - 3. Providing interested DBE companies with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
 - 4. (a) Negotiating in good faith with interested DBE companies. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBE companies that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBE companies to perform the work.

(b) A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBE companies is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Prime contractors are not, however, required to accept higher quotes from DBE companies if the price difference is excessive or unreasonable.

- 5. Not rejecting DBE companies as being unqualified without sound reasons based on a thorough investigation of their capabilities. The contractor's standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations (for example union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the contractor's efforts to meet the project goal.
- 6. Making efforts to assist interested DBE companies in obtaining bonding, lines of credit, or insurance as required by the recipient or contractor.
- 7. Making efforts to assist interested DBE companies in obtaining necessary equipment, supplies, materials, or related assistance or services.
- 8. Effectively using the services of available minority/women community organizations; minority/women contractors' groups; local, state, and Federal minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBE companies.
- B. If the Department determines that the Contractor has made a good faith effort to secure the work commitment of DBE companies to meet the contract goal, the Department will award the contract provided that it is otherwise eligible for award. If the Department determines that a good faith effort has not been made, the Department will notify the bidder of that preliminary determination by contacting the responsible company official designated in the Utilization Plan. The preliminary determination shall include a statement of reasons why good faith efforts have not been found, and may include additional good faith efforts that the bidder could take. The notification will

designate a five (5) working day period during which the bidder shall take additional efforts. The bidder is not limited by a statement of additional efforts, but may take other action beyond any stated additional efforts in order to obtain additional DBE commitments. The bidder shall submit an amended Utilization Plan if additional DBE commitments to meet the contract goal are secured. If additional DBE commitments sufficient to meet the contract goal are not secured, the bidder shall report the final good faith efforts made in the time allotted. All additional efforts taken by the bidder will be considered as part of the bidder's good faith efforts. If the bidder is not able to meet the goal after taking additional efforts, the Department will make a pre-final determination of the good faith efforts of the bidder and will notify the designated responsible company official of the reasons for an adverse determination.

- C. The bidder may request administrative reconsideration of a pre-final determination adverse to the bidder within the five (5) working days after the notification date of the determination by delivering the request to the Department of Transportation, Division of Aeronautics, 1 Langhorne Bond Drive, Capital Airport, Springfield, IL 62707-8415 (Telefax: 217-785-4533). Deposit of the request in the United States mail on or before the fifth business day shall not be deemed delivery. The pre-final determination shall become final if a request is not made and delivered. A request may provide additional written documentation and/or argument concerning the issue of whether an adequate good faith effort was made to meet the contract goal. In addition, the request shall be considered a consent by the bidder to extend the time for award. The request will be forwarded to the Department's Reconsideration Officer. The Reconsideration Officer will extend an opportunity to the bidder to meet in person in order to consider all issues of whether the bidder made a good faith effort to meet the goal. After the review by the Reconsideration Officer, the bidder will be sent a written decision within ten (10) working days after receipt of the request for reconsideration, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good faith efforts to do so. A final decision by the bidder and shall clear the contract for award. A final decision that a good faith effort was not made shall render the bidder and shall clear the contract for award. A final decision that a good faith effort was not made shall render the bidder and shall clear the contract for award. A final decision that a good faith effort was not made shall render the bidder and shall clear the contract for award. A final decision that a good faith effort was not made shall render the bid nonresponsive.
- IX. <u>CONTRACT COMPLIANCE:</u> Compliance with this Special Provision is an essential part of the contract. The Department is prohibited by federal regulations from crediting the participation of a DBE included in the Utilization Plan toward either the contract goal or the Department's overall goal until the amount to be applied toward the goals has been paid to the DBE. The following administrative procedures and remedies govern the compliance by the Contractor with the contractual obligations established by the Utilization Plan. After approval of the Plan and award of the contract, the Utilization Plan and individual DBE Participation Statements become part of the contract. If the contractor did not succeed in obtaining enough DBE participation to achieve the advertised contract goal, and the Utilization Plan was approved and contract awarded based upon a determination of good faith, the total dollar value of DBE work calculated in the approved Utilization Plan as a percentage of the awarded contract value shall become the amended contract goal.
 - A. No amendment to the Utilization Plan may be made without prior written approval from the Division of Aeronautics. All requests for amendment to the Utilization Plan shall be submitted to the Department of Transportation, Division of Aeronautics, 1 Langhorne Bond Drive, Capital Airport, Springfield, IL 62707-8415. Telephone number (217) 785-8514. Telefax number (217) 785-4533.
 - B. All work indicated for performance by an approved DBE shall be performed, managed and supervised by the DBE executing the Participation Statement. The Contractor shall not terminate for convenience a DBE listed in the Utilization Plan and then perform the work of the terminated DBE with its own forces, those of an affiliate or those of another subcontractor, whether DBE or not, without first obtaining the written consent of the Bureau of Small Business Enterprises to amend the Utilization Plan. If a DBE listed in the Utilization Plan is terminated for reasons other than convenience, or fails to complete its work on the contract for any reason, the Contractor shall make good faith efforts to find another DBE to substitute for the terminated DBE. The good faith efforts shall be directed at finding another DBE to perform at least the same amount of work under the contract goal. The Contractor shall notify the Division of Aeronautics of any termination for reasons other than convenience, and shall obtain approval for inclusion of the substitute DBE in the Utilization Plan. If good faith efforts following a termination of a DBE for cause are not successful, the Contractor shall contact the Division and provide a full accounting of the efforts undertaken to obtain substitute DBE participation. The Division will evaluate the good faith efforts in light of all circumstances surrounding the performance status of the contract, and determine whether the contract goal should be amended.

- C. The Contractor shall maintain a record of payments for work performed to the DBE participants. The records shall be made available to the Department for inspection upon request. After the performance of the final item of work or delivery of material by a DBE and final payment therefor to the DBE by the Contractor, but not later than thirty (30) calendar days after payment has been made by the Department to the Contractor for such work or material without regard to any retainage withheld by the Department, the Contractor shall submit a DBE Payment Report on Department form SBE 2115 to the Division's Chief Engineer. If full and final payment has not been made to the DBE, the Report shall indicate whether a disagreement as to the payment required exists between the Contractor and the DBE or if the Contractor believes that the work has not been satisfactorily completed. If the Contractor does not have the full amount of work indicated in the Utilization Plan performed by the DBE companies indicated in the Plan, the Department will deduct from contract payments to the Contractor the amount of the goal not achieved as liquidated and ascertained damages.
- D. The Department reserves the right to withhold payment to the Contractor to enforce the provisions of this Special Provision. Final payment shall not be made on the contract until such time as the Contractor submits sufficient documentation demonstrating achievement of the goal in accordance with this Special Provision or after liquidated damages have been determined and collected.

Certification of Nonsegregated Facilities - as Required by 41 CFR 60-1.8

(Applicable to (1) contracts, (2) subcontracts, and (3) agreements with applicants who are themselves performing federally assisted construction contracts, exceeding \$10,000.00 which are not exempt from the provisions of the Equal Opportunity clause).

By the submission of this bid, the bidder, offeror, applicant, or subcontractor certifies that he does not maintain or provide for his employees any segregated facilities at any of his establishments and that that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. He certifies further that he will not maintain or provide for his employees any segregated facilities at any of his establishments and that he will not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The bidder, offeror, applicant, or subcontractor agrees that a breach of his certification is a violation of the Equal opportunity clause in this contract. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin, because of habit, local custom, or otherwise. He further agrees that (except where he has obtained identical certifications from proposed subcontractors for specific time periods) he will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000.00 which are not exempt from the provisions of the Equal Opportunity clause; that he will retain such certifications in his files and that he will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have submitted identical certifications for specific time periods):

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENT FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

A certification of Nonsegregated Facilities must be submitted prior to the award of a subcontract exceeding \$10,000.00 which is not exempt from the provisions of the Equal Opportunity clause. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually or annually).

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C 1001.

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS Instructions for Certification

- 1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- 2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- 3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.
- 4. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 5. The terms "covered transaction" "debarred" "suspended" "ineligible" "lower tier covered transaction" "participant" "person" "primary covered transaction" "principal" "proposal" and "voluntarily excluded" as used in this clause have the meaning set out in the Definitions and Coverage sections of the rules implementing Executive Order 12540. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
- 6. The prospective primary participant agrees by submitting this proposal that should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the department or agency entering into this transaction.
- 7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Transaction", provided by the department or agency entering into this covered transaction without modification in all lower covered transactions and in all solicitations for lower covered transactions.
- 8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to check the Nonprocurement List (Tel. #).
- 9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 10. Except for transactions authorized under paragraph 8 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

<u>Certification Regarding Debarment, Suspension, and</u> <u>Other Responsibility Matters - Primary Covered Transactions</u>

- 1. The prospective primary participant certifies to the best of its knowledge and belief that it and its principals:
 - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by an Federal department or agency;
 - b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (Federal, State or Local) transaction or contract under a public transaction: violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction or destruction or destruction or receiving stolen property;
 - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - d. Have not within a three-period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- 2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

<u>CERTIFICATION REGARDING LOBBYING</u> (Applicable to contracts in excess of \$100,000):

Certification for Contracts, Grants, Loans and Cooperative Agreements.

The undersigned bidder certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have paid or will be paid, by or behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an Officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

WORKERS' COMPENSATION INSURANCE

Prior to the execution of his construction contract by the Illinois Department of Transportation, Division of Aeronautics, hereinafter referred to as "Division", the Contractor shall furnish to the Division certificates of insurance covering Workers' Compensation, or satisfactory evidence that this liability is otherwise taken care of in accordance with Section 4.(a) of the "Workers' Compensation Act of the State of Illinois" as amended.

Such insurance, or other means of protection as herein provided, shall be kept in force until all work to be performed under the terms of the contract has been completed and accepted in accordance with the specifications, and it is hereby understood and agreed that the maintenance of such insurance or other protection, until acceptance of the work by the Division is a part of the contract. Failure to maintain such insurance, cancellation by the Industrial Commission of its approval of such other means of protection as might have been elected, or any other act which results in lack of protection under the said "Workers' Compensation Act" may be considered as a breach of the contract.

SPECIAL PROVISION FOR DOMESTIC SOURCE FOR STEEL

<u>Control of Materials</u>: All steel products, as defined by the Illinois Steel Products Procurement Act, incorporated into this project shall be manufactured or produced in the United States and, in addition, shall be domestically fabricated. The Contractor shall obtain from the steel producer and/or fabricator, in addition to the mill analysis, a certification that all steel products meet these domestic source requirements.

<u>CLAUSE TO BE INCLUDED IN ALL SOLICITATIONS,</u> <u>CONTRACTS, AND SUBCONTRACTS RESULTING FROM PROJECTS FUNDED UNDER THE AIP</u>

The Contractor or subcontractor, by submission of an offer and/or execution of a contract, certifies that it:

a. is not owned or controlled by one or more citizens or nationals of a foreign country included in the list of countries that discriminate against U.S. firms published by the Office of the United States Trade Representative (USTR);

b. has not knowingly entered into any contract or subcontract for this project with a Contractor that is a citizen or national of a foreign country on said list, or is owned or controlled directly or indirectly by one or more citizens or nationals of a foreign country on said list.

c. has not procured any product nor subcontracted for the supply of any product for use on the project that is produced in a foreign country on said list.

Unless the restrictions of this clause are waived by the Secretary of Transportation in accordance with 49 CFR 30.17, no contract shall be awarded to a Contractor or subcontractor who is unable to certify to the above. If the Contractor knowingly procures or subcontracts for the supply of any product or service of a foreign country on the said list for use on the project, the Federal Aviation Administration may direct, through the sponsor, cancellation of the contract at no cost to the Government.

Further, the Contractor agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification without modification in each contract and in all lower tier subcontracts. The Contractor may rely upon the certification of a prospective subcontractor unless it has knowledge that the certification is erroneous.

The Contractor shall provide immediate written notice to the sponsor if the Contractor learns that its certification or that of a subcontractor was erroneous when submitted or has become erroneous by reason of changed circumstances. The subcontractor agrees to provide immediate written notice to the Contractor, if at any time it learns that its certification was erroneous by reason of changed circumstances.

This certification is a material representation of fact upon which reliance was placed when making the award. If it is later determined that the Contractor or subcontractor knowingly rendered an erroneous certification, the Federal Aviation Administration may direct, through this sponsor, cancellation of the contract or subcontract for default at no cost to the Government.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by this provision. The knowledge and information of a Contractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

This certification concerns a matter within the jurisdiction of an agency of the United States of America and the making of false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code, Section 1001.

MINIMUM WAGES FOR FEDERAL AND FEDERALLY ASSISTED CONSTRUCTION CONTRACTS

This project is funded, in part, with Federal-aid funds and, as such, is subject to the provisions of the Davis-Bacon Act of March 3, 1931, as amended (46 Sta. 1494, as amended, 40 U.S.C. 276a) and of other Federal statutes referred to in a 29 CFR Part 1, Appendix A, as well as such additional statutes as may from time to time be enacted containing provisions for the payment of wages determined to be prevailing by the Secretary of Labor in accordance with the Davis-Bacon Act and pursuant to the provisions of 29 CFR Part 1. The prevailing rates and fringe benefits shown in the General Wage Determination Decisions issued by the U.S. Department of Labor shall, in accordance with the provisions of the foregoing statutes, constitute the minimum wages payable on Federal and federally assisted construction projects to laborers and mechanics of the specified classes engaged on contract work of the character and in the localities described therein.

General Wage Determination Decisions, modifications and supersedes decisions thereto are to be used in accordance with the provisions of 29 CFR Parts 1 and 5. Accordingly, the applicable decision, together with any modifications issued, must be made a part of every contract for performance of the described work within the geographic area indicated as required by an applicable DBRA Federal prevailing wage law and 29 CFR Part 5. The wage rates and fringe benefits contained in the General Wage Determination Decision shall be the minimum paid by contractors and subcontractors to laborers and mechanics.

NOTICE

The most current **General Wage Determination Decisions** (wage rates) are available on the IDOT web site. They are located on the Letting and Bidding page at <u>http://www.dot.state.il.us/desenv/delett.html</u>.

In addition, ten (10) days prior to the letting, the applicable Federal wage rates will be e-mailed to subscribers. It is recommended that all contractors subscribe to the Federal Wage Rates List or the Contractor's Packet through IDOT's subscription service.

PLEASE NOTE: if you have already subscribed to the Contractor's Packet you will automatically receive the Federal Wage Rates.

The instructions for subscribing are at http://www.dot.state.il.us/desenv/subsc.html.

If you have any questions concerning the wage rates, please contact IDOT's Chief Contract Official at 217-782-7806.

BITUMINOUS MATERIALS COST ADJUSTMENTS (BDE) (RETURN FORM WITH BID)

Effective: December 1, 2006

<u>Description.</u> For projects with at least 1200 tons of work involving applicable bituminous materials, cost adjustments will be made to provide additional compensation to the Contractor, or credit to the Department, for fluctuations in the cost of bituminous materials when optioned by the Contractor. The adjustments shall apply to permanent and temporary hot-mix asphalt (HMA) mixtures, bituminous surface treatments (cover and seal coats), and pavement preservation type surface treatments. The adjustments shall not apply to bituminous prime coats, tack coats, crack filling/sealing, or joint filling/sealing.

The bidder shall indicate on the attached form whether or not this special provision will be part of the contract and submit the completed form with his/her bid. Failure to submit the form, or failure to fill out the form completely, shall make this contract exempt of bituminous materials cost adjustments.

Method of Adjustment. Bituminous materials cost adjustments will be computed as follows.

 $CA = (BPI_p - BPI_L x (%AC_v / 100) x Q$

- Where: CA = Cost Adjustment, \$.
 - **Bituminous BPI**_n = Price Index, as published the Department @ by http://www.dot.il.gov/desenv/asphaltpi.html for the month the work is performed, \$/ton. $\mathsf{BPI}_{\mathsf{L}}$ Bituminous Price Index. published by the Department = as @ http://www.dot.il.gov/desenv/asphaltpi.html for the month prior to the letting, \$/ton. $%AC_v =$ Percent of virgin Asphalt Cement in the Quantity being adjusted. For HMA mixtures, the % ACv will be determined from the adjusted job mix formula. For bituminous materials applied, a performance graded or cutback asphalt will be considered to be 100% AC_v and undiluted emulsified asphalt will be considered to be 65% AC_v.
 - Q = Authorized construction Quantity, tons (see below).

For HMA mixtures measured in square yards: Q, tons = A x D x (G_{mb} x 46.8) / 2000. When computing adjustments for full-depth HMA pavement, separate calculations will be made for the base, leveling and surface courses to account for their different G_{mb} and % AC_v.

For bituminous materials measured in gallons: Q, tons = $V \times 8.33$ lb/gal x SG / 2000

| Where: | А | = | Area of the HMA mixture, sq yd. |
|--------|-----------------|---|-----------------------------------------------------------------------------|
| | D | = | Depth of the HMA mixture, in. |
| | G _{mb} | = | Average bulk specific gravity of the mixture, from the approved mix design. |
| | V | = | Volume of the bituminous material, gal. |
| | SG | = | Specific Gravity of bituminous material as shown on the bill of lading. |
| | | | |

Basis of Payment. Bituminous materials cost adjustments may be positive or negative but will only be made when there is a difference between the BPI_L and BPIp in excess of five percent, as calculated by:

Percent Difference = {(BPI_L - BPI_P) ÷ BPI_L} x 100

Bituminous materials cost adjustments will be calculated for each calendar month in which applicable bituminous material is placed; and will be paid or deducted when all other contract requirements for the items of work are satisfied. The adjustments shall not apply during contract time subject to liquidated damages for completion of the entire contract.

Added 12/01/2006

Return With Bid

ILLINOIS DEPARTMENT OF TRANSPORTATION

OPTION FOR BITUMINOUS MATERIALS COST ADJUSTMENTS

The bidder shall submit this completed form with his/her bid. Failure to submit the form, or failure to fill out the form completely, shall make this contract exempt of bituminous materials cost adjustments. After award, this form, when submitted, shall become part of the contract.

| Contract No | •• | | | |
|--------------|-----------------------|----------------------------------|------------------|--|
| Company Na | ame: | | | |
| Contractor's | s Option: | | | |
| Is your comp | any opting to include | e this special provision as part | of the contract? | |
| | Yes 🗌 | No 🗆 | | |
| Signature: | | | Date: | |

Added 12/01/2006

VE045

SECTION III

Special Provisions For

Construct New Airfield Lighting Vault

IL PROJECT NO: DNV-3684 AIP PROJECT NO: 3-17-0032-B11

AT

VERMILION COUNTY AIRPORT DANVILLE, ILLINOIS

June 22, 2007



CHARLES E. TAYLOR 01 PROFESSION TAYLOR 05 - 044080 THIN 04 - 04080 THIN 04 THIN 04 - 04080 THIN 04 THI

Prepared By:

CRAWFORD, MURPHY & TILLY, INC. Consulting Engineers 2750 West Washington Street Springfield, IL 62702

GENERAL

These Special Provisions, together with applicable Standard Specifications, Contract Requirements for Airport Improvement Projects, Rules and Regulations, Payroll Requirements and Minimum Wage Rates which are hereto attached or which by reference are herein incorporated, cover the requirements of the State of Illinois, Division of Aeronautics, and the representatives of the Vermilion County Airport, for the construction of <u>Reconstruct Airfield Lighting Vault</u> and associated improvements at the Vermilion County Airport, Danville, Illinois.

GOVERNING SPECIFICATIONS AND RULES AND REGULATIONS

The "Standard Specifications for Construction of Airports", State of Illinois Department of Transportation, Division of Aeronautics, dated January 1985, and the "Supplemental Specifications and Recurring Special Provisions", dated July 1, 2004, including the "Interim Revisions to the Supplemental and Recurring Special Provisions, Adopted May 11, 2007, State of Illinois Department of Transportation, Division of Aeronautics, indicated on the check sheet herein shall govern the project except as otherwise noted in these Special Provisions. In the case of conflict with any part or parts of said specifications, the said Special Provisions shall take precedence and shall govern. As noted within the Special Provisions the Illinois Department of Transportation Standard Specifications for Road and Bridge Construction dated January 1, 2007 shall apply.

INDEX TO SPECIAL PROVISIONS

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| AR901510 | Seeding | |
| AR904000 | Sodding | |
| AR908510 | Mulching | |

RECURRING SPECIAL PROVISIONS

The following RECURRING SPECIAL PROVISIONS indicated by an "X" are Applicable to this contract and are included by reference:

| <u>Sheet</u> <u>No.</u> | Item No | | Page No. |
|----------------------------|----------------------|-------------------------------------------------------------------------|----------|
| | 104500 | | 155 |
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| 5 | AR150510 AR150560 | Temporary Threshold | |
| 6 7 | AR150560 AR152540 | Soil Stabilization Fabric | |
| | | Erosion Control | |
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| 9 | AR156513 | Separation Fabric | |
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| 11 | AR201001 | Bituminous Base Course – Method I Bituminous Base Course - Method II | |
| 12 | AR201002 | Bituminous Base Course – Method I, Superpave | |
| 13 | AR201003 | Bituminous Base Course – Method II, Superpave | |
| 14 | AR201004 | Clean & Seal Bituminous Cracks | |
| 15 | AR201661 | Sand Mix Crack Repair | |
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| 20 | AR401002 | Bituminous Surface Course - Method II | |
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| 23 | AR401640 | Bituminous Pavement Grooving | |
| 24 | AR401650 | Bituminous Pavement Milling | |
| 25 | AR401655 | Butt Joint Construction | |
| 26 X | AR401900 | Remove Bituminous Pavement | |
| 27 X | AR501001 | Portland Cement Concrete - Pavement Method I | |
| 28 | AR501002 | Portland Cement Concrete -Pavement Method II | |
| 29 | AR501003 | Portland Cement Concrete -Pavement Method III | |
| 30 | AR501115 | Crack and Seat Pavement | |
| 31 | AR501540 | PCC Pavement Grooving | |
| 32 | AR501550 | PCC Pavement Milling | |
| 33 | AR501900 | Remove PCC Pavement | |
| 34 | AR510500 | Tie-down/Ground Rod | |
| 35 | AR605000 | Silicone Joint Sealing Filler | |

1

DIVISION 1 – GENERAL PROVISIONS

20-05 MAINTENANCE OF TRAFFIC

ADD: The Contractor activity on the airfield shall be limited to the limits of construction as identified on the construction activity plan drawings. Beyond the limits of construction the contractor shall not have access to any part of the active airfield pavement with any equipment or personnel without the approval of airport management.

Any time the Contractor crosses or needs temporary access to the active airfield pavement (runways and taxiways), the Contractor shall be in contact with the Airport and monitor air traffic. The Contractor shall provide his own radios capable of transmitting and receiving on the air control frequency of 122.70 MHz.

The Contractor shall provide and maintain construction entrance signage on Bowman Avenue as required by IDOT, the Vermilion County Highway Department or other applicable agencies. The Contractor shall be responsible for coordinating all hauling and access on city, township or county roads with the agency responsible for the roadway.

<u>30-04</u> <u>COOPERATION OF CONTRACTOR</u>

ADD: The completion of this project prior to the contract completion date is of extreme importance to the Airport Management. The contractor shall update his progress schedule as required for the scheduled progress meetings.

30-06 CONSTRUCTION LAYOUT

RESPONSIBILITY OF THE RESIDENT ENGINEER

DELETE: Paragraphs A and B of the Supplemental Specifications and replace with:

- A. The Resident Engineer will locate and reference control points within the limits of the project as shown on the site plan.
- B. A benchmark has been established along the project outside of construction lines.

ADD: As paragraph M:

M. It is not the responsibility of the Resident Engineer to check the correctness of the Contractor's stakes or forms, except as provided herein; however, any errors that are apparent shall be immediately called to the Contractor's attention, and he shall be required to make the necessary correction before the stakes are used for construction purposes.

RESPONSIBILITY OF THE CONTRACTOR

ADD:

- H. The Contractor shall immediately notify the Resident Engineer of conflicts or discrepancies with the established control points.
- I. Construction layout shall not be paid for separately, but shall be considered incidental to the pay item for which the layout is required.

30-12 LOAD RESTRICTIONS

ADD: Access to the construction work area is limited to the haul routes as shown on the site plan and construction activity plan drawings. The use of existing airfield pavements by the contractor construction traffic, including all haul traffic, is limited to the hauling routes as shown on the site plan and construction activity plan drawings. Use of existing airfield pavement other than as shown on the site plan and construction activity plan drawings is prohibited. Any damage to existing airfield pavement due to construction traffic operating beyond the approved work limits, hauling outside of the approved haul/access routes and construction traffic operating in prohibited areas shall be repaired by the Contractor at his own expense to the satisfaction of the Owner.

To protect existing buried cables within the limits of the Contractor constructed haul road, the Contractor shall provide and maintain steel road plates or mound aggregate or embankment material over the existing.

The Contractor shall coordinate construction hauling, construction access and load restrictions with IDOT, the County Superintendent of Highways and/or the Township Road Commissioner and the City of Danville as required. The Contractor shall be responsible for damage to any airfield pavement or public road caused by his construction operations. Any damage to existing airfield pavements or public roads shall be replaced by the Contractor at his own expense to the satisfaction of the Owner.

30-16 FINAL INSPECTION

DELETE: The first sentence of the first paragraph.

ADD: As the first sentence of the first paragraph.

Upon due notice to the Resident Engineer from the Contractor of presumptive completion of the entire project, the charging of Contract Time shall be suspended and the Engineer will make an inspection.

ADD: After the first sentence of the second paragraph:

The charging of Contract Time shall resume on the day following the inspection and shall continue until the remaining work, including the applicable requirements of Section 20-08, Final Cleanup, is completed to the Engineer's satisfaction.

30-18 PLANS AND WORK DRAWINGS

EDIT: Information to be included on shop drawing submittals shall conform to the following:

| PROJECT LOCATION: | Vermilion County Airport Danville, IL |
|-------------------|----------------------------------------------------------------|
| PROJECT TITLE: | Construct New Airfield Lighting Vault |
| PROJECT NUMBERS: | Illinois Project: DNV-XXXX AIP Project: 3-17-0032-XXX |
| CONTRACT ITEM: | (Pay Item Name & Number), ie.: AR108106 1/C #8 5KV UL Cable |
| SUBMITTED BY: | (Contractor/Subcontractor Name) |
| DATE: | (Date of Submittal) |

This information shall be included on each page of each submittal.

ł

40-05 RESIDENT ENGINEER'S FIELD OFFICE

ADD: The Contractor shall not be required to furnish and maintain a Resident Engineer's Field Office for this contract.

40-11 REQUIRED SUBMITTALS

The contractor shall certify all materials contained in the contract. Certification documentation shall be submitted to the Resident Engineer. It shall be the <u>sole</u> responsibility of the contractor to ensure the delivery of adequate and accurate documentation <u>prior</u> to the delivery of materials. Materials incorporated into this project without the prior approval of the Resident Engineer will not be recommended for payment.

As a guide to the certification process and requirements, the Contractor shall use the Illinois Department of Transportation/Division of Aeronautics <u>MANUAL FOR DOCUMENTATION OF AIRPORT MATERIALS</u> (latest edition). Copies of this manual are available from the Illinois Division of Aeronautics. The <u>MANUAL FOR DOCUMENTATION OF AIRPORT MATERIALS</u> defines the Resident Engineer's/Contractor's responsibilities (Sections 300/400). The Contractor shall have the <u>sole</u> responsibility to provide the Engineer with appropriate documentation to satisfy the contract certification requirements <u>prior</u> to the delivery of materials.

All sheets of all submittals shall contain the following information:

- Vermilion County Airport
- Construct Airfield Lighting Vault
- IL Project No. DNV-XXXX
- AIP Project No. 3-17-0032-XXX
- Pay Item No. and Description
- Quantity
- Date

50-10 BARRICADES, WARNING SIGNS & HAZARD MARKERS

ADD: After the second paragraph:

Type I barricades shall be provided and shall be beam type barricades as detailed or shall conform to IDOT Division of Highways Specifications and Standards for Type I Barricades. The barricades shall be lighted with a flashing red light supplemented with a 20" x 20" orange flag.

The barricades shall be sufficiently weighted with sandbags or other appropriate method to withstand high winds or jet blast without dislocation. Barricades shall be placed as shown in the contractor's safety plan or as directed by the Airport Manager.

The Contractor shall be responsible for supplying, maintaining and any moving of all barricades. Lights shall be maintained in proper working order. No separate payment will be made for supplying, maintaining and moving barricades but shall be considered incidental to the contract.

ADD: After the fifth paragraph:

When any vehicle is required to travel over any portion of the aircraft movement area and runway approach area, the vehicle shall be properly identified to operate in the area or provided with a flag on a staff so attached to the vehicle so that the flag will be readily visible.

The flag should be not less than 3-feet square consisting of a checkered pattern of international orange and white squares of not less than one foot on each side and displayed in full view above the vehicle. A flag or escort vehicle is not required for vehicles which have been painted, marked and lighted for routine use on aircraft movement areas. Any vehicle operating on the movement area during the hours of darkness should be equipped with an amber flashing dome-type light, in accordance with local and/or state codes.

50-17 CONTRACTOR'S RESPONSIBILITY FOR UTILITY SERVICE AND FACILITIES OF OTHERS

EDIT: Should any utilities or cables require location, the following people shall be contacted:

| Utility Service or Facility | Person to Contact | Contact Phone |
|--------------------------------------------|-------------------|----------------|
| Airfield Lighting Cables | Bob Gagnon | 217-442-4624 |
| FAA Control and Communications Cable | John Oak, FAA | 217-359-5222 |
| Sanitary Sewer | Bob Gagnon | 217-442-4624 |
| Electric Cables | J.U.L.I.E. | 1-800-892-0123 |
| Water | J.U.L.I.E. | 1-800-892-0123 |
| Telephone Cables | J.U.L.I.E. | 1-800-892-0123 |
| Gas Lines | J.U.L.I.E. | 1-800-892-0123 |

Vermilion County Airport

ADD:

50-26 CONTRACTOR'S RESPONSIBILITY FOR SAFETY DURING CONSTRUCTION

The Contractor shall be responsible for safety during construction as follows:

- (1) Develop a project specific safety plan in accordance with the requirements of AC 150/5370-2E. This document must be developed by the contractor and shall not be the information zeroxed from the plans and specs. If required the contractor shall also submit a separate 7460 to FAA for an airspace determination for construction equipment.
- (2) Comply with the safety plan associated with the construction project and ensure that construction personnel are familiar with safety procedures and regulations on the Airport.
- (3) Provide a point of contact that will coordinate an immediate response to correct any construction-related activity that may adversely affect the operational safety of the Airport.
- (4) Provide a safety officer/construction inspector trained in airport safety to monitor construction activities.

- (5) Restrict movement of construction vehicles to construction areas as flagging and barricading, erecting temporary fencing, or providing escorts, as appropriate or as shown in plans.
- (6) Ensure that no construction employees, employees of subcontractors or suppliers, or other persons enter any part of the aircraft operations area from construction site unless authorized.

60-05 LIMITATION OF OPERATIONS

ADD: A minimum distance of <u>59'</u> shall be maintained between construction operations and the centerline of all active taxiways and taxilanes and <u>200'</u> from centerline of active runways. It is intended to plan, conduct, and complete the work in these critical traffic areas in such a manner that the length and amount of interruption to aircraft traffic at the Airport is minimized.

60-08 DETERMINATION AND EXTENSION OF CONTRACT TIME

ADD: After the fourth paragraph:

The Engineer will make charges against Contract Time after the presumptive completion of the entire project as provided for in Section 30-16, Final Inspection.

DELETE: The second to last paragraph.

ADD:

60-14 EMPLOYEE'S PARKING AREA

The location of an area for parking by the Contractor's employees shall be as shown on the plans or as agreed to at the preconstruction meeting.

Use of personal vehicles beyond the staging area will not be allowed.

60-15 SECURITY DURING CONSTRUCTION

The Contractor shall be responsible for security during construction as follows:

- (1) Possess a copy of the Airport's project security plan.
- (2) Visibly delineate his construction zone by placing a line of barricades around the entire work zone during each phase of the contract.
- (3) Comply with the Airport's security plan associated with the construction project and ensure that construction personnel are familiar with security procedures and regulations on the Airport.
- (4) Provide a point of contact that will coordinate an immediate response to correct any construction-related activity that may adversely affect the operational security of the Airport.
- (5) Restrict movement of construction vehicles to construction areas as flagging and barricading, erecting temporary fencing, or providing escorts, as appropriate or as shown in plans.

- (6) Ensure that no construction employees, employees of subcontractors or suppliers, or other persons enter any part of the aircraft operations area from construction site unless authorized.
- (7) The Airport Manager may require that all Security Guards undergo additional training necessary to meet the Airport's security needs.

The Contractor shall comply with Federal Aviation Regulations Part 107 (Airport Security), Federal Air Regulation 139 (Airport Certification), and with all rules and regulations of the Airport, including, but not limited to, control and access to the ramp by Contractor's, employees and agents. In the event the Authority is assessed a fine by the Federal Aviation Administration or Transportation Security Administration for breach of security resulting from actions of Contractor's employees and agents, the Contractor shall fully reimburse the Authority for the amount of such fine in the form of additional rents.

ITEM 108 - INSTALLATION OF UNDERGROUND CABLE FOR AIRPORTS

DESCRIPTION

108-1.1 ADD: This item of work shall include the following:

Installation of:

- 1. (2) 1/C, #8, L-824, 5KV, Type C Cables in Common Unit Duct (Runway and Taxiway Homeruns).
- (2) 1/C, #4, 600V, Type USE & (1) #8 Ground Cables in Common Unit Duct (REIL 16).
- (2) 1/C, #4, 600V, Type USE & (1) #8 Ground Cables in Common Unit Duct (VASI 16).
- (2) 1/C, #4, 600V, Type USE & (1) #8 Ground Cables in Common Unit Duct (Wind Cone).
- (2) 1/C, #4, 600V, Type USE & (1) #8 Ground Cables in Common Unit Duct (Wind Tee).
- (2) 1/C, #12, 600V, Type USE & (1) #12 Ground Cables in Common Unit Duct (Beacon).
- (2) 1/C, #4, 600V, Type USE & (2) 1/C, #4, 600V, Type USE & (1) #8 Ground Cables in Common Unit Duct (Apron Lights – Two 240V Circuits).

EQUIPMENT AND MATERIALS

<u>108-2.2</u> <u>CABLE</u>

REPLACE: All references in the Supplemental Specifications to L-824, 1/C, Type C, 600V cable with:

ADD:

RHW-2 / USE-2 WIRE

Cable shall be 600 Volt rated, type RHW-2 & USE-2 sized as indicated on the drawings. Cable shall comply with Underwriters Laboratories Standard U.L. 44 (for Type RHW-2) and U.L. 854 (for Type USE-2) and shall pass the IEEE 383, 70,000 BTU/hr and VW-1 Flame Tests. Cables shall be rated for use at 90°C in both wet and dry locations and be suitable for use in conduit, underground service entrance cable and direct burial applications.

108-2.4 CABLE CONNECTIONS

ADD:

(b) <u>Below-Grade 600V Splice</u>. Where 600V cable splices are made in splice cans or direct burial, they shall be in-line splices, ILSCO USPA-350SS-DB, or equivalent. Splices shall be waterproof and UL listed for direct burial.

ADD:

(e) Above-Grade 600V Splice. For splices of 600V cable above grade in junction boxes or equipment enclosures, the connectors shall be Buchanan B-Cap "Twist & Seal" wire connectors, or equivalent. Connectors shall be rated for 600 Volt maximum. Connectors shall be pre-filled with an epoxy sealant that hardens after twisting/mixing to form a permanent bond. Connectors shall be

water, vibration, and corrosion resistant. Connector shall utilize a live-action, square-wire spring. Connector Shell shall be rated for 105° C. Connector shell shall be flame-retardant nylon.

<u>108-2.6</u> <u>UNIT DUCT</u>

ADD: Unit duct shall be 3/4" minimum.

108-2.6 HEAT SHRINK TUBING

ADD the following to the 3rd paragraph: Where ILSCO USPA-350SS-DB direct bury splices are used, heat shrink tubing shall not be required.

108-2.9 LINE MARKING TAPE

DELETE: This section.

CONSTRUCTION METHODS

108-3.5 BACKFILLING

DELETE: This section from the Supplemental Specifications.

108-3.6 RESTORATION

ADD: Restoration and turfing of disturbed areas beyond the limits shown in the plans shall be incidental to the project.

<u>108-3.8</u> <u>SPLICING</u>

ADD: Splices of 600V cables shall be installed per manufacturer's instructions.

<u>108-3.10</u> <u>TESTING</u>

DELETE: This section from the Supplemental Specifications.

ADD: All testing shall be performed in the presence of the Engineer.

The existing field circuits within the working limits of this contract, which are not scheduled to be added or deleted from, shall be meggared <u>BEFORE</u> any work is performed in the presence of the Engineer. Any subsequent damage to these existing circuits shall be immediately repaired at no cost to the contract such that meggar readings taken after completion of the repair shall be, as a minimum, equal to the reading taken before the work began.

Two types of tests are to be conducted on each existing circuit, which is to be added to or modified **before** any work is performed, as follows:

(a) Disconnect the cables from the constant current regulator and measure the end to end conductor resistance of the airfield lighting cable loop using an ohmmeter and record the measured value. Compare the measured value with the value calculated by multiplying the total cable length (in thousand feet) times the published cable resistance in Ohms per thousand feet. Large discrepancies, 1k Ohms or more, indicate faulty connections, splices, or bad cable. (b) With the airfield lighting cables disconnected, measure the cable insulation resistance, from the conductor to ground, using a 500V minimum megohm meter (megger). Test each cable for a minimum of one minute to allow readings to stabilize before recording the test values. For new cable, insulation resistance should be 50 megohms for cable less than 10,000 feet long, 40 megohms for cable 10,000 to 20,000 feet long and 30 megohms for cable over 20,000 feet long. For cables 20 years old, the values would be approximately 0.5 megohms, 0.4 megohms and 0.3 megohms respectively and values less than these indicate faulty cable insulation, connectors, splices or a damaged cable.

If test measurements indicate a faulty existing cable, notify the Owner so repairs can be made.

New cables or cable segments shall be tested after installation as defined in (a) and (b) above. New cable insulation resistance should measure a minimum of 50, 40, or 30 megohms, depending upon length, as described in (b) above.

New cables for visual NAVAIDS and other devices shall be tested after installation, but before connection to those devices.

New cables installed by the Contractor that do not meet the requirements above shall be replaced by the Contractor at his expense.

108-3.13 TERMINATIONS AND CONNECTIONS

<u>REVISE: In the third paragraph of the Supplemental Specifications, replace "cast splice kit" with "in-line splice kit."</u>

METHOD OF MEASUREMENT

<u>108-4.1</u> DELETE: This Section.

BASIS OF PAYMENT

<u>108-5.1</u> DELETE: Item #2 of Supplemental Specifications.

Payment will be made under:

Iltem AR800250 - 2-1/C #8 5KV UG Cable in UD -- per linear foot. Item AR800082 - 2-1/C #4 600V XLP-USE, 1-#8 GND in UD -- per linear foot. Item AR800278 - 4-1/C #4 600V XLP-USE, 1-#8 GND in UD -- per linear foot.

ITEM 109 - INSTALLATION OF AIRPORT TRANSFORMER VAULT AND VAULT EQUIPMENT

DESCRIPTION

<u>109-1.1</u> DELETE: This section from the Supplemental Specifications.

ADD: This item shall consist of an Airport Regulator Vault and equipment, furnished and installed in conformance with the Plans and Specifications. The following major items of work will be included under this Item:

- A. Installation of Vault building detailed and specified herein.
- B. Installation of Vault building Electrical Service, including, but not limited to, conduits, wiring and appurtenant equipment between existing standby generator automatic transfer switch and Vault building distribution panelboard as detailed and specified herein.
- C. Installation of Vault building electrical distribution system, including, but not limited to, panelboards, conduits, building ground ring and ground rods, wireways and wiring as detailed and specified herein. Note that this work shall also include the installation of 2" GRS Conduit from Vault to 5' minimum beyond edge of pavement as detailed on the Plans.
- D. Installation of Vault building electrical equipment, including, but not limited to, lighting, receptacles, HVAC, conduits and wiring.
- E. Installation of five (5) constant current regulators, including, but not limited to, regulators, NEMA 1 enclosures for isolation transformers, Runway or Taxiway edge lights, series circuit cutouts, grounding disconnects, plywood mounting panels, conduits and wiring as detailed and specified herein.
- F. Installation of L-854 Radio Controller and five (5) Radio Interface Units, including, but not limited to, photocell, time clock, photocell bypass switch, control relays, NEMA 1 and NEMA 4 enclosures, antenna, conduit, wiring and cables as detailed and specified herein.

Exterior field installed cable from airfield edge lights and visual navaids will be paid for separately under applicable unit prices of Item 108, "Installation of Underground Cable for Airports" up to the connection to vault equipment. Items of underground duct work shall be paid for under applicable unit prices of Item 110, "Airport Underground Electrical Duct Banks and Conduits."

EQUIPMENT AND MATERIALS

109.2.1 GENERAL

REVISE: Paragraph (a) of Supplemental Specifications as follows:

Airport lighting equipment and materials covered by Federal Aviation Administration (FAA) specifications shall have the prior approval of the FAA, and shall be listed in Advisory Circular (AC) 150/5345-53, Current Edition, Airport Lighting Equipment Certification Program, including the current Addendum. All other equipment and materials covered by other referenced specifications shall be subject to acceptance through manufacturer's certification of compliance with the applicable specification when requested by the Engineer. The Contractor is responsible for using the latest editions of the referenced FAA Advisory Circulars, including any changes, in effect at the time of

bidding. The advisory circulars may be obtained free of charge on the internet at the following address:

http://www.faa.gov/airports_airtraffic/airports/resources/advisory_circulars/

The Contractor shall ascertain that all lighting system components furnished by him (including FAA approved equipment) are compatible in all respects with each other and the remainder of the new/existing system. Any non-compatible components furnished by the Contractor shall be replaced by him at no additional cost to the airport sponsor with a similar unit, approved by the Engineer (different model or different manufacturer) that is compatible with the remainder of the airport lighting system.

All materials and equipment used to construct this item shall be submitted to the Engineer for approval prior to ordering the equipment. Submittals consisting of marked catalog sheets or shop drawings shall be provided. Submittal data shall be presented in a clear, precise and thorough manner. Original catalog sheets are preferred. Photocopies are acceptable provided they are as good a quality as the original. Clearly and boldly mark each copy to identify pertinent products or models applicable to this project. Indicate all optional equipment and delete non-pertinent data. Submittals for components of electrical equipment and systems shall identify the equipment for which they apply on each submittal sheet. Markings shall be boldly and clearly made with arrows or circles (highlighting is not acceptable). Contractor is solely responsible for delays in project accruing directly or indirectly from late submissions or resubmissions of submittals.

The data submitted shall be sufficient, in the opinion of the Engineer, to determine compliance with the plans and specifications. The Contractor's submittals (five (5) copies) shall be neatly bound in a properly sized 3-ring binder, tabbed by specification section. The Engineer reserves the right to reject any and all equipment, materials or procedures, which, in the Engineer's opinion, does not meet the system design and the standards and codes, specified herein.

All equipment and materials furnished and installed under this section shall be guaranteed against defects in materials and workmanship for a period of at least twelve (12) months from final acceptance by the Owner. The defective materials and/or equipment shall be repaired or replaced, at the Owner's discretion, with no additional cost to the Owner.

<u>109-2.4</u> DELETE: These Sections.

through 109-2.6

109-2.8 DELETE: These Sections.

<u>through</u> 109-2.15

109-2.17 ADD: Square duct shall be NEMA 1, hinged cover or NEMA 3R, hinged cover, as detailed.

<u>109-2.18</u> DELETE: These Sections.

<u>through</u> 109-2.20

109-2.21 FAA-APPROVED EQUIPMENT

ADD: The following FAA approved equipment is to be used on this project:

- a. L-828, Constant Current Regulator, 30 KW, 240V, single phase primary, 6.6 AMP maximum, 5-Step Brightness secondary, as manufactured by Flight Light (formerly Sola/Hevi-Duty), Model # 30-L828-R-5-D-6-IA, or equivalent. One (1) regulator will be required. Regulator shall be Ferroresonant or Saturable Reactor design. All-Solid-State design regulators are not acceptable. Regulator shall be a self-contained unit of the static type with no moving parts requiring attention or service. Internal input fusing shall be provided. Positive open circuit and over-current protection in the event of a fault shall be provided. All control circuitry shall be behind a hinged door for accessibility. Input and output lightning arrestors shall be included. Power factor capacitor shall be provided and provide a power factor of 96% or better, at full load and maximum brightness. All controls, including brightness relays, shall be in the air-filled control cabinet. Regulator shall have provision for both external 120V control and internal 120V control. Regulator shall be equipped with internally mounted remote control operated primary contractor with 120VAC operating coil.
- b. L-828, Constant Current Regulator, 15 KW, 480V, single phase primary, 6.6 AMP maximum, 5-Step Brightness secondary, as manufactured by Flight Light (formerly Sola/Hevi-Duty), Model # 15-L828-A-5-D-6-IA, or equivalent. Four (4) regulators will be required. Regulator shall be Ferroresonant or Saturable Reactor design. All-Solid-State design regulators are not acceptable. Regulator shall be a self-contained unit of the static type with no moving parts requiring attention or service. Internal input fusing shall be provided. Positive open circuit and over-current protection in the event of a fault shall be provided. All control circuitry shall be behind a hinged door for accessibility. Input and output lightning arrestors shall be included. Power factor capacitor shall be provided and provide a power factor of 96% or better, at full load and maximum brightness. All controls, including brightness relays, shall be in the air-filled control cabinet. Regulator shall have provision for both external 120V control and internal 120V control. Regulator shall be equipped with internally mounted remote control operated primary contractor with 120VAC operating coil.
- c. L-854 radio controller and radio interface units, as manufactured by Control Industries, or equivalent.
- d. Airfield edge light and isolation transformer used as indicator light at each regulator shall comply with requirements of Item MO-125 of these specifications.
- e. Series cutout, Siemens SCO, Order #1475.92.030.
- f. Plug cutout used as grounding disconnect, Crouse-Hinds Type S-1, Catalog #30775.

109-2.22 OTHER ELECTRICAL EQUIPMENT

ADD:

- Panel #1, 42-Pole, 400A, 120/240V, 3-Phase, 4-Wire, with 400A, 3P Main Circuit Breaker, in NEMA 1 enclosure, Square D I-Line, or equivalent. Minimum short circuit rating shall be 65kA. Provide branch circuit breakers as indicated on the plans. Provide Transient Voltage Surge Suppressor (TVSS). TVSS shall comply with U.L. 1449 2nd Edition and NEMA LS-1 - Low Voltage Surge Protection Devices. Minimum total Surge Current and Withstand Capability shall be 120 kA per phase, 60 kA per mode.
- b. Vault interior light fixtures shall be surface mount, non-metallic fluorescent light fixture, Lithonia DM-2-32-120-GEB10IS, or equivalent, with two 32W fluorescent tubes.

- c. Vault exterior light fixture shall be 70W HPS, Lithonia TWH-70S-120-PE-LPI, or equivalent, with integral photocell control.
- d. Vault interior receptacles shall be of 20 amp, 125 volt, 3 wire grounding type, NEMA 5-20R, back and side wire compatible, heavy duty industrial specification grade, Leviton 5362A, or equivalent.
- e. Vault exterior receptacles shall be weatherproof, ground fault circuit interrupter duplex receptacles, 20 amp, 3 wire grounding type, NEMA 5-20R, Leviton "Smartlock" 8899, or equivalent. IMPORTANT: All receptacle covers noted as "weatherproof" or installed outdoors shall comply with NEC Article 406.8B1. Units shall remain raintight whether or not a plug and cord is inserted. Covers shall be extra-deep, sunlight resistant, padlockable, polycarbonate construction as manufactured by Taymac MM740C-B, or equivalent.
- f. Vault toggle switch shall be industrial specification grade, 20A, 120/277 VAC rated, back and side wired type single-pole switches, Leviton 1221-2, or equivalent.
- g. Fractional horsepower starters shall be Square D Class 2510, or equivalent. They will be used as disconnects for fan and louver.
- h. 5KW, 240V, single-phase unit heater, Chromalox LUH-05-21, or equivalent, with the following accessories:
 - 40A disconnect switch.
 - Universal wall mount bracket.
 - Remote wall-mount thermostat.
- i. Exhaust fan, Greenheck model SE1-14-432-A4, 120V, 1/4 HP, 1,998 CFM, or equivalent. Provide wall-mount thermostat with Auto-Off-Fan sub-base.
- j. Intake louver, Ruskin model ELF375DX, 30"W x 30" H, min. free area: 2.97 sq. ft., with CD35 motorized damper (power-open/spring-close), or equivalent.
- k. Time clock, Grasslin PC2-DIGI 30, or equivalent, including photocell control.
- I. Control relays as shown in the plans, 10A, 4PDT, P&B #KUP-17A19-120, or equivalent.
- m. Photocell bypass switch as shown in the plans, three-position, non-metallic, Square D Type SK, or equivalent. Provide legend plate labeled "Photocell Bypass" and "Auto" - "Off" - "Bypass."
- n. In-line fuse shall be 10A, Slo-Blow, Littlefuse, or equivalent, with fuseholder.
- o. Plywood mounting panels shall be sized as required to mount equipment. Plywood shall be A-C grade, treated, minimum ³/₄" thick. Plywood shall be painted white with minimum two coats of epoxy paint. Mounting hardware shall be stainless steel or cadmium plated.

<u>109-2,23</u> REVISE TO READ:

a. 5,000 Volts Maximum - Wire shall be #8 AWG or larger 7 or 19 strand, and conform to FAA L-824, Type C, 5KV specifications and ICEA S-66-524. Insulation shall be cross linked polyethylene (XLP) with an overall outer jacket of polyvinyl chloride (PVC). All cable shall utilize standard, bare copper conductor.

b. 600 Volts Maximum – Wiring that originates inside Vault and then leaves the Vault shall be Type USE and shall comply with Underwriters' Laboratories Standard UL-854. Conductor shall be concentric stranded soft annealed copper and shall comply with ASTM Specifications B8. Insulation shall be rated for 600V. Insulation shall be ethylene propylene rubber conforming to Underwriters' Laboratories requirements for Type USE. Wiring for circuits that remain inside Vault of 600 volts or below may be THWN and shall comply with Underwriter's Laboratories Standard UL-83 and shall be U.L. listed as VW-1. Conductor shall be concentric stranded soft annealed copper and shall comply with ASTM Specifications B3 and B8. Insulation shall be rated for 600V.

109-2.24 ARCHITECTURAL AND STRUCTURAL SPECIFICATIONS APPENDED TO ITEM 109.

The following Architectural and Structural Specifications are appended to Item 109 and include material specifications and construction specifications pertaining to the installation of the Vault building:

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109-2.25 SHOP DRAWINGS

In addition to the requirements of Section 60 Paragraph 60-09 of the General Provisions of Division 1 of these specifications, shop drawings shall also be submitted for review for all items specified in Paragraphs 2.2 through 2.24.

CONSTRUCTION METHODS

<u>109-3.1</u> REVISE:

The following Architectural and Structural Specifications are appended to Item 109 and include material specifications and construction specifications pertaining to the installation of the Vault building:

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| DELETE: These | Sections | |

<u>109-3.2</u> DELETE: These Sections. through

109-3.9

- <u>109-3.11</u> DELETE: Second Paragraph.
- <u>109-3.12</u> DELETE: This Section.
- ADD: The series circuit wireway shall be stand-off mounted to permit conduits to be routed to wireway below.
- 109-3.14 DELETE: This Section.
- <u>109-3.16</u> DELETE: (a) and (b).

ADD:

- (a) Nameplates and legend plates shall be engraved three-layer laminated plastic, black letters on white background. Legends (wording) shall be as detailed on drawings or as directed by Owner's representative.
- (b) All wire markers installed on electrical equipment shall be weatherproof and water resistant. Wire identification labeling, whether factory applied or written in the field, shall utilize an adhesive that does not soften or weaken over time. Sleeve or tubing type labels may be utilized as an alternate. Paper adhesive-backed wire markers will be rejected and replaced at the Contractor's expense. Wire marker labels shall be as manufactured by Brady, or equivalent.

METHOD OF MEASUREMENT

109-4.1 THRU 109-4.3

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DELETE: These Sections.

109-4.1 CONSTRUCT ELECTRICAL VAULT

The quantity of Electrical Vault to be paid for shall consist of the Vault structure installed on concrete foundation in compliance with Architectural Specifications Appended to Item 109, in place, and all labor and materials necessary for a complete and accepted installation.

109-4.2 INSTALL ELECTRICAL EQUIPMENT

The quantity of vault electrical to be paid for shall consist of furnishing and installation of all vault electrical and HVAC equipment, including electrical service from existing automatic transfer switch, except for the regulators, and all labor and materials necessary for a complete and accepted installation. Note that this work shall also include the installation of 2" GRS Conduit from Vault to 5' minimum beyond edge of pavement as detailed on the Plans.

<u>109-4.3</u> <u>REGULATORS</u>

The quantity of regulators to be paid for shall consist of furnishing and installation of regulators of each size, and all labor and materials necessary for a complete and accepted installation. Note that this pay item is for the regulators <u>only</u>. Associated equipment (series cutouts, plug cutouts, indicating lights, etc.) and all conduit and wiring are part of Install Electrical Equipment pay item.

<u>109-4.4</u> <u>REMOVE ELECTRICAL EQUIPMENT</u>

The quantity of electrical equipment removal shall consist of disconnection and removal of existing regulators, L-821 Panel, Control Relay and Junction, plug cutouts, grounding disconnects, indicating lights, power and control wiring in basement of Terminal Building as detailed on the plans, including relocation and storage on airport property as required, and all labor and materials necessary for a complete and accepted electrical equipment removal.

BASIS OF PAYMENT

<u>109-5.1</u> Payment will be made under:

Item AR109100 - Construct Electrical Vault – per lump sum Item AR109200 – Install Electrical Equipment – per lump sum. Item AR109331 – 15KW Regulator, Style 1 – per each. Item AR109362 – 30KW Regulator, Style 2 – per each. Item AR109902- Remove Electrical Equipment- per lump sum.

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DIVISION 3 - CONCRETE Section 03100 - Concrete Formwork

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to work of this Section.
- 1.02 WORK INCLUDES
 - A. Concrete formwork, shoring and accessories as shown on the drawings and as herein specified for following:
 - 1. Footings and concrete foundations
 - 2. Structural Slabs and Walls
 - 3. Concrete sidewalks
 - 4. Entrance slabs
 - 5. Miscellaneous items

1.03 RELATED WORK

- A. Specified Elsewhere:
 - 1. Division 1 General Requirements
 - 2. Section 03200 Concrete Reinforcement.
 - 3. Section 03300 Cast-In-Place Concrete.
 - 4. Section 04810 Unit Masonry Assemblies.
 - 5. Section 05500 Miscellaneous Metals.
 - 6. Division 15 Mechanical
 - 7. Division 16 Electrical
- 1.04 REFERENCES
 - A. ACI 301-99 Specifications for Structural Concrete for Buildings.
 - B. ACI 347-01 Recommended Practice for Concrete Formwork.

1.05 SYSTEM DESCRIPTION

A. Design, engineer and construct formwork, shoring and bracing to meet design requirements so that resultant concrete conforms to required shapes, lines and dimensions.

1.06 QUALITY ASSURANCE

A. Construct and erect concrete formwork in accordance with ACI 301-99 and 347-01.

1.07 SUBMITTALS

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- A. Submit shop drawings.
- B. Indicate pertinent dimensions, materials and arrangement of joints and sites.
- C. Submit product data.
- 1.08 DELIVERY, STORAGE AND HANDLING
 - A. Deliver form materials in manufacturer's packaging with installation instructions.
 - B. Store off ground in ventilated and protected area to prevent deterioration from moisture or damage.

PART 2 PRODUCTS

2.01 WOOD FORMS

- A. Wood forms for exposed concrete shall be equivalent to B-B Plyform, Class 1, as designated by American Plywood Association.
- B. Use only specified products of following manufacturers:
 - 1. Weyerhauser concrete form
 - 2. Georgia-Pacific, G-P Exterior soft wood plywood
 - 3. Plywood and Door Corporation's Finn-Form

2.02 FORM OIL

- A. Coat inside of forms with non-staining oil prior to erecting forms.
- B. Use only specified products of following manufacturers:
 - 1. Symons Manufacturing Co., Deerfield, IL Magic Kote
 - 2. Lambert Corporation Formcel
 - 3. Guardian Chemical Coatings, Inc., Houston, Texas Guardian Form Coating
 - 4. Concrete Service Co., Philadelphia, PA Form-Coat
 - 5. Euclid Chemical Co. Ecoslip
- 2.03 FORM TIES
 - A. Form ties shall have 1" minimum break-off depth from face of concrete. Ties shall be removed after forms are removed and holes shall then be filled with mortar that matches adjacent surfaces. Provide stainless steel form ties for exterior surfaces exposed to view.

- B. Use only specified products of following manufacturers:
 - 1. Dayton Sure Grip snap-in form tie
 - 2. Heckmann Snapties
 - 3. Richmond Snap-Tys

2.04 (RESERVED)

2.05 ANCHORS AND DOVETAIL ANCHOR SLOTS

- A. Cast in anchors shall be 3/4" round bolts with 2,800 pound working load capacity in 4,000 lbs. per square inch concrete.
- B. Dovetail slots shall be located 2'-0" o.c. horizontally wherever concrete surfaces adjoin masonry.
- C. Use only specified products of following manufacturers:
 - 1. Gateway Builders Products DAS-STD slots with Safe-T-Load inserts.
 - 2. Heckmann Number 100 standard 24 gauge galvanized.
 - 3. Hohman and Barnard, Inc. Number 305.
 - 4. Wire Products Co. Number F-18.

PART 3 EXECUTION

- 3.01 INSPECTION
 - A. Verify lines, levels and measurements before proceeding with formwork.
- 3.02 PREPARATION
 - A. Earth forms, except for footings, not permitted.
 - B. Minimize form joints. Symmetrically align joints.
 - C. Arrange and assemble formwork to permit stripping so that concrete is not damaged during its removal.
- 3.03 ERECTION
 - A. Provide bracing to ensure stability of formwork. Strengthen formwork liable to be overstressed by construction loads.
 - B. Provide temporary ports in formwork to facilitate cleaning and inspection. Locate openings at bottom of forms to allow flushing water to drain. Close ports with tight fitting panels, flush with inside face of forms, neatly fitted so that joints will not be apparent in exposed concrete surfaces.
 - C. Provide chamfer strips on external corners of beams, joists and columns.
 - D. Construct formwork to maintain tolerances in accordance with ACI 301-99.

3.04 APPLICATION OF FORM RELEASE AGENT

- A. Apply form release agent on formwork in accordance with manufacturer's instructions. Apply prior to placing reinforcing steel, anchoring and devices and embedded items.
- B. Do not apply form release agent where concrete surfaces are scheduled to receive special finishes which may be affected by agent. Soak contact surfaces of untreated forms with clean water. Keep surfaces wet prior to placing concrete.

3.05 INSERTS, EMBEDDED PARTS AND OPENINGS

- A. Provide formed openings where required for work embedded in or passing through concrete.
- B. Coordinate work of other sections in forming and setting openings, slots, recesses, chases, sleeves, bolts, anchors and other inserts.
- C. Install accessories in accordance with manufacturer's instructions, level and plumb. Ensure items are not disturbed during concrete placement.

3.06 FORM REMOVAL

- A. Do not remove forms and shoring until concrete has sufficient strength to support its own weight and construction and design loads which may be imposed upon it. Remove load supporting forms when concrete has attained 75% of required 28 day compressive strength.
- B. Do not damage concrete surfaces during form removal.

3.07 CLEANING

- A. Clean forms to remove foreign matter as erection proceeds.
- B. Ensure that water and debris drain to exterior through clean-out ports.
- C. During cold weather, remove ice and snow from forms. Do not use deicing salts. Do not use water to clean out completed forms, unless formwork and construction proceed within heated enclosure. Use compressed air to remove foreign matter.

END OF SECTION 03100

DIVISION 3 - CONCRETE Section 03200 - Concrete Reinforcement

PART 1 GENERAL

1.01 SECTION INCLUDES

A. Reinforcing steel bars, welded wire fabric and accessories for cast-in-place concrete.

1.02 RELATED SECTIONS

- A. Section 03100 Concrete Formwork.
- B. Section 03300 Cast-in-Place Concrete.
- C. Section 04810 Unit Masonry Assemblies.

1.03 REFERENCE TO STANDARDS

- A. ACI 301 Structural Concrete for Buildings, latest edition.
- B. ACI 318 Building Code Requirements for Reinforced Concrete, latest edition.
- C. ACI 315 Details and Detailing of Concrete Reinforcement, latest edition.
- D. ANSI/ASTM A82 Cold Drawn Steel Wire for Concrete Reinforcement.
- E. ANSI/ASTM A185 Welded Steel Wire Fabric for Concrete Reinforcement.
- F. ASTM A615 Deformed and Plain Billet Steel Bars for Concrete Reinforcement.
- G. ASTM A775 Epoxy-Coated Reinforcing Steel Bars.
- H. ASTM A884 Epoxy Coated Steel Wire and Welded Wire Fabric for Reinforcement.
- I. AWS D12.1 Welding Reinforcement Steel, Metal Inserts and Connections in Reinforced Concrete Construction.
- J. CRSI Concrete Reinforcing Steel Institute Manual of Practice.
- K. ACI SP-66 Detailing Manual.
- L. CRSI MSP-1-86 Manual of Standard Practice.

1.04 SUBMITTALS

A. Shop Drawings: Indicate bar sizes, lengths, splices, spacings, locations, and quantities of reinforcing steel and wire fabric, bending and cutting schedules, supporting and spacing devices and type of steel.

B. Manufacturer's Certificate: Certify that products meet or exceed specified requirements.

1.05 QUALITY ASSURANCE

- A. Perform Work in accordance with CRSI 63, 65 and Manual of Practice, ACI 301, ACI 315, ACI 318 and ANSI/ASTM A185.
- B. Maintain one copy of each document on site.
- C. Submit certified copies of mill test report of reinforcement materials analysis, indicating physical and chemical analysis.

1.06 QUALIFICATIONS

A. Welder's Certificates: Submit under provisions Division 1 - General Requirements, Manufacturer's Certificates, certifying welders employed on the Work, verifying AWS qualification within the previous 12 months.

1.07 COORDINATION

- A. Coordinate work under provisions of Division 1 General Requirements.
- B. Coordinate with placement of formwork, formed openings and other Work.

PART 2 PRODUCTS

2.01 REINFORCEMENT

- A. Reinforcing Steel: ASTM A615, 60 ksi yield grade; deformed billet steel bars, unfinished.
- B. Epoxy Coated Reinforcing Steel: ASTM A775.
- C. Welded Steel Wire Fabric: ASTM A185 Plain Type; unfinished.
- D. Epoxy Coated Welded Wire Fabric: ASTM A884.

2.02 ACCESSORY MATERIALS

- A. Tie Wire: Minimum 16 gage annealed type.
- B. Chairs, Bolsters, Bar Supports, Spacers: Sized and shaped for strength and support of reinforcement during concrete placement conditions, including load bearing pad on bottom to prevent vapor barrier puncture.
- C. Special Chairs, Bolsters, Bar Supports, Spacers Adjacent to Weather Exposed Concrete Surfaces: Plastic coated steel type; size and shape as required.

2.03 FABRICATION

- A. Fabricate concrete reinforcing in accordance with CRSI Manual of Practice, ACI 315, ACI 318 and ANSI/ASTM A185.
- B. Locate reinforcing splices not indicated on drawings, at point of minimum stress.

PART 3 EXECUTION

3.01 PLACEMENT

- A. Place, support and secure reinforcement against displacement. Do not deviate from required position. Reinforcement shall be tied at a minimum of 50 percent of the bar intersections. Tack welding of reinforcing for maintaining position and welding of splices shall not be permitted.
- B. Do not displace or damage vapor barrier.
- C. Accommodate placement of formed openings.
- D. Conform to ACI 318 for concrete cover over reinforcement, unless noted otherwise on drawings.
- E. Wall reinforcement shall not be placed in the work until one side of the wall forms has been erected, aligned and braced. As the wall reinforcement is placed, it shall be secured to the wall form with the proper clearance between the steel and forms.
- F. Slab reinforcement shall be supported by manufactured steel bolsters only. Concrete brick may be permitted only in slab on grade or footing construction.
- G. Where walls or other items are shown as built integrally with other sections, but are placed as separate pours, keys and dowels shall be provided. Dowels shall be same size and at same spacing as reinforcing.
- H. Provide 6 x 6 W 6.0 x W 6.0 electrically welded wire reinforcement, ASTM A185 reinforcing in all concrete slabs on ground unless shown otherwise.
- I. Provide corner bars of same size and spacing as main reinforcement at all intersections and corners, unless noted otherwise.
- J. Where openings occur in walls or slabs, and unless otherwise noted on the plans, provide two (2) #5 bars at all sides and extending at least 2 feet beyond corners and two (2) #5 bars at least 3 feet long diagonally across each re-entrant corner.
- K. The Contractor shall give at least 24 hour notice to the Engineer for inspection of the reinforcing prior to the placement of the concrete.
- L. The reinforcing for the concrete placement shall be completed before ordering concrete.
- M. Dowels shall be placed during forming and prior to concrete placement or drilled

and grouted into hardened concrete. Dowels shall not be "wet set" into freshlyplaced concrete without the permission of the Engineer.

3.02 FIELD QUALITY CONTROL

A. Field inspection will be performed under provisions of Division 1 - General Requirements.

END OF SECTION 03200

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DIVISION 3 - CONCRETE Section 03300 - Cast-In-Place Concrete

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Cast-in-place concrete floors, foundation walls, supported slabs, beams and columns.
- B. Floors and slabs on grade.
- C. Control, expansion and contraction joint devices associated with concrete work, including joint sealants.
- D. Equipment pads and manhole slabs.

1.02 RELATED SECTIONS

- A. Section 03100 Concrete Formwork.
- B. Section 03200 Concrete Reinforcement.
- C. Section 03370 Concrete Curing Materials.
- D. Section 05500 Miscellaneous Metals.
- E. Section 07920 Joint Sealants.

1.03 REFERENCE TO STANDARDS

- A. ACI 212 Guide for Use of Admixtures in Concrete.
- B. ACI 301 Structural Concrete for Buildings.
- C. ACI 302 Guide for Concrete Floor and Slab Construction.
- D. ACI 304 Recommended Practice for Measuring, Mixing, Transporting and Placing Concrete.
- E. ACI 305R Hot Weather Concreting.
- F. ACI 306R Cold Weather Concreting.
- G. ACI 308 Standard Practice for Curing Concrete.
- H. ACI 318 Building Code Requirements for Reinforced Concrete, latest edition.
- I. ANSI/ASTM D994 Preformed Expansion Joint Filler for Concrete (Bituminous Type).
- J. ANSI/ASTM D1190 Concrete Joint Sealer, Hot-Poured Elastic Type.
- K. ANSI/ASTM D1751 Preformed Expansion Joint Fillers for Concrete Paving and Structural Construction (Non-extruding and Resilient Bituminous Types).

- L. ANSI/ASTM D1752 Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction.
- M. ASTM B221 Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Shapes, and Tubes.
- N. ASTM C33 Concrete Aggregates.
- O. ASTM C40 Test for Organic Impurities in Sands for Concrete.
- P. ASTM C42 Method of Obtaining and Testing Drilled Cores and Sawed Beams of Concrete.
- Q. ASTM C88 Test for Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate.
- R. ASTM C94 Ready-Mixed Concrete.
- S. ASTM C117 Test for Materials Finer Than No. 200 Sieve in Mineral Aggregates by Washing.
- T. ASTM C123 Test for Lightweight Pieces in Aggregate.
- U. ASTM C127 Test for Specific Gravity and Absorption of Coarse Aggregate.
- V. ASTM C136 Test for Sieve or Screen Analysis of Fine and Coarse Aggregates.
- W. ASTM C138 Test for Unit Weight, Yield, and Air Content (Gravimetric) of Concrete.
- X. ASTM C142 Test for Clay Lumps and Friable Particles in Aggregates.
- Y. ASTM C143 Test for Slump of Portland Cement Concrete.
- Z. ASTM C150 Portland Cement.
- AA. ASTM C172 Method of Sampling Fresh Concrete.
- BB. ASTM C231 Test for Air Content of Freshly Mixed Concrete by the Pressure Method.
- CC. ASTM C260 Air Entraining Admixtures for Concrete.
- DD. ASTM C494 Chemicals Admixtures for Concrete.
- EE. USDA Bull. 949 Dorry Hardness Test.

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1.04 SUBMITTALS

- A. Product Data: Provide data on joint devices, attachment accessories, admixtures, form release agents and bonding agent.
- B. Material Certifications: For each item listed, provide information indicated.
 - 1. Coarse and Fine Aggregate
 - a. Producer Name.
 - b. Quarry Location.
 - c. Contact Person and Phone Number.
 - d. IDOT Certification Sheets with Material Quality Results.
 - e. Specific Gravity.
 - f. Moisture Content.
 - 2. Cement
 - a. Mill Test Report.
 - b. Producer Name and Location.
 - 3. Water
 - a. Specify Potable Water Source.
- C. Concrete Mix Properties: Provide the following information:
 - 1. Mix Design
 - a. Cement (Lbs./C.Y.)
 - b. Fine Aggregate (Lbs./C.Y.)
 - c. Coarse Aggregate (Lbs./C.Y.)
 - d. Water (Lbs./C.Y.)
 - e. Admixtures.
 - 2. Slump Specified.
 - 3. Air Content Specified.
- D. Mix Plant Certification: Provide IDOT certification of plant inspection (within past 12 months) and provide contact person name, address, and phone number at plant.
- E. Manufacturer's Installation Instructions: Indicate installation procedures and interface required with adjacent work.
- F. Submittal Timing: Within 30 days of award, the Contractor shall indicate his intentions regarding mix design. Should Method 1 be used, the submittal should be submitted with back-up documentation as indicated under the provision of Method 1. Should Method 2 be utilized, the proposed mix trial proportions and w/c ratios made should be submitted. This would then be followed with 7 and 28 day breaks. Concrete placement shall not be permitted prior to approval of mix designs.

1.05 PROJECT RECORD DOCUMENTS

- A. Accurately record actual locations of embedded utilities and components, which are concealed from view.
- 1.06 QUALITY ASSURANCE
 - A. Perform work in accordance with ACI 301 and ACI 318.
 - B. Maintain one copy of each document on site.
 - C. Acquire cement and aggregate from same source for all work.
 - D. Conform to ACI 305R when concreting during hot weather.
 - E. Conform to ACI 306R when concreting during cold weather.

1.07 FIELD TESTS

- A. Provide under provisions of Division 1 General Requirements.
- B. Cylindrical Compression Tests
 - 1. Contractor shall make arrangements with the Owner/Engineer's independent laboratory for making compression strength tests. He shall also make arrangements with Owner/Engineer's independent laboratory to have cylinders picked up and transported to location of curing and testing.
 - 2. Specimens for making compressive strength tests shall be made using standard 6" x 12" cylindrical molds. The Contractor shall arrange with an independent laboratory to take samples and fill molds. Specimens shall be made, cured and tested in accordance with ASTM C-31 and ASTM C-39.
 - 3. Test cylinders shall accurately represent concrete placed in forms. For each 50 cubic yards or fraction thereof or for each 4,000 square feet of surface area poured per day in each separate structure of each class of concrete. one set of four standard cylinders shall be cast. Casting, handling and curing of all cylinders shall be in accordance with ASTM C21. Additional cylinders may be required if an error in batching is suspected. For first 24 hours after molding, cylinders shall be kept moist in storage box constructed and located so that its interior air temperature will be between 60 and 80 degrees F. At end of 24 hours, cylinders shall be transported to laboratory. Exception: Where the Contractor intends to remove load supporting forms (when conditions permit), a sufficient number of additional test cylinders shall be made and shall be field cured at the site of the structure. Field curing shall continue up to within four hours prior to laboratory testing. The Contractor shall be responsible for the safe field storage of the concrete cylinders during the field curing process.

- 4. Contractor shall inform Engineer 24 hours in advance of any concrete pours, indicating location and size of pour by submitting completed pre-pour checklist.
- 5. Testing of specimens for compressive strength shall be in accordance with ASTM C39. Tests shall be made at 7 and 28 days from time of molding. One test cylinder from each group of four shall be tested at end of 7 days and two will be tested at end of 28 days, all in accordance with ASTM C39. Fourth cylinder shall be held for testing as a check cylinder.
- 6. Laboratory test reports shall include following information:
 - 1. Mix design designation
 - 2. Number of specimens
 - 3. Date specimen taken
 - 4. Date specimen tested
 - 5. Portion of structure represented by specimen
 - 6. Design strength of concrete
 - 7. Slump of concrete
 - 8. Temperature of concrete
 - 9. Percent entrained air
 - 10. Test strength of specimen
- C. Slump Test
 - 1. Contractor shall make arrangements with the Owner/Engineer's independent laboratory for taking slump tests. Concrete shall be tested for consistency on site in accordance with ASTM C-143. Contractor shall arrange for slump tests to be made from first load of concrete of each placement and as often thereafter as Engineer determines necessary.
 - 2. If a concrete pump is used to deposit concrete, the slump tests shall be made as concrete is delivered from the mixer to the concrete pump. See Section 3.05(F) of this specification for additional requirements.
- D. Air Entrainment
 - 1. Contractor shall make arrangements with the Owner/Engineer's independent laboratory for taking air tests. Air content of air entrained concrete shall be determined in conformance with ASTM C-231. Contractor shall arrange for sufficient tests to be made to insure uniform air content in placement.
 - 2. If a concrete pump is used to deposit concrete, the air tests shall be made as concrete is delivered from the mixer to the concrete pump <u>and</u> as the concrete is discharged from the concrete pump into the place of deposit. Once a relationship is established between air contents before and after the concrete pump, air tests may be made as concrete is delivered from the mixer only.

1.08 COORDINATION

- A. Coordinate work under provisions of Division 1 General Requirements.
- B. Coordinate the placement of joint devices with erection of concrete formwork and placement of form accessories.

PART 2 PRODUCTS

2.01 CONCRETE MATERIALS

- A. Cement: ASTM C150, Portland Type I Normal. Only one brand of cement shall be used for exposed concrete throughout any one structure or composite element. Cement used for rubbing and/or patching shall be of same type and brand as that used in element of work.
- B. Concrete Aggregate
 - 1. Concrete aggregate shall conform to ASTM C33. Maximum size of coarse aggregate shall be in accordance with guidelines listed below:

| Maximum Aggregate <u>Size, Inches</u> | Type of Structure |
|------------------------------------------|--------------------------------------------------------------------------------------------|
| 1/2" | Concrete Toppings |
| 3/4" | Mud Slabs Stairs and Steps Columns Beams Elevated Slabs Flowable Fill |
| 1" | Grade Beams Equipment Pads All Other Structures |
| 1-1/2" | Caissons, Piles and Piers Footings Slabs on Grade, Interior & Exterior Tank Walls |

2. Aggregate gradations shall meet the requirements of Section 1020 "Portland Cement Concrete" in IDOT Standard Specifications for Road and Bridge Construction and shall conform to the following:

1-1/2" Coarse Aggregates

| <u>Sieve Size</u> | % Passing | |
|-------------------|-----------|--|
| 1-3/4" | 100 | |
| 1-1/2" | 95 - 100 | |
| 3/4" | 35 - 70 | |
| 3/8" | 10 - 30 | |
| No. 4 | 0 - 5 | |

<u>1" Coarse Aggregates</u>

| Sieve Size | <u>% Passing</u> | |
|------------|------------------|--|
| 1-1/2" | 100 | |
| 1" | 95 - 100 | |
| 1/2" | 25 - 60 | |
| No. 4 | 0 - 8 | |

3/4" Coarse Aggregates

| <u>Sieve Size</u> | % Passing |
|-------------------|-----------|
| 1" | 100 |
| 3/4" | 90 - 100 |
| 3/8" | 15 - 45 |
| No. 4 | 0 - 8 |

Fine Aggregate

| <u>Sieve Size</u> | <u>% Passing</u> |
|-------------------|------------------|
| 3/8" No. 4 | 100 95 - 100 |
| No. 16 | 40 - 75 |
| No. 50 | 5 - 30 |
| No. 100 | 0 - 10 |

Results of durability tests shall be required for coarse and fine aggregates used for concrete. In lieu of durability test results, a certificate from the aggregate supplier(s) indicating that the aggregate furnished is from a supply approved for use in concrete by the Illinois Department of Transportation will be acceptable.

C. Fly Ash

- 1. Fly ash used in concrete shall meet ASTM C618, Type F with 5% maximum loss on ignition.
- 2. Supplier shall furnish certifications/fly ash analysis.

- D. Water
 - 1. Water used in mixing concrete and mortar and water used for curing concrete shall be clean, clear and free from deleterious amounts of sugar, acids, alkalies, salts or organic matter. Water approved by public health agencies for drinking may be accepted without being tested. All other sources shall be approved by Engineer.

2.02 ADMIXTURES

- A. When required or permitted, admixtures shall conform to requirements specified below. Use of one or more admixtures in concrete shall be approved by Engineer prior to its use at job site.
- B. Field Service: When requested, the Contractor shall arrange to have a qualified concrete technician employed by manufacturer be available to assist in proportioning concrete materials for optimum use, to advise on proper use of admixture and adjustment of concrete mix proportions to meet job site and climatic conditions.
 - 1. Air Entraining Admixture: Shall conform to ASTM C260 and shall be Darex AEA by W. R. Grace; MB-VR by Master Builders, Sealtight AEA by W. R. Meadows, or equal.
 - 2. Water Reducing Admixture: Shall conform to ASTM C494, Type A and shall be WRDA by W. R. Grace, Pozzolith by Master Builders, Plastiment by Sika Corporation or equal.
 - 3. Water-Reducing and Retarding Admixture Shall conform to ASTM C 494, Type D and shall be Daratard-17 by W. R. Grace & Co., or equal.
 - 4. Non-Chloride Accelerating Admixture Shall conform to ASTM C 494, Type C and shall be Daraset or DCI Corrosion Inhibitor by W. R. Grace & Co., or equal.
 - Superplasticizers: Shall conform to ASTM C494 Type F. Material shall contain no calcium chloride and shall be WRDA-19 or Daracem-100 by W. R. Grace & Co., or equal, furnished in liquid form ready for use.
- C. Calcium chloride shall not be permitted under any circumstances. Non-corrosive accelerator may be used in concrete, other than when it is required, when approved by Engineer.
- D. Admixtures containing chloride shall not be used where aluminum conduit, couplings or accessories are embedded in concrete without adequate corrosion protection for embedded items.

2.03 ACCESSORIES

- A. Bonding Agent: Bonding agent shall be Larsens "Weld-Crete" or equal. Installation shall be according to manufacturer's recommendations.
- B. Vapor Barrier: 6 mil thick clear polyethylene film type recommended for below grade application.
- C. Non-shrink Grout: All column base plates, equipment bases and other locations noted in drawings shall be grouted. Grout shall be "N-S Grout" by the Euclid Company, "Masterflow 928" by Masterbuilders, "Sika Grout 212" by Sika, or equal. Equipment bases (25 HP or greater) shall be grouted with "Euco Hi-Strength Grout" by Euclid Company, "Ceilcote 648 CP", or equal.

2.04 JOINT DEVICES AND FILLER MATERIALS

- A. Fiber Expansion Joint Filler: ASTM D1751, Federal Spec. HH-F-341F, Type I asphalt saturated organic fiber, 1/2 inch thick (unless otherwise shown).
- B. Sealant and Primer: As specified in Section 07920.

2.05 CONCRETE MIX

- A. Strength:
 - 1. Concrete for all parts of work shall be homogeneous and, when hardened, shall have required strength, resistance to deterioration, durability, resistance to abrasion watertightness, appearance and other specified properties.

| <u>Mix Design</u> | <u> </u> |
|----------------------|-----------------|
| Cement | Туре І |
| Fly Ash | Optional |
| 7 Day Strength, psi | 2,500 |
| 28 Day Strength, psi | 4,000 |
| W/C or W/C&P (Max.) | 0.45 |
| Air Content % | 4-1/2 to 7-1/2% |

Note: Cement/fly ash may require a retarding admixture during hot weather (> 80° F) and reduced ash content during cold weather (< 40° F). The Contractor will be required to submit proposed mix designs utilizing Method 2, paragraph 2.05F.1.b.

2. Revised mix designs shall be submitted to the Engineer when weather conditions require use of additional admixtures or changes in mix design. Revised mix designs shall be submitted to the Engineer if the source for any component of the concrete changes for any reasons whatsoever. This shall include changes in cement, aggregate or admixture supplier. Triat mixes shall be developed in accordance with Method 2. New mix designs shall be assigned alpha numeric designations beginning with "D".

3. Design of the fly ash mix shall be based on the technique where the fly ash replaces a part of the cement with a greater weight of fly ash and then compensates for the large amount of fines by a subsequent reduction in fine aggregate content.

- 4. Strength level of an individual class of concrete shall be considered satisfactory if both of following requirements are met:
 - a. Average of all sets of three consecutive strength tests equal or exceed $f_c = 4,000$ psi.
 - b. No individual strength test falls below f_c by more than 500 psi.
- 5. If either of above requirements are not met, steps shall be taken to increase average of subsequent strength test results. Engineer shall have right to order a change in proportions of mix for remaining work. Engineer shall also have right to require conditions of temperature and moisture necessary to secure required strength. If requirement (b) is not met, following steps shall be taken.
 - a. If any strength test of laboratory-cured cylinders falls below specified values of f_c by more than 500 psi or if tests of field-cured cylinders indicate deficiencies in protection or curing, steps shall be taken to assure that load-carrying capacity of structure is not jeopardized.
 - b. If likelihood of low-strength concrete is confirmed and computations indicate that load-carrying capacity may have been significantly reduced, tests of cores drilled from area in question may be required in accordance with "Method of Obtaining and Testing Drilled Cores and Sawed Beams of Concrete" (ASTM C42). In such cases, three cores shall be taken for each strength test more than 500 psi below specified value of f_c. If concrete in structure will be dry under service condition, cores shall be air dried (temperature 60° to 80° F., relative humidity less than 60%) for 7 days before test and shall be tested dry. If concrete in structure will be more than superficially wet under service conditions, cores shall be immersed in water for at least 40 hours and be tested wet.
 - c. Concrete in an area represented by core tests shall be considered structurally adequate if average of three cores is equal to at least 85% of f_c and if no single core is less than 75% of f_c . To check testing accuracy, locations represented by erratic core strengths may be retested.
 - d. If criteria of paragraph (c) are not met, and if structural adequacy remains in doubt, the Engineer may order load tests as outlined in Chapter 20 of ACI 318-05 for questionable portion of structure or require other appropriate action.
 - e. All costs associated with performing analytical investigations, core testing and load testing shall be paid for by Contractor.

- B. Slump:
 - 1. Slump of concrete of normal weight as determined by "Method of Test for Slump of Portland Concrete" (ASTM C-143) shall be as follows:

| Kinds of Construction | <u>Maximum Slump</u> |
|---------------------------------------------------------------------|----------------------|
| Footings, caissons and sub- structure walls | 3" |
| Slabs, Beams, Columns, Reinforced Walls and Concrete Toppings | 4" |

All concrete containing a water reducer shall have a maximum slump as recommended by the manufacturer of the water reducer and approved by the Engineer, but shall not exceed 8 inches.

- C. Durability:
 - 1. Concrete which will be subject to exposure conditions such as freezing and thawing, severe weathering or chemicals, shall contain total entrained air between 4-1/2% and 7-1/2% of concrete by volume.
 - 2. Interior floor slabs and other elements located indoors and not subjected to any of above mentioned exposure conditions need not contain entrained air for purposes of durability but may be included from standpoint of increased workability at Contractor's option.
- D. Proportioning of Ingredients:
 - Proportion of ingredients shall be selected to produce proper placability, durability, strength and other serviceability requirements. Proportion of ingredients shall be such as to produce a mixture which will work readily into corners and angles of forms and around reinforcement by methods of placing and consolidation employed on work, but without permitting materials to segregate or excessive free water to collect on surface.
- E. Mix Design Use Locations:
 - All cast-in-place concrete on this project shall be placed utilizing Mix A specified in Paragraph 2.05(A)(1) above.
- F. Procedures for Mix Design Acceptance:
 - 1. Determination of proportions of cement, aggregate, admixtures and water to attain required strengths shall be made by one of following methods:
 - a. Method 1 Field Test Data:

- 1. This procedure shall be acceptable when production facility has a control record, based on at least 30 consecutive strength tests of the identical mix or mixes obtained within the past year representing materials and conditions to those expected. Compressive strength tests shall equal to or exceed specified strength. Air and slump shall meet the requirements specified herein. Contractor shall submit to Engineer mix design and test results for approval.
- 2. In all respects, the components of the proposed concrete mix shall be identical to the components represented by the field test data. This includes cement type and cement manufacturer, material gradation and material sources admixture suppliers and concrete plant.
- 3. Under no circumstances shall applicable requirements for cement, water, admixtures and aggregate durability as stated in this specification be waived in approval of mix design. Contractor shall submit in writing certification of the performance of proposed mix design and guarantee materials proposed in mix design will be used throughout duration of the project.
- 4. Use Flow Chart Fig. 5.3 of ACI 318-05 for approval procedure.
- b. Method 2 Trial Mixes Applicable Standards:
 - 1. ACI 211-1 (ACI 613) Recommended Practice for Selecting Proportions for Concrete.
 - 2. ASTM C-192 Method for Making and Curing Concrete Compression and Flexure Test Specimens in the Laboratory.
 - 3. ASTM C-39 Method of Test for Compressive Strength of Cylindrical Concrete Cylinders.
 - 4. Trial mixtures having proportions and consistencies suitable for work shall be made in accordance with ACI 211-1 (ACI 613), USING AT LEAST THREE DIFFERENT WATER-CEMENT RATIOS which will produce a range of strengths encompassing those required for work. Trial mixes shall be designed to produce slump within 1" of maximum permitted and for air-entrained concrete, maximum allowable air content. Temperature of concrete used in trial batches shall be reported.
 - 5. For each water-cement ratio, at least 3 compression test cylinders for each test age shall be made and cured in accordance with ASTM C-192. They shall be tested for strength at 28 days or at earlier or later age specified

according to ASTM C-39. The water cement ratio to be used in concrete shall be selected to produce minimum specified compressive strength. No substitutions shall be made in materials used on work without additional tests in accordance herewith to show that quality of concrete is satisfactory.

PART 3 EXECUTION

3.01 EXAMINATION

- A. Verify site conditions under provisions of Division 1 General Requirements.
- B. Verify requirements for concrete cover over reinforcement.
- C. Verify that anchors, seats, plates, reinforcement and other items to be cast into concrete are accurately placed, positioned securely, and will not cause hardship in placing concrete.

3.02 PREPARATION

- A. Prepare previously placed concrete by cleaning with steel brush and applying bonding agent in accordance with manufacturer's instructions.
- B. In locations where new concrete is dowelled to existing work, drill holes in existing concrete, insert steel dowels and pack solid with non-shrink grout.

3.03 TESTING

A. Plant Tests

Sufficient testing shall be done by the supplier to assure the quality and consistency of the mix produced. The field tests are not to be used as a gauge of this quality.

B. Field Tests

See Section 1.07 of this specification section.

3.04 BATCHING, MIXING AND TRANSPORTING CONCRETE

- A. Concrete shall be transit-mixed and batched at stationary batch plant which has been certified by the Illinois Department of Transportation. Name of concrete supplier shall be submitted to Engineer for approval prior to beginning of concrete work. Engineer reserves right to reject supplier at any time and to require Contractor to obtain different supplier.
- B. Concrete batch shall be transferred from plant hoppers to revolving-drum type truck mixers and it shall be completely mixed while in transit to job site. Truck mixers shall be modern and dependable and be maintained in good working condition. Engineer reserves right to disallow any truck mixers that have excessively worn mixing blades or fail to conform to any other requirements of this specification, or hinder operations of placing and finishing at job site.

- C. Delivery and discharge of concrete shall be made within 1-1/2 hours or before drum has revolved 300 revolutions after addition of mixing water to cement and aggregates. Delivery of mixed concrete shall be regulated so that there will not be an interruption of more than 20 minutes duration in placement of concrete in forms. Engineer may waive these requirements if slump and temperature requirements are met without adding water.
- D. Each load of transit-mixed concrete shall have delivery ticket showing following information:
 - 1. Mix design designation
 - 2. Quantity of concrete
 - 3. Concrete design strength
 - 4. Quantity of cement
 - 5. Quantity of water
 - 6. Time of charging of mixer (mechanically stamped ticket only)
 - 7. Total amount of admixtures
 - 8. Quantity of water added to concrete at job site shall be noted on ticket. No water is to be added at job site unless approved by Engineer.
- E. One copy of delivery ticket shall be furnished to Engineer at time truck arrives at job site.

3.05 PUMPING CONCRETE

- A. Pumping of concrete shall be in accordance with ACI 304.2 except as modified herein.
- B. Contractor, at his option, may elect to use concrete pump for final placement of concrete. It is responsibility of Contractor to furnish and maintain in good working condition, modern and dependable equipment for pumping concrete. All equipment shall be appropriate for work in accordance with these specifications and subject to approval of Engineer.
- C. Selection of pipe diameter for pumping shall be such that smallest inside diameter is no less than 4 inches or 3 times nominal maximum size coarse aggregate, whichever is greater.
- D. Pumping lines shall be lubricated with minimum of 1 cubic yard of grout prior to pumping regular mix through lines.
- E. Contractor shall show sufficient evidence prior to use of pump that mix is pumpable. This shall be accomplished by submitting a certification from supplier that mix has performed satisfactorily on previous jobs of similar nature or by performing full scale field test for pumpability with line height and other variables being identical (or nearly so) to that of actual placing conditions.
- F. No increase in water content shall be allowed for concrete, which is to be pumped. An admixture (water reducer or superplasticizer) shall be used if improvement of

pumpability of concrete is necessary. Mix design requirements of this specification shall apply for concrete containing admixtures not previously included in mix.

3.06 CONVEYING AND PLACING CONCRETE

- A. Concrete shall be conveyed and placed in conformance with ACI 318, ACI 309, and ACI 304. Method and manner of placing concrete shall be such as to avoid segregation or separation of aggregates or displacement of reinforcing steel. Contractor shall instruct laborers on proper vibration techniques required for each situation.
- B. Chutes shall extend as nearly as practicable to point of deposit. Concrete shall not be dropped more than 6 feet. For walls or column placements in excess of 6 feet vertical height, tremie shall be used in placing concrete. If reinforcing steel or formwork is such that tremie cannot be used, method of placement shall be approved by Engineer.
- C. Placement of concrete shall be regulated so that pressures caused by wet concrete will not exceed those used in design of forms. Concrete placed in vertical forms shall be placed in lifts of not more than two (2) feet which shall be kept practically level.
- D. On horizontal construction joints at mid-height of a wall, a mixture of grout shall be applied to joint immediately prior to placing concrete. This shall be a manually mixed and poured (via tremie) just ahead of concrete placement.

3.07 CONSOLIDATION

- A. Consolidation of concrete in formwork and in slabs shall be accomplished by use of hand-held internal type vibrators and shall be adequately powered to operate at minimum frequency of 4500 cycles per minute. They shall be applied internally to fresh concrete in manner which will result in homogeneous mass without segregation.
- B. Hand spading, tapping forms and other external vibration techniques shall be used only when permitted by Engineer and will not be allowed as sole means of consolidation of concrete.
- C. At beginning of concrete placement, a spare vibrator shall be on job site in addition to vibrators to be used during placement.
- D. When concrete is placed in vertical forms, vibrator shall slowly penetrate newly placed concrete well into concrete layer below. Vibrator shall penetrate concrete at frequent regular spacings amply close together to insure complete consolidation of concrete.
- E. Use of vibrator to aid in lateral movement of concrete in slabs or wall forms shall not be permitted under any circumstances.
- F. If electrical power for equipment used in the concrete placement is provided by a portable electric generator, an additional back-up portable electric generator or an

alternate reliable electrical source shall be available prior to and during the concrete placement.

3.08 CURING AND PROTECTION

- A. Freshly deposited concrete shall be protected from premature drying and excessively hot or cold temperatures and shall be maintained with minimal moisture loss at relatively constant temperature for period of time necessary for hydration of cement and proper hardening of concrete.
- B. Initial curing shall immediately follow finishing operation and shall continue for a minimum of 24 hours after placement. Concrete shall be kept continuously moist by one of following methods listed below.
 - 1. Polyethylene Sheeting: Unformed surfaces shall be covered with polyethylene sheeting as soon as concrete has hardened sufficiently to prevent marring surface. Surface of concrete shall be wetted immediately before sheeting is placed. Use of a layer of wetted burlap beneath sheeting may be required at option of Engineer. Edges of sheeting shall have sufficient lap and shall be fastened securely by any means satisfactory to Engineer to provide an airtight cover. Tears or holes in sheeting will not be permitted.
 - 2. Membrane Curing:
 - a. After concrete has been finished and immediately after water sheen is no longer visible on surface of concrete, surface shall be cured with membrane curing compound. Curing compounds may be used to cover all exposed surfaces. Membrane curing will not be permitted at construction joints. Application shall be such to completely cover all exposed surfaces and rate of coverage shall be in accordance with manufacturers recommendations.
 - b. Application of curing compound shall be by power sprayer. Garden sprayers will not be allowed. Curing compound shall be applied immediately after form removal. Notify Engineer when curing compound is to be applied so that application rate can be verified.
 - c. Curing compounds specified in Section 03370 "Concrete Curing" shall be used unless other special floor treatments are required.
 - 3. Continuous Wetting:
 - a. After concrete has been finished and is hardened sufficiently to prevent any type of surface damage, curing shall be accomplished by continuous steam not exceeding 150°F, a continuous vapor mist bath or by use of burlap fabric kept continuously saturated.

Mechanical equipment used in this method shall be modern and maintained in good working condition throughout specified curing period. All equipment and procedures shall meet approval of Engineer prior to its use.

- b. Immediately following initial curing and before concrete has dried, additional curing shall be accomplished by one of the following methods:
 - 1. Continuing method used in initial curing.
 - 2. Waterproof Paper: While concrete surface is still wet, surface shall be covered with waterproof paper meeting approval of Engineer. Paper shall be lapped minimum of 12" end to end and such laps and ends shall be securely held in place to form closed joint. Tears or holes in paper will not be permitted.
 - 3. Other moisture-retaining coverages which are practical and meet approval of Engineer.
 - 4. Final curing shall continue until cumulative number of days of initial and final curing totals not less than 7 days. Rapid drying at end of curing period shall be prevented.
 - 5. Steel forms heated by sun and all wood forms in contact with concrete during final curing period shall be kept wet. If forms are to be removed during curing period, one of above curing methods shall be employed immediately. Such curing shall be continued for remainder of curing period.

3.09 COLD WEATHER CONCRETING AND CURING

- A. Special precautions described below shall be applicable to cold weather concreting and curing under following weather conditions:
 - 1. In fall, when mean daily temperature falls below 40°F for more than one day.
 - 2. In spring, until mean daily temperature rises above 40°F for three consecutive days.
 - 3. Mean daily temperatures below 40°F are forecast.
- B. Minimum temperature of concrete and protection method shall be as follows:

| Atmospheric <u>Temperature Range</u> | Minimum Fresh Concrete <u>Temperature</u> | Protection <u>Method</u> |
|-----------------------------------------|-------------------------------------------------|-----------------------------|
| Above 30°F | 60°F | 1 |
| 0°F - 30°F | 65°F | 2 |
| Below 0°F | 70°F | 3 |

- C. Protection Method 1:
 - 1. Following finishing procedure and after concrete surface is such that no damage to surface will occur, concrete shall be covered with 12" of dry straw and then covered completely with layer of polyethylene sheeting or equivalent. Covering shall be sealed and tied down to prevent flapping and shall remain in place for minimum of 7 days.
- D. Protection Method 2:
 - Concrete shall be covered with minimum of 2" of an insulating material such as fiberglass or another commercial insulating material of not less than 2" and meeting approval of Engineer. Waterproof cover of 40 lb. asphaltimpregnated paper shall then be placed and maintained for minimum of 7 days.
 - 2. After surfaces are formed, 2" insulating material shall be attached tightly to forms with suitable fastening devices so as to prevent circulation of air under insulation. Insulating material shall be installed prior to placement of concrete in forms. Special care shall be exercised at edges and ends so as to exclude air and moisture. This material shall remain in place for period of 7 days after concrete is placed.
- E. Protection Method 3:
 - 1. An adequate enclosure shall be erected to house formwork and exposed concrete such that temperature is not less than 50°F or greater than 80°F for a period of at least 7 days after placement of concrete. All enclosures shall be in place and meet approval of Engineer prior to placement of concrete. Salamanders and other heaters which produce carbon dioxide may be allowed, provided surfaces of concrete are adequately covered to prevent direct exposure to carbon dioxide. All heating devices shall meet approval of Engineer.
 - 2. Other suitable means of placing and protection may be permitted provided procedure is in conformance with ACI 306R and all other applicable sections, and meets approval of Engineer prior to its use.
 - If mean daily temperatures are above 40°F but weather forecast indicates low temperature of 32°F or below, concrete less than 72 hours old shall be protected by one of the following procedures:
 - a. Two layers of polyethylene sheeting or 2 layers of waterproof paper.
 - b. One layer of polyethylene and 1 layer of burlap.
 - 4. This curing procedure shall remain in place until the concrete is at least 96 hours old.
 - 5. Changes in temperature of concrete shall be as uniform as possible and shall not exceed 5°F in any one hour or 50°F in any 24 hour period.

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6. Contractor shall maintain temperature records of concrete. When concrete is placed, record time, date, weather conditions, outside air temperature and temperature of concrete. Record temperatures at several locations (or as directed by Engineer) within enclosures and on concrete surfaces, edges and corners to obtain the range of temperatures. Record maximum and minimum temperatures in each 24 hour period. Using measuring devices embedded in concrete surface or place thermometer against surface under temporary cover of thick insulation until constant temperature is registered.

3.10 HOT WEATHER CONCRETING AND CURING

- A. Special precautions contained herein shall be applicable to hot weather concreting and curing when following conditions exist:
 - 1. Any combination of high air temperature, low relative humidity and high wind velocity. Refer to ACI 305R, Figure 2.1.5 for more detailed information on specific conditions which create need for special precautions to be taken.
 - 2. Any combination of rising air temperature and falling relative humidity.
- B. Hot weather concreting and curing shall be performed in accordance with requirements of this Specification and ACI 305R, latest edition.
- C. Under hot weather conditions, Contractor shall be responsible for making arrangements for installation of windbreaks, shading, fog spraying, sprinkling, ponding or wet covering of light color.

Arrangements shall be made in advance of placement and such protective measures shall be taken as quickly as concrete hardening and finishing operations will allow.

D. Maximum allowable temperature of concrete at time of placement shall not be greater than 90°F. Concrete in excess of 90°F shall be rejected at no additional cost to Owner. Contractor shall have provisions at plant for maintaining temperature of concrete less than 90°F but greater than 55°F. Introduction of ice in an amount equal to 50% of mixing water by weight will be permitted. Use of higher quantities shall be approved by Engineer.

Addition of water to increase the workability of the concrete at the site shall not be permitted.

Changes in temperature of the concrete shall be as uniform as possible and shall not exceed 5°F in any one hour or 50°F in any 24-hour period.

3.11 FINISHES FOR CONCRETE

- A. Surface Finish for Formed Concrete Surfaces:
 - 1. Defects in new concrete such as rock pockets and tie holes shall be repaired when forms are removed. All form ties shall be removed to a point 1"

beneath surface of concrete and resulting depression shall be carefully pointed with mortar or sand, water and cement in a proportion of 2:1. Bonding admixture, "Flex-Con" by Euclid Company, Sika Latex, or equal, shall be used in mortar. This shall be done for all surface finishes of formed concrete surfaces.

- 2. Formed concrete surfaces which will be covered by earth fill need not be finished except for repair of defects and pointing of form tie depressions.
 - a. Surfaces that will be exposed to view after completion of work shall be given an ordinary finish consisting of removal, by rubbing, of any fins left by form work and rubbing of pointed areas to remove roughness and projection as well as repairing rock pockets and the holes as explained above. This includes interior wall surfaces above and below water surfaces.
 - b. Exposed exterior wall surfaces of all proposed structures shall receive a scrubbed finish.
 - c. Exterior wall finish shall extend minimum of one foot below final grade.
 - d. A scrubbed finish shall be performed in the following manner:
 - 1. Immediately following removal of the forms, remove all surface roughness, projections and other defects by grinding or chipping. Wet down the entire area and fill all air pockets, voids and other depressions with grout to produce a smooth dense surface free from pits and other irregularities. Thoroughly scrub into the wetted surface a mortar mixture consisting of 1 part well graded sand passing the No. 30 sieve, 1 part Portland cement and a sufficient quantity of a bonding admixture (described previously) to produce a workable mixture. Scrubbing shall be accomplished by use of a rubber or wood float following by finishing with a cork float or a light brush. The resulting surface shall be true and uniform, with no discernible thickness of mortar on the surface.
- 3. Surface Finish for Unformed Concrete Surfaces.
 - a. Scratched Finish. A scratched finish is required on unformed concrete surfaces which will be covered with fill material and topping. The concrete shall be placed, consolidated, struck off and leveled. The surface shall then be roughened with stiff brushes or rakes before final set.
 - b. Float Finish. A float finish is required on unformed concrete surfaces which will be covered by built-up roofing. After the surface has been leveled and has stiffened sufficiently to allow the operation, the surface shall be floated at least twice to a uniform sandy texture.

- c. Trowel Finish. A "hard, steel troweled finish" is required on all unformed concrete surfaces which are exposed in the finished work. After floating, the surface shall be troweled at least twice to a dense, uniform surface free of blemishes, ripples and trowel marks. Care shall be taken to prevent an excess of fine material and water from being worked to the surface. Exterior slabs and sidewalks shall be given a "light broom finish" in lieu of the final steel troweling.
- 4. Miscellaneous

All areas. Edges and corners of structures which are exposed in the completed structures shall be chamfered 3/4", unless noted otherwise.

Edges of walks and slabs on grade shall be finished with an edging tool.

Walks and slabs on grade shall have contraction joints scored in the concrete to control cracking. The spacing of the scored joints shall be equal to the width of the walk or slab unless otherwise specified or noted on the plans.

3.12 REPAIR OF DEFECTIVE AREAS

- A. All repair work on defective areas, regardless of size shall have prior approval of Engineer. Methods and procedures shall be in accordance with ACI 301, Chapter 9 except as modified herein.
- B. As early as possible, after removal of forms, Contractor shall patch any poor joints, voids, air pockets and minor honeycomb. Large areas of honeycomb and other weak areas shall be chipped out with light pneumatic chip-hammer. When chipping is performed, edges shall be perpendicular to surface. Feather-edging will not be permitted.
- C. Repair of all defects shall be accomplished by first wetting area followed by application of a bonding grout consisting of one part cement and one part sand (passing the No. 30 sieve) mixed to consistency of thick cream. Patching material shall consist of one part of gray portland and white portland cement so proportioned with 2-1/2 parts of sand (passing the No. 30 sieve) and enough water to produce workable mixture which, when in place and cured will match color of unmarred surfaces.
- D. In lieu of cement bonding grout, bonding compound shall be Euco-Weld by Euclid Company, Duraweld by W. R. Grace Company, or equal. In lieu of portland cement patching material, for non-architectural and non-structural areas only, patching compound may be "Poly-patch" by Euclid Company, Thorocrete by Standard Drywall, or equal.
- 3.13 SCHEDULE JOINT FILLERS
 - A. Sealants: As specified in 07920.

END OF SECTION 03300

DIVISION 3 - CONCRETE Section 03390 - Concrete Curing Materials

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Initial and final curing of horizontal and vertical concrete surfaces.
- 1.02 RELATED SECTIONS
 - A. Section 03300 Cast-In-Place Concrete.

1.03 REFERENCE TO STANDARDS

- A. ACI 301 Structural Concrete for Buildings.
- B. ACI 302 Recommended Practice for Concrete Floor and Slab Construction.
- C. ACI 308 Standard Practice for Curing Concrete.
- D. ASTM C171 Sheet Materials for Curing Concrete.
- E. ASTM C309 Liquid Membrane-Forming Compounds for Curing Concrete.
- F. ASTM D2103 Polyethylene Film and Sheeting.

1.04 SUBMITTALS

- A. Submit under the provisions of Division 1.
- B. Product Data: Provide data on curing compounds, compatibilities, and limitations.

1.05 QUALITY ASSURANCE

- A. Perform work in accordance with ACI 301 and ACI 308.
- B. Maintain one copy of each document on site.

1.06 DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, protect, and handle products under provisions of Division 1 General Requirements.
- B. Deliver curing materials in manufacturer's packaging including application instructions.

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PART 2 PRODUCTS

2.01 MATERIALS

- A. Membrane type curing compound shall be "L&M Cure" by L&M Construction Chemicals, "Horncure" by W. R. Grace, "Masterkure" by Master Builders, or equal. Curing compound used shall be compatible with type of floor finish to be used.
- B. Water: Potable, not detrimental to concrete.

PART 3 EXECUTION

3.01 EXAMINATION

- A. Verify substrate conditions under provisions of Division 1 General Requirements.
- B. Verify that substrate surfaces are ready to be cured.

3.02 EXECUTION

- A. Cure surfaces in accordance with ACI 308.
- B. See Section 03300 Cast-In-Place Concrete Article 3.8 for curing methods.

3.04 PROTECTION OF FINISHED WORK

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- A. Protect finished work under provisions Division 1 General Requirements.
- B. Do not permit traffic over unprotected floor surface.

3.05 SCHEDULES

RESERVED

END OF SECTION 03370

RELOCATE AIRFIELD ELECTRICAL VAULT

DIVISION 4 – MASONRY

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DIVISION 4 - MASONRY Section 04810 - Unit Masonry Assemblies

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes unit masonry assemblies consisting of the following:
 - 1. Concrete masonry units (CMUs).
 - 2. Mortar and grout.
 - 3. Reinforcing steel.
 - 4. Masonry joint reinforcement.
 - 5. Ties and anchors.
 - 6. Embedded flashing.
 - 7. Miscellaneous masonry accessories.
 - 8. Masonry-cell insulation.
 - 9. Cavity-wall insulation.
- B. Related Sections include the following:
 - 1. Division 7 Section "Sheet Metal Flashing and Trim" for exposed sheet metal flashing.
 - 2. Division 7 Section "Joint Sealants" for sealing control and expansion joints in unit masonry.

1.3 DEFINITIONS

A. Reinforced Masonry: Masonry containing reinforcing steel in grouted cells.

1.4 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: For the following:
 - 1. Masonry Units: Show sizes, profiles, coursing, and locations of special shapes.
 - Reinforcing Steel: Detail bending and placement of unit masonry reinforcing bars. Comply with ACI 315, "Details and Detailing of Concrete Reinforcement." Show elevations of reinforced walls.

- 3. Fabricated Flashing: Detail corner units, end-dam units, and other special applications.
- C. Material Certificates: Include statements of material properties indicating compliance with requirements including compliance with standards and type designations within standards. Provide for each type and size of the following:
 - 1. Masonry units.
 - a. Include material test reports substantiating compliance with requirements.
 - b. For masonry units used in structural masonry, include data and calculations establishing average net-area compressive strength of units.
 - 2. Cementitious materials. Include brand, type, and name of manufacturer.
 - 3. Preblended, dry mortar mixes. Include description of type and proportions of ingredients.
 - 4. Grout mixes. Include description of type and proportions of ingredients.
 - 5. Reinforcing bars.
 - 6. Joint reinforcement.
 - 7. Anchors, ties, and metal accessories.
- D. Mix Designs: For each type of mortar and grout. Include description of type and proportions of ingredients.
 - 1. Include test reports, per ASTM C 780, for mortar mixes required to comply with property specification.
 - 2. Include test reports, per ASTM C 1019, for grout mixes required to comply with compressive strength requirement.
- E. Statement of Compressive Strength of Masonry: For each combination of masonry unit type and mortar type, provide statement of average net-area compressive strength of masonry units, mortar type, and resulting net-area compressive strength of masonry determined according to Tables 1 and 2 in ACI 530.1/ASCE 6/TMS 602.
- F. Cold-Weather Procedures: Detailed description of methods, materials, and equipment to be used to comply with cold-weather requirements.

1.5 QUALITY ASSURANCE

- A. Testing Agency Qualifications: An independent agency qualified according to ASTM C 1093 for testing indicated, as documented according to ASTM E 548.
- B. Source Limitations for Masonry Units: Obtain exposed masonry units of a uniform texture and color, or a uniform blend within the ranges accepted for these characteristics, through one source from a single manufacturer for each product required.
- C. Source Limitations for Mortar Materials: Obtain mortar ingredients of a uniform quality, including color for exposed masonry, from a single manufacturer for each cementitious component and from one source or producer for each aggregate.

D. Fire-Resistance Ratings: Where indicated, provide materials and construction identical to those of assemblies with fire-resistance ratings determined per ASTM E 119 by a testing and inspecting agency, by equivalent concrete masonry thickness, or by other means, as acceptable to authorities having jurisdiction.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Store masonry units on elevated platforms in a dry location. If units are not stored in an enclosed location, cover tops and sides of stacks with waterproof sheeting, securely tied. If units become wet, do not install until they are dry.
- B. Store cementitious materials on elevated platforms, under cover, and in a dry location. Do not use cementitious materials that have become damp.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination avoided.
- D. Deliver preblended, dry mortar mix in moisture-resistant containers designed for lifting and emptying into dispensing silo. Store preblended, dry mortar mix in delivery containers on elevated platforms, under cover, and in a dry location or in a metal dispensing silo with weatherproof cover.
- E. Store masonry accessories, including metal items, to prevent corrosion and accumulation of dirt and oil.

1.7 PROJECT CONDITIONS

- A. Protection of Masonry: During construction, cover tops of walls, projections, and sills with waterproof sheeting at end of each day's work. Cover partially completed masonry when construction is not in progress.
 - 1. Extend cover a minimum of 24 inches (600 mm) down both sides and hold cover securely in place.
 - 2. Where 1 wythe of multiwythe masonry walls is completed in advance of other wythes, secure cover a minimum of 24 inches (600 mm) down face next to unconstructed wythe and hold cover in place.
- B. Do not apply uniform floor or roof loads for at least 12 hours and concentrated loads for at least 3 days after building masonry walls or columns.
- C. Stain Prevention: Prevent grout, mortar, and soil from staining the face of masonry to be left exposed or painted. Immediately remove grout, mortar, and soil that come in contact with such masonry.
 - 1. Protect base of walls from rain-splashed mud and from mortar splatter by spreading coverings on ground and over wall surface.
 - 2. Protect sills, ledges, and projections from mortar droppings.
 - 3. Protect surfaces of window and door frames, as well as similar products with painted and integral finishes, from mortar droppings.

- 4. Turn scaffold boards near the wall on edge at the end of each day to prevent rain from splashing mortar and dirt onto completed masonry.
- D. Cold-Weather Requirements: Do not use frozen materials or materials mixed or coated with ice or frost. Do not build on frozen substrates. Remove and replace unit masonry damaged by frost or by freezing conditions. Comply with cold-weather construction requirements contained in ACI 530.1/ASCE 6/TMS 602.
 - 1. Cold-Weather Cleaning: Use liquid cleaning methods only when air temperature is 40 deg F (4 deg C) and above and will remain so until masonry has dried, but not less than 7 days after completing cleaning.
- E. Hot-Weather Requirements: Comply with hot-weather construction requirements contained in ACI 530.1/ASCE 6/TMS 602.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. In other Part 2 articles where titles below introduce lists, the following requirements apply to product selection:
 - 1. Products: Subject to compliance with requirements, provide one of the products specified.

2.2 MASONRY UNITS, GENERAL

A. Defective Units: Referenced masonry unit standards may allow a certain percentage of units to exceed tolerances and to contain chips, cracks, or other defects exceeding limits stated in the standard. Do not uses units where such defects, including dimensions that vary from specified dimensions by more than stated tolerances, will be exposed in the completed Work or will impair the quality of completed masonry.

2.3 CONCRETE MASONRY UNITS (CMUs)

- A. Shapes: Provide shapes indicated and as follows:
 - 1. Provide special shapes for lintels, corners, jambs, sashes, movement joints, headers, bonding, and other special conditions.
 - 2. Provide square-edged units for outside corners, unless otherwise indicated.
- B. Concrete Masonry Units: ASTM C 90.
 - 1. Unit Compressive Strength: Provide units with minimum average net-area compressive strength of 1900 psi (13.1 MPa).
 - 2. Weight Classification: Normal weight.

- 3. Nominal Size: 8" x 16", Manufactured to dimensions 3/8 inch less than nominal dimensions.
- 4. Exposed Faces: Provide color and texture matching the range represented by Architect's sample.

2.4 MORTAR AND GROUT MATERIALS

- A. Portland Cement: ASTM C 150, Type I or II, except Type III may be used for coldweather construction. Provide natural color or white cement as required to produce mortar color indicated.
- B. Hydrated Lime: ASTM C 207, Type S.
- C. Portland Cement-Lime Mix: Packaged blend of portland cement complying with ASTM C 150, Type I or Type III, and hydrated lime complying with ASTM C 207, Type S.
- D. Aggregate for Mortar: ASTM C 144.
 - 1. For mortar that is exposed to view, use washed aggregate consisting of natural sand or crushed stone.
 - 2. For joints less than 1/4 inch (6.5 mm) thick, use aggregate graded with 100 percent passing the No. 16 (1.18-mm) sieve.
 - 3. White-Mortar Aggregates: Natural white sand or crushed white stone.
 - 4. Colored-Mortar Aggregates: Natural sand or crushed stone of color necessary to produce required mortar color.
- E. Aggregate for Grout: ASTM C 404.
- F. Cold-Weather Admixture: Nonchloride, noncorrosive, accelerating admixture complying with ASTM C 494/C 494M, Type C, and recommended by manufacturer for use in masonry mortar of composition indicated.
 - 1. Products:
 - a. Addiment Incorporated; Mortar Kick.
 - b. Euclid Chemical Company (The); Accelguard 80.
 - c. Grace Construction Products, a unit of W. R. Grace & Co. Conn.; Morset.
 - d. Sonneborn, Div. of ChemRex; Trimix-NCA.
- G. Water: Potable.
- 2.5 REINFORCEMENT
 - A. Uncoated Steel Reinforcing Bars: ASTM A 615/A 615M or ASTM A 996/A 996M, Grade 60 (Grade 420).
 - B. Masonry Joint Reinforcement, General: ASTM A 951.
 - 1. Interior Walls: Mill galvanized, carbon steel.

- 2. Exterior Walls: Hot-dip galvanized, carbon steel.
- 3. Wire Size for Side Rods: W1.7 or 0.148-inch (3.8-mm) diameter.
- 4. Wire Size for Cross Rods: W1.7 or 0.148-inch (3.8-mm) diameter.
- 5. Wire Size for Veneer Ties: W1.7 or 0.148-inch (3.8-mm) diameter.
- 6. Spacing of Cross Rods, Tabs, and Cross Ties: Not more than 16 inches (407 mm) o.c.
- 7. Provide in lengths of not less than 10 feet (3 m), with prefabricated corner and tee units.
- C. Masonry Joint Reinforcement for Single-Wythe Masonry: Either ladder or truss type with single pair of side rods.
- D. Masonry Joint Reinforcement for Multiwythe Masonry:
 - Adjustable (two-piece) type, either ladder or truss design, with one side rod at each face shell of backing wythe and with separate ties that extend into facing wythe. Ties have two hooks that engage eyes or slots in reinforcement and resist movement perpendicular to wall. Ties extend at least halfway through facing wythe but with at least 5/8-inch (16-mm) cover on outside face. Ties have hooks or clips to engage a continuous horizontal wire in the facing wythe.
- E. Masonry Joint Reinforcement for Veneers Anchored with Seismic Masonry-Veneer Anchors: Single 0.188-inch- (4.8-mm-) diameter, hot-dip galvanized, carbon-steel continuous wire.

2.6 TIES AND ANCHORS

- A. Materials: Provide ties and anchors specified in subsequent paragraphs that are made from materials that comply with eight subparagraphs below, unless otherwise indicated.
 - 1. Mill-Galvanized, Carbon-Steel Wire: ASTM A 82; with ASTM A 641/A 641M, Class 1 coating.
 - 2. Hot-Dip Galvanized, Carbon-Steel Wire: ASTM A 82; with ASTM A 153/A 153M, Class B-2 coating.
 - 3. Stainless-Steel Wire: ASTM A 580/A 580M, Type 304.
 - 4. Galvanized Steel Sheet: ASTM A 653/A 653M, Commercial Steel, G60 (Z180) zinc coating.
 - 5. Steel Sheet, Galvanized after Fabrication: ASTM A 1008/A 1008M, Commercial Steel, hot-dip galvanized after fabrication to comply with ASTM A 153/A 153M.
 - 6. Stainless-Steel Sheet: ASTM A 666, Type 304.
 - 7. Steel Plates, Shapes, and Bars: ASTM A 36/A 36M.
 - 8. Stainless Steel bars: ASTM A 276 or ASTM a 666, Type 304.
- B. Wire Ties, General: Unless otherwise indicated, size wire ties to extend at least halfway through veneer but with at least 5/8-inch (16-mm) cover on outside face. Outer ends of wires are bent 90 degrees and extend 2 inches (50 mm) parallel to face of veneer.

- C. Individual Wire Ties: Rectangular units with closed ends and not less than 4 inches (100 mm) wide.
 - Z-shaped ties with ends bent 90 degrees to provide hooks not less than 2 inches (50 mm) long may be used for masonry constructed from solid units or hollow units laid with cells horizontal.
 - 2. Where wythes are of different materials, use adjustable ties with pintle-and-eye connections having a maximum adjustment of 1-1/4 inches (32 mm).
 - 3. Wire: Fabricate from 3/16-inch- (4.8-mm-) diameter, hot-dip galvanized steel wire. Mill-galvanized wire ties may be used in interior walls, unless otherwise indicated.
- D. Partition Top anchors: 0.097-inch- (2.5-mm-) thick metal plate with 3/8-inch- (10-mm-) diameter metal rod 6 inches (150 mm) long welded to plate and with closed-end plastic tube fitted over rod that allows rod to move in and out of tube. Fabricate from steel, hot-dip galvanized after fabrication.

2.7 EMBEDDED FLASHING MATERIALS

- A. Metal Flashing: Provide metal flashing, where flashing is exposed or partly exposed and where indicated, complying with SMACNA's "Architectural Sheet Metal Manual" and as follows:
 - 1. Stainless Steel: ASTM A 240/A 240M, Type 304, 0.016 inch (0.4 mm) thick.
 - Copper: ASTM B 370, Temper H00 or H01, cold-rolled copper sheet, 10-oz./sq. ft. (3-kg/sq. m) weight or 0.0135 inch (0.34 mm) thick for fully concealed flashing; 16-oz./sq. ft. (5-kg/sq. m) weight or 0.0216 inch (0.55 mm) thick elsewhere.
 - 3. Fabricate continuous flashings in sections 96 inches (2400 mm) long minimum, but not exceeding 12 feet (3.6 m). Provide splice plates at joints of formed, smooth metal flashing.
 - 4. Fabricate through-wall metal flashing embedded in masonry from stainless steel, with ribs at 3-inch (75-mm) intervals along length of flashing to provide an integral mortar bond.
 - a. Products:
 - 1) Cheney Flashing Company; Cheney Flashing (Dovetail) or Cheney 3-Way Flashing (Sawtooth).
 - 2) Keystone Flashing Company, Inc.; Keystone 3-Way Interlocking Thruwall Flashing.
 - 5. Fabricate through-wall flashing with snaplock receiver on exterior face where indicated to receive counterflashing.
 - 6. Fabricate through-wall flashing with drip edge, unless otherwise indicated. Fabricate by extending flashing 1/2 inch (13 mm) out from wall, with outer edge bent down 30 degrees[and hemmed].
 - 7. Fabricate metal drip edges for ribbed metal flashing from plain metal flashing of same metal as ribbed flashing and extending at least 3 inches (75 mm) into wall with hemmed inner edge to receive ribbed flashing and form a hooked seam. Form hem on upper surface of metal so that completed seam will shed water.

- 8. Metal Drip Edges: Fabricate from stainless steel. Extend at least 3 inches (75 mm) into wall and 1/2 inch (13 mm) out from wall, with outer edge bent down 30 degrees[and hemmed].
- 9. Metal Flashing Terminations: Fabricate from stainless steel. Extend at least 3 inches (75 mm) into wall and out to exterior face of wall. At exterior face of wall, bend metal back on itself for 3/4 inch (19 mm) and down into joint 3/8 inch (10 mm) to form a stop for retaining sealant backer rod.
- 10. Metal Expansion-Joint Strips: Fabricate from stainless steel to shapes indicated.
- B. Flexible Flashing: For flashing not exposed to the exterior, use the following, unless otherwise indicated:
 - 1. Copper-Laminated Flashing 7-oz./sq. ft. (2-kg/sq. m) copper sheet bonded with asphalt between 2 layers of glass-fiber cloth. Use only where flashing is fully concealed in masonry.
 - a. Products:
 - 1) Advanced Building Products Inc.; Copper Fabric Flashing.
 - 2) AFCO Products Inc.; Copper Fabric.
 - 3) Hohmann & Barnard, Inc.; H & B C-Fab Flashing.
 - 4) Phoenix Building Products; Type FCC-Fabric Covered Copper.
 - 5) Polytite Manufacturing Corp.; Copper Fabric Flashing.
 - 6) Sandell Manufacturing Co., Inc.; Copper Fabric Flashing.
 - 7) York Manufacturing, Inc.; York Copper Fabric Flashing.
- C. Solder and Sealants for Sheet Metal Flashings:
 - 1. Solder for Stainless Steel: ASTM B 32, Grade Sn60, with acid flux of type recommended by stainless-steel sheet manufacturer.
 - 2. Solder for Copper: ASTM B 32, Grade Sn50, 50 percent tin and 50 percent lead.
 - 3. Elastomeric Sealant: ASTM C 920, chemically curing urethane sealant; of type, grade, class, and use classifications required to seal joints in sheet metal flashing and trim and remain watertight.
- D. Adhesives, Primers, and Seam Tapes for Flashings: Flashing manufacturer's standard products or products recommended by flashing manufacturer for bonding flashing sheets to each other and to substrates.

2.8 MISCELLANEOUS MASONRY ACCESSORIES

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- A. Compressible Filler: Premolded filler strips complying with ASTM D 1056, Grade 2A1; compressible up to 35 percent; of width and thickness indicated; formulated from neoprene, urethane or PVC.
- B. Preformed Control-Joint Gaskets: Made from styrene-butadiene-rubber compound, complying with ASTM D 2000, Designation M2AA-805 and designed to fit standard sash block and to maintain lateral stability in masonry wall; size and configuration as indicated.

C. Bond-Breaker Strips: Asphalt-saturated, organic roofing felt complying with ASTM D 226, Type I (No. 15 asphalt felt).

2.9 CAVITY-WALL INSULATION

- A. Extruded-Polystyrene Board Insulation: ASTM C 578, Type IV, closed-cell product extruded with an integral skin.
- B. Adhesive: Type recommended by insulation board manufacturer for application indicated.

2.10 MASONRY CLEANERS

- A. Proprietary Acidic Cleaner: Manufacturer's standard-strength cleaner designed for removing mortar/grout stains, efflorescence, and other new construction stains from new masonry without discoloring or damaging masonry surfaces. Use product expressly approved for intended use by cleaner manufacturer and manufacturer of masonry units being cleaned.
 - 1. Manufacturers:
 - a. Diedrich Technologies, Inc.
 - b. EaCo Chem, Inc.
 - c. ProSoCo, Inc.

2.11 MORTAR AND GROUT MIXES

- A. General: Do not use admixtures, including pigments, air-entraining agents, accelerators, retarders, water-repellent agents, antifreeze compounds, or other admixtures, unless otherwise indicated.
 - 1. Do not use calcium chloride in mortar or grout.
 - 2. Limit cementitious materials in mortar to portland cement and lime.
 - 3. Limit cementitious materials in mortar for exterior and reinforced masonry to portland cement and lime.
 - 4. Add cold-weather admixture (if used) at same rate for all mortar that will be exposed to view, regardless of weather conditions, to ensure that mortar color is consistent.
- B. Preblended, Dry Mortar Mix: Furnish dry mortar ingredients in form of a preblended mix. Measure quantities by weight to ensure accurate proportions, and thoroughly blend ingredients before delivering to Project site.
- C. Mortar for Unit Masonry: Comply with ASTM C 270, Property Specification. Provide the following types of mortar for applications stated unless another type is indicated or needed to provide required compressive strength of masonry.
 - 1. For masonry below grade or in contact with earth, use Type M.
 - 2. For reinforced masonry, use Type S.

- 3. For exterior, above-grade, load-bearing and non-load-bearing walls and parapet walls; for interior load-bearing walls; for interior non-load-bearing partitions; and for other applications where another type is not indicated, use Type N.
- 4. For interior non-load-bearing partitions, Type O may be used instead of Type N.
- D. Grout for Unit Masonry: Comply with ASTM C 476.
 - 1. Use grout of type indicated or, if not otherwise indicated, of type (fine or coarse) that will comply with Table 1.15.1 in ACI 530.1/ASCE 6/TMS 602 for dimensions of grout spaces and pour height.
 - 2. Provide grout with a slump of 8 to 11 inches (200 to 280 mm) as measured according to ASTM C 143/C 143M.

2.12 SOURCE QUALITY CONTROL

A. Concrete Masonry Unit Test: For each type of unit furnished, per ASTM C 140.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of work.
 - 1. For the record, prepare written report, endorsed by Installer, listing conditions detrimental to performance of work.
 - 2. Verify that foundations are within tolerances specified.
 - 3. Verify that reinforcing dowels are properly placed.
- B. Before installation, examine rough-in and built-in construction for piping systems to verify actual locations of piping connections.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION, GENERAL

- A. Thickness: Build cavity and composite walls and other masonry construction to full thickness shown. Build single-wythe walls to actual widths of masonry units, using units of widths indicated.
- B. Build chases and recesses to accommodate items specified in this and other Sections.
- C. Leave openings for equipment to be installed before completing masonry. After installing equipment, complete masonry to match the construction immediately adjacent to opening.
- D. Use full-size units without cutting if possible. If cutting is required to provide a continuous pattern or to fit adjoining construction, cut units with motor-driven saws;

provide clean, sharp, unchipped edges. Allow units to dry before laying unless wetting of units is specified. Install cut units with cut surfaces and, where possible, cut edges concealed.

- E. Comply with construction tolerances in ACI 530.1/ASCE 6/TMS 602 and with the following:
 - 1. For conspicuous vertical lines, such as external corners, door jambs, reveals, and expansion and control joints, do not vary from plumb by more than 1/8 inch in 10 feet (3 mm in 3 m), 1/4 inch in 20 feet (6 mm in 6 m), or 1/2 inch (12 mm) maximum.
 - 2. For vertical alignment of exposed head joints, do not vary from plumb by more than 1/4 inch in 10 feet (6 mm in 3 m), or 1/2 inch (12 mm) maximum.
 - 3. For conspicuous horizontal lines, such as lintels, sills, parapets, and reveals, do not vary from level by more than 1/8 inch in 10 feet (3 mm in 3 m), 1/4 inch in 20 feet (6 mm in 6 m), or 1/2 inch (12 mm) maximum.
 - 4. For exposed bed joints, do not vary from thickness indicated by more than plus or minus 1/8 inch (3 mm), with a maximum thickness limited to 1/2 inch (12 mm). Do not vary from bed-joint thickness of adjacent courses by more than 1/8 inch (3 mm).
 - 5. For exposed head joints, do not vary from thickness indicated by more than plus or minus 1/8 inch (3 mm). Do not vary from adjacent bed-joint and head-joint thicknesses by more than 1/8 inch (3 mm).
 - 6. For faces of adjacent exposed masonry units, do not vary from flush alignment by more than 1/16 inch (1.5 mm) except due to warpage of masonry units within tolerances specified for warpage of units.
 - 7. For exposed bed joints and head joints of stacked bond, do not vary from a straight line by more than 1/16 inch (1.5 mm) from one masonry unit to the next.

3.3 LAYING MASONRY WALLS

- A. Lay out walls in advance for accurate spacing of surface bond patterns with uniform joint thicknesses and for accurate location of openings, movement-type joints, returns, and offsets. Avoid using less-than-half-size units, particularly at corners, jambs, and, where possible, at other locations.
- B. Bond Pattern for Exposed Masonry: Unless otherwise indicated, lay exposed masonry in running bond; do not use units with less than nominal 8-inch (100-mm) horizontal face dimensions at corners or jambs.
- C. Lay concealed masonry with all units in a wythe in running bond or bonded by lapping not less than 8-inches (100-mm). Bond and interlock each course of each wythe at corners. Do not use units with less than nominal 4-inch (100-mm) horizontal face dimensions at corners or jambs.
- D. Stopping and Resuming Work: Stop work by racking back units in each course from those in course below; do not tooth. When resuming work, clean masonry surfaces that are to receive mortar, remove loose masonry units and mortar, and wet brick if required before laying fresh masonry.

- E. Built-in Work: As construction progresses, build in items specified in this and other Sections. Fill in solidly with masonry around built-in items.
- F. Fill space between steel frames and masonry solidly with mortar, unless otherwise indicated.
- G. Where built-in items are to be embedded in cores of hollow masonry units, place a layer of metal lath, wire mesh, or plastic mesh in the joint below and rod mortar or grout into core.
- H. Fill cores in hollow concrete masonry units with grout 24 inches (600 mm) under bearing plates, beams, lintels, posts, and similar items, unless otherwise indicated.
- I. Build non-load-bearing interior partitions full height of story to underside of solid floor or roof structure above, unless otherwise indicated.
 - 1. Install compressible filler in joint between top of partition and underside of structure above.
 - Fasten partition top anchors to structure above and build into top of partition. Grout cells of CMUs solidly around plastic tubes of anchors and push tubes down into grout to provide 1/2-inch (13-mm) clearance between end of anchor rod and end of tube. Space anchors [48 inches (1200 mm)] o.c., unless otherwise indicated.
 - 3. Wedge non-load-bearing partitions against structure above with small pieces of tile, slate, or metal. Fill joint with mortar after dead-load deflection of structure above approaches final position.
 - 4. At fire-rated partitions, treat joint between top of partition and underside of structure above to comply with Division 7 Section "Fire-Resistive Joint Systems."

3.4 MORTAR BEDDING AND JOINTING

- A. Lay hollow concrete masonry units as follows:
 - 1. With face shells fully bedded in mortar and with head joints of depth equal to bed joints.
 - 2. With webs fully bedded in mortar in all courses of piers, columns, and pilasters.
 - 3. With webs fully bedded in mortar in grouted masonry, including starting course on footings.
 - 4. With entire units, including areas under cells, fully bedded in mortar at starting course on footings where cells are not grouted.
- B. Lay solid masonry units with completely filled bed and head joints; butter ends with sufficient mortar to fill head joints and shove into place. Do not deeply furrow bed joints or slush head joints.
- C. Tool exposed joints slightly concave when thumbprint hard, using a jointer larger than joint thickness, unless otherwise indicated.
- D. Cut joints flush for masonry walls to receive plaster or other direct-applied finishes (other than paint), unless otherwise indicated.

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3.5 CAVITY WALLS

- A. Bond wythes of cavity walls together using one of the following methods:
 - Individual Metal Ties: Provide ties as shown installed in horizontal joints, but not less than one metal tie for 2.67 sq. ft. (0.25 sq. m) of wall area spaced not to exceed 16 inches (406 mm) o.c. horizontally and 16 inches (406 mm) o.c. vertically. Stagger ties in alternate courses. Provide additional ties within 12 inches (305 mm) of openings and space not more than 36 inches (915 mm) apart around perimeter of openings. At intersecting and abutting walls, provide ties at no more than 24 inches (610 mm) o.c. vertically.
 - a. Where bed joints of wythes do not align, use adjustable (two-piece) type ties.
 - b. Where one wythe is of clay masonry and the other of concrete masonry, use adjustable (two-piece) type ties to allow for differential movement regardless of whether bed joints align.
- B. Installing Cavity-Wall Insulation: Place small dabs of adhesive, spaced approximately 12 inches (300 mm) o.c. both ways, on inside face of insulation boards, or attach with plastic fasteners designed for this purpose. Fit courses of insulation between wall ties and other confining obstructions in cavity, with edges butted tightly both ways. Press units firmly against inside wythe of masonry or other construction as shown.
 - 1. Fill cracks and open gaps in insulation with crack sealer compatible with insulation and masonry.

3.6 MASONRY JOINT REINFORCEMENT

- A. General: Install entire length of longitudinal side rods in mortar with a minimum cover of 5/8 inch (16 mm) on exterior side of walls, 1/2 inch (13 mm) elsewhere. Lap reinforcement a minimum of 6 inches (150 mm).
 - 1. Space reinforcement not more than 16 inches (406 mm) o.c.
 - 2. Space reinforcement not more than 8 inches (203 mm) o.c. in foundation walls and parapet walls.
 - 3. Provide reinforcement not more than 8 inches (203 mm) above and below wall openings and extending 12 inches (305 mm) beyond openings.
 - a. Reinforcement above is in addition to continuous reinforcement.
- B. Interrupt joint reinforcement at control and expansion joints, unless otherwise indicated.
- C. Provide continuity at wall intersections by using prefabricated T-shaped units.
- D. Provide continuity at corners by using prefabricated L-shaped units.
- E. Cut and bend reinforcing units as directed by manufacturer for continuity at corners, returns, offsets, pipe enclosures, and other special conditions.

3.7 ANCHORING MASONRY TO STRUCTURAL MEMBERS

- A. Anchor masonry to structural members where masonry abuts or faces structural members to comply with the following:
 - 1. Provide an open space not less than [1/2 inch (13 mm)] [1 inch (25 mm)] in width between masonry and structural member, unless otherwise indicated. Keep open space free of mortar and other rigid materials.
 - 2. Anchor masonry to structural members with anchors embedded in masonry joints and attached to structure.
 - 3. Space anchors as indicated, but not more than 24 inches (610 mm) o.c. vertically and 36 inches (915 mm) o.c. horizontally.

3.8 CONTROL AND EXPANSION JOINTS

- A. General: Install control and expansion joint materials in unit masonry as masonry progresses. Do not allow materials to span control and expansion joints without provision to allow for in-plane wall or partition movement.
- B. Form control joints in concrete masonry using one of the following methods:
 - 1. Fit bond-breaker strips into hollow contour in ends of concrete masonry units on one side of control joint. Fill resultant core with grout and rake out joints in exposed faces for application of sealant.
 - 2. Install preformed control-joint gaskets designed to fit standard sash block.
 - Install interlocking units designed for control joints. Install bond-breaker strips at joint. Keep head joints free and clear of mortar or rake out joint for application of sealant.
 - 4. Install temporary foam-plastic filler in head joints and remove filler when unit masonry is complete for application of sealant.
- C. Form expansion joints in brick made from clay or shale as follows:
 - 1. Build flanges of metal expansion strips into masonry. Lap each joint 4 inches (100 mm) in direction of water flow. Seal joints below grade and at junctures with horizontal expansion joints if any.
 - 2. Build flanges of factory-fabricated, expansion-joint units into masonry.
 - 3. Build in compressible joint fillers where indicated.
 - 4. Form open joint full depth of brick wythe and of width indicated, but not less than 1/2 inch (13 mm) for installation of sealant and backer rod specified in Division 7 Section "Joint Sealants."
- D. Provide horizontal, pressure-relieving joints by either leaving an air space or inserting a compressible filler of width required for installing sealant and backer rod specified in Division 7 Section "Joint Sealants," but not less than 3/8 inch (10 mm).
 - 1. Locate horizontal, pressure-relieving joints beneath shelf angles supporting masonry.

3.9 LINTELS

- A. Install steel lintels where indicated.
- B. Provide masonry lintels where shown and where openings of more than 12 inches (305 mm) for brick-size units and 24 inches (610 mm) for block-size units are shown without structural steel or other supporting lintels.
- C. Provide minimum bearing of 8 inches (200 mm) at each jamb, unless otherwise indicated.

3.10 REINFORCED UNIT MASONRY INSTALLATION

- A. Temporary Formwork and Shores: Construct formwork and shores as needed to support reinforced masonry elements during construction.
 - 1. Construct formwork to provide shape, line, and dimensions of completed masonry as indicated. Make forms sufficiently tight to prevent leakage of mortar and grout. Brace, tie, and support forms to maintain position and shape during construction and curing of reinforced masonry.
 - 2. Do not remove forms and shores until reinforced masonry members have hardened sufficiently to carry their own weight and other temporary loads that may be placed on them during construction.
- B. Placing Reinforcement: Comply with requirements in ACI 530.1/ASCE 6/TMS 602.
- C. Grouting: Do not place grout until entire height of masonry to be grouted has attained enough strength to resist grout pressure.
 - 1. Comply with requirements in ACI 530.1/ASCE 6/TMS 602 for cleanouts and for grout placement, including minimum grout space and maximum pour height.
 - 2. Limit height of vertical grout pours to not more than [60 inches (1520 mm)].

3.11 REPAIRING, POINTING, AND CLEANING

- A. Remove and replace masonry units that are loose, chipped, broken, stained, or otherwise damaged or that do not match adjoining units. Install new units to match adjoining units; install in fresh mortar, pointed to eliminate evidence of replacement.
- B. Pointing: During the tooling of joints, enlarge voids and holes, except weep holes, and completely fill with mortar. Point up joints, including corners, openings, and adjacent construction, to provide a neat, uniform appearance. Prepare joints for sealant application, where indicated.
- C. In-Progress Cleaning: Clean unit masonry as work progresses by dry brushing to remove mortar fins and smears before tooling joints.
- D. Final Cleaning: After mortar is thoroughly set and cured, clean exposed masonry as follows:

- 1. Remove large mortar particles by hand with wooden paddles and nonmetallic scrape hoes or chisels.
- 2. Test cleaning methods on sample wall panel; leave one-half of panel uncleaned for comparison purposes. Obtain Architect's approval of sample cleaning before proceeding with cleaning of masonry.
- 3. Protect adjacent stone and nonmasonry surfaces from contact with cleaner by covering them with liquid strippable masking agent or polyethylene film and waterproof masking tape.
- 4. Wet wall surfaces with water before applying cleaners; remove cleaners promptly by rinsing surfaces thoroughly with clear water.
- 5. Clean brick by bucket-and-brush hand-cleaning method described in BIA Technical Notes 20.
- 6. Clean masonry with a proprietary acidic cleaner applied according to manufacturer's written instructions.
- 7. Clean concrete masonry by cleaning method indicated in NCMA TEK 8-2A applicable to type of stain on exposed surfaces.
- 8. Clean stone trim to comply with stone supplier's written instructions.
- 9. Clean limestone units to comply with recommendations in ILI's "Indiana Limestone Handbook."

3.12 MASONRY WASTE DISPOSAL

A. Salvageable Materials: Unless otherwise indicated, excess masonry materials are Contractor's property. At completion of unit masonry work, remove from Project site.

END OF SECTION 04810

RELOCATE AIRFIELD ELECTRICAL VAULT

DIVISION 5 – METALS

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Danville/07042-02 June 2007 PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Steel joists shown or indicated on drawings and hereinafter specified. Work shall be performed in accordance with Steel "Standard Specifications, Load Tables and Weight Tables for Steel Joists and Joist Girders" of the Steel Joist Institute (latest edition).
- 1.02 RELATED SECTIONS
 - A. Section 09911 Painting.
- 1.03 REFERENCE TO STANDARDS
 - A. ASTM A307 Carbon Steel Threaded Standard Fasteners, latest edition.
 - B. ASTM A325 High Strength Bolts for Structural Steel Joints, latest edition.
 - C. AWS D1.1 Structural Welding Code.
 - D. SJI Standard Specifications, Load Tables and Weight Tables for Steel Joists and Joist Girders.
- 1.04 SUBMITTALS
 - A. The steel joist manufacturer shall prepare and submit to the Engineer complete shop drawings for review and shall not proceed with manufacture prior to receiving approval of the Engineer.
 - B. Indicate standard designations, configurations, sizes, spacing and locations of joists, joist coding, bridging, connections, attachments and cambers.

1.05 QUALITY ASSURANCE

- A. Conform to SJI Standard Specifications, Load Tables and Weight Tables.
- B. The joist manufacturer shall be certified by the Steel Joist Institute to produce the roof framing components.
- 1.06 DELIVERY, STORAGE AND HANDLING
 - A. Handling and Erection
 - 1. In accordance with standard specifications. Protect joists and accessories from harmful elements when stored at job site. Store above ground on platforms, pallets or other supports. Keep joists free of dirt and other foreign

matter.

- B. Damaged Joists
 - 1. Replace damaged joists.

PART 2 PRODUCTS

2.01 MATERIALS

- A. Open Web Joist Members: SJI, Type K or LH Open Web Series.
- B. Bolts, Nuts and Washers: ASTM A325.

2.02 FABRICATION

- A. Fabricate steel joists in accordance with SJI Standard Specifications.
- B. Provide top and bottom chord bridging in accordance with SJI Standard Specifications. Joist manufacturer is responsible for design of bridging.
- C. Provide sloped bearings as indicated.
- D. Provide sloped top chords as indicated.
- 2.03 FINISH
 - A. Prime paint: SSPC-15, shop coat of lead-free rust-inhibitive paint standard with joist manufacturer complying with the Steel Joist Institute.
 - B. Do not prime areas to be field welded.

PART 3 EXECUTION

- 3.01 ERECTION
 - A. Erect steel joists and bridging in accordance with SJI Standard Specifications.
 - B. Bear joists on supports in accordance with SJI.
 - C. During erection, provide temporary bracing for induced loads and stresses.
 - D. Coordinate placement of anchorages in concrete and masonry construction for securing bearing plates.
 - E. Field weld joist seat to placed bearing plates after alignment, positioning after installation of bridging.
 - F. Do not permit erection of decking until joists are braced and bridged.

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- Do not field cut or alter joists without written acceptance by the Structural Engineer of G. record.
- After installation, paint bolts and nuts, welds and abraded or rusted surfaces on H. joists and joist accessories.
 - 1.
 - Wire brush surfaces and clean with solvent before painting. Use same type and thickness of paint as used for shop painting. 2.

END OF SECTION 05210

DIVISION 5 - METALS Section 05311 - Steel Deck

PART 1 GENERAL

- 1.01 SECTION INCLUDES
 - A. Metal decks.
 - B. Accessories.

1.02 RELATED SECTIONS

- A. Section 05500 Miscellaneous Metals.
- 1.03 REFERENCE TO STANDARDS
 - A. AISI Specification for the Design of Cold-Formed Steel Structural Members.
 - B. ASTM A572 High Strength Low Alloy Steels of Structural Quality.
 - C. ASTM A446 Steel sheet, zinc-coated (galvanized) by the hot-dip process, structural (physical) quality, latest edition.
 - D. ASTM A525 Steel sheet, zinc-coated, galvanized by the hot-dip process, latest edition.
 - E. AWS D1.1 Structural welding code, latest edition.
 - F. SDI Design Manual for Composite Decks, Form Decks and Roof Decks.

1.04 SUBMITTALS

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- A. Shop Drawings
 - 1. Submit under the provisions of Division 1.
 - 2. Deck layout, framing and supports with unit dimensions and sections. Note requirements to provide full decking on all areas and also on secondary light framing as shown on drawings.
 - 3. Type and location of fasteners.
- B. Manufacturer's Literature recommend installation instructions.
 - 1. Manufacturer's certificate that decking passes 100 hour salt spray test, Method 6061, FED-STD 141.

1.05 SYSTEM DESCRIPTION

- A. Performance Requirements
 - 1. SDI "Specifications and Commentaries for Non-Composite Steel Form Deck".
 - 2. Maximum unit design stress 0.6 x minimum yield strength of steel.
 - 3. Maximum working stress 20,000 psi (roof deck).
 - 4. Maximum deflection under live load: 1/240 span length, center to center of supports.
 - 5. Anchorage to resist gross uplift loading:
 - a. Eave overhangs: 45 psf less dead load.
 - b. Other roof areas: 30 psf less dead load.
- B. Tolerances
 - 1. Maximum variation in unit alignment 1/4" in 40'-0" (1/1920).

1.06 QUALIFICATIONS

- A. Qualifications of:
 - 1. Manufacturer: Regularly engaged in the production of metal floor and roof decking.
 - 2. Erector: Minimum of 5 years documented experience on comparable floor and roof deck projects.

1.07 QUALITY ASSURANCE

- A. Regulatory Requirements
 - 1. Install metal deck to meet requirements of Steel Deck Institute.
- 1.08 DELIVERY, STORAGE AND HANDLING
 - A. Metal Decking
 - 1. Do not bend or mar decking.
 - 2. Store off ground with one end elevated for drainage.
 - 3. Cover deck with waterproof material.

PART 2 PRODUCTS

2.01 ACCEPTABLE MANUFACTURERS

| А. В. С. | Consolidated Systems, Inc. Epic Metals Corp. Nucor Corporation, Vulcraft Div. | Columbia, SC Rankin, PA Charlotte, NC |
|----------------|-------------------------------------------------------------------------------------|---------------------------------------------|
| С. D. | H. H. Robertson Co. | Pittsburgh, PA |
| Ε. | Roof Deck, Inc. | Highstown, NJ |
| F. | United Steel Deck, Inc. | Summit, NJ |
| G. | Wheeling-Pittsburgh Steel Corp., Wheeling | Wheeling, WV |
| | Corrugating Co. Div. | |
| H. | Reliance Steel Products Co. | McKeesport, PA |
| I. | Roof Deck, Inc. | Highstown, NJ |
| J. | U. S. Steel Corp. | Birmingham, AL |
| K. | Ventaire Corp. | Tulsa, OK |
| L. | Verco Mfg., Inc. | Phoenix, AZ |
| М. | Walcon Corp. | Southfield, MI |

2.02 MATERIALS

- A. Sheet Steel: ASTM A653, Grade A structural quality, G90 coating.
- B. Bearing Plates: ASTM A36 steel.
- C. Welding Materials: AWS D1.1.
- D. Touch-up Primer: Zinc chromate type compatible with top paint coats.

2.03 FABRICATION

- A. Metal Roof Decking
 - 1. Electrical Vault: 24 gauge sheet steel, 1.5B, by Vulcraft or equal, wide ribbed profile 3'-0" wide sheets; multiple span; lapped joints.

PART 3 EXECUTION

3.01 INSPECTION

- A. Inspect supporting members for correct layout and alignment.
- B. Verify that surfaces to receive deck are free of debris.
- C. Do not proceed with installation until defects are corrected.

3.02 INSTALLATION

A. Erect metal decking in accordance with SDI Design manual for Composite Decks, Form Decks and Roof Decks. Provide welding in accordance with AWS D1.1.

- B. On steel support members, provide 1-1/2" minimum bearing. Align and level on supports.
- C. For Electrical Vault, fasten deck to supporting members with #10 TEK screws following the 36/3 pattern per SDI. Contractor shall not use alternate fastening methods without prior approval of the Structural Engineer of record.
- D. Mechanically fasten male/female side laps per deck manufacturer's requirements.
- E. For Electrical Vault, sidelaps of the metal deck shall be fastened using #10 TEK screws at three feet on center (maximum) between the supports unless noted otherwise on drawings.
- F. Reinforce deck openings from 6 inches to 18 inches in size with 2" x 2" x 1/4" steel angles. Place angles perpendicular to flutes, extend minimum two flutes each side of opening and weld to deck.
- G. Reinforce deck and connections to resist uplift forces at supports and flexural and torsional stresses due to discontinuity of metal deck at silo penetration.
- H. Install sheet metal closures and angle flashings to close openings between deck and walls and openings.
- 3.03 PROTECTION
 - A. Do not use deck units for storage or working platforms until permanently secured in position.
 - B. Assure that construction loads do not exceed carrying capacity of deck.

END OF SECTION 05311

DIVISION 5 - METALS Section 05500 - Miscellaneous Metals

PART 1 GENERAL

1.01 DESCRIPTION OF THE WORK

- A. Provide all labor, materials, equipment, and services required to complete the installation of all miscellaneous metals in accordance with the Contract Documents.
- B. Miscellaneous metals shall be defined as all materials custom fabricated from structural shapes.
- C. The work shall include, but not be limited to, the following principal items:
 - 1. Miscellaneous steel framing.
 - 2. Hangers, supports, closures.
 - 3. Expansion anchors, adhesive anchors, anchor bolts.

1.02 RELATED WORK

- A. Section 03300 Concrete.
- B. Section 09911 Painting.
- 1.03 REFERENCE TO STANDARDS
 - A. The materials, methods, and installation of the work under this section of the Specifications shall conform to applicable standards of the following:
 - 1. AWS American Welding Society.
 - 2. AISC American Institute of Steel Construction.
 - 3. NAAMM National Association of Architectural Metal Manufacturers.
 - 4. Engineering Data for Aluminum Structures, Aluminum Association, Inc.

1.04 QUALITY ASSURANCE

- A. All work must be first class in all respects and any members not representing a finished and workmanlike appearance will be rejected. All finished members shall be free from twists, bends, other distortions and open joints.
- B. Verify all dimensions given on the drawings and make such field measurements as are necessary to lay out this work properly. The Contractor shall be fully responsible for accuracy of all measurements and layout of the work.
- C. Field Measurements: Take field measurements prior to preparation of shop drawings and fabrication, where possible. Do not delay job progress; allow for trimming and fitting wherever taking field measurements before fabrication might delay work.

- D. Inserts and Anchorages: Furnish inserts and anchoring devices which must be set in concrete or built into masonry for installation of metal work. Provide setting drawings, templates, instructions and directions for installation of anchorage devices. Coordinate delivery with other work to avoid delay.
- E. See concrete, masonry, mechanical, electrical and other Sections of these Specifications for installation of inserts and anchorage devices.
- F. Shop Assembly: Pre-assemble items in shop to greatest extent possible to minimize field splicing and assembly. Disassemble units only as necessary for shipping and handling limitations. Clearly mark units for reassembly and coordinated installation.
- G. Codes and Standards: Comply with the provisions of the following codes, standards and specifications, except as otherwise shown and specified.
 - 1. AISC "Specifications for the Design, Fabrication and Erection of Structural Steel for Buildings", and including "Commentary of the AISC Specification".
 - 2. AISI "Specification for the Design of Cold-Formed Steel Structural Members".
 - 3. AWS "Code for Welding in Building Construction".
 - 4. ASTM A6 "General Requirements for Delivery of Rolled Steel Plates, Shapes, Sheet Piling and Bars for Structural Use".
- H. AISC Qualification for Welding Work: Qualify welding processes and welding operators in accordance with AWS "Standard Qualification Procedure".

1.05 DELIVERY, STORAGE AND HANDLING

A. Use care in storing, handling and erecting all material, and support same properly at all times to insure that no piece will be bent, twisted or otherwise damaged. Material damage shall be corrected at the Contractor's expense, to the approval of the Engineer before final acceptance.

1.06 SUBMITTALS

A. Prepare complete shop drawings and erection drawings based on current AISC Specifications. Preparation of shop drawings shall not be sublet by the steel fabricator unless written approval is obtained from the Engineer.

PART 2 PRODUCTS

- 2.01 MATERIALS AND COMPONENTS
 - A. Metal Surfaces, General: For fabrication of miscellaneous metal work which will be exposed to view, use only materials which are smooth and free of surface blemishes including pitting, seam marks, roller marks, rolled trade names and roughness.
 - B. Structural Steel Plates, Shapes and Bars: ASTM A36.

- C. Stainless Steel Sheet, Strip, Plate and Flat Bar: ASTM A666, Type 304.
- D. Structural Steel Tubing: Hot-formed, welded or seamless, ASTM A500, Grade B, 46 ksi.
- E. Cold-Drawn Steel Tubing: ASTM A512, sink drawn, butt welded, cold-finished and stress-relived.
- F. Hot-Rolled Carbon Steel Bars (and Bar-Size Shapes): ASTM A575, grade as selected by fabricator.
- G. Cold-Finished Steel Bars: ASTM A108, grade as selected by fabricator.
- H. Hot-Rolled Carbon Steel Sheets and Strips: ASTM A568 and ASTM A569; pickled and oiled.
- I. Cold-Rolled Carbon Steel Sheets: ASTM A366.
- J. Galvanized Carbon Steel Sheets: ASTM A526, with 1.26 oz. "Commercial" galvanizing, ASTM A525.
- K. Gray Iron Castings: ASTM 48, Class 30.
- L. Aluminum Castings: ASTM B26, Alloy G4A, Condition F.
- M. Aluminum Plates and Angles: Aluminum Alloy 6061-T6.
- N. Steel Pipe: ASTM A53; type as selected; Grade A; black finish unless galvanizing is required; standard weight (Schedule 40), unless otherwise shown or specified.
- O. Concrete Inserts: Threaded or wedge types, galvanized ferrous castings, either malleable iron ASTM A47 or cast steel ASTM A27. provide bolts, washers and shims as required, hot-dip galvanized ASTM A153.
- P. Drilled-In Anchors. All drilled-in concrete anchors shall be adhesive chemical anchor type or expansion anchor type, as manufactured by Hilti, ITW or approved equal. Use of expansion anchor devices shall be permitted only where shown on the drawings and where required for attachment of miscellaneous equipment. All other applications shall be adhesive chemical anchors. There will be no exceptions to this requirement. Drilled-in anchors shall be a minimum of 3/4" diameter, unless noted otherwise, with minimum embedment as required by manufacturer, or shown on the drawings, whichever is greater.
- Q. Masonry Anchorage Devices: Expansion shields complying with FS FF-S-325; as follows:

- 1. Provide lead expansion shields for machine screws and bolts 1/4" and smaller; head-out embedded nut type, single unit class, Group I, Type 1, Class 1.
- 2. Provide lead expansion shields for machine screws and bolts larger than 1/4" in size; head-out embedded nut type, multiple unit class, Group I, Type 1, Class 2.
- 3. Provide bolt anchor expansion shields for lag bolts; zinc-alloy, long-shield anchors class, Group II, Type 1, Class 1.
- 4. Provide bolt anchor expansion shields for bolts; closed-end bottom bearing class, Group II, Type 2, Class 1.
- R. Toggle Bolts: Tumble-wing type, complying with Federal Specification FF-B-588, type, class and style as required.
- S. Anchor Bolts: Conform to ASTM A36 or ASTM A307.
 - 1. Galvanize after fabrication, ASTM A153.

2.02 FASTENERS

- A. General: Provide zinc-coated fasteners for exterior use or where built into exterior walls. Select fasteners for type, grade and class required.
- B. Bolts and Nuts: Regular hexagon head type, ASTM A307, Grade A, ASTM 325, or ASTM A276, Type 304 bolts.
- C. Lag Bolts: Square head type, Federal Specification FF-B-561.
- D. Machine Screws: Cadmium plated steel, Federal Specification FF-S-92.
- E. Wood Screws: Flat head carbon steel, Federal Specification FF-S-111.
- F. Plain Washers: Round, carbon steel, Federal Specification FF-W-92.
- G. Lock Washers: Helical spring type carbon steel, Federal Specification FF-W-84.

2.03 FABRICATION - GENERAL

- A. Workmanship:
 - 1. Use materials of size and thickness shown or, if not shown, of required size and thickness to produce strength and durability in finished product. Work to dimensions shown or accepted on shop drawings, using proven details of fabrication and support. Use type of materials shown or specified for various components of work.
 - 2. Form exposed work true to line and level with accurate angles and surfaces and straight sharp edges. Ease exposed edges to a radius of approximately

1/32" unless otherwise shown. From bent-metal corners to smallest radius possible without causing grain separation or otherwise impairing work.

- 3. Weld corners and seams continuously, complying with AWS recommendations. Grind exposed welds smooth and flush, to match and blend with adjoining surfaces. All welds shall be made with E70XX electrodes and shall conform to AWS Specifications.
- 4. Form exposed connections with hairline joints, flush and smooth, using concealed fasteners wherever possible. Use exposed fasteners of type shown or, if not shown, Phillips flat-head (countersunk) screws or bolts.
- 5. Provide for anchorage of type shown, coordinated with supporting structure. Fabricate and space anchoring devices as shown and as required to provide adequate support for intended use.
- 6. Cut, reinforce, drill and tap miscellaneous metal work as required to provide adequate support for intended use.
- 7. Cut, reinforce, drill and tap miscellaneous metal work as required to receive finish hardware and similar items.
- 8. Use hot-rolled steel bars for work fabricated from bar stock, unless shown or specified to be fabricated from cold-finished or cold-rolled stock.
- 9. Verify dimensions on site prior to shop fabrication.
- 10. Fabricate items with joints tightly fitted and secured.
- 11. Fit and shop assemble in largest practical sections, for delivery to site.
- 12. Grind exposed welds flush and smooth with adjacent finished surface. Ease exposed edges to small uniform radius.
- 13. Exposed Mechanical Fastenings: Flush countersunk screws or bolts; unobtrusively located; consistent with design of structure, except where specifically noted otherwise.
- 14. Make exposed joints butt tight, flush and hairline.
- 15. Supply components required for anchorage of metal fabrications. Fabricate anchorage and related components of same material and finish as metal fabrication, except where specifically noted otherwise.
- B. Galvanizing:
 - 1. Provide a zinc coating for those items shown or specified to be galvanized, as follows:
 - a. ASTM A153 for galvanizing iron and steel hardware.

b. ASTM A123 for galvanizing rolled, pressed and forged steel shapes, plates, bars and strip 1/8" thick and heavier.

2.04 WELDING

- A. All welding, whether ship or field, shall be done by the electric-arc method. Welders and welding machine operators shall be qualified as capable for type of work as prescribed by AWS B 3.0, Welding Procedure Qualification, or other means acceptable to the Engineer.
- B. Welding shall be done in accordance with the requirements of the current "Code for Arc and Gas Welding in Building Construction" of the American Welding Society. Welding electrodes shall conform to E70 Series of ASTM A233 "Specification for Mild Steel Arc-Welding Electrodes."

2.05 BOLTED CONNECTIONS

- A. All materials and fabrication methods shall be in accordance with the "Specifications for Structural Joints Using ASTM A325 Bolts," as published by the Research Council on Riveted and Bolted Structural Joints of the Engineering Foundation.
- B. High tension bolted connections shall be bearing type. Design shall be based on allowable stress with threads in the shear plane. All high strength bolts shall have hardened washers under the turn element.
- C. No other types of bolts shall be allowed on the jobsite during steel erection.

2.06 SURFACE PREPARATION AND SHOP PAINTING

- A. Prepare all structural steel surfaces for shop painting by commercial sandblasting in accordance with SSPC-10 (near white blast).
- B. Immediately after sandblasting (on the same day) apply one shop coat of primer to all structural steel surfaces, except those surfaces which will be field welded, or those to be galvanized. All areas within two (2) inches of these field welds shall not be painted.
- C. The shop coat of primer shall conform to the brand or trade name, the coverage rate, the dry film thickness, and all other requirements as specified under Section 09900 Painting.
- D. Each structural steel member shall be clearly matchmarked in coordination with the erection shop drawings so as to aid the steel erection.
- E. After the structural steel is erected, all field welds, areas around field welds and all scratches and mars of the paint shall be power tool cleaned per SSPC-SP3 and painted as called for in Section 09900 of the Special Provisions.
- F. Anchors, sleeves and metal parts built into masonry or concrete shall be galvanized or coated with a bituminous paint.

- G. Hot-dip galvanizing for products fabricated from steel shapes, plates, bars and strips shall comply with ASTM A123. Except for bolts and nuts for field assembly, galvanize all subassemblies immediately after fabrication. Hardware shall be galvanized in compliance with ASTM A153. Galvanized materials which require repair shall be painted with a high zinc dust content paint complying with Military Specification MIL-P-2103S (Ships).
- H. Aluminum in direct contact with dissimilar metals, concrete, or masonry shall be coated with a heavy-bodied bituminous paint or covered with non-absorptive insulating tape or gasket.
- 2.07 LOOSE LINTELS
 - A. Loose lintels shall be provided for all openings as shown on the drawings and for all masonry openings for ducts and similar items.
 - B. Unless otherwise shown, lintels shall be standard structural shapes, selected for trueness of line, bearing 8 inches on each end for built-up members, or as shown on the drawings.
 - C. Size of lintels for each 4 inches of masonry thickness shall be in accordance with the following schedule, unless shown otherwise on the drawings:

| Member Size In Inches | | | |
|-----------------------|-----------------------------|--|--|
| Opening Width In Feet | (Long Leg Vertical) | | |
| | | | |
| 0-1 | Use 1/4" steel plate | | |
| 1-4 | 3-1/2 x 3-1/2 x 5/16" angle | | |
| 4-6 | 6 x 3-1/2 x 5/16" angle | | |

2.08 STEEL FRAMING

- A. Provide all miscellaneous metal framing where indicated on the drawings.
- B. All members shall be of rolled or built-up sections as indicated on the drawings or as required by structural analysis.
- C. Connections to the structures shall be as shown on the drawings. Provide proper anchorage to all masonry walls as approved by the Engineer.
- 2.09 PIPE RAILINGS (RESERVED)
- 2.10 PIPE BUMPERS (RESERVED)
- 2.11 ANCHOR BOLTS AND ADHESIVE ANCHORS
 - A. All steel anchor bolts shall be as detailed on the plans and shall be ASTM A307, with hardened washers and standard nuts, all shall be Hot Dip Galvanized.
- 2.12 ALUMINUM LADDERS (RESERVED)

2.13 ALUMINUM HATCHES (RESERVED)

PART 3 EXECUTION

3.01 INSTALLATION AND ERECTION

- A. Set all items accurately to the lines and elevations shown on the drawings.
- B. If members do not fit properly in the field, any new necessary holes shall be drilled. Material shall be cut with a hacksaw. No cutting with torch will be allowed except where specifically approved by the Engineer. Misfit holes shall be plug welded prior to drilling of new holes.
- C. Warped or bent members shall be straightened to the approval of the Engineer or shall be replaced with new material before being erected.
- D. All miscellaneous metal items shall be completely fabricated, include all parts, and be complete with bolts, anchor clips, etc. ready for installation. All work shall be erected to the proper lines and elevations in correct relation to the adjoining work.
- E. Unless otherwise shown on the drawings, secure miscellaneous metal items by means of field bolting, welding, epoxy anchoring system or similar connections. Methods of attachment shall be concealed wherever possible.
- F. Throughout the work, anchors and inserts shall be provided wherever possible for building in the adjoining work. Where lugs are shown or specified for building into adjoining masonry, the parts having lugs shall be erected in place before the masonry is built. Elsewhere, the work shall be brought to the facility in as large pieces as practicable and attached with anchors or inserts during the erection.
- G. All connections fixed to sleeves shall have members inserted into the sleeve to the proper distance, wedged tight with metal wedges, and the surrounding space shall be poured full of lead to a finish flush with the adjoining surface.
- H. There shall be no "burning" in the field without the written permission of the Engineer. If consent is given, finish burned members to an acceptable appearance, the equal of a sheared finish. Burning of holes will not be permitted either in the shop or in the field.
- I. Erect structural steel in compliance with the AISC "Specification for the Design, Fabrication & Erection of Structural Steel for Buildings" and the AISC "Code of Standard Practice."
- J. Check all levels and elevations prior to setting leveling plates. Shim with steel shims where necessary to prevent displacement of setting grout. Unless otherwise indicated, the entire area under bearing surfaces shall be grouted solid with non-shrink grout, as specified in Section 03300.
- K. Prior to assembling members, clean bearing surfaces and surfaces to be in permanent contact to remove dirt and scale.

L. Immediately after erection, clean field welds, bolted connections and abraded areas of shop paint and paint exposed areas with the same material as used for shop painting. Apply by brush or spray to provide a minimum dry film thickness of 2.0 mils.

3.02 CLEAN UP

A. The site shall be maintained free of accumulations of dunnage and rubbish resulting from erection work and upon completion, all such rubbish, as well as tools and equipment, shall be removed from the site.

END OF SECTION 05500

RELOCATE AIRFIELD ELECTRICAL VAULT

DIVISION 6 - WOOD AND PLASTICS

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06105

Miscellaneous Carpentry

06105-1 - 06105-5

Danville/07042-02 June 2007

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Rough carpentry work not specified elsewhere and generally intended for support of other work.
- B. Miscellaneous blocking, grounds, nailers and panels.
- C. Plywood.

1.02 REFERENCE TO STANDARDS

- A. ALSC American Lumber Standards Committee, Softwood Lumber Standards.
- B. APA American Plywood Association.
- C. AWPA American Wood Preservers Association.
- D. NFPA National Forest Products Association.
- E. SPIB Southern Pine Inspection Bureau.
- F. WWPA Western Wood Products Association.

1.03 SUBMITTALS

- A. Submit under the provisions of Special Conditions Section 01300.
 - 1. Material certificates for dimension lumber indicated for compliance with selected minimum design values.
 - 2. Wood treatment data including treatment plant's certification of compliance with indicated requirements.

1.04 QUALITY ASSURANCE

- A. Perform work in accordance with the Lumber Grading Agency certified by ALSC and Plywood Grading Agency certified by APA.
- B. Submit manufacturer's certificates that materials used comply with selected minimum design values.

1.05 DELIVERY, STORAGE AND HANDLING

- A. Keep materials under cover and dry. Protect against exposure to weather and contact with damp or wet surfaces. Stack material above ground level on uniformly spaced supports to prevent deformation.
- B. For material pressure treated with waterborne chemicals, place spacers between each bundle for air circulation.

PART 2 PRODUCTS

2.01 LUMBER, GENERAL

- A. Standards: Furnish lumber manufactured to comply with PS 20 "American Softwood Lumber Standard" and with applicable grading rules of inspection agencies certified by American Lumber Standards Committee's (ALSC) Board of Review.
- B. Grade Stamps: Furnish lumber with each piece factory-marked with grade stamp of inspection agency that indicates grading agency, grade, species, moisture content at time of surfacing, and mill.
 - 1. For exposed lumber, furnish pieces marked on ends or back of each piece.
- C. Sizes: Provide nominal sizes indicated, complying with PS 20 except where actual sizes are specifically noted as being required.
- D. Surfacing: Dressed lumber, S4S, unless otherwise indicated.
- E. Provide lumber with 15% moisture content at time of dressing and shipment for sizes 2" or less in thickness.

2.02 CONCEALED BOARDS

A. Standard grade, any species graded under WWPA rules or No. 3 grade Southern Pine graded under SPIB rules.

2.03 LUMBER FOR MISCELLANEOUS USES

A. Unless otherwise indicated, provide Standard grade lumber for support of other work, including bucks, nailers, blocking, furring, grounds, stripping and similar members.

2.04 PLYWOOD

- A. Exposed Plywood
 - 1. Where plywood will be exposed in finished work, provide exterior type plywood for exterior use and interior type plywood with exterior glue for interior use.
 - 2. Where transparent or natural finish or no finish is indicated, provide Exterior Type plywood for exterior use and Interior Type with exterior glue for interior use of species indicated.
 - 3. Where painted finish is indicated, provide Medium Density Overlay (MDO/EXT-APA).
- B. Concealed Plywood
 - 1. Where plywood will be concealed by other work, provide Interior Type plywood C-D Plugged Grade, unless otherwise specified.
 - 2. For backing panels for electrical or telephone equipment, provide fireretardant treated Standard grade plywood with exterior glue.

2.05 FASTENERS

- A. General: Where miscellaneous carpentry is exposed to weather, in ground contact, or in area of high relative humidity, provide fasteners with a hot-dip zinc coating per ASTM A 153 or of AISI Type 304 stainless steel.
- B. Nails, Wire, Brads and Staples: FS FF-N-105.
- C. Bolts: ASTM A 307, Grade A; with ASTM A 563 hex nuts and flat washers.

2.06 PRESERVATIVE WOOD TREATMENT

- A. General: Obtain preservative-treated lumber complying with AWPA Standard C2. Mark each treated item with AWPB or SPIB Quality Mark Requirements. Coat surfaces cut after treatment to comply with AWPA M4.
- B. Above-Ground Wood Treatment: Pressure treat with waterborne preservatives to a minimum retention of 0.25 pcf.
 - 1. Kiln-dry interior dimension lumber after treatment to 19 percent maximum moisture content.
 - 2. Kiln-dry interior construction panels after treatment to 15 percent maximum moisture content.

- 3. Treat wood items indicated and in the following circumstances:
 - a. In contact with roofing, flashing, or waterproofing.
 - b. In contact with masonry or concrete.
 - c. Within 18 inches of grade.
- C. Ground-Contact Wood Treatment: Pressure treat with waterborne preservatives to a minimum retention of 0.40 pcf.

2.07 FIRE-RETARDANT TREATMENT

- A. All wood and plywood used for interior framing construction to receive fireretardant treatment shall comply with the AWPA standards for pressure impregnation with fire-retardant chemicals to achieve a flame spread rating of not more than 25 when tested in accordance with UL Test 723, ASTM E84 or NFPA Test 355.
- B. Where treated items are indicated to receive transparent or paint finish, use fireretardant treatment which will not bleed through or adversely affect bond of finish.

PART 3 EXECUTION

- 3.01 INSTALLATION, GENERAL
 - A. Discard units of material with defects that impair quality of miscellaneous carpentry and in sizes that would require an excessive number or poor arrangement of joints.
 - B. Cut and fit miscellaneous carpentry accurately. Install members plumb and true to line and level.
 - C. Coat cut edges of preservative-treated wood to comply with AWPA M4.
 - D. Securely fasten miscellaneous carpentry as indicated and according to applicable codes and recognized standards.
 - E. Countersink nail heads on exposed carpentry work and fill holes.
 - F. Use fasteners of appropriate type and length. Predrill members when necessary to avoid splitting wood.

3.02 WOOD GROUNDS, NAILERS, BLOCKING AND SLEEPERS

A. Install where shown and where required for screeding or attachment of other work. Cut and shape to required size. Coordinate location with other work involved.

B. Attach to substrates as required to support applied loading. Countersink bolts and nuts flush with surfaces, unless otherwise indicated.

END OF SECTION 06105

RELOCATE AIRFIELD ELECTRICAL VAULT

DIVISION 7 – THERMAL AND MOISTURE PROTECTION

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Danville/07042-02 June 2007

- PART 1 GENERAL
- 1.01 DESCRIPTION OF THE WORK
 - A. Insulation required for work is indicated on drawings and includes, but is not necessarily limited to:
 - 1. Rigid wall insulation
 - B. Rigid roof insulation is specified in Section 07531.
- 1.02 RELATED WORK
 - A. Section 04200 Unit Masonry.

1.03 QUALITY ASSURANCE

- A. Thermal Conductivity: Thicknesses shown are for thermal conductivity (k-value of 75°F), specified for each material. Provide adjusted thicknesses as directed for use of material having a different thermal conductivity. Where insulation is identified by "R" value, provide thickness required to achieve indicated value.
- B. Fire Performance Characteristics
 - 1. Provide insulation materials identical to those who indicated fire performance characteristics have been determined per the ASTM test method indicated below, by UL or other testing and inspecting organizations acceptable to authorities having jurisdiction. Identify products with appropriate markings of applicable testing and inspecting organization.
 - a. ASTM E 84 Surface Burning Characteristic
 - b. ASTM E 119 Fire Resistance Ratings
 - c. ASTM E 136 Combustion Characteristics
 - 2. Fire and Insurance Ratings: Comply with fire-resistance, flammability and insurance ratings indicated and comply with governing regulations as interpreted by authorities.

1.04 SUBMITTALS

- A. All submittals shall be in accordance with Section 01300 of Division 1 of these Specifications.
- B. Product Data: Submit manufacturer's specifications and installation instructions for each type of insulation required. Include data substantiating that materials comply with specified requirements. Indicate by copy of transmittal form that installer has received copy of manufacturer's instructions.

1.05 PRODUCT HANDLING

A. Protection from Deterioration: Do not allow insulation materials to become wet or soiled or covered with ice or snow. Comply with manufacturer's recommendations for handling, storage and protection during installation.

1.06 JOB CONDITIONS

- A. Do not proceed with installation of insulation until subsequent work that conceals insulation is ready to be performed.
- PART 2 PRODUCTS

2.01 INSULATING MATERIALS

General: Provide insulating materials that comply with requirements and with referenced standards for preformed units, provide sizes to fit applications indicated, selected from manufacturers standard thickness, width and lengths.

- A. Extruded Polystyrene Board Insulation
 - 1. Rigid, polystyrene thermal insulation board with closed-cells formed by the expansion of polystyrene base resin in an extrusion process to comply with ASTM C 578 type IV 1.60 lb/cu. ft; 25 p.s.i. min. compressive strength.

2.02 MANUFACTURERS

- A. Subject to compliance with requirements, provide insulation products from the following manufactures:
 - 1. Dow: Dow Chemical Company
 - 2. Owens-Corning Co.
 - 3. Guardian Company
 - 4. Johns/Manville Corp.
- PART 3 EXECUTION
- 3.01 INSTALLATION, GENERAL
 - A. Install insulation to comply with manufacturer's written instructions applicable to products and applications indicated. If printed instructions are not available or do not apply to project conditions, consult the manufacturer's technical representative for specific recommendations before proceeding with work.
- 3.02 INSTALLATION OF RIGID INSULATION
 - A. On vertical surfaces, set units in adhesive applied in accordance with manufacturer's instructions. Use type of adhesive recommended by manufacturer of insulation.

B. Protect below-grade insulation on vertical surfaces (from damage during backfilling) by application of protection board. Set in adhesive in accordance with recommendations of manufacturer of insulation.

END OF SECTION 07210

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PART 1 GENERAL

- 1.1 SUMMARY
 - A. This Section includes adhered membrane roofing system.
 - B. Roof insulation.

1.2 SUBMITTALS

- A. Product Data: For each product indicated.
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other Work.
- C. Samples: For each product included in membrane roofing system.
- D. Research/evaluation reports.
- E. Maintenance data.

1.3 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified firm that is approved, authorized, or licensed by roofing system manufacturer to install manufacturer's product and that is eligible to receive manufacturer's warranty.
- B. Source Limitations: Obtain components for membrane roofing system approved by roofing membrane manufacturer.
- C. Fire-Test-Response Characteristics: Provide membrane roofing materials with the fire-test-response characteristics indicated as determined by testing identical products per test method below by UL, FMG, or another testing and inspecting agency acceptable to authorities having jurisdiction.
 - 1. Exterior Fire-Test Exposure: Class A; ASTM E 108, for application and roof slopes indicated.

1.4 PROJECT CONDITIONS

A. Weather Limitations: Proceed with installation only when existing and forecasted weather conditions permit roofing system to be installed according to manufacturer's written instructions and warranty requirements.

1.5 WARRANTY

A. Special Warranty: Manufacturer's standard form, without monetary limitation, in which manufacturer agrees to repair or replace components of membrane roofing

Danville/07042-02 June 2007 system that fail in materials or workmanship within specified warranty period. Failure includes roof leaks.

1. Warranty Period: 15 years from date of Substantial Completion.

PART 2 PRODUCTS

- 2.1 EPDM ROOFING MEMBRANE
 - A. EPDM Roofing Membrane: ASTM D 4637, Type I, nonreinforced uniform, flexible sheet made from EPDM, and as follows:
 - 1. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the manufacturers specified.
 - 2. Manufacturers: Subject to compliance with requirements, provide products by the manufacturers specified.
 - a. Carlisle SynTec Incorporated.
 - b. Celotex Corporation.
 - c. ERSystems.
 - d. Firestone Building Products Company.
 - e. GenFlex Roofing Systems.
 - f. International Diamond Systems.
 - g. Johns Manville International, Inc.
 - h. Mule-Hide Products Co., Inc.
 - i. Protective Coatings, Inc.
 - j. Roofing Products International, Inc.
 - k. Stafast Roofing Products.
 - I. Versico Inc.
 - 3. Thickness: 60 mils (1.5 mm), nominal.
 - 4. Exposed Face Color: Black.

2.2 AUXILIARY MATERIALS

- A. General: Auxiliary materials recommended by roofing system manufacturer for intended use and compatible with membrane roofing.
- B. Sheet Flashing: 60-mil- (1.5-mm-) thick EPDM, partially cured or cured, according to application.
- C. Bonding Adhesive: Manufacturer's standard bonding adhesive.
- D. Seaming Material: Manufacturer's standard synthetic-rubber polymer primer and 3-inch- (75-mm-) wide minimum, butyl splice tape with release film.
- E. Fasteners: Factory-coated steel fasteners and metal or plastic plates meeting corrosion-resistance provisions in FMG 4470, designed for fastening membrane to substrate, and acceptable to membrane roofing system manufacturer.

F. Miscellaneous Accessories: Provide lap sealant, water cutoff mastic, metal termination bars, metal battens, pourable sealers, preformed cone and vent sheet flashings, preformed inside and outside corner sheet flashings, T-joint covers, inseam sealants, termination reglets, cover strips, and other accessories.

2.3 ROOF INSULATION

- A. Polyisocyanurate Board Insulation: ASTM C 1289, Type as required by roofing manufacturer.
- B. Provide preformed saddles, crickets, tapered edge strips, and other insulation shapes where indicated for sloping to drain. Fabricate to slopes indicated.

2.4 INSULATION ACCESSORIES

- A. Fasteners: Factory-coated steel fasteners and metal or plastic plates meeting corrosion-resistance provisions in FMG 4470, designed for fastening roof insulation to substrate, and acceptable to roofing system manufacturer.
- B. Cold Fluid-Applied Adhesive: Manufacturer's standard cold fluid-applied adhesive formulated to adhere roof insulation to substrate.

PART 3 EXECUTION

3.1 INSULATION INSTALLATION

- A. Coordinate installing membrane roofing system components so insulation is not exposed to precipitation or left exposed at the end of the workday.
- B. Comply with membrane roofing system manufacturer's written instructions for installing roof insulation.
- C. Mechanically Fastened Insulation: Install each layer of insulation and secure to deck using mechanical fasteners specifically designed and sized for fastening specified board-type roof insulation to deck type.
 - 1. Fasten insulation to resist uplift pressure at corners, perimeter, and field of roof.

3.2 ADHERED ROOFING MEMBRANE INSTALLATION

- A. Install roofing membrane over area to receive roofing according to membrane roofing system manufacturer's written instructions. Unroll roofing membrane and allow to relax before installing.
- B. Accurately align roofing membrane and maintain uniform side and end laps of minimum dimensions required by manufacturer. Stagger end laps.
- C. Bonding Adhesive: Apply bonding adhesive to substrate and underside of roofing membrane at rate required by manufacturer and allow to partially dry. Do not apply bonding adhesive to splice area of roofing membrane.

- D. Mechanically or adhesively fasten roofing membrane securely at terminations, penetrations, and perimeter of roofing.
- E. Adhesive Seam Installation: Clean both faces of splice areas, apply splicing cement, and firmly roll side and end laps of overlapping roofing membranes according to manufacturer's written instructions to ensure a watertight seam installation. Apply lap sealant and seal exposed edges of roofing membrane terminations.
- F. Tape Seam Installation: Clean and prime both faces of splice areas, apply splice tape, and firmly roll side and end laps of overlapping roofing membranes according to manufacturer's written instructions to ensure a watertight seam installation. Apply lap sealant and seal exposed edges of roofing membrane terminations.
- G. Repair tears, voids, and lapped seams in roofing that does not meet requirements.

3.3 BASE FLASHING INSTALLATION

- A. Install sheet flashings and preformed flashing accessories and adhere to substrates according to membrane roofing system manufacturer's written instructions.
- B. Apply bonding adhesive to substrate and underside of sheet flashing at required rate and allow to partially dry. Do not apply bonding adhesive to seam area of flashing.
- C. Flash penetrations and field-formed inside and outside corners with cured or uncured sheet flashing.
- D. Clean splice areas, apply splicing cement, and firmly roll side and end laps of overlapping sheets to ensure a watertight seam installation. Apply lap sealant and seal exposed edges of sheet flashing terminations.
- E. Terminate and seal top of sheet flashings and mechanically anchor to substrate through termination bars, as shown on drawings.

3.4 FIELD QUALITY CONTROL

- A. Testing Agency: Owner will engage a qualified independent testing and inspecting agency to perform roof tests and inspections and to prepare test reports.
- B. Final Roof Inspection: Arrange for roofing system manufacturer's technical personnel to inspect roofing installation on completion and submit report to Architect.
- C. Repair or remove and replace components of membrane roofing system where test results or inspections indicate that they do not comply with specified requirements.

END OF SECTION 07531

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- PART 1 GENERAL
- 1.1 SUMMARY
 - A. This Section includes the following:
 - 1. Copings.
 - 2. Metal fascia and miscellaneous metal trim.
 - 3. Roof edge drainage systems.

1.2 PERFORMANCE REQUIREMENTS

A. FMG Listing: Manufacture and install copings that are listed in FMG's "Approval Guide" and approved for Windstorm Classification, Class 1-90. Identify materials with FMG markings.

1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show layouts of manufactured roof specialties, including plans and elevations. Identify factory- vs. field-assembled work.
- C. Samples: For each type of manufactured roof specialty indicated with factoryapplied color finishes.
- D. Product Test Reports: Verifying compliance of copings with performance requirements.
- PART 2 PRODUCTS
- 2.1 MANUFACTURERS
 - A. In other Part 2 articles where titles below introduce lists, the following requirements apply to product selection:

2.2 EXPOSED METALS

- A. Aluminum Sheet: ASTM B 209 (ASTM B 209M), alloy and temper recommended by manufacturer for use and finish indicated, finished as follows:
 - 1. Surface: Smooth, flat finish.
 - 2. High-Performance Organic Finish: Two-coat, thermocured system with color coats containing not less than 70 percent polyvinylidene fluoride resin by weight; complying with AAMA 2604.

- B. Aluminum Extrusions: ASTM B 221 (ASTM B 221M), alloy and temper recommended by manufacturer for type of use and finish indicated, finished as follows:
 - 1. High-Performance Organic Finish: Two-coat, thermocured system with color coats containing not less than 70 percent polyvinylidene fluoride resin by weight; complying with AAMA 2604.

2.3 CONCEALED METALS

- A. Aluminum Sheet: ASTM B 209 (ASTM B 209M), alloy and temper recommended by manufacturer for use and structural performance indicated, mill finished.
- B. Aluminum Extrusions: ASTM B 221 (ASTM B 221M), alloy and temper recommended by manufacturer for type of use and structural performance indicated, mill finished.
- C. Stainless-Steel Sheet: ASTM A 240/A 240M, Type 304.
- D. Zinc-Coated (Galvanized) Steel Sheet: ASTM A 653/A 653M, G90 (Z275) coating designation; structural quality.

2.4 MISCELLANEOUS MATERIALS

- A. General: Provide materials and types of fasteners, protective coatings, separators, sealants, and other miscellaneous items required by manufacturer for a complete installation.
- B. Fasteners: Manufacturer's recommended fasteners, suitable for application and designed to withstand design loads.
 - 1. Exposed Penetrating Fasteners: Gasketed screws with hex washer heads matching color of sheet metal.
- C. Elastomeric Sealant: ASTM C 920, elastomeric polyurethane polymer sealant; of type, grade, class, and use classifications required to seal joints in sheet metal flashing and trim and remain watertight.
- D. Butyl Sealant: ASTM C 1311, single-component, solvent-release butyl rubber sealant, polyisobutylene plasticized, heavy bodied for hooked-type expansion joints with limited movement.
- E. Bituminous Coating: Cold-applied asphalt mastic, SSPC-Paint 12, compounded for 15-mil (0.4-mm) dry film thickness per coat. Provide inert-type noncorrosive compound free of asbestos fibers, sulfur components, and other deleterious impurities.

2.5 COPINGS

- A. Copings: Manufactured coping system consisting of formed-metal coping cap in section lengths not exceeding 12 feet (3.6 m), concealed anchorage, concealed splice plates with same finish as coping caps, mitered corner units, and end cap units.
 - 1. Available Manufacturers:
 - a. Architectural Products Co.
 - b. ATAS International, Inc.
 - c. Castle Metal Products.
 - d. Cheney Flashing Company.
 - e. Hickman, W. P. Company.
 - f. Metal-Era, Inc.
 - g. Metal-Fab Manufacturing LLC.
 - h. Petersen Aluminum Corp.
 - 2. Coping Caps: Snap-on, fabricated from the following exposed metal:
 - a. Aluminum: 0.050 inch (1.2 mm).
 - 3. Coping Cap Color: As selected by Architect from manufacturer's full range.
 - 4. Corners: Continuously welded.
 - 5. Snap-on Coping Anchor Plates: Concealed, galvanized steel sheet, 12 inches (300 mm) wide, 0.028 inch (0.7 mm) thick, with integral cleats.
- 2.6 METAL FASCIA AND MISCELLANEOUS METAL TRIM
 - A. Manufactured and finished aluminum sheets of same specifications as gutter and downspouts.
 - 1. Provide metal sheets with smooth finish, no striations for rigidity.
 - 2. Configure metal as indicated on drawings.

2.7 ROOF EDGE DRAINAGE SYSTEMS

- A. Manufacturers:
 - 1. Architectural Products Co.
 - 2. ATAS International, Inc.
 - 3. Berger Bros. Co.
 - 4. Castle Metal Products.
 - 5. Cheney Flashing Company.
 - 6. Hickman, W. P. Company.
 - 7. Metal-Era, Inc.
 - 8. Metal-Fab Manufacturing LLC.
 - 9. MM Systems Corporation.

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- 10. Petersen Aluminum Corp.
- B. Gutters and Downspouts: Manufactured formed gutter in uniform section lengths not exceeding 16 feet (3.6 m), with mitered and welded or soldered corner units, end caps, outlet tubes, and other accessories. Elevate back edge at least 1 inch (25 mm) above front gutter rim. Furnish with flat-stock gutter straps and gutter support brackets and expansion joints and expansion-joint covers fabricated from same metal as gutters.
 - 1. Fabricate gutter from the following exposed metal:
 - a. Aluminum: 0.050 inch (1.2 mm) thick.
 - 2. Gutter Style: Beveled 6" x 6".
 - 3. Downspouts: Rectangular closed-face 4" x 5" with mitered elbows, manufactured from the following exposed metal. Furnish wall brackets, from same material and finish as downspouts, with anchors.
 - a. Formed Aluminum: 0.050 inch (1.2 mm) thick.

PART 3 EXECUTION

- 3.1 INSTALLATION
 - A. General: Install manufactured roof specialties according to manufacturer's written instructions. Anchor manufactured roof specialties securely in place and capable of resisting forces specified in performance requirements. Use fasteners, separators, sealants, and other miscellaneous items as required to complete manufactured roof specialty systems.
 - 1. Install manufactured roof specialties with provisions for thermal and structural movement.
 - 2. Torch cutting of manufactured roof specialties is not permitted.
 - B. Metal Protection: Where dissimilar metals will contact each other or corrosive substrates, protect against galvanic action by painting contact surfaces with bituminous coating or by other permanent separation as recommended by manufacturer.
 - C. Install manufactured roof specialties level, plumb, true to line and elevation, and without warping, jogs in alignment, excessive oil-canning, buckling, or tool marks.
 - D. Install manufactured roof specialties to fit substrates and to result in watertight performance. Verify shapes and dimensions of surfaces to be covered before manufacture.
 - E. Expansion Provisions: Provide for thermal expansion of exposed manufactured roof specialties. Space movement joints at a maximum of 12 feet (3.6 m) with no unplanned joints within 18 inches (450 mm) of corners or intersections.

- F. Fasteners: Use fasteners of type and size recommended by manufacturer but of sizes that will penetrate substrate not less than 1-1/4 inches (32 mm) for nails and not less than 3/4 inch (19 mm) for wood screws.
- G. Seal joints with sealant as required by manufacturer of roofing specialties.

3.2 COPING INSTALLATION

- A. Install cleats, anchor plates, and other anchoring and attachment accessories and devices with concealed fasteners.
- B. Anchor copings to resist uplift and outward forces according to performance requirements.
 - 1. Interlock face and back leg drip edges of snap-on coping cap into cleated anchor plates anchored to substrate at 30-inch (760-mm) centers.
- 3.3 ROOF EDGE DRAINAGE SYSTEM INSTALLATION
 - A. General: Install gutters and downspouts to produce a complete roof drainage system according to manufacturer's written instructions. Coordinate installation of roof perimeter flashing with installation of roof drainage system.
 - B. Gutters: Join and seal gutter lengths. Attach gutters to firmly anchored gutter brackets spaced not more than 36 inches (900 mm) apart. Slope gutters to downspouts.
 - 1. Install gutter with expansion joints at locations indicated but not exceeding 50 feet (15.2 m) apart. Install expansion joint caps.
 - C. Downspouts: Join sections with manufacturer's standard telescoping joints. Provide fasteners designed to hold downspouts securely 1 inch (25 mm) away from walls; locate fasteners at top and bottom and at approximately 60 inches (1500 mm) o.c. in between.

END OF SECTION 07710

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PART 1 GENERAL

1.1 SUMMARY

- A. This Section includes joint sealants for the following applications, including those specified by reference to this Section:
 - 1. Exterior joints in vertical surfaces and horizontal nontraffic surfaces.
 - 2. Exterior joints in horizontal traffic surfaces.
 - 3. Interior joints in vertical surfaces and horizontal nontraffic surfaces.
 - 4. Interior joints in horizontal traffic surfaces.

1.2 PERFORMANCE REQUIREMENTS

- A. Provide elastomeric joint sealants that establish and maintain watertight and airtight continuous joint seals without staining or deteriorating joint substrates.
- B. Provide joint sealants for interior applications that establish and maintain airtight and water-resistant continuous joint seals without staining or deteriorating joint substrates.

1.3 SUBMITTALS

- A. Product Data: For each joint-sealant product indicated.
- B. Samples: For each type and color of joint sealant required, provide Samples with joint sealants in 1/2-inch- (13-mm-) wide joints formed between two 6-inch- (150-mm-) long strips of material matching the appearance of exposed surfaces adjacent to joint sealants.
- C. Compatibility and adhesion test reports.

1.4 QUALITY ASSURANCE

A. Preconstruction Field-Adhesion Testing: Before installing elastomeric sealants, field test their adhesion to Project joint substrates according to the method in ASTM C 1193 that is appropriate for the types of Project joints.

1.5 WARRANTY

- A. Special Installer's Warranty: Installer's standard form in which Installer agrees to repair or replace elastomeric joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
 - 1. Warranty Period: Two years from date of Substantial Completion.
- B. Special Manufacturer's Warranty: Manufacturer's standard form in which elastomeric sealant manufacturer agrees to furnish elastomeric joint sealants to re-

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pair or replace those that do not comply with performance and other requirements specified in this Section within specified warranty period.

1. Warranty Period: Two years from date of Substantial Completion.

PART 2 PRODUCTS

2.1 MANUFACTURERS

A. Products: Subject to compliance with requirements, provide one of the products listed in other Part 2 articles.

2.2 MATERIALS, GENERAL

- A. Compatibility: Provide joint sealants, backings, and other related materials that are compatible with one another and with joint substrates under conditions of service and application, as demonstrated by sealant manufacturer, based on testing and field experience.
- B. VOC Content of Interior Sealants: Provide interior sealants and sealant primers that comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
 - 1. Architectural Sealants: 250 g/L.
 - 2. Nonmembrane Roof Sealants: 300 g/L.
 - 3. Single-Ply Roof Membrane Sealants: 450 g/L.
 - 4. Sealant Primers for Nonporous Substrates: 250 g/L.
 - 5. Sealants Primers for Porous Substrates: 775 g/L.
 - 6. Modified Bituminous Sealant Primers: 500 g/L.
- C. Colors of Exposed Joints Sealants: As selected by Architect from manufacturer's full range.

2.3 ELASTOMERIC JOINT SEALANTS

- A. Elastomeric Sealants: Comply with ASTM C 920 and other requirements indicated for each liquid-applied chemically curing sealant specified, including those referencing ASTM C 920 classifications for type, grade, class, and uses related to exposure and joint substrates.
- B. Stain-Test-Response Characteristics: Where elastomeric sealants are specified to be nonstaining to porous substrates, provide products that have undergone testing according to ASTM C 1248 and have not stained porous joint substrates indicated for Project.
- C. Suitability for Immersion in Liquids. Where elastomeric sealants are indicated for Use I for joints that will be continuously immersed in liquids, provide products that have undergone testing according to ASTM C 1247 and qualify for the length of exposure indicated by reference to ASTM C 920 for Class 1 or 2. Liquid used for testing sealants is deionized water, unless otherwise indicated.

- D. Suitability for Contact with Food: Where elastomeric sealants are indicated for joints that will come in repeated contact with food, provide products that comply with 21 CFR 177.2600.
- E. Multicomponent Nonsag Polysulfide Sealant:
 - 1. Available Products:
 - a. Pacific Polymers, Inc.; Elasto-Seal 227 Type II (Gun Grade).
 - b. Pecora Corporation; Synthacalk GC-2+.
 - c. Polymeric Systems Inc.; PSI-350.
 - d. PolySpec Corp.; T-2235-M.
 - e. PolySpec Corp.; T-2282.
 - f. PolySpec Corp.; Thiokol 2P.
 - g. Sonneborn, Division of ChemRex Inc.; Sonolastic Polysulfide Sealant.
 - 2. Type and Grade: M (multicomponent) and NS (nonsag).
 - 3. Class: 25.
 - 4. Uses Related to Exposure: T (traffic), NT (nontraffic), T (traffic) and NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- F. Multicomponent Nonsag Immersible Polysulfide Sealant:
 - 1. Available Products:
 - a. Pecora Corporation; GC-2+
 - b. PolySpec Corp.; T-2235-M.
 - 2. Type and Grade: M (multicomponent) and NS (nonsag).
 - 3. Class: 25.
 - 4. Uses Related to Exposure: T (traffic), NT (nontraffic), and I (immersible), Class 1.
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- G. Multicomponent Pourable Polysulfide Sealant:
 - 1. Available Products:
 - a. Meadows, W. R., Inc.; Deck-O-Seal.
 - b. Pacific Polymers, Inc.; Elastoseal 227 Type I (Pourable).
 - 2. Type and Grade: M (multicomponent) and P (pourable).
 - 3. Class: 25.
 - 4. Uses Related to Exposure: T (traffic) and NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.

- H. Single-Component Nonsag Polysulfide Sealant:
 - 1. Available Products:
 - a. Pacific Polymers, Inc.; Elastoseal 230 Type I (Gun Grade).
 - b. Polymeric Systems Inc.; PSI-7000.
 - 2. Type and Grade: S (single component) and NS (nonsag).
 - 3. Class: 25.
 - 4. Use Related to Exposure: NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- I. Multicomponent Nonsag Neutral-Curing Silicone Sealant:
 - 1. Available Products:
 - a. Dow Corning Corporation; 756 H.P.
 - 2. Type and Grade: M (multicomponent) and P (pourable).
 - 3. Class: 50.
 - 4. Use Related to Exposure: NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- J. Single-Component Pourable Neutral-Curing Silicone Sealant:
 - 1. Available Products:
 - a. Dow Corning Corporation; 890-SL.
 - b. Pecora Corporation; 300 Pavement Sealant (Self Leveling).
 - c. Dow Corning Corporation; SL Parking Structure Sealant.
 - 2. Type and Grade: S (single component) and P (pourable).
 - 3. Class: 100/50.
 - 4. Uses Related to Exposure: NT and T (traffic).
 - 5. Uses Related to Joint Substrates: M, A and O, as applicable to joint substrates indicated.
- K. Single-Component Neutral- and Basic-Curing Silicone Sealant:
 - 1. Available Products:
 - a. Dow Corning Corporation; 790.
 - b. GE Silicones; SilPruf LM SCS2700.
 - c. Tremco; Spectrem 1 (Basic).
 - d. GE Silicones; SilPruf SCS2000.
 - e. Pecora Corporation; 864.
 - f. Pecora Corporation; 890.
 - g. Polymeric Systems Inc.; PSI-641.
 - h. Sonneborn, Division of ChemRex Inc.; Omniseal.

- i. Tremco; Spectrem 3.
- j. Dow Corning Corporation; 791.
- k. Dow Corning Corporation; 795.
- I. GE Silicones; SilPruf NB SCS9000.
- m. GE Silicones; UltraPruf II SCS2900.
- n. Pecora Corporation; 865.
- o. Pecora Corporation: 895.
- p. Pecora Corporation: 898.
- 2. Type and Grade: S (single component) and NS (nonsag).
- 3. Class: 50.
- 4. Use Related to Exposure: NT (nontraffic).
- 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- 6. Stain-Test-Response Characteristics: Nonstaining to porous substrates per ASTM C 1248.
- L. Single-Component Neutral-Curing Silicone Sealant:
 - 1. Available Products:
 - a. Dow Corning Corporation; 799.
 - b. GE Silicones; UltraGlaze SSG4000.
 - c. GE Silicones; UltraGlaze SSG4000AC.
 - d. Polymeric Systems Inc.; PSI-631.
 - e. Schnee-Morehead, Inc.; SM5731 Poly-Glaze Plus.
 - f. Tremco; Proglaze SG.
 - g. Tremco; Spectrem 2.
 - h. Tremco; Tremsil 600.
 - 2. Type and Grade: S (single component) and NS (nonsag).
 - 3. Class: 25.
 - 4. Use Related to Exposure: NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- M. Single-Component Acid-Curing Silicone Sealant:
 - 1. Available Products:
 - a. Bostik Findley; Chem-Calk 1200.
 - b. Dow Corning Corporation; 999-A.
 - c. Dow Corning Corporation; Trademate Glazing.
 - d. GE Silicones; Construction SCS1200.
 - e. GE Silicones; Contractors SCS1000.
 - f. GE Silicones; Sanitary SCS1700.
 - g. Pecora Corporation; 860.
 - h. Polymeric Systems Inc.; PSI-601.
 - i. Polymeric Systems Inc.; PSI-613.
 - j. Schnee-Morehead, Inc.; SM5732 Polyglaze.
 - k. Sonneborn, Division of ChemRex Inc.; OmniPlus.

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- I. Tremco; Proglaze.
- m. Tremco; Tremsil 200.
- 2. Type and Grade: S (single component) and NS (nonsag).
- 3. Class: 25.
- 4. Use Related to Exposure: NT (nontraffic).
- 5. Uses Related to Joint Substrates: G, A, and, as applicable to joint substrates indicated, O.
- N. Single-Component Mildew-Resistant Neutral-Curing Silicone Sealant:
 - 1. Available Products:
 - a. Pecora Corporation; 898.
 - b. Tremco; Tremsil 600 White.
 - 2. Type and Grade: S (single component) and NS (nonsag).
 - 3. Class: 25.
 - 4. Use Related to Exposure: NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- O. Single-Component Mildew-Resistant Acid-Curing Silicone Sealant:
 - 1. Available Products:
 - a. Dow Corning Corporation; 786 Mildew Resistant.
 - b. GE Silicones; Sanitary SCS1700.
 - c. Tremco; Tremsil 200 Clear.
 - 2. Type and Grade: S (single component) and NS (nonsag).
 - 3. Class: 25.
 - 4. Use Related to Exposure: NT (nontraffic).
 - 5. Uses Related to Joint Substrates: G, A, and, as applicable to joint substrates indicated, O.
- P. Multicomponent Nonsag Urethane Sealant:
 - 1. Available Products:
 - a. Pecora Corporation; Dynatrol II.
 - b. Tremco; Dymeric 511.
 - c. Tremco; Vulkem 922.
 - 2. Type and Grade: M (multicomponent) and NS (nonsag).
 - 3. Class: 50.
 - 4. Uses Related to Exposure: NT (nontraffic) and T (traffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- Q. Multicomponent Nonsag Urethane Sealant :

- 1. Available Products:
 - a. Schnee-Morehead, Inc.; Permathane SM 7200.
 - b. Sika Corporation, Inc.; Sikaflex 2c NS TG.
 - c. Sonneborn, Division of ChemRex Inc.; NP 2.
 - d. Tremco; Vulkem 227.
 - e. Tremco; Vulkem 322 DS.
- 2. Type and Grade: M (multicomponent) and NS (nonsag).
- 3. Class: 25.
- 4. Uses Related to Exposure: T (traffic) and NT (nontraffic).
- 5. Uses Related to Joint Substrates: M, [G,]A, and, as applicable to joint substrates indicated, O.
- R. Multicomponent Nonsag Urethane Sealant:
 - 1. Available Products:
 - a. Bostik Findley; Chem-Calk 500.
 - b. Pacific Polymers, Inc.; Elasto-Thane 227 R Type II (Gun Grade).
 - c. Polymeric Systems Inc.; PSI-270.
 - d. Tremco; Dymeric.
 - 2. Type and Grade: M (multicomponent) and NS (nonsag).
 - 3. Class: 25.
 - 4. Additional Movement Capability: 40 percent movement in extension and 25 percent in compression for a total of 65 percent movement.
 - 5. Use Related to Exposure: NT (nontraffic).
 - 6. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- S. Multicomponent Nonsag Urethane Sealant:
 - 1. Available Products:
 - a. Pacific Polymers, Inc.; Elasto-Thane 227 High Shore Type II (Gun Grade).
 - b. Pacific Polymers, Inc.; Elasto-Thane 227 Type II (Gun Grade).
 - c. Pecora Corporation; Dynatred.
 - d. Polymeric Systems Inc.; PSI-270.
 - 2. Type and Grade: M (multicomponent) and NS (nonsag).
 - 3. Class: 25.
 - 4. Use Related to Exposure: T (traffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- T. Multicomponent Nonsag Immersible Urethane Sealant:
 - 1. Available Products:

- a. Pacific Polymers, Inc.; Elasto-Thane 227 R Type II (Gun Grade).
- b. Pecora Corporation; Dynatred.
- c. Tremco; Vulkem 227.
- d. Tremco; Vulkem 322 DS.
- 2. Type and Grade: M (multicomponent) and NS (nonsag).
- 3. Class: 25.
- 4. Uses Related to Exposure: T (traffic), NT (nontraffic) and I (immersible), Class 1.
- 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- U. Multicomponent Pourable Urethane Sealant:
 - 1. Available Products:
 - a. Bostik Findley; Chem-Calk 550.
 - b. Meadows, W. R., Inc.; POURTHANE.
 - c. Pacific Polymers, Inc.; Elasto-Thane 227 High Shore Type I (Self Leveling).
 - d. Pacific Polymers, Inc.; Elasto-Thane 227 Type I (Self Leveling).
 - e. Pecora Corporation; Urexpan NR-200.
 - f. Polymeric Systems Inc.; PSI-270SL.
 - g. Schnee-Morehead, Inc.; Permathane SM 7201.
 - h. Tremco; THC-901.
 - i. Tremco; THC-900.
 - j. Tremco; Vulkem 245.
 - k. Pecora Corporation; Urexpan NR 300, Type H.
 - I. Pecora Corporation; Urexpan NR 300, Type M.
 - 2. Type and Grade: M (multicomponent) and P (pourable).
 - 3. Class: 25.
 - 4. Use Related to Exposure: T (traffic).
 - 5. Uses Related to Joint Substrates: M, A, and, as applicable to joint substrates indicated, O.
- V. Multicomponent Pourable Urethane Sealant:
 - 1. Available Products: ¹
 - a. Pecora Corporation; Dynatrol II-SG.
 - b. Sika Corporation, Inc.; Sikaflex 2c SL.
 - c. Sonneborn, Division of ChemRex Inc.; SL 2.
 - 2. Type and Grade: M (multicomponent) and P (pourable).
 - 3. Class: 25.
 - 4. Uses Related to Exposure: T (traffic) and NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- W. Multicomponent Pourable Immersible Urethane Sealant:

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- 1. Available Products:
 - a. Pacific Polymers, Inc.; Elasto-Thane 227 R Type II (Self Leveling).
 - b. Tremco; Vulkem 245.
- 2. Type and Grade: M (multicomponent) and P (pourable).
- 3. Class: 25.
- 4. Uses Related to Exposure: T (traffic), NT (nontraffic) and I (immersible), Class 1.
- 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- X. Single-Component Nonsag Urethane Sealant:
 - 1. Available Products:
 - a. Sika Corporation, Inc.; Sikaflex 1a.
 - b. Sonneborn, Division of ChemRex Inc.; Ultra.
 - c. Sonneborn, Division of ChemRex Inc.; NP 1.
 - d. Tremco; Vulkem 116.
 - 2. Type and Grade: S (single component) and NS (nonsag).
 - 3. Class: 25.
 - 4. Uses Related to Exposure: T (traffic) and NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- Y. Single-Component Nonsag Urethane Sealant:
 - 1. Available Products:
 - a. Bostik Findley; Chem-Calk 900.
 - b. Bostik Findley; Chem-Calk 915.
 - c. Bostik Findley; Chem-Calk 916 Textured.
 - d. Bostik Findley; Chem-Calk 2639.
 - e. Pecora Corporation; Dynatrol I-XL.
 - f. Polymeric Systems Inc.; Flexiprene 1000.
 - g. Polymeric Systems Inc.; PSI-901.
 - h. Schnee-Morehead, Inc.; Permathane SM7100.
 - i. Schnee-Morehead, Inc.; Permathane SM7108.
 - j. Schnee-Morehead, Inc.; Permathane SM7110.
 - k. Sika Corporation, Inc.; Sikaflex 15LMg
 - I. Tremco; DyMonic.
 - m Tremco; Vulkem 921.
 - n. Tremco; Vulkem 931.
 - 2. Type and Grade: S (single component) and NS (nonsag).
 - 3. Class: 50.
 - 4. Use Related to Exposure: NT (nontraffic).

- 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- Z. Multicomponent Nonsag Immersible Urethane Sealant:
 - 1. Available Products:
 - a. Tremco; Vulkem 116.
 - b. Tremco; Vulkem 921.
 - 2. Type and Grade: M (multicomponent) and P (pourable).
 - 3. Class: 25.
 - 4. Uses Related to Exposure: T (traffic) and NT (nontraffic) and I (immersible), Class 1.
 - 5. Uses Related to Joint Substrates: M, A, and, as applicable to joint substrates indicated, O.
- AA. Single-Component Pourable Urethane Sealant:
 - 1. Available Products:
 - a. Sika Corporation, Inc.; Sikaflex 1CSL.
 - b. Sonneborn, Division of ChemRex Inc.; SL 1.
 - c. Tremco; Vulkem Nova 300 SSL.
 - 2. Type and Grade: S (single component) and P (pourable).
 - 3. Class: 50.
 - 4. Uses Related to Exposure: T (traffic) and NT (nontraffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.
- BB. Single-Component Pourable Urethane Sealant:
 - 1. Available Products:
 - a. Bostik Findley; Chem-Calk 950.
 - b. Pecora Corporation; Urexpan NR-201.
 - c. Polymeric Systems Inc.; Flexiprene 952.
 - d. Schnee-Morehead, Inc.; Permathane SM7101.
 - e. Tremco; Tremflex S/L.
 - f. Tremco; Vulkem 45.
 - 2. Type and Grade: S (single component) and P (pourable).
 - 3. Class: 25.
 - 4. Use Related to Exposure: T (traffic).
 - 5. Uses Related to Joint Substrates: M, G, A, and, as applicable to joint substrates indicated, O.

2.4 SOLVENT-RELEASE JOINT SEALANTS

- A. Acrylic-Based Solvent-Release Joint Sealant: Comply with ASTM C 1311 or FS TT-S-00230.
 - 1. Available Products:
 - a. Schnee-Moorehead, Inc.; Acryl-R Acrylic Sealant.
 - b. Tremco; Mono 555.
- B. Butyl-Rubber-Based Solvent-Release Joint Sealant: Comply with ASTM C 1085.
 - 1. Available Products:
 - a. Bostik Findley; Bostik 300.
 - b. Fuller, H. B. Company; SC-0296.
 - c. Fuller, H. B. Company; SC-0288.
 - d. Pecora Corporation; BC-158.
 - e. Polymeric Systems Inc.; PSI-301.
 - f. Sonneborn, Division of ChemRex Inc.; Sonneborn Multi-Purpose Sealant.
 - g. Tremco; Tremco Butyl Sealant.
- C. Pigmented Narrow-Joint Sealant: Manufacturer's standard, solvent-releasecuring, pigmented, synthetic-rubber sealant complying with AAMA 803.3 and formulated for sealing joints 3/16 inch (5 mm) or smaller in width.
 - 1. Available Products:
 - a. Fuller, H. B. Company; SC-0289.
 - b. Schnee-Morehead, Inc.; SM 5504 Acryl-R Narrow Joint Sealant.

2.5 LATEX JOINT SEALANTS

- A. Latex Sealant: Comply with ASTM C 834, Type O P, Grade NF.
- B. Available Products:
 - 1. Bostik Findley; Chem-Calk 600.
 - 2. Pecora Corporation; AC-20+.
 - 3. Schnee-Morehead, Inc.; SM 8200.
 - 4. Sonneborn, Division of ChemRex Inc.; Sonolac.
 - 5. Tremco; Tremflex 834.

2.6 ACOUSTICAL JOINT SEALANTS

- A. Acoustical Sealant for Exposed and Concealed Joints: Manufacturer's standard nonsag, paintable, nonstaining latex sealant complying with ASTM C 834 that effectively reduces airborne sound transmission through perimeter joints and openings in building construction as demonstrated by testing representative assemblies according to ASTM E 90.
 - 1. Available Products:

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- a. Pecora Corporation; AC-20 FTR Acoustical and Insulation Sealant.
- b. United States Gypsum Co.; SHEETROCK Acoustical Sealant.
- B. Acoustical Sealant for Concealed Joints: Manufacturer's standard, nondrying, nonhardening, nonskinning, nonstaining, gunnable, synthetic-rubber sealant recommended for sealing interior concealed joints to reduce airborne sound transmission.
 - 1. Available Products:
 - a. Pecora Corporation; BA-98.
 - b. Tremco; Tremco Acoustical Sealant.
- 2.7 PREFORMED JOINT SEALANTS
 - A. Preformed Silicone-Sealant System: Manufacturer's standard system consisting of precured low-modulus silicone extrusion, in sizes to fit joint widths indicated, combined with a neutral-curing silicone sealant for bonding extrusions to substrates.
 - 1. Available Products:
 - a. Dow Corning Corporation; 123 Silicone Seal.
 - b. GE Silicones; UltraSpan US1100.
 - c. Pecora Corporation; Sil-Span.
 - d. Tremco; Spectrem Ez Seal.
 - B. Preformed Foam Sealant: Manufacturer's standard mildew-resistant, nonmigratory, nonstaining, preformed, precompressed, open-cell foam sealant that is manufactured from high-density urethane foam impregnated with a nondrying, water-repellent agent.
 - 1. Available Products:
 - a. EMSEAL Joint Systems, Ltd.; Emseal 25V.
 - b. illbruck Sealant Systems, Inc.; Wilseal 600.
 - c. Polytite Manufacturing Corporation; Polytite B.
 - d. Polytite Manufacturing Corporation; Polytite Standard.
 - e. Sandell Manufacturing Co., Inc.; Polyseal.
 - f. Density: Manufacturer's standard.

2.8 JOINT-SEALANT BACKING

- A. General: Provide sealant backings of material and type that are nonstaining; are compatible with joint substrates, sealants, primers, and other joint fillers; and are approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.
- B. Cylindrical Sealant Backings: ASTM C 1330, Type C (closed-cell material with a surface skin), O (open-cell material), B (bicellular material with a surface skin), or

any of the preceding types, as approved in writing by joint-sealant manufacturer for joint application indicated, and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance:

- C. Elastomeric Tubing Sealant Backings: Neoprene, butyl, EPDM, or silicone tubing complying with ASTM D 1056, nonabsorbent to water and gas, and capable of remaining resilient at temperatures down to minus 26 deg F (minus 32 deg C). Provide products with low compression set and of size and shape to provide a secondary seal, to control sealant depth, and to otherwise contribute to optimum sealant performance.
- D. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint where such adhesion would result in sealant failure. Provide self-adhesive tape where applicable.

2.9 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.
- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

PART 3 EXECUTION

- 3.1 PREPARATION
 - A. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants.
 - 1. Remove all foreign material from joint substrates that could interfere with adhesion of joint sealant.
 - a. Clean porous joint substrate surfaces by brushing, grinding, blast cleaning, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants. Remove loose particles remaining after cleaning operations above by vacuuming or blowing out joints with oil-free compressed air.
 - 2. Remove laitance and form-release agents from concrete.

- a. Clean nonporous surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants.
- B. Joint Priming: Prime joint substrates, where recommended in writing by jointsealant manufacturer, based on preconstruction joint-sealant-substrate tests or prior experience. Apply primer to comply with joint-sealant manufacturer's written instructions. Confine primers to areas of joint-sealant bond; do not allow spillage or migration onto adjoining surfaces.
- C. Masking Tape: Use masking tape where required to prevent contact of sealant with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

3.2 INSTALLATION

- A. Sealant Installation Standard: Comply with recommendations in ASTM C 1193 for use of joint sealants as applicable to materials, applications, and conditions indicated.
- B. Acoustical Sealant Application Standard: Comply with recommendations in ASTM C 919 for use of joint sealants in acoustical applications as applicable to materials, applications, and conditions indicated.
- C. Install sealant backings of type indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
 - 1. Do not leave gaps between ends of sealant backings.
 - 2. Do not stretch, twist, puncture, or tear sealant backings.
 - 3. Remove absorbent sealant backings that have become wet before sealant application and replace them with dry materials.
- D. Install bond-breaker tape behind sealants where sealant backings are not used between sealants and backs of joints.
- E. Install sealants using proven techniques that comply with the following and at the same time backings are installed:
 - 1. Place sealants so they directly contact and fully wet joint substrates.
 - 2. Completely fill recesses in each joint configuration.
 - 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.
- F. Tooling of Nonsag Sealants: Immediately after sealant application and before skinning or curing begins, tool sealants according to requirements specified below to form smooth, uniform beads of configuration indicated; to eliminate air pockets; and to ensure contact and adhesion of sealant with sides of joint.

- 1. Remove excess sealant from surfaces adjacent to joints.
- 2. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.
- 3. Provide concave joint configuration per Figure 5A in ASTM C 1193, unless otherwise indicated.
- G. Installation of Preformed Silicone-Sealant System: Comply with manufacturer's written instructions.
- H. Installation of Preformed Foam Sealants: Install each length of sealant immediately after removing protective wrapping, taking care not to pull or stretch material, producing seal continuity at ends, turns, and intersections of joints. For applications at low ambient temperatures where expansion of sealant requires acceleration to produce seal, apply heat to sealant in compliance with sealant manufacturer's written instructions.
- I. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of joint sealants and of products in which joints occur.

END OF SECTION 07920

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PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Concealed-fastener, lap-seam metal wall panels.

1.2 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Provide metal wall panel assemblies capable of withstanding the effects the following loads and stresses within limits and under conditions indicated, based on testing according to ASTM E 1592:
 - 1. Wind Loads: Determine loads based on the following minimum design wind pressures:
 - a. Uniform pressure of 20 lbf/sq. ft. (957 Pa, acting inward or outward.

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2. Deflection Limits: Metal wall panel assemblies shall withstand wind loads with horizontal deflections no greater than 1/180 of the span.

1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show fabrication and installation layouts of metal wall panels; details of edge conditions, joints, panel profiles, corners, anchorages, attachment system, trim, flashings, closures, and accessories; and special details. Distinguish between factory-, shop- and field-assembled work.
- C. Samples: For each type of exposed finish required.
- D. Product test reports.
- E. Maintenance data.
- F. Warranties: Samples of special warranties.

1.4 QUALITY ASSURANCE

A. Installer Qualifications: An employer of workers trained and approved by manufacturer.

B. Fire-Resistance Ratings: Where indicated, provide metal wall panels identical to those of assemblies tested for fire resistance per ASTM E 119 by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.

1.5 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of metal wall panel assemblies that fail in materials or workmanship within specified warranty period.
 - 1. Warranty Period: Two years from date of Substantial Completion.
- B. Special Warranty on Panel Finishes: Manufacturer's standard form in which manufacturer agrees to repair finish or replace metal wall panels that show evidence of deterioration of factory-applied finishes within specified warranty period.
 - 1. Finish Warranty Period: 20 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PANEL MATERIALS

- A. Metallic-Coated Steel Sheet: Restricted flatness steel sheet metallic coated by the hot-dip process and prepainted by the coil-coating process to comply with ASTM A 755/A 755M.
 - 1. Zinc-Coated (Galvanized) Steel Sheet: ASTM A 653/A 653M, G90 (Z275) coating designation; structural quality.
 - 2. Aluminum-Zinc Alloy-Coated Steel Sheet: ASTM A 792/A 792M, Class AZ50 coating designation, Grade 40 (Class AZM150 coating designation, Grade 275); structural quality.
 - 3. Surface: Smooth, flat finish.
 - 4. Exposed Coil-Coated Finish:
 - a. 2-Coat Fluoropolymer: AAMA 621. Fluoropolymer finish containing not less than 70 percent PVDF resin by weight in color coat.
 - 5. Concealed Finish: Manufacturer's standard white or light-colored acrylic or polyester backer finish.
- B. Panel Sealants:
 - 1. Sealant Tape: Pressure-sensitive, 100 percent solids, gray polyisobutylene compound sealant tape with release-paper backing; 1/2 inch (13 mm) wide and 1/8 inch (3 mm) thick.
 - 2. Joint Sealant: ASTM C 920 as recommended in writing by metal wall panel manufacturer.

2.2 FIELD-INSTALLED THERMAL INSULATION

A. Refer to Division 07 Section "Building Insulation."

2.3 MISCELLANEOUS METAL FRAMING

- A. Miscellaneous Metal Framing, General: ASTM C 645, cold-formed metalliccoated steel sheet, ASTM A 653/A 653M, G40 (Z120) hot-dip galvanized, ASTM A 653/A 653M, G60 (Z180) hot-dip galvanized or coating with equivalent corrosion resistance unless otherwise indicated.
- B. Base or Sill Angles or Channels: 0.079-inch (2.01-mm) nominal thickness.
- C. Z-Shaped Furring: With slotted or nonslotted web, face flange of 1-1/4 inches (32 mm), wall attachment flange of 7/8 inch (22 mm), and depth required to fit insulation thickness indicated.
 - 1. Nominal Thickness: 0.025 inch (0.64 mm).

2.4 MISCELLANEOUS MATERIALS

- A. Panel Fasteners: Self-tapping screws, bolts, nuts, self-locking rivets and bolts, end-welded studs, and other suitable fasteners designed to withstand design loads. Provide exposed fasteners with heads matching color of metal wall panels by means of plastic caps or factory-applied coating. Provide EPDM, PVC, or neoprene sealing washers.
- 2.5 CONCEALED-FASTENER, LAP-SEAM METAL WALL PANELS
 - A. Provide factory-formed metal wall panels designed to be field assembled by lapping and interconnecting side edges of adjacent panels and mechanically attaching through panel to supports using concealed fasteners in side laps. Include accessories required for weathertight installation.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. AEP-Span.
 - b. Alcoa Architectural Products (USA).
 - c. Architectural Building Components.
 - d. Architectural Metal Systems.
 - e. ATAS International, Inc.
 - f. Berridge Manufacturing Company.
 - g. CENTRIA Architectural Systems.
 - h. Dimension Metals, Inc.
 - i. Fabral.
 - j. Flexospan Steel Buildings, Inc.
 - k. Industrial Building Panels.
 - I. MBCI; Div. of NCI Building Systems.
 - m. Metal-Fab Manufacturing, L.L.C.
 - n. Metal Sales Manufacturing Corporation.

- o. Metecno-Morin.
- p. Petersen Aluminum Corporation.
- q. Steelox Systems, L.L.C.
- r. United Steel Deck, Inc.; Subsidiary of Bouras Industries Inc.
- s. VICWEST; Div. of Jenisys Engineered Products.
- 2. Profile: Flush.
- 3. Material: Zinc-coated (galvanized) steel sheet, 0.040-inch (1.02-mm).
 - a. Exterior Finish: 2-coat fluoropolymer.
 - b. Color: As selected by Architect from manufacturer's full range.

2.6 ACCESSORIES

- A. Wall Panel Accessories: Provide components required for a complete metal wall panel assembly including trim, copings, fasciae, mullions, sills, corner units, clips, flashings, sealants, gaskets, fillers, closure strips, and similar items. Match material and finish of metal wall panels, unless otherwise indicated.
 - 1. Closures: Provide closures at eaves and rakes, fabricated of same metal as metal wall panels.
 - 2. Backing Plates: Provide metal backing plates at panel end splices, fabricated from material recommended by manufacturer.
 - 3. Closure Strips: Closed-cell, expanded, cellular, rubber or crosslinked, polyolefin-foam or closed-cell laminated polyethylene; minimum 1-inch-(25-mm-) thick, flexible closure strips; cut or premolded to match metal wall panel profile. Provide closure strips where indicated or necessary to ensure weathertight construction.
- B. Flashing and Trim: Formed from 0.018-inch (0.46-mm) minimum thickness, zinccoated (galvanized) steel sheet or aluminum-zinc alloy-coated steel sheet prepainted with coil coating. Provide flashing and trim as required to seal against weather and to provide finished appearance. Locations include, but are not limited to, bases, drips, sills, jambs, corners, endwalls, framed openings, rakes, fasciae, parapet caps, soffits, reveals, and fillers. Finish flashing and trim with same finish system as adjacent metal wall panels.

2.7 FABRICATION

- A. General: Fabricate and finish metal wall panels and accessories at the factory to greatest extent possible, by manufacturer's standard procedures and processes, as necessary to fulfill indicated performance requirements demonstrated by laboratory testing. Comply with indicated profiles and with dimensional and structural requirements.
- B. Fabricate metal wall panels in a manner that eliminates condensation on interior side of panel and with joints between panels designed to form weathertight seals.
- C. Provide panel profile, including major ribs and intermediate stiffening ribs, if any, for full length of panel.

D. Sheet Metal Accessories: Fabricate flashing and trim to comply with recommendations in SMACNA's "Architectural Sheet Metal Manual" that apply to the design, dimensions, metal, and other characteristics of item indicated.

PART 3 - EXECUTION

3.1 PREPARATION

A. Miscellaneous Framing: Install subgirts, base angles, sills, furring, and other miscellaneous wall panel support members and anchorages according to ASTM C 754 and metal wall panel manufacturer's written recommendations.

3.2 THERMAL INSULATION INSTALLATION

- A. Board Insulation: Extend insulation in thickness indicated to cover entire wall. Comply with installation requirements in Division 07 Section "Building Insulation."
 - 1. Erect insulation horizontally and hold in place with Z-shaped furring members spaced 24 inches (610 mm) o.c. Attach furring members to substrate with screws spaced 24 inches (610 mm) o.c.

3.3 METAL WALL PANEL INSTALLATION

- A. Lap-Seam Metal Wall Panels: Fasten metal wall panels to supports with fasteners at each lapped joint at location and spacing recommended by manufacturer.
 - 1. Lap ribbed or fluted sheets one full rib corrugation. Apply panels and associated items for neat and weathertight enclosure. Avoid "panel creep" or application not true to line.
 - 2. Provide metal-backed washers under heads of exposed fasteners bearing on weather side of metal wall panels.
 - 3. Locate and space exposed fasteners in uniform vertical and horizontal alignment. Use proper tools to obtain controlled uniform compression for positive seal without rupture of washer.
 - 4. Install screw fasteners with power tools having controlled torque adjusted to compress washer tightly without damage to washer, screw threads, or panels. Install screws in predrilled holes.
 - 5. Provide sealant tape at lapped joints of metal wall panels and between panels and protruding equipment, vents, and accessories.
 - 6. Apply a continuous ribbon of sealant tape to weather-side surface of fastenings on end laps; on side laps of nesting-type panels; on side laps of corrugated nesting-type, ribbed, or fluted panels; and elsewhere as needed to make panels weathertight.
 - 7. At panel splices, nest panels with minimum 6-inch (152-mm) end lap, sealed with butyl-rubber sealant and fastened together by interlocking clamping plates.

RELOCATE AIRFIELD ELECTRICAL VAULT

DIVISION 8 – DOORS AND WINDOWS

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3.4 ACCESSORY INSTALLATION

- A. General: Install accessories with positive anchorage to building and weathertight mounting, and provide for thermal expansion. Coordinate installation with flashings and other components.
 - 1. Install components required for a complete metal wall panel assembly including trim, copings, corners, seam covers, flashings, sealants, gaskets, fillers, closure strips, and similar items.
- B. Flashing and Trim: Comply with performance requirements, manufacturer's written installation instructions, and SMACNA's "Architectural Sheet Metal Manual." Provide concealed fasteners where possible, and set units true to line and level as indicated. Install work with laps, joints, and seams that will be permanently watertight and weather resistant.

3.5 CLEANING AND PROTECTION

- A. Remove temporary protective coverings and strippable films, if any, as metal wall panels are installed, unless otherwise indicated in manufacturer's written installation instructions. On completion of metal wall panel installation, clean finished surfaces as recommended by metal wall panel manufacturer. Maintain in a clean condition during construction.
- B. After metal wall panel installation, clear weep holes and drainage channels of obstructions, dirt, and sealant.

End of Section 074213

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Standard hollow metal doors and frames.
- B. Provide one pair of 3'-0" x 7'-0" insulated metal doors and frame as shown on drawings and specified herein.

1.2 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Include elevations, door edge details, frame profiles, metal thicknesses, preparations for hardware, and other details.
- C. Samples for Initial Selection: For units with factory-applied color finishes.
- D. Samples for Verification: For each type of exposed finish required.
- E. Schedule: Prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings.

1.3 QUALITY ASSURANCE

- A. Fire-Rated Door Assemblies: Assemblies complying with NFPA 80 that are listed and labeled by a qualified testing agency, for fire-protection ratings indicated, based on testing at positive pressure according to NFPA 252 or UL 10B, UL 10C.
- B. Fire-Rated, Borrowed-Light Frame Assemblies: Assemblies complying with NFPA 80 that are listed and labeled, by a testing and inspecting agency acceptable to authorities having jurisdiction, for fire-protection ratings indicated, based on testing according to NFPA 257 or UL 9. Label each individual glazed lite.
- C. Smoke-Control Door Assemblies: Comply with NFPA 105 or UL 1784.

PART 2 PRODUCTS

- 2.1 MANUFACTURERS
 - A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Amweld Building Products, LLC.
 - 2. Benchmark; a division of Therma-Tru Corporation.
 - 3. Ceco Door Products; an Assa Abloy Group company.

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- 4. Curries Company; an Assa Abloy Group company.
- 5. Deansteel Manufacturing Company, Inc.
- 6. Firedoor Corporation.
- 7. Fleming Door Products Ltd.; an Assa Abloy Group company.
- 8. Kewanee Corporation (The).
- 9. Mesker Door Inc.
- 10. Pioneer Industries, Inc.
- 11. Security Metal Products Corp.
- 12. Steelcraft; an Ingersoll-Rand company.
- 13. Windsor Republic Doors.

2.2 MATERIALS

- A. Cold-Rolled Steel Sheet: ASTM A 1008/A 1008M, CS, Type B; suitable for exposed applications.
- B. Hot-Rolled Steel Sheet: ASTM A 1011/A 1011M, CS, Type B.
- C. Metallic-Coated Steel Sheet: ASTM A 653/A 653M, Commercial Steel (CS), Type B; with minimum G60 (Z180) or A60 (ZF180)] metallic coating.
- D. Frame Anchors: ASTM A 591/A 591M, Commercial Steel (CS), 40Z (12G) coating designation; mill phosphatized.
 - 1. For anchors built into exterior walls, steel sheet complying with ASTM A 1008/A 1008M or ASTM A 1011/A 1011M, hot-dip galvanized according to ASTM A 153/A 153M, Class B.
- E. Inserts, Bolts, and Fasteners: Hot-dip galvanized according to ASTM A 153/A 153M.
- F. Grout: ASTM C 476, except with a maximum slump of 4 inches (102 mm), as measured according to ASTM C 143/C 143M.
- G. Mineral-Fiber Insulation: ASTM C 665, Type I.
- H. Glazing: Division 08 Section "Glazing."
- I. Bituminous Coating: Cold-applied asphalt mastic, SSPC-Paint 12, compounded for 15-mil (0.4-mm) dry film thickness per coat.

2.3 STANDARD HOLLOW METAL DOORS

- A. General: Comply with ANSI/SDI A250.8.
 - 1. Design: Flush panel .
 - 2. Core Construction: Manufacturer's standard kraft-paper honeycomb, polystyrene, polyurethane, polyisocyanurate, mineral-board, or vertical steel-stiffener core.

- a. Fire Door Core: As required to provide fire-protection ratings indicated.
- b. Thermal-Rated (Insulated) Doors: R-value of not less than 13 deg F x h x sq. ft./Btu (1.057 K x sq. m/W) when tested according to ASTM C 1363.
- 3. Vertical Edges for Single-Acting Doors: Square edge.
- 4. Top and Bottom Edges: Closed with flush or inverted 0.042-inch- (1.0mm-) thick, end closures or channels of same material as face sheets.
- 5. Tolerances: SDI 117, "Manufacturing Tolerances for Standard Steel Doors and Frames."
- B. Exterior Doors: Face sheets fabricated from metallic-coated steel sheet. Comply with ANSI/SDI A250.8 for level and model and ANSI/SDI A250.4 for physical performance level:
 - 1. Level 3 and Physical Performance Level A (Extra Heavy Duty), [Model 1 (Full Flush)]
- C. Interior Doors: Face sheets fabricated from cold-rolled steel sheet. Provide doors complying with requirements indicated below by referencing ANSI/SDI A250.8 for level and model and ANSI/SDI A250.4 for physical performance level:
 - 1. Level 2 and Physical Performance Level B (Heavy Duty), Model 1 (Full Flush).
- D. Hardware Reinforcement: ANSI/SDI A250.6.
- 2.4 STANDARD HOLLOW METAL FRAMES
 - A. General: Comply with ANSI/SDI A250.8.
 - B. Exterior Frames: Fabricated from metallic-coated steel sheet.
 - 1. Fabricate frames with mitered or coped corners.
 - 2. Fabricate frames as full profile welded unless otherwise indicated.
 - C. Interior Frames: Fabricated from cold-rolled steel sheet.
 - 1. Fabricate frames with mitered or coped corners.
 - 2. Fabricate frames as full profile welded unless otherwise indicated.
 - 3. Frames for Borrowed Lights: Same as adjacent door frame.
 - D. Hardware Reinforcement: ANSI/SDI A250.6.

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2.5 FRAME ANCHORS

A. Jamb Anchors:

- 1. Masonry Type: Adjustable strap-and-stirrup or T-shaped anchors to suit frame size, not less than 0.042 inch (1.0 mm) thick, with corrugated or perforated straps not less than 2 inches (50 mm) wide by 10 inches (250 mm) long; or wire anchors not less than 0.177 inch (4.5 mm) thick.
- 2. Stud-Wall Type: Designed to engage stud, welded to back of frames; not less than 0.042 inch (1.0 mm) thick.
- 3. Compression Type for Drywall Slip-on Frames: Adjustable compression anchors.
- 4. Postinstalled Expansion Type for In-Place Concrete or Masonry: Minimum 3/8-inch- (9.5-mm-) diameter bolts with expansion shields or inserts. Provide pipe spacer from frame to wall, with throat reinforcement plate, welded to frame at each anchor location.
- B. Floor Anchors: Formed from same material as frames, not less than 0.042 inch (1.0 mm) thick, and as follows:
 - 1. Monolithic Concrete Slabs: Clip-type anchors, with two holes to receive fasteners.
 - 2. Separate Topping Concrete Slabs: Adjustable-type anchors with extension clips, allowing not less than 2-inch (50-mm) height adjustment. Terminate bottom of frames at finish floor surface.

2.6 HOLLOW METAL PANELS

A. Provide hollow metal panels of same materials, construction, and finish as specified for adjoining hollow metal work.

2.7 STOPS AND MOLDINGS

- A. Moldings for Glazed Lites in Doors: Minimum 0.032 inch (0.8 mm) thick, same material as door face sheet.
- B. Fixed Frame Moldings: Formed integral with hollow metal frames, a minimum of 5/8 inch (16 mm) high unless otherwise indicated.
- C. Loose Stops for Glazed Lites in Frames: Minimum 0.032 inch (0.8 mm) thick, same material as frames.
- D. Terminated Stops: Where indicated, terminate stops 6 inches (152 mm) above finish floor with a 90-degree angle cut, and close open end of stop with steel sheet closure. Cover opening in extension of frame with welded-steel filler plate, with welds ground smooth and flush with frame.

2.8 LOUVERS

- A. Provide sightproof louvers for interior doors, where indicated, that comply with SDI 111C, with blades or baffles formed of 0.020-inch- (0.5-mm-) thick, cold-rolled steel sheet set into 0.032-inch- (0.8-mm-) thick steel frame.
 - 1. Fire-Rated Automatic Louvers: Movable blades closed by actuating fusible link, and listed and labeled for use in fire-rated door assemblies of type and fire-resistance rating indicated.

2.9 ACCESSORIES

- A. Mullions and Transom Bars: Join to adjacent members by welding or rigid mechanical anchors.
- B. Ceiling Struts: Minimum 1/4-inch-thick by 1-inch- (6.4-mm-thick by 25.4-mm-) wide steel.
- C. Grout Guards: Formed from same material as frames, not less than 0.016 inch (0.4 mm) thick.

2.10 FABRICATION

- A. Tolerances: Fabricate hollow metal work to tolerances indicated in SDI 117.
- B. Hollow Metal Doors:
 - 1. Exterior Doors: Provide weep-hole openings in bottom of exterior doors. Seal joints in top edges of doors against water penetration.
 - 2. Glazed Lites: Factory cut openings in doors.
 - 3. Astragals: Provide overlapping astragal on one leaf of pairs of doors where required by NFPA 80 for fire-performance rating or where indicated.
- C. Hollow Metal Frames: Where frames are fabricated in sections, provide alignment plates or angles at each joint, fabricated of same thickness metal as frames.
 - 1. Welded Frames: Weld flush face joints continuously; grind, fill, dress, and make smooth, flush, and invisible.
 - 2. [Sidelight] [and] [Transom Bar] Frames: Provide closed tubular members with no visible face seams or joints, fabricated from same material as door frame. Fasten members at crossings and to jambs by butt welding.
 - 3. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
 - 4. Grout Guards: Weld guards to frame at back of hardware mortises in frames to be grouted.
 - 5. Floor Anchors: Weld anchors to bottom of jambs and mullions with at least four spot welds per anchor.
 - 6. Jamb Anchors: Provide number and spacing of anchors as follows:

- a. Masonry Type: Locate anchors not more than 18 inches (457 mm) from top and bottom of frame. Space anchors not more than 32 inches (813 mm) o.c. and as follows:
 - 1. Two anchors per jamb up to 60 inches (1524 mm) high.
 - 2. Three anchors per jamb from 60 to 90 inches (1524 to 2286 mm) high.
 - 3. Four anchors per jamb from 90 to 120 inches (2286 to 3048 mm) high.
 - 4. Four anchors per jamb plus 1 additional anchor per jamb for each 24 inches (610 mm) or fraction thereof above 120 inches (3048 mm) high.
- b. Stud-Wall Type: Locate anchors not more than 18 inches (457 mm) from top and bottom of frame. Space anchors not more than 32 inches (813 mm) o.c. and as follows:
 - 1) Three anchors per jamb up to 60 inches (1524 mm) high.
 - 2) Four anchors per jamb from 60 to 90 inches (1524 to 2286 mm) high.
 - 3) Five anchors per jamb from 90 to 96 inches (2286 to 2438 mm) high.
 - 4) Five anchors per jamb plus 1 additional anchor per jamb for each 24 inches (610 mm) or fraction thereof above 96 inches (2438 mm) high.
 - 5) Two anchors per head for frames more than 42 inches (1066 mm) wide and mounted in metal-stud partitions.
- c. Compression Type: Not less than two anchors in each jamb.
- d. Postinstalled Expansion Type: Locate anchors not more than 6 inches (152 mm) from top and bottom of frame. Space anchors not more than 26 inches (660 mm) o.c.
- 7. Door Silencers: Except on weather-stripped doors, drill stops to receive door silencers.
 - a. Single-Door Frames: Three door silencers.
 - b. Double-Door Frames: Two door silencers.
- D. Hardware Preparation: Factory prepare hollow metal work to receive templated mortised hardware according to the Door Hardware Schedule and templates furnished as specified in Division 08 Section "Door Hardware."
 - 1. Locate hardware as indicated, or if not indicated, according to ANSI/SDI A250.8.
 - 2. Reinforce doors and frames to receive nontemplated, mortised and surface-mounted door hardware.
 - 3. Comply with applicable requirements in ANSI/SDI A250.6 and ANSI/DHI A115 Series specifications for preparation of hollow metal work for hardware.

- 4. Coordinate locations of conduit and wiring boxes for electrical connections with Division 26 electrical Sections.
- E. Stops and Moldings: Provide stops and moldings around glazed lites where indicated. Form corners of stops and moldings with butted or mitered hairline joints.
 - 1. Single Glazed Lites: Provide fixed stops and moldings welded on secure side of hollow metal work.
 - 2. Multiple Glazed Lites: Provide fixed and removable stops and moldings so that each glazed lite is capable of being removed independently.
 - 3. Provide fixed frame moldings on outside of exterior and on secure side of interior doors and frames.
 - 4. Provide loose stops and moldings on inside of hollow metal work.
 - 5. Coordinate rabbet width between fixed and removable stops with type of glazing and type of installation indicated.

2.11 STEEL FINISHES

- A. Prime Finish: Apply manufacturer's standard primer immediately after cleaning and pretreating.
 - 1. Shop Primer: ANSI/SDI A250.10.
- B. Field finish paint.
 - 1. Color and Gloss: As selected by Architect.

PART 3 EXECUTION

3.1 INSTALLATION

- A. Hollow Metal Frames: Comply with ANSI/SDI A250.11.
 - 1. Set frames accurately in position, plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces, leaving surfaces smooth and undamaged.
 - a. At fire-protection-rated openings, install frames according to NFPA 80.
 - b. Where frames are fabricated in sections because of shipping or handling limitations, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces.
 - c. Install frames with removable glazing stops located on secure side of opening.
 - d. Install door silencers in frames before grouting.
 - e. Remove temporary braces necessary for installation only after frames have been properly set and secured.
 - f. Check plumbness, squareness, and twist of frames as walls are constructed. Shim as necessary to comply with installation toler-ances.

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- g. Field apply bituminous coating to backs of frames that are filled with grout containing antifreezing agents.
- 2. Floor Anchors: Provide floor anchors for each jamb and mullion that extends to floor, and secure with postinstalled expansion anchors.
 - a. Floor anchors may be set with powder-actuated fasteners instead of postinstalled expansion anchors if so indicated and approved on Shop Drawings.
- 3. Metal-Stud Partitions: Solidly pack mineral-fiber insulation behind frames.
- 4. Masonry Walls: Coordinate installation of frames to allow for solidly filling space between frames and masonry with grout.
- 5. Concrete Walls: Solidly fill space between frames and concrete with grout. Take precautions, including bracing frames, to ensure that frames are not deformed or damaged by grout forces.
- 6. In-Place Concrete or Masonry Construction: Secure frames in place with postinstalled expansion anchors. Countersink anchors, and fill and make smooth, flush, and invisible on exposed faces.
- 7. In-Place Gypsum Board Partitions: Secure frames in place with postinstalled expansion anchors through floor anchors at each jamb. Countersink anchors, and fill and make smooth, flush, and invisible on exposed faces.
- 8. Ceiling Struts: Extend struts vertically from top of frame at each jamb to overhead structural supports or substrates above frame unless frame is anchored to masonry or to other structural support at each jamb. Bend top of struts to provide flush contact for securing to supporting construction. Provide adjustable wedged or bolted anchorage to frame jamb members.
- 9. Installation Tolerances: Adjust hollow metal door frames for squareness, alignment, twist, and plumb to the following tolerances:
 - a. Squareness: Plus or minus 1/16 inch (1.6 mm), measured at door rabbet on a line 90 degrees from jamb perpendicular to frame head.
 - b. Alignment: Plus or minus 1/16 inch (1.6 mm), measured at jambs on a horizontal line parallel to plane of wall.
 - c. Twist: Plus or minus 1/16 inch (1.6 mm), measured at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall.
 - d. Plumbness: Plus or minus 1/16 inch (1.6 mm), measured at jambs at floor.
- B. Hollow Metal Doors: Fit hollow metal doors accurately in frames, within clearances specified below. Shim as necessary.
 - 1. Non-Fire-Rated Standard Steel Doors:
 - a. Jambs and Head: 1/8 inch (3 mm) plus or minus 1/16 inch (1.6 mm).

- b. Between Edges of Pairs of Doors: 1/8 inch (3 mm) plus or minus 1/16 inch (1.6 mm).
- c. Between Bottom of Door and Top of Threshold: Maximum 3/8 inch (9.5 mm).
- d. Between Bottom of Door and Top of Finish Floor (No Threshold): Maximum 3/4 inch (19 mm).
- 2. Fire-Rated Doors: Install doors with clearances according to NFPA 80.
- 3. Smoke-Control Doors: Install doors according to NFPA 105.
- C. Glazing: Comply with installation requirements in Division 08 Section "Glazing" and with hollow metal manufacturer's written instructions.
 - 1. Secure stops with countersunk flat- or oval-head machine screws spaced uniformly not more than 9 inches (230 mm) o.c. and not more than 2 inches (50 mm) o.c. from each corner.

3.2 ADJUSTING AND CLEANING

- A. Final Adjustments: Check and readjust operating hardware items immediately before final inspection. Leave work in complete and proper operating condition. Remove and replace defective work, including hollow metal work that is warped, bowed, or otherwise unacceptable.
- B. Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of prime coat and apply touchup of compatible air-drying, rustinhibitive primer.
- C. Metallic-Coated Surfaces: Clean abraded areas and repair with galvanizing repair paint according to manufacturer's written instructions.

END OF SECTION 081113

PART 1 - GENERAL

1.1 SUMMARY

- A. This Section includes the following:
 - 1. Commercial door hardware.
 - 2. Cylinders for doors specified in other Sections.

1.2 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Details of electrified door hardware, including wiring diagrams.
- C. Samples: For each exposed finish.
- D. Other Action Submittals:
 - 1. Door Hardware Sets: Prepared by or under the supervision of Installer, detailing fabrication and assembly of door hardware, as well as procedures and diagrams.
 - a. Format: Use same scheduling sequence and format and use same door numbers as in the Contract Documents.
 - b. Content: Include the following information:
 - 1) Identification number, location, hand, fire rating, and material of each door and frame.
 - Type, style, function, size, quantity, and finish of each door hardware item. Include description and function of each lockset and exit device.
 - 3) Complete designations of every item required for each door or opening including name and manufacturer.
 - 2. Keying Schedule: Prepared by or under the supervision of Installer, detailing Owner's final keying instructions for locks.

1.3 QUALITY ASSURANCE

A. Installer Qualifications: An employer of workers trained and approved by lock manufacturer.

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1.4 DELIVERY, STORAGE, AND HANDLING

A. Deliver keys to manufacturer of key control system for subsequent delivery to Owner.

1.5 COORDINATION

A. Templates: Distribute door hardware templates for doors, frames, and other work specified to be factory prepared for installing door hardware. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing door hardware to comply with indicated requirements.

1.6 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of door hardware that fail in materials or workmanship within specified warranty period.
 - 1. Warranty Period: Three years from date of Substantial Completion.

PART 2 - PRODUCTS

- 2.1 SCHEDULED DOOR HARDWARE
 - A. General: Provide door hardware for each door to comply with requirements in this Section.
 - 1. Door Hardware Sets: Provide quantity, item, size, finish or color indicated, and products equivalent in function and comparable in quality to named products.

2.2 HINGES, GENERAL

- A. Template Requirements: Except for hinges and pivots to be installed entirely (both leaves) into wood doors and frames, provide only template-produced units.
- B. Hinge Base Metal: Unless otherwise indicated, provide the following:
 - 1. Exterior Hinges: Stainless steel, with stainless-steel pin.
 - 2. Interior Hinges: Steel, with steel pin.
 - 3. Hinges for Fire-Rated Assemblies: Stainless steel, with stainless-steel pin.
- C. Nonremovable Pins: Provide set screw in hinge barrel that, when tightened into a groove in hinge pin, prevents removal of pin while door is closed; for outswinging exterior doors.

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- D. Fasteners: Comply with the following:
 - 1. Machine Screws: For metal doors and frames. Install into drilled and tapped holes.
 - 2. Wood Screws: For wood doors and frames.
 - 3. Threaded-to-the-Head Wood Screws: For fire-rated wood doors.
 - 4. Screws: Phillips flat-head; machine screws (drilled and tapped holes) for metal doors. Finish screw heads to match surface of hinges.

2.3 HINGES

- A. Butts and Hinges: BHMA A156.1.
- B. Template Hinge Dimensions: BHMA A156.7.
- C. Available Manufacturers:
 - 1. Baldwin Hardware Corporation (BH).
 - 2. Bommer Industries, Inc. (BI).
 - 3. Cal-Royal Products, Inc. (CRP).
 - 4. Hager Companies (HAG).
 - 5. Lawrence Brothers, Inc. (LB).
 - 6. McKinney Products Company; an ASSA ABLOY Group company (MCK).
 - 7. PBB, Inc. (PBB).
 - 8. Stanley Commercial Hardware; Div. of The Stanley Works (STH).

2.4 LOCKS AND LATCHES, GENERAL

- A. Accessibility Requirements: Provide operating devices that do not require tight grasping, pinching, or twisting of the wrist and that operate with a force of not more than 5 lbf (22 N).
- B. Latches and Locks for Means of Egress Doors: Comply with NFPA 101. Latches shall not require more than 15 lbf (67 N) to release the latch. Locks shall not require use of a key, tool, or special knowledge for operation.
- C. Lock Trim:
- 1. Levers: Schlage, D-Series, Athens.
- D. Lock Throw: Comply with testing requirements for length of bolts required for labeled fire doors.
- E. Backset: 2-3/4 inches (70 mm), unless otherwise indicated.
- F. Strikes: Manufacturer's standard strike with strike box for each latchbolt or lock bolt, with curved lip extended to protect frame, finished to match door hardware set.

2.5 MECHANICAL LOCKS AND LATCHES

- A. Lock Functions: Function numbers and descriptions indicated in door hardware sets comply with the following:
 - 1. Bored Locks: BHMA A156.2.
- B. Bored Locks: BHMA A156.2, Grade 1 Series 4000.
 - 1. Available Manufacturers:
 - a. Arrow USA; an ASSA ABLOY Group company (ARW).
 - b. Corbin Russwin Architectural Hardware; an ASSA ABLOY Group company (CR).
 - c. SARGENT Manufacturing Company; an ASSA ABLOY Group company (SGT).
 - d. Schlage Commercial Lock Division; an Ingersoll-Rand Company (SCH).
 - e. Yale Commercial Locks and Hardware; an ASSA ABLOY Group company (YAL).

2.6 AUXILIARY LOCKS AND LATCHES

- A. Auxiliary Locks: BHMA A156.5, Grade 1 with interchangeable core. Single cylinder dead bolt with thumb turn.
 - 1. Manufacturers:
 - a. Arrow USA; an ASSA ABLOY Group company (ARW).
 - b. SARGENT Manufacturing Company; an ASSA ABLOY Group company (SGT).
 - c. Schlage Commercial Lock Division; an Ingersoll-Rand Company (SCH).
 - d. Yale Commercial Locks and Hardware; an ASSA ABLOY Group company (YAL).

2.7 EXIT DEVICES

- A. Exit Devices: BHMA A156.3, Grade 1, Rim Type.
- B. Accessibility Requirements: Provide operating devices that do not require tight grasping, pinching, or twisting of the wrist and that operate with a force of not more than 5 lbf (22 N).
- C. Exit Devices for Means of Egress Doors: Comply with NFPA 101. Exit devices shall not require more than 15 lbf (67 N) to release the latch. Locks shall not require use of a key, tool, or special knowledge for operation.

- D. Panic Exit Devices: Listed and labeled by a testing and inspecting agency acceptable to authorities having jurisdiction, for panic protection, based on testing according to UL 305.
- E. Fire Exit Devices: Devices complying with NFPA 80 that are listed and labeled by a testing and inspecting agency acceptable to authorities having jurisdiction, for fire and panic protection, based on testing according to UL 305 and NFPA 252.
- F. Outside Trim: Lever with cylinder, material and finish to match locksets, unless otherwise indicated.
 - 1. Match design for locksets and latchsets, unless otherwise indicated.
- G. Through Bolts: For exit devices and trim on metal doors.
- H. Available Manufacturers:
 - 1. Arrow USA; an ASSA ABLOY Group company (ARW).
 - 2. Cal-Royal Products, Inc. (CRP).
 - 3. Corbin Russwin Architectural Hardware; an ASSA ABLOY Group company (CR).
 - 4. DORMA Architectural Hardware; Member of The DORMA Group North America (DAH).
 - 5. Dor-O-Matic; an Ingersoll-Rand Company (DOR).
 - 6. Monarch Exit Devices & Door Hardware; an Ingersoll-Rand Company (MON).
 - 7. SARGENT Manufacturing Company; an ASSA ABLOY Group company (SGT).
 - 8. Von Duprin; an Ingersoll-Rand Company (VD).
 - 9. Yale Commercial Locks and Hardware; an ASSA ABLOY Group company (YAL).

2.8 LOCK CYLINDERS

- A. Standard Lock Cylinders: BHMA A156.5, Grade 1.
- B. Cylinders: Manufacturer's standard tumbler type, constructed from brass or bronze, stainless steel, or nickel silver, and complying with the following:
 - 1. Number of Pins: Six.
- C. Permanent Cores: Manufacturer's standard; finish face to match lockset; with removable cores.
- D. Construction Keying: Comply with the following:

- 1. Construction Master Keys: Provide cylinders with feature that permits voiding of construction keys without cylinder removal.
- 2. Construction Cores: Provide construction cores that are replaceable by permanent cores.
- E. Manufacturer: Same manufacturer as for locks and latches.

2.9 KEYING

- A. Keying System: Factory registered, complying with guidelines in BHMA A156.28, Appendix A. Incorporate decisions made in keying conference into master key system.
- 1. Existing System: Master key or grand master key locks to Owner's existing system.
- B. Keys: Nickel silver permanently inscribed with a visual key control number and including the notation "DO NOT DUPLICATE."
 - 1. Quantity: In addition to one extra key blank for each lock, provide three cylinder change keys and five master keys.

2.10 OPERATING TRIM

- A. Standard: BHMA A156.6.
- B. Materials: Fabricate from stainless steel, unless otherwise indicated.
- C. Available Manufacturers:
 - 1. Burns Manufacturing Incorporated (BM).
 - 2. Don-Jo Mfg., Inc. (DJO).
 - 3. Forms + Surfaces (FS).
 - 4. Hager Companies (HAG).
 - 5. Hiawatha, Inc. (HIA).
 - 6. IVES Hardware; an Ingersoll-Rand Company (IVS).
 - 7. Rockwood Manufacturing Company (RM).
 - 8. Trimco (TBM).

2.11 CLOSERS

- A. Accessibility Requirements: Comply with the following maximum opening-force requirements:
 - 1. Interior, Non-Fire-Rated Hinged Doors: 5 lbf (22.2 N) applied perpendicular to door.

- 2. Sliding or Folding Doors: 5 lbf (22.2 N) applied parallel to door at latch.
- 3. Fire Doors: Minimum opening force allowable by authorities having jurisdiction.
- B. Door Closers for Means of Egress Doors: Comply with NFPA 101. Door closers shall not require more than 30 lbf (133 N) to set door in motion and not more than 15 lbf (67 N) to open door to minimum required width.
- C. Size of Units: Unless otherwise indicated, comply with manufacturer's written recommendations for size of door closers depending on size of door, exposure to weather, and anticipated frequency of use. Provide factory-sized closers, adjustable to meet field conditions and requirements for opening force.
- D. Surface Closers: BHMA A156.4, Grade 1. Provide type of arm required for closer to be located on non-public side of door, unless otherwise indicated.
 - 1. Available Manufacturers:
 - a. Arrow USA; an ASSA ABLOY Group company (ARW).
 - b. Corbin Russwin Architectural Hardware; an ASSA ABLOY Group company (CR).
 - c. DORMA Architectural Hardware; Member of The DORMA Group North America (DAH).
 - d. Dor-O-Matic; an Ingersoll-Rand Company (DOR).
 - e. LCN Closers; an Ingersoll-Rand Company (LCN).
 - f. Norton Door Controls; an ASSA ABLOY Group company (NDC).
 - g. Rixson Specialty Door Controls; an ASSA ABLOY Group company (RIX).
 - h. SARGENT Manufacturing Company; an ASSA ABLOY Group company (SGT).
 - i. Yale Commercial Locks and Hardware; an ASSA ABLOY Group company (YAL).

2.12 PROTECTIVE TRIM UNITS

- A. Size: 1-1/2 inches (38 mm) less than door width on push side and 1/2 inch (13 mm) less than door width on pull side, by 12" height.
- B. Metal Protective Trim Units: BHMA A156.6; beveled top and 2 sides; fabricated from the following material:
 - 1. Material: 0.050-inch- (1.3-mm-) thick stainless steel.
 - 2. Available Manufacturers:
 - a. American Floor Products Co., Inc. (AFP).
 - b. Baldwin Hardware Corporation (BH).
 - c. Burns Manufacturing Incorporated (BM).
 - d. Don-Jo Mfg., Inc. (DJO).

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- e. Hager Companies (HAG).
- f. Hiawatha, Inc. (HIA).
- g. IPC Door and Wall Protection Systems, Inc.; Div. of InPro Corporation (IPC).
- h. IVES Hardware; an Ingersoll-Rand Company (IVS).
- i. Pawling Corporation (PAW).
- j. Rockwood Manufacturing Company (RM).
- k. Trimco (TBM).

2.13 STOPS AND HOLDERS

- A. Stops and Bumpers: BHMA A156.16, Grade 1.
 - 1. Provide floor stops for doors unless wall or other type stops are scheduled or indicated. Do not mount floor stops where they will impede traffic. Where floor or wall stops are not appropriate, provide overhead holders.
- B. Silencers for Door Frames: BHMA A156.16, Grade 1; neoprene or rubber; fabricated for drilled-in application to frame.
- C. Available Manufacturers:
 - 1. Architectural Builders Hardware Mfg., Inc. (ABH).
 - 2. Baldwin Hardware Corporation (BH).
 - 3. Burns Manufacturing Incorporated (BM).
 - 4. Cal-Royal Products, Inc. (CRP).
 - 5. Don-Jo Mfg., Inc. (DJO).
 - 6. Door Controls International (DCI).
 - 7. DORMA Architectural Hardware; Member of The DORMA Group North America (DAH).
 - 8. Dor-O-Matic; an Ingersoll-Rand Company (DOR).
 - 9. Glynn-Johnson; an Ingersoll-Rand Company (GJ).
 - 10. Hager Companies (HAG).
 - 11. HES, Inc.; an ASSA ABLOY Group company (HES).
 - 12. Hiawatha, Inc. (HIA).
 - 13. IVES Hardware; an Ingersoll-Rand Company (IVS).
 - 14. Rixson Specialty Door Controls; an ASSA ABLOY Group company (RIX).
 - 15. Rockwood Manufacturing Company (RM).
 - 16. SARGENT Manufacturing Company; an ASSA ABLOY Group company (SGT).
 - 17. Stanley Commercial Hardware; Div. of The Stanley Works (STH).
 - 18. Trimco (TBM).
- 2.14 DOOR GASKETING
 - A. Standard: BHMA A156.22.

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- B. General: Provide continuous weather-strip gasketing on exterior doors and provide smoke, light, or sound gasketing on interior doors where indicated or scheduled. Provide noncorrosive fasteners for exterior applications and elsewhere as indicated.
 - 1. Perimeter Gasketing: Apply to head and jamb, forming seal between door and frame.
 - 2. Meeting Stile Gasketing: Fasten to meeting stiles, forming seal when doors are closed.
 - 3. Door Bottoms: Apply to bottom of door, forming seal with threshold when door is closed.
- C. Smoke-Labeled Gasketing: Assemblies complying with NFPA 105 that are listed and labeled by a testing and inspecting agency acceptable to authorities having jurisdiction, for smoke-control ratings indicated, based on testing according to UL 1784.
 - 1. Provide smoke-labeled gasketing on 20-minute-rated doors and on smoke-labeled doors.
- D. Sound-Rated Gasketing: Assemblies that are listed and labeled by a testing and inspecting agency, for sound ratings indicated, based on testing according to ASTM E 1408.
- E. Replaceable Seal Strips: Provide only those units where resilient or flexible seal strips are easily replaceable and readily available from stocks maintained by manufacturer.
- F. Gasketing Materials: ASTM D 2000 and AAMA 701/702.
- G. Available Manufacturers:
 - 1. Hager Companies (HAG).
 - 2. M-D Building Products, Inc. (MD).
 - 3. National Guard Products (NGP).
 - 4. Pemko Manufacturing Co. (PEM).
 - 5. Reese Enterprises (RE).
 - 6. Sealeze; a unit of Jason Incorporated (SEL).
 - 7. Zero International (ZRO).

2.15 THRESHOLDS

- A. Standard: BHMA A156.21.
- B. Accessibility Requirements: Bevel raised thresholds with a slope of not more than 1:2. Provide thresholds not more than 1/2 inch (13 mm) high.
- C. Thresholds for Means of Egress Doors: Comply with NFPA 101. Maximum 1/2 inch (13 mm) high.

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- D. Available Manufacturers:
 - 1. Hager Companies (HAG).
 - 2 M-D Building Products, Inc. (MD).
 - National Guard Products (NGP). 3.
 - Pemko Manufacturing Co. (PEM). 4.
 - 5. Reese Enterprises (RE).
 - Rixson Specialty Door Controls; an ASSA ABLOY Group company (RIX). 6.
 - Sealeze; a unit of Jason Incorporated (SEL). 7.
 - Zero International (ZRO). 8.

FABRICATION 2.16

- Base Metals: Produce door hardware units of base metal, fabricated by forming Α. method indicated, using manufacturer's standard metal alloy, composition, temper, and hardness. Furnish metals of a quality equal to or greater than that of specified door hardware units and BHMA A156.18. Do not furnish manufacturer's standard materials or forming methods if different from specified standard.
- Β. Fasteners: Provide screws according to commercially recognized industry standards for application intended, except aluminum fasteners are not permitted. Provide Phillips flat-head screws with finished heads to match surface of door hardware, unless otherwise indicated.
 - 1. Comply with NFPA 80 for fasteners of door hardware in fire-rated applications.
- C. Finishes: All metallic hardware to have US26D or US32D finish.

PART 3 - EXECUTION

3.1 INSTALLATION

- Α. Steel Doors and Frames: Comply with DHI A115 Series. Drill and tap doors and frames for surface-applied door hardware according to ANSI A250.6.
- Β. Wood Doors: Comply with DHI A115-W Series.
- C. Mounting Heights: Mount door hardware units at heights indicated as follows unless otherwise indicated or required to comply with governing regulations.
 - Standard Steel Doors and Frames: DHI's "Recommended Locations for 1. Architectural Hardware for Standard Steel Doors and Frames."
- D. Install each door hardware item to comply with manufacturer's written instructions. Where cutting and fitting are required to install door hardware onto

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or into surfaces that are later to be painted or finished in another way, coordinate removal, storage, and reinstallation of surface protective trim units with finishing work specified in Division 09 Sections. Do not install surface-mounted items until finishes have been completed on substrates involved.

- E. Thresholds: Set thresholds for exterior and acoustical doors in full bed of sealant complying with requirements specified in Division 07 Section "Joint Sealants."
- Adjustment: Adjust and check each operating item of door hardware and each F. door to ensure proper operation or function of every unit. Replace units that cannot be adjusted to operate as intended. Adjust door control devices to compensate for final operation of heating and ventilating equipment and to comply with referenced accessibility requirements.
 - 1. Door Closers: Unless otherwise required by authorities having jurisdiction, adjust sweep period so that, from an open position of 70 degrees, the door will take at least 3 seconds to move to a point 3 inches (75 mm) from the latch, measured to the leading edge of the door.

3.2 DOOR HARDWARE SETS

Α. Door 101

Active Leaf:

| Active Leaf. | |
|----------------|---------------------------------------|
| 3 | Hinges |
| 1 | Closer |
| 1 | Panic exit device (rim) |
| 1 | Flat astragal |
| 1 | Weather gasket |
| 1 | Door sweep |
| 1 | Threshold (under both doors) |
| 1 | Kickplate |
| 1 | Lockset (entrance function) |
| | · · · · · · · · · · · · · · · · · · · |
| Inactive Leaf: | |

inactive Lear:

1

| 3 | Hinges |
|---|---------------|
| 2 | Surface bolts |

- 1 Weather gasket
 - Door sweep

END OF SECTION 087100

RELOCATE AIRFIELD ELECTRICAL VAULT

DIVISION 9 – PAINTING

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SECTION

PAGE NUMBER

09911

Painting

TITLE

09911-1 - 09911-5

Danville/07042-02 June 2007

DIVISION 9 - PAINTING Section 09911 - Painting

PART 1 – GENERAL

1.1 SUMMARY

- A. This Section includes surface preparation and the application of paint systems on the following substrates:
 - 1. Concrete (floor).
 - 2. Steel (door and frame).
 - 3. Galvanized metal (lintel).
- 1.2 SUBMITTALS
 - A. Product Data: For each type of product indicated.
 - B. Samples: For each finish and for each color and texture required.
 - C. Product List: Printout of current "MPI Approved Products List" for each product category specified in Part 2, with the proposed product highlighted.
- 1.3 QUALITY ASSURANCE
 - A. MPI Standards
 - 1. Products: Complying with MPI standards indicated and listed in "MPI Approved Products List."
 - 2. Preparation and Workmanship: Comply with requirements in "MPI Architectural Painting Specification Manual" for products and paint systems indicated.

PART 2 – PRODUCTS

- 2.1 PAINT, GENERAL
 - A. Material Compatibility:
 - 1. Provide materials for use within each paint system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
 - For each coat in a paint system, provide products recommended in 2. writing by manufacturers of topcoat for use in paint system and on substrate indicated
 - B. Colors: As selected by Architect from manufacturer's full range.

2.2 PRIMERS/SEALERS

- A. Alkali-Resistant Primer: MPI #3.
 - 1. VOC Content: E Range of E2.
- B. Bonding Primer (Water Based): MPI #17.
 - 1. VOC Content: E Range of E2.
- C. Bonding Primer (Solvent Based): MPI #69.
 - 1. VOC Content: E Range of E2.

2.3 METAL PRIMERS

- A. Alkyd Anticorrosive Metal Primer: MPI #79.
 - 1. VOC Content: E Range of E2.
- B. Quick-Drying Alkyd Metal Primer: MPI #76.
 - 1. VOC Content: E Range of E2.
- C. Cementitious Galvanized-Metal Primer: MPI #26.
 - 1. VOC Content: E Range of E1.
- D. Waterborne Galvanized-Metal Primer: MPI #134.
 - 1. VOC Content: E Range of E2.
 - 2. Environmental Performance Rating: EPR 2.
- E. Quick-Drying Primer for Aluminum: MPI #95.
 - 1. VOC Content: E Range of E2.
- 2.4 EXTERIOR ALKYD PAINTS
 - A. Exterior Alkyd Enamel (Flat): MPI #8 (Gloss Level 1).
 - 1. VOC Content: E Range of E1.
 - B. Exterior Alkyd Enamel (Semigloss): MPI #94 (Gloss Level 5).
 - 1. VOC Content: E Range of E2.
 - C. Exterior Alkyd Enamel (Gloss): MPI #9 (Gloss Level 6).
 - 1. VOC Content: E Range of E2.

2.5 QUICK-DRYING ENAMELS

- A. Quick-Drying Enamel (Semigloss): MPI #81 (Gloss Level 5).
 - 1. VOC Content: E Range of E2.
- B. Quick-Drying Enamel (High Gloss): MPI #96 (Gloss Level 7).
 - 1. VOC Content: E Range of E2.
- 2.6 FLOOR COATINGS
 - A. Interior/Exterior Clear Concrete Floor Sealer (Water Based): MPI #99.
 - 1. VOC Content: E Range of E2.
 - B. Interior/Exterior Clear Concrete Floor Sealer (Solvent Based): MPI #104.
 - 1. VOC Content: E Range of E2.

PART 3 – EXECUTION

- 3.1 EXAMINATION
 - A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture and content and other conditions affecting performance of work.
 - B. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
 - 1. Concrete: 12 percent.
 - 2. Masonry (Clay and CMU): 12 percent.
 - 3. Wood: 15 percent.
 - 4. Plaster: 12 percent.
 - 5. Gypsum Board: 12 percent.
 - C. Verify suitability of substrates, including surface conditions and compatibility with existing finishes and primers.
 - D. Begin coating application only after unsatisfactory conditions have been corrected and surfaces are dry.
 - 1. Beginning coating application constitutes Contractor's acceptance of substrates and conditions.

3.2 PREPARATION AND APPLICATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates and paint systems indicated.
- B. Clean substrates of substances that could impair bond of paints, including dirt, oil, grease, and incompatible paints and encapsulants.
 - 1. Remove incompatible primers and reprime substrate with compatible primers as required to produce paint systems indicated.
- C. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.
- D. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- E. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

3.3 PAINTING SCHEDULE

- A. Concrete Substrates, Traffic Surfaces:
 - 1. Clear Sealer System: MPI EXT 3.2G.
 - a. Prime Coat: Interior/exterior clear concrete floor sealer (solvent based).
 - b. Intermediate Coat: Interior/exterior clear concrete floor sealer (solvent based).
 - c. Topcoat: Interior/exterior clear concrete floor sealer (solvent based).
 - 2. Water-Based Clear Sealer System: MPI EXT 3.2H.
 - a. Prime Coat: Interior/exterior clear concrete floor sealer (water based).
 - b. Intermediate Coat: Interior/exterior clear concrete floor sealer (water based).
 - c. Topcoat: Interior/exterior clear concrete floor sealer (water based).
- B. Steel Substrates:
 - 1. Quick-Drying Enamel System: MPI EXT 5.1A.
 - a. Prime Coat: Quick-drying alkyd metal primer.
 - b. Intermediate Coat: Quick-drying enamel matching topcoat.

- c. Topcoat: Quick-drying enamel high gloss.
- 2. Alkyd System: MPI EXT 5.1D.
 - a. Prime Coat: Alkyd anticorrosive metal primer.
 - b. Intermediate Coat: Exterior alkyd enamel matching topcoat.
 - c. Topcoat: Exterior alkyd enamel (gloss).
- 3. Aluminum Paint System: MPI EXT 5.1K.
 - a. Prime Coat: Alkyd anticorrosive primer.
 - b. Intermediate Coat: Aluminum paint.
 - c. Topcoat: Aluminum paint.
- C. Galvanized-Metal Substrates:
 - 1. Latex System: MPI EXT 5.3A.
 - a. Prime Coat: Cementitious galvanized-metal primer.
 - b. Intermediate Coat: Exterior latex matching topcoat.
 - c. Topcoat: Exterior latex (gloss).
 - 2. Latex Over Water-Based Primer System: MPI EXT 5.3H.
 - a. Prime Coat: Waterborne galvanized-metal primer.
 - b. Intermediate Coat: Exterior latex matching topcoat.
 - c. Topcoat: Exterior latex (gloss).
 - 3. Alkyd System: MPI EXT 5.3B.
 - a. Prime Coat: Cementitious galvanized-metal primer.
 - b. Intermediate Coat: Exterior alkyd enamel matching topcoat.
 - c. Topcoat: Exterior alkyd enamel (gloss).

END OF SECTION 09911

RELOCATE AIRFIELD ELECTRICAL VAULT

DIVISION 10 - SPECIALTIES

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PAGE NUMBER

10520

Fire-Protection Specialties

TITLE

10520-1 - 10520-2

Danville/07042-02 June 2007

PART 1 GENERAL

1.01 SUMMARY

- A. This Section includes the following:
 - 1. Portable fire extinguishers.
 - 2. Mounting brackets for fire extinguishers.
- B. Provide one (1) wall-mounted fire extinguisher at interior of building.

1.02 SUBMITTALS

- A. Product Data: For each type of product indicated.
 - 1. Fire Extinguishers: Include rating and classification.

1.03 QUALITY ASSURANCE

- A. NFPA Compliance: Fabricate and label fire extinguishers to comply with NFPA 10, "Portable Fire Extinguishers."
- B. Fire Extinguishers: Listed and labeled for type, rating, and classification by an independent testing agency acceptable to authorities having jurisdiction.
- C. Fire-Rated Fire-Protection Cabinets: Listed and labeled to comply with requirements of ASTM E 814 for fire-resistance rating of walls where they are installed.

1.04 COORDINATION

A. Coordinate size of fire-protection cabinets to ensure that type and capacity of fire extinguishers indicated are accommodated.

PART 2 PRODUCTS

2.01 PORTABLE FIRE EXTINGUISHERS

- A. Available Manufacturers:
 - 1. JL Industries, Inc.

- 2. Larsen's Manufacturing Company.
- 3. Potter Roemer; Div. of Smith Industries, Inc.
- B. Multipurpose Dry-Chemical Type in Steel Container: UL-rated 4-A:60-B:C, 10-lb nominal capacity, with monoammonium phosphate-based dry chemical in enameled-steel container.

2.02 MOUNTING BRACKETS

- A. Mounting Brackets: Manufacturer's standard galvanized steel, designed to secure fire extinguisher to wall or structure, of sizes required for types and capacities of fire extinguishers indicated, with plated or baked-enamel finish.
 - 1. Color: Red.
- B. Identification: Lettering complying with authorities having jurisdiction for letter style, size, spacing, and location. Locate as indicated by Architect.
 - 1. Identify bracket-mounted fire extinguishers with the words "FIRE EXTINGUISHER" in red letter decals applied to mounting surface.

PART 3 EXECUTION

3.01 INSTALLATION

- A. Examine walls and partitions for suitable framing depth and blocking where recessed and semirecessed cabinets will be installed.
- B. Examine fire extinguishers for proper charging and tagging. Remove and replace damaged, defective, or undercharged units.
- C. Install fire-protection specialties in locations and at mounting heights indicated or, if not indicated, at heights acceptable to authorities having jurisdiction.
- D. Mounting Brackets: Fasten mounting brackets to surfaces, square and plumb, at locations indicated.
- E. Adjust fire-protection cabinet doors to operate easily without binding. Verify that integral locking devices operate properly.
- F. Replace fire-protection cabinets that have been damaged or have deteriorated beyond successful repair.

END OF SECTION 10520

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ITEM AR110000 - INSTALLATION OF AIRPORT UNDERGROUND ELECTRICAL DUCT

DESCRIPTION

<u>110-1.1</u> This item shall consist of the installation of the following:

- Concrete Encased Duct
- Removal of existing Concrete Encased Duct.
- Unit duct installation using directional boring

EQUIPMENT AND MATERIALS

<u>110-2.2, 2.3</u>

DELETE: These Sections.

110-2.7 PLASTIC CONDUIT

ADD: Conduits for concrete encased ducts shall be 4" inside diameter, PVC, Schedule 40 unless otherwise noted on the plans.

CONSTRUCTION METHODS

110-3.5 BACKFILLING

ADD: Backfill for duct banks under proposed pavements shall meet the requirements of Section 701-2.7 and 701-3.7.

110-3.7 REMOVAL

ADD: Duct scheduled for removal shall have all active circuits identified prior to duct removal. Where the plans do not identify the required changes to the active circuits, the Contractor shall propose a suitable solution to the Resident Engineer. The area shall be backfilled with earth materials and compacted to the satisfaction of the Resident Engineer.

METHOD OF MEASUREMENT

<u>110-4.1</u> DELETE: Entire Section.

ADD: The quantity of concrete encased duct to be paid for shall be the number of lineal feet installed, measured in place, completed and accepted. No separate measurements will be made for individual ducts in a multi-way duct system.

The quantity of duct removal to be paid for shall be the number of lineal feet of duct removed. No separate measurements will be made for individual ducts in a multi-way duct system. Investigation of the existing circuits prior to duct removal shall not be measured for payment, but shall be considered incidental to the duct removal.

Cable required for re-routing active airfield circuits out of the removed duct shall be measured for payment in accordance with Item AR108.

BASIS OF PAYMENT

<u>110-5.1</u> Payment will be made under:

Item AR110504 – 4-Way Concrete Encased Duct – per lineal foot. Item AR110900 – Remove Duct – per lineal foot.

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ITEM AR125000 - INSTALLATION OF AIRPORT LIGHTING SYSTEMS

DESCRIPTION

- <u>125-1.1</u> ADD: Airfield lighting improvements shall include:
 - Installation of new splice cans;

EQUIPMENT AND MATERIALS

125-2.1 GENERAL

ADD: Shop drawings and certifications shall be submitted for all components of this section.

The Contractor shall provide a complete itemized listing of equipment and materials proposed for incorporation into the work. Each itemization shall include an item number, the quantity of items proposed, and the name of the manufacturer. Data composed of catalog cuts, brochures, circulars, specifications and product data, and printed information in sufficient detail and scope to verify compliance with requirements of the contract documents shall be provided.

Special tools and test equipment required for maintenance and testing of the products shall be supplied by the Contractor.

Instructions necessary to check out, troubleshoot, repair, and replace components of the systems, including integrated electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting after acceptance of the system shall be provided.

125-2.9 ACCESSORIES

Base plates, cover plates, adapter plates and other required accessories shall be provided to accommodate various sizes of fixtures. Bolts shall be stainless steel.

125-2.18 OTHER ELECTRICAL EQUIPMENT

Junction boxes, transformers, circuit breakers, and all other regularly used commercial items of electrical equipment not covered by FAA equipment specifications shall conform to the applicable rulings and standards of the Institute of Electrical and Electronic Engineers or the National Electrical Manufacturers Association. When specified, test reports from a testing laboratory indicating that the equipment meets the specifications shall be supplied. In all cases, equipment shall be new and a first-grade product. This equipment shall be supplied in the quantities required for the specific project and shall incorporate the electrical and mechanical characteristics specified in the proposal and plans.

METHOD OF MEASUREMENT

<u>125-4.1</u> DELETE: Entire Section.

ADD: The quantities to be paid for under this item shall consist of:

• The quantity of splice cans to be paid for under this item shall be the number of new splice cans including associated materials installed as completed units in place, ready for operation, and accepted by the Engineer.

BASIS OF PAYMENT

<u>125-5.1</u> Payment will be made at the contract unit price for each complete splice can furnished and installed in place by the Contractor and accepted by the Engineer. This price shall be full compensation for furnishing all materials and for all preparation, removals, modifications, assembly, and installation of these materials, and for all labor, equipment, tools, and incidentals necessary to complete this item.

Payment will be made under:

Item AR125565 - Splice Can - per each.

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ITEM AR152000 - EXCAVATION AND EMBANKMENT

DESCRIPTION

The contractor shall prepare the subgrade for the new vault building floor in accordance with the following specification. In addition the subgrade for the roadway replacement will require preparation of the existing subgrade in accordance with this specification. Excavation related to the construction of foundations or underground facilities shall be governed by the specification for that component of the work.

CONSTRUCTION METHODS

152-2.14 DUST CONTROL WATERING

ADD: This work shall consist exclusively of applying water to control dust resulting from construction operations and is not intended for use in compaction of earth embankment. The Contractor shall take measures to control dust.

Dust shall be controlled by a uniform application of sprinkled water and shall be applied as directed by the Resident Engineer or Airport, in a manner meeting their approval.

Dust control watering shall not be paid for separately, but shall be considered incidental to the item requiring the dust control.

METHOD OF MEASUREMENT

<u>152-3.1</u> DELETE: This section.

ADD: Excavation and embankment shall not be measured for payment. This work shall be considered incidental to the construction of the Vault.

ADD: Dust control watering will not be measured for payment, but shall be considered incidental to the contract items for which dust control is required.

BASIS OF PAYMENT

152-4.2, 4.3, 4.4

DELETE: These sections.

ITEM AR156000 TEMPORARY AIR AND WATER POLLUTION, SOIL EROSION, AND SILTATION CONTROL

Check Sheet #8

DESCRIPTION

<u>156-1.2</u> Contractor's temporary control should include the use of erosion control fencing around the proposed disturbed area, work outside the construction limits such as borrow pit operations, equipment and material storage sites, waste areas, and temporary plant sites.

METHOD OF MEASUREMENT

<u>156-4.1</u> DELETE: This section.

ADD: the temporary erosion control measures used in the project for the proposed vault building or other incidental construction shall not be measured for payment. This work shall be considered incidental to the construction of the overall construction item.

BASIS OF PAYMENT

<u>156-5.1</u>

DELETE: These sections.

ITEM 209000 - CRUSHED AGGREGATE BASE COURSE

MATERIALS

<u>209-2.1</u> REPLACE: The first paragraph with the following:

"The crushed coarse aggregate shall be crushed stone, crushed gravel, or crushed concrete as described below:

<u>Crushed Stone</u>. Crushed stone shall be defined as the angular fragments resulting from crushing, by mechanical means, the following types of rocks quarried from undisturbed consolidated deposits: granite and similar phanerocrystaline igneous rocks; limestone; dolomite; or massive metamorphic quartzite, or similar rocks.

<u>Crushed Gravel</u>. Crushed gravel shall be the product resulting from crushing by mechanical means, and shall consist entirely of particles obtained by crushed gravel, all of which before crushing will be retained on a 1 inch screen. If approved by the Engineer, final product gradations may be obtained by screening or blending various sizes of crushed gravel material.

<u>Crushed Concrete</u>. Crushed concrete shall be the angular fragments resulting from crushing Portland cement concrete by mechanical means. The acceptance and use of crushed concrete shall be according to the latest Bureau of Materials and Physical Research policy memorandum. Evidence of this acceptance must be provided to the Resident Engineer."

DELETE: The second paragraph and replace with the following:

"The crushed stone shall consist of hard, durable particles or fragments of stone, free from dirt or other objectionable matter."

REPLACE: The third and fourth paragraphs with the following:

"The crushed coarse aggregate shall conform to the following quality requirements:

| QUALITY TEST (IDOT D Quality | PERCENT |
|----------------------------------------------------|---------|
| Na ₂ SO ₄ Soundness, 5 Cycle | |
| ASTM C 88 Max. % Loss | 25 |
| Los Angeles Abrasion | |
| ASTM C 131 Max. % Loss | 45 |

The aggregate shall be free from vegetation, lumps, or excessive amounts of clay and other objectionable substances.

CHANGE: Gradation "B" in Table 1 to the following:

| | B |
|----------------------|-------------|
| SIEVE | 1 1/2" MAX. |
| 1 1/2 Inch (37.5 mm) | 100 |
| 1 Inch (25 mm) | 90-100 |
| 3/4 Inch (19 mm) | |
| 1/2 Inch (12.5 mm) | 60-90 |
| No. 4 (4.75 mm) | 30-56 |
| No. 16 (1.18 mm) | 10-40 |
| No. 200 (0.075 mm) | 4-12 |
| IDOT Gradations | CA-6 |

DELETE: The ninth paragraph and replace with the following:

The portion of the base aggregate, including any blended material, passing the No. 40 mesh sieve shall have a plasticity index of not more than 4 when tested in accordance with ASTM D 4318.

METHOD OF MEASUREMENT

<u>209-4.1</u> DELETE: This section.

ADD: the crushed aggregate base used in the project for the roadway replacement, below the proposed vault building or other incidental construction shall not be measured for payment. This work shall be considered incidental to the construction of the overall construction item.

BASIS OF PAYMENT

<u>209-5.1</u>

DELETE: These sections.

ITEM AR501001 - PORTLAND CEMENT CONCRETE PAVEMENT - METHOD I

Check Sheet #27

501-1.1 ADD:

The proposed PCC pavement and PCC curb is to be constructed over the proposed conduits/ ducts installed below existing pavements at the locations shown in the plans. The intention is to utilize a currently approved IDOT- Division of Highways PCC mix design and construct these new pavements utilizing the construction methods outlined herein.

MATERIALS

501-2.1 thru 501-2.12

ADD:

The contractor may substitute a currently approved IDOT- Division of Highways PCC Pavement mix design to the engineer for use on this project. The proposed mix design shall be currently being utilized on an ongoing IDOT project and all the aggregates shall be equal in quality to those specified under AR501001.

CONSTRUCTION METHODS

501-3.1(g) DRILLING MACHINE

The machine used for drilling the holes for dowel bars in the face of the pavement shall be capable of drilling the size and depth of holes as shown on the plans. A drill support system using the pavement surface as a reference shall be required to assure hole alignment at the specified depth of the PCC pavement. Hand-held tools will not be allowed.

501-3.2 FORM SETTING

ADD: No formed areas shall be poured until the Resident Engineer has checked and accepted the form work for both alignment and elevation.

501-3.3 CONDITIONING OF UNDERLYING COURSE. SIDE-FORM CONSTRUCTION

ADD: to minimize the potential for settlement of the proposed pavements, all over excavation shall be filled with material meeting the requirements of ITEM 209. Any grading, compacting, or furnishing and installing stabilizing materials shall be considered incidental to the project and no separate payment will be made. All areas shall be constructed true to grade and acceptable to the Resident Engineer prior to paving.

501-3.6(a) Proportions

Delete the first paragraph and replace with the following:

Proportioning requirements for the concrete shall be designed for field compressive strength of 4,000psi at 28 days.

501-3.6(b) Proportions

Delete: This section.

501-3.7 Field Test Specimens

Delete the first and second paragraphs and replace with the following:

Concrete samples shall be taken in the field by the contractor to determine consistency (slump), air content and strength of the concrete. A minimum of one random compressive strength sample shall be taken for each patch constructed. A sample shall consist of two (2) cylinders for compression strength testing. All samples shall be prepared in accordance with ASTM C 31 and tested in accordance with ASTM C 39 or ASTM C78.

501-3.12(a)(1) LONGITUDINAL AND TRANSVERSE JOINTS

ADD: New pavement slabs that are broken or contain random cracks shall be removed and replaced at the Contractor's expense. Removal of partial slabs is not permitted. Panel removal and replacement shall be full depth, shall be full width of the slab and the limits of removal shall be perpendicular to the paving lane and to each original transverse joint. Removal and replacement methods shall be approved by the Engineer prior to initiation of the repairs.

501-3.12(b) INSTALLATION

ADD: All joints shall be sawcut. Only self-propelled diamond blade saws with water cooling shall be used on this project. No dry sawing or inserts will be allowed.

After each joint is sawed, the sawcut and the adjacent concrete surface shall be thoroughly cleaned to remove all extraneous material including slurry.

<u>501-3.12(c)(4)</u> <u>DOWELED</u>

ADD:

Dowel holes shall be drilled at the specified depth of the existing pavement, parallel to the grade and perpendicular to the centerline of the pavement with a tolerance of 1/8-inch. The drilling operation shall not crack or excessively spall the pavement.

Immediately prior to installing the dowel bars, the dowel holes shall be thoroughly cleaned of drilling debris. Dust and debris shall be blown from the joint or crack with a power brush/blower or with compressed air. If compressed air is used, the pneumatic tool lubricator must be bypassed and a filter installed on the discharge valve to keep water and oil out of the lines. The dowel bars shall be clean and free from rust.

The adhesive shall be of a consistency such that the dowel may be easily inserted into the hole, with adhesive flow completely surrounding the dowel, and without appreciable runout of adhesive after the dowel is fully inserted. (The consistency of the adhesive should be thicker than the consistency recommended by the manufacturer's directions.) The adhesive shall be injected or rodded to the back of the dowel hole to eliminate air pockets prior to inserting the dowel. The dowel shall not be used to push the adhesive to the back of the hole. The quantity of adhesive used shall be such that the adhesive is dispersed along the entire length of the dowel and voids are completely filled. After the adhesive has been positioned at the back of the hole, the dowel shall be fully inserted, using a back-and-forth twisting motion, leaving half of the dowel exposed. If it is necessary to use a hammer to aid in seating the dowel, the exposed end of the dowel shall be protected with a wood block.

501-3.14 SURFACE TEXTURE

ADD: The surface of the pavement shall be finished with a broom finish.

501-3.16 SURFACE TEST

ADD: The Contractor shall furnish the Engineer with the size and type of straightedge required to check the pavement components as directed in the various sections of these specifications.

501-3.17 CURING

(a) <u>Impervious Membrane Method</u> shall be utilized for this project.

ADD: The approved curing media shall be applied uniformly to all surfaces of the pavement, including exposed edges. Membrane curing compounds shall be applied on all concrete surfaces from a suitable mechanical application device.

Care shall be taken when this method of curing is used. Should conditions prevail such that curing material is being blown toward buildings or aircraft, appropriate measures shall be taken to eliminate the problems as directed by the Resident Engineer. The curing membrane shall be sprayed as soon as possible without damage to the pavement surface. Excessive delays in application of the membrane resulting in shrinkage cracking will be cause for rejection of the affected pavement necessitating removal.

501-3.21 SEALING JOINTS

DELETE: This section.

ADD: The contractor shall saw all construction and contraction joints to provide a satisfactory sealant reservoir. The contractor shall seal all sawed joints with a sealant approved by the engineer.

501-3.21 OPENING TO TRAFFIC

DELETE: This section.

ADD: The Resident Engineer shall decide when the pavement shall be opened to vehicle traffic. The pavement may be opened to light vehicles when test specimens molded and cured in accordance with ASTM C31 have attained a compressive strength of 3,000 psi. All other vehicles and aircraft shall not be allowed on the pavement until test specimens molded and cured in accordance with ASTM C31 have attained a flexural strength of 650 psi.

Prior to opening, the pavement shall be cleaned of all deleterious material. Sweeping shall be conducted in such a manner that dust will not affect operations at the airport.

501-3.25 PROTECTION OF PAVEMENT AGAINST RAIN

In order that the concrete may be properly protected against the effects of rain before the concrete is sufficiently hardened, the Contractor will be required to have available at all times materials for the protection of the edges and surface of the unhardened concrete. Such protective materials shall consist of standard metal forms or wood plank having a nominal thickness of not less than 2 inches and a nominal width of not less than the thickness of the pavement at its edge for the protection of the pavement edges, and covering material such as curing paper or polyethylene sheeting material for the protection of the surface of the pavement. The metal forms, wood planks and curing paper shall be kept on trucks or towable vehicles, within reasonable hauling distance, at a site shown on the plans, or as designated by the Resident Engineer.

As an alternate, rolled polyethylene sheeting of sufficient length and width may be used without the temporary side forms and if properly anchored, to cover the plastic concrete slab and exposed edge. The sheeting may be mounted on either the paver or a separate movable bridge from which it can be unrolled without dragging over the plastic concrete surface.

When rain appears imminent, all paving operations shall stop and all available personnel shall begin covering the surface of the unhardened concrete with the protective covering.

METHOD OF MEASUREMENT

ADD:

501-4.2 Thickened Edge Transitions shall be considered incidental to the pavement being thickened. The quantity of thickened edge pavement to be paid for shall be the number of square yards of 8 or 13 inch pavement being thickened, in place, completed and accepted.

The liquid bond breaker to be paid for shall be the number of square yards of surface area covered and accepted.

BASIS OF PAYMENT

501-5.1 GENERAL

ADD: Payment will be made at the contract unit price per square yard for PCC Pavement and per linear foot for curb or curb and gutter. No distinction shall be made between curb or curb and gutter for payment purposes. This price shall be full compensation for furnishing all materials, equipment, and labor necessary to complete this item.

501-5.2 Payment will be made under:

Item AR501512 – 12" PCC Pavement – per square yard Item AR501530 – PCC Test Batch – per each Item AR754210 – Concrete Curb – per linear foot

501-5.3 Price Adjustment

Delete: This section

ADD: Payment shall not be made unless all samples achieve compressive strengths above 4,000psi.

ITEM AR401910 – REMOVE BITUMINOUS PAVEMENT Check Sheet #26

DESCRIPTION

1.1 This item of work shall consist of removing existing pavement structure and PCC curb or PCC combination curb and gutter for the construction of the new vault building, new conduits and new duct banks as described in the plans. The existing pavement structure is a combination of bituminous and PCC pavements that may or may not have been overlaid with bituminous surface course. The existing pavement structures shown in the plans are approximate based upon record drawings, the contractor shall not be allowed additional compensation for the removal of the pavement regardless of the pavement structure.

Typical construction details are shown in the plans. Exact locations of pavement removal shall be determined by the Contractor and verified by the Resident Engineer before removal operations begin.

BASIS OF PAYMENT

5.1 The accepted quantities of bituminous pavement removal and replacement will be paid at the contract unit price per square yard, which price and payment shall be full compensation for furnishing all materials, equipment, labor, hauling, disposal and all other incidental items necessary to complete the work to the satisfaction of the Engineer. The payment shall include all work necessary to remove and replace the pavement. All excavation, subgrade preparation, aggregate base, prime coat and surface course will be included in the price bid for this item.

Payment will be made under:

Item AR401900 - Remove Bituminous Pavement - per square yard.

ITEM AR610000 - STRUCTURAL PORTLAND CEMENT CONCRETE

DESCRIPTION

- 610-1.1 ADD: This item shall include concrete used for the purpose of splice cans, duct banks, vault construction and any other miscellaneous structural Portland cement concrete uses.
- 610-5.1 The cost of furnishing and install structural concrete shall be considered incidental to the contract unit price for the item utilizing Item 610 Structural Portland Cement Concrete. The prices shall be full compensation for furnishing all materials and or preparation, delivering and installation of these materials, and for all labor, equipment, tools and incidentals necessary to complete the item.

If, upon delivery and incorporation of any materials, the Contractor has failed to provide the necessary submittals as required by Sections 30-18, 40-01, 40-03 and 40-11 of the Standard and Special Provisions, the pay item shall not be included on the Construction Progress Payment report until such submittals have been furnished.

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ITEM AR901510 - SEEDING

BASIS OF PAYMENT

<u>901-5.1</u>

ADD: If, upon delivery and incorporation of any materials, the Contractor has failed to provide the necessary submittals as required by Sections 30-18, 40-01, 40-03 and 40-11 of the Standard and Special Provisions, the pay item shall not be included on the Construction Progress Payment report until such submittals have been furnished.

METHOD OF MEASUREMENT

201-4.1 DELETE: This section.

ADD: Seeding shall not be measured for payment.

BASIS OF PAYMENT

<u>201-5.2,</u>

DELETE: These sections.

ITEM AR908510 - MULCHING

DESCRIPTION

<u>908-1.1</u> Excelsior blanket will be placed at locations where additional erosion control measures are required as directed by the Engineer.

MATERIALS

908-2.3 EXCELSIOR BLANKET

Excelsior blanket shall consist of a machine-produced mat of wood excelsior of 80 percent 150 mm (6 inches) or longer fiber length. The wood from which the excelsior is cut shall be properly cured to achieve adequately curried and barbed fibers.

The blanket shall be of consistent thickness, with the fiber evenly distributed over the entire area of the blanket. The excelsior blanket shall be covered on the topside with a 90-day biodegradable extruded plastic mesh netting having an approximate minimum opening of 16 x 16 mm ($5/8^{\circ}$ x $5/8^{\circ}$) to approximate maximum opening of 50 x 25 mm ($2x1^{\circ}$). The netting shall be entwined with the excelsior mat for maximum strength and ease of handling.

The excelsior blanket shall comply with the following Specifications:

| Minimum width, mm (inches), Minus 25 mm (1 inch) | 600 mm (24) |
|-----------------------------------------------------------------------------------|-------------|
| Minimum mass (weight) per m ² (sq. yd.) kg (lbs.), Minus 10 percent | 0.43 (0.8) |
| Minimum length of roll, m (ft.), approximately | 45 (150) |

The excelsior blanket shall be smolder resistant and shall withstand the following test:

The excelsior blanket specimen shall not flame or smolder for more than a distance of 300 mm (12 inches) from a spot where a lighted cigarette is placed on the surface of the blanket.

Certification. The manufacturer shall furnish a certification with each shipment of excelsior blanket stating the number of rolls furnished and that the material complies with these requirements.

METHOD OF MEASUREMENT

<u>201-4.1</u> DELETE: This section.

ADD: mulching (excelsior blanket) shall not be measured for payment. This work shall be considered incidental to the construction of the Vault.

BASIS OF PAYMENT

201-5.2.

DELETE: These sections.