

9

Letting November 7, 2025

Notice to Bidders, Specifications and Proposal



**Contract No. 62U41
COOK County
Section FAI 290 22 KEELER BR
Route FAI 290
Project NHPP-1XTC(478)
District 1 Construction Funds**

Prepared by

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Checked by

(Printed by authority of the State of Illinois)



**Illinois Department
of Transportation**

NOTICE TO BIDDERS

1. **TIME AND PLACE OF OPENING BIDS.** Electronic bids are to be submitted to the electronic bidding system (iCX-Integrated Contractors Exchange). All bids must be submitted to the iCX system prior to 12:00 p.m. November 7, 2025 at which time the bids will be publicly opened from the iCX SecureVault.
2. **DESCRIPTION OF WORK.** The proposed improvement is identified and advertised for bids in the Invitation for Bids as:

**Contract No. 62U41
COOK County
Section FAI 290 22 KEELER BR
Project NHPP-1XTC(478)
Route FAI 290
District 1 Construction Funds**

(481-Ft) Bridge Replacement Project, bridge approach slab replacement for Structure Number (016-2068), lighting, traffic signal modernization, ADA improvement, resurfacing, I-290 at Keeler Avenue.

3. **INSTRUCTIONS TO BIDDERS.** (a) This Notice, the invitation for bids, proposal and letter of award shall, together with all other documents in accordance with Article 101.09 of the Standard Specifications for Road and Bridge Construction, become part of the contract. Bidders are cautioned to read and examine carefully all documents, to make all required inspections, and to inquire or seek explanation of the same prior to submission of a bid.

(b) State law, and, if the work is to be paid wholly or in part with Federal-aid funds, Federal law requires the bidder to make various certifications as a part of the proposal and contract. By execution and submission of the proposal, the bidder makes the certification contained therein. A false or fraudulent certification shall, in addition to all other remedies provided by law, be a breach of contract and may result in termination of the contract.
4. **AWARD CRITERIA AND REJECTION OF BIDS.** This contract will be awarded to the lowest responsive and responsible bidder considering conformity with the terms and conditions established by the Department in the rules, Invitation for Bids and contract documents. The issuance of plans and proposal forms for bidding based upon a prequalification rating shall not be the sole determinant of responsibility. The Department reserves the right to determine responsibility at the time of award, to reject any or all proposals, to re-advertise the proposed improvement, and to waive technicalities.

By Order of the
Illinois Department of Transportation

Gia Biagi,
Secretary

INDEX
FOR
SUPPLEMENTAL SPECIFICATIONS
AND RECURRING SPECIAL PROVISIONS

Adopted January 1, 2025

This index contains a listing of SUPPLEMENTAL SPECIFICATIONS and frequently used RECURRING SPECIAL PROVISIONS.

ERRATA Standard Specifications for Road and Bridge Construction (Adopted 1-1-22) (Revised 1-1-25)

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FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
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STATE OF ILLINOIS

SPECIAL PROVISIONS

The following Special Provisions supplement the "Standard Specifications for Road and Bridge Construction," adopted January 1, 2022, the latest edition of the "Manual on Uniform Traffic Control Devices for Streets and Highways," and the "Manual of Test Procedures for Materials" in effect on the date of invitation for bids, and the Supplemental Specifications and Recurring Special Provisions indicated on the Check Sheet included herein which apply to and govern the construction of FAI Route 290 (I-290), Project NHPP-1XTC(478), Section FAI 290 22 KEELER BR, Cook County, Contract No. 62U41, and in case of conflict with any part or parts of said Specifications, the said Special Provisions shall take precedence and shall govern.

FAI Route 290 (I-290)
Project NHPP-1XTC(478)
Section FAI 290 22 KEELER BR
Cook County
Contract No. 62U41

LOCATION OF PROJECT

The project is located in the City of Chicago, Cook County, on Keeler Avenue over I-290 from Harrison Avenue to Congress Parkway. The project has a total gross and net length of improvements is 481.0 feet (0.091 miles).

DESCRIPTION OF PROJECT

The work consists of the replacement of the Keeler Avenue bridge (SN 016-2068) over I-290 and the CTA Blue Line. Work includes furnishing all labor, materials, and equipment necessary for the completion of pavement removal, combination concrete curb and gutter removal, shoulder removal, HMA surface removal, aggregate subgrade improvements, subbase granular material, PCC base course, HMA binder and surface courses, PCC shoulders, PCC pavement, PCC sidewalks, pavement marking and signage, traffic signals, roadway lighting, retaining walls, concrete abutments, steel furnishing and erection, bridge deck and railings, traffic control and protection, and all incidental and collateral work necessary to complete the improvements as shown on the plans and as described herein.

COMPLETION DATE PLUS WORKING DAYS (D1)

Effective: September 30, 1985

Revised: January 1, 2007

Revise Article 108.05 (b) of the Standard Specifications as follows:

"When a completion date plus working days is specified, the Contractor shall complete all contract items and safely open all roadways to traffic by 11:59 PM on, **August 31, 2027** except as specified herein.

The Contractor will be allowed to complete all clean-up work and punch list items within **5** working days after the completion date for opening the roadway to traffic. Under extenuating circumstances the Engineer may direct that certain items of work, not affecting the safe opening of the roadway to traffic, may be completed within the working days allowed for clean up work and punch list items. Temporary lane closures for this work may be allowed at the discretion of the Engineer.

Landscaping work and items as shown in the plans shall be performed according to the schedules in the Calendar of Landscape Construction plan sheets. The special provision FAILURE TO COMPLETE LANDSCAPE CONSTRUCTION contains liquidated damage amounts related to the time frames for completion of the work in the Calendar of Landscape Construction plan sheets."

Article 108.09 or the Failure to Complete the Work on Time special provision, if included in this contract, shall apply to both the completion date and the number of working days.

STATUS OF UTILITIES (D-1)

Effective: June 1, 2016

Revised: April 1, 2025

Utility companies and/or municipal owners located within the construction limits of this project have provided the following information regarding their facilities and the proposed improvements. The tables below contain a description of specific conflicts to be resolved and/or facilities which will require some action on the part of the Contractor to proceed with work. Each table entry includes an identification of the action necessary and, if applicable, the estimated duration required for the resolution.

Utilities To Be Adjusted. Conflicts noted below have been identified by following the suggested staging plan included in the contract. The company has been notified of all conflicts and will be required to obtain the necessary permits to complete their work; in some instances, resolution will be a function of the construction staging. The responsible agency must relocate, or complete new installations as noted below; this work has been deemed necessary to be complete for the Contractor to then work in the stage under which the item has been listed.

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
COOK COUNTY
CONTRACT NO. 62U41

Pre-Stage

STAGE / LOCATION	TYPE	DESCRIPTION	RESPONSIBLE AGENCY	DURATION OF TIME
Keeler Avenue Sta. 201+80 to Sta. 206+00, LT	Underground electric lines	Existing conduits in conflict with bridge construction.	ComEd New Business/CTA (1-866-639-3532)	180 Days
Keeler Avenue Sta. 203+76 to Sta. 205+83, RT	Underground electric line	Existing line in conflict with bridge construction.	ComEd New Business/CTA (1-866-639-3532)	180 Days
Keeler Avenue Sta. 201+80 to Sta. 206+00, LT	Underground telephone line	Existing conduit in conflict with bridge construction.	AT&T - D	120 Days

Stage 1: No conflicts to be resolved.

Stage 2: No conflicts to be resolved.

Stage 3

STAGE / LOCATION	TYPE	DESCRIPTION	RESPONSIBLE AGENCY	DURATION OF TIME
Harrison Street Sta. 403+49, 16' LT	Gas Valve Box	Rim to be adjusted to meet proposed grade.	Peoples Gas*	1 Day
Harrison Street Sta. 403+54, 12' LT	Gas Valve Vault	Rim to be adjusted to meet proposed grade.	Peoples Gas*	1 Day
Harrison Street Sta. 403+62, 22' LT	Gas Valve Box	Rim to be adjusted to meet proposed grade.	Peoples Gas*	1 Day
Harrison Street Sta. 403+67, 16' LT	Gas Valve Vault	Rim to be adjusted to meet proposed grade.	Peoples Gas*	1 Day
Keeler Avenue Sta. 205+86, 7' RT	Gas Valve Box	Rim to be adjusted to meet proposed grade.	Peoples Gas*	1 Day
Keeler Avenue Sta. 205+89, 8' RT	Gas Valve Vault	Rim to be adjusted to meet proposed grade.	Peoples Gas*	1 Day

Pre-Stage: 480 Days Total Installation

Stage 1: _____ Days Total Installation

Stage 2: _____ Days Total Installation

Stage 3: 6 Days Total Installation

The following contact information is what was used during the preparation of the plans as provided by the Agency/Company responsible for resolution of the conflict.

Agency/Company Responsible to Resolve Conflict	Name of contact	Phone	E-mail address
AT&T-D	Janet Ahern (New Plans) Stan Plodzien (AT&T – D) Jamie Gwin (AT&T – D)	(630) 573-6414 (630) 408-7267 (630) 573-5453 (630) 573-5423 (630) 573-6496	g05256@att.com sp3264@att.com jg8128@att.com ja1763@att.com
ComEd	Vincent Mazzaferro John Leibforth (Large Customer Accounts)	(779) 231-1027 (872) 395-1872 (312) 758-8838	Vincent.MazzaferroPE@ComEd.com Plansubmittalsandmaprequests@exeloncorp.com john.leibforth@comed.com Follow current OSHA rules and other applicable guidelines regarding working safely around electrical power lines. Call 1-800-334-7661 to request “Facility Protection”, charges may be involved.
Peoples Gas	Eric Stall Aaron Meyer William Charvat Tad Easton Lynn Lyon	(312) 240-7394 (312)-240-4016 (866) 556-6002 (312) 240-3602	erstall@integrysgruop.com aaron.meter@peoplesgasdelivery.com William.charvat@peoplesgasdelivery.com tad.eaton@peoplesgasdelivery.com lynn.lyon@peoplesgasdelivery.com

Utilities To Be Watched and Protected. The areas of concern noted below have been identified by following the suggested staging plan included for the contract. The information provided is not a comprehensive list of all remaining utilities, but those which during coordination were identified as ones which might require the Contractor to take into consideration when making the determination of the means and methods that would be required to construct the proposed improvement. In some instances, the Contractor will be responsible to notify the owner in advance of the work to take place so necessary staffing on the owner’s part can be secured.

Stage 1

STAGE / LOCATION	TYPE	DESCRIPTION	OWNER
<p style="text-align: center;">Keeler Avenue Sta. 202+25 to Sta. 205+35, RT</p>	<p style="text-align: center;">42" gas main</p>	<p>Peoples Gas facilities, are present within area of construction. Use EXTREME CAUTION near all gas facilities during construction and related excavation activities. Hand dig or non-invasive excavation is required to field verify the horizontal and vertical location of gas facilities prior to crossing and working within 3 feet of all gas facilities. A minimum of 3' horizontal edge to edge clearance is required for gas facilities with diameters of 16" or smaller, and 5ft edge to edge clearance for gas facilities with diameters 18" and larger. Maintain a minimum of 18" edge to edge vertical clearance when crossing gas facilities 16" or less in diameter, and 24" edge to edge vertical clearance when crossing 18" and larger diameter gas facilities.</p> <p>If work requires a test station be removed temporarily it shall be replaced. Restoration contractor shall not pave over the test station cover. Contact Corrosion Control Engineering Supervisor, Max Meredith, at 773-395-7496 prior to temporary removal and upon replacement. All gas facilities are to be maintained. Any damages to Peoples Gas facilities shall be the responsibility of the installing utility and their contractor(s). Call 866-556-6002 immediately for any damages to the gas facilities. The use of concrete, flow fill, or the like is prohibited within 24 inches of all gas facilities, nor shall it encase any gas facility. A buffer of 24" sand is to be used between flow fill and all gas facilities. A minimum of 6" FA-02 or FM-02 sand shall be used when backfilling other materials around any exposed gas facility.</p>	<p style="text-align: center;">Peoples Gas</p>

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
COOK COUNTY
CONTRACT NO. 62U41

Stage 2

STAGE / LOCATION	TYPE	DESCRIPTION	OWNER
Keeler Avenue Sta. 202+25 to Sta. 205+35, RT	42" gas main	See description / requirements from Stage 1 above.	Peoples Gas

Stage 3

STAGE / LOCATION	TYPE	DESCRIPTION	OWNER
Keeler Avenue Sta. 201+19 to Sta. 202+35, RT	42" gas main	See description / requirements from Stage 1 above.	Peoples Gas*
Keeler Avenue Sta. 205+35 to Sta. 206+00, RT	42" gas main	See description / requirements from Stage 1 above.	Peoples Gas*
Keeler Avenue Sta. 201+19 to Sta. 202+18	6" Water	Water main located beneath southbound travel lanes	CDWM - Water
Keeler Avenue Sta. 205+54 to Sta. 206+00	6" Water	Water main located beneath southbound travel lanes	CDWM - Water
Harrison Street Sta. 402+15 to Sta. 404+07	8" Water	Water main located beneath northernmost travel lane	CDWM - Water
Congress Parkway Sta. 501+87 to Sta. 504+14	12" Water	Water main located beneath northernmost travel lane	CDWM - Water
Keeler Avenue Sta. 201+19 to Sta. 202+10	12" Sewer	Combined sewer located 3'-4' west of street centerline	CDWM - Sewer
Keeler Avenue Sta. 205+34 to Sta. 206+00	12" Sewer	Combined sewer located 1' east of street centerline	CDWM - Sewer
Harrison Street Sta. 402+15 to Sta. 404+07	36" Sewer	Combined sewer located below centerline of street	CDWM - Sewer
Congress Parkway Sta. 501+87 to Sta. 504+14	12" Sewer	Combined sewer located below centerline of street	CDWM - Sewer

The following contact information is what was used during the preparation of the plans as provided by the owner of the facility.

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
COOK COUNTY
CONTRACT NO. 62U41

Agency/Company Responsible to Resolve Conflict	Name of contact	Phone	E-mail address
AT&T-D	Janet Ahern (New Plans) Stan Plodzien (AT&T – D) Jamie Gwin (AT&T – D)	(630) 573-6414 (630) 408-7267 (630) 573-5453 (630) 573-5423 (630) 573-6496	g05256@att.com sp3264@att.com jg8128@att.com ja1763@att.com
City of Chicago CDOT Division of Engineering Electrical Constr. Mgmt.	Stephen Ingram Chris Quinlan Bernard Fiorito Anthony Vieu	(312) 446-2096 (312) 743-1697 (312) 746-4636 (312) 743-1175 (312) 742-8556	Stephen.Ingram@cityofchicago.org christopher.quinlan@cityofchicago.org Bernard.Fiorito@cityofchicago.org Anthony.Vieu@cityofchicago.org
City of Chicago Department of Water Management – Sewer Section	Alex Huynh Anuparn Verma Chuck Mann Brendan Schreiber Pablo Martinez Patrik Maloney	(312) 742-7135 (312) 744-5070 (312) 744-0344 (312) 742-7226	Alexander.Huynh@cityofchicago.org Anupam.Verma@cityofchicago.org Chuck.Mann@cityofchicago.org Brendan.Schreiber@cityofchicago.org Pablo.Martinez@cityofchicago.org Patrick.Maloney@cityofchicago.org
City of Chicago Department of Water Management – Water Section	Jason McCubbin (CDWM PMO) Brian Santi (CDWM PMO) Hans Krueger (CDWM) Consuelo Venegas	(312) 217-7928 (312) 742-3619 (312) 744-5070	IDOT Construction or the IDOT Contractor should send an e-mail to the CDWM – Water CTR general email FACM@ctrwater.net and carbon copy Jason McCubbin at Jason.McCubbin@crtwater.net at least a couple of days prior to needing a CDWM-Water Inspector on site. Jason McCubbin can be contacted directly by telephone at (312) 217-7928. Jason.McCubbin@ctrwater.net brian.santi@ctrwater.net hans.krueger@cityofchicago.org consuelo.venegas@cityofchicago.org
ComEd	Vincent Mazzaferro John Leibforth (Large Customer Accounts)	(779) 231-1027 (872) 395-1872 (312) 758-8838	Vincent.MazzaferroPE@ComEd.com Plansubmittalsandmaprequests@exeloncorp.com john.leibforth@comed.com Follow current OSHA rules and other applicable guidelines regarding working safely around electrical power lines. Call 1-800-334-7661 to request “Facility Protection”, charges may be involved.

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
COOK COUNTY
CONTRACT NO. 62U41

Crown Castle (Sunesys, Lighttower, & Sidera)	Mike Kyriazakos John Pyka	(847) 370- 7617 (312) 415- 8184	Michael.Kyriazakos@crowncastle.com John.Pyka@crowncastle.com Fiber.dig@crowncastle.com
Peoples Gas	Eric Stall Aaron Meyer William Charvat Tad Easton Lynn Lyon	(312) 240- 7394 (312)-240- 4016 (866) 556- 6002 (312) 240- 3602	erstall@integrysgruop.com aaron.meter@peoplesgasdelivery.com William.charvat@peoplesgasdelivery.com tad.eaton@peoplesgasdelivery.com lynn.lyon@peoplesgasdelivery.com
Office of Underground Coordination/DIGGER	Jai Kalayil & Sheetal James	(312) 743- 1423	ouc@cityofchicago.org ; Jai.Kalayil@cityofchicago.org Sheetal.James@cityofchicago.org

The above represents the best information available to the Department and is included for the convenience of the bidder. The days required for conflict resolution should be considered in the bid as this information has also been factored into the timeline identified for the project when setting the completion date. The applicable portions of the Standard Specifications shall apply.

Estimated duration of time provided above for the first conflicts identified will begin on the date of the executed contract regardless of the status of the utility relocations. The responsible agencies will be working toward resolving subsequent conflicts in conjunction with the Contractor's activities in the number of days noted.

The estimated relocation duration must be part of the progress schedule submitted by the contractor. A utility kickoff meeting will be scheduled between the Department, the Contractor, and the utility companies when necessary.

The Contractor is responsible for contacting JULIE (or DIGGER/CUAN within the City of Chicago) prior to any excavation work. Please note that IDOT electrical facilities are not part of the one-call locating services, such as JULIE or DIGGER.

If the contract requires the services of an electrical contractor, it is the Contractor's responsibility, at their own expense, to locate existing IDOT electrical facilities before commencing work. For contracts that do not require an electrical contractor, the Contractor may request one free locate of IDOT electrical facilities by contacting the Department's electrical maintenance contractor. Additional locate requests will be at the contractor's expense.

The Department's electrical maintenance contractor must be notified at least 72 hours in advance of the work by calling 773-287-7600 or emailing dispatch@meade100.com to arrange for the locating of underground electrical facilities.

Please note, the marking of underground facilities does not absolve the contractor of their responsibility to repair or replace any facilities damaged during construction at their expense.

MAINTENANCE OF ROADWAYS (D1)

Effective: September 30, 1985

Revised: November 1, 1996

Beginning on the date that work begins on this project, the Contractor shall assume responsibility for normal maintenance of all existing roadways within the limits of the improvement. This normal maintenance shall include all repair work deemed necessary by the Engineer but shall not include snow removal operations. Traffic control and protection for maintenance of roadways will be provided by the Contractor as required by the Engineer.

If items of work have not been provided in the contract, or otherwise specified for payment, such items including the accompanying traffic control and protection required by the Engineer will be paid for in accordance with Article 109.04 of the Standard Specifications.

PUBLIC CONVENIENCE AND SAFETY (D1)

Effective: May 1, 2012

Revised: July 15, 2012

Add the following to the end of the fourth paragraph of Article 107.09:

“If the holiday is on a Saturday or Sunday, and is legally observed on a Friday or Monday, the length of holiday period for Monday or Friday shall apply.”

Add the following sentence after the Holiday Period table in the fourth paragraph of Article 107.09:

“The length of holiday period for Thanksgiving shall be from 5:00 AM the Wednesday prior to 11:59 PM the Sunday after”

Delete the fifth paragraph of Article 107.09 of the Standard Specifications:

“On weekends, excluding holidays, roadways with average daily traffic of 25,000 or greater, all lanes shall be open to traffic from 3:00 P.M. Friday to midnight Sunday except where structure construction or major rehabilitation makes it impractical.”

COOPERATION BETWEEN CONTRACTORS

Add the following to Article 105.08:

The Department reserves the right to have work performed by other contractors and by department forces and to permit public utility companies and others to perform work during the progress and within the limits of or adjacent to the work. The Contractor shall conduct its work in a manner and shall cooperate with such other parties to cause as little interference as possible with such other work and as the Department may also direct. If there is a difference of opinion as to the respective rights of the Contractor and others doing work within the limits of or adjacent to the work, the Engineer will decide the order and coordination of the work. The Engineer’s decision shall be final and binding on the Contractor. The Contractor shall

make no claims against the Department for additional compensation due to delays or other conditions created by the operations of such other parties.

Coordination with Other Contractors. The Contractor is advised that certain operations will involve coordination with department personnel and contractors currently performing work on or adjacent to this project for the Department and other agencies. The Contractor shall cooperate to the fullest extent with the Department and the contractors working on adjacent projects in compliance with Articles 105.07 and 105.08 of these Specifications.

The Department and the Engineer shall be notified in writing by the Contractor at least 48 hours prior to the start of any operation requiring cooperating with others. All other agencies, unless otherwise noted, will be notified in writing by the Contractor ten days prior to the start of any such operation. The Contractor shall make no claims against the Department for additional compensation due to delays or other conditions created by the operations of such other parties.

COORDINATION WITH ADJACENT AND/OR OVERLAPPING CONTRACTS

The Contractor's attention is directed to the fact that adjacent and overlapping contracts may be under construction during the duration of this contract. These contracts may consist of, but are not limited to, the following bridge rehabilitation projects:

- Contract 62R61 – F.A.I. 290 (Interstate 290) at Laramie Avenue
- Contract 62U43 – F.A.I. 290 (Interstate 290) at Kostner Avenue
- Contract 62U42 – F.A.I. 290 (Interstate 290) at Pulaski Road
- Contract 62K62 – F.A.I. 290 (Interstate 290) at Central Park Avenue
- Contract 62K65 – F.A.I. 290 (Interstate 290) at Sacramento Boulevard
- Contract 62U05 – F.A.I. 290 (Interstate 290) at Western Avenue
- Contract 62P43 – F.A.I. 290 (Interstate 290) at Leavitt Street
- Contract 62P75 – F.A.I. 290 (Interstate 290) at Ogden Avenue
- Contract 62K64 – F.A.I. 290 (Interstate 290) at Paulina Street
- Contract 62P44 – F.A.I. 290 (Interstate 290) at Ashland Avenue
- Contract 62U12 – F.A.I. 290 (Interstate 290) at Loomis Street

The I-290 drainage improvements contract (Contract No. T.B.D.) from the Des Plaines River to east of Austin Boulevard may be under construction during the duration of this contract as well. The Contractor shall coordinate proposed project start dates and sequence of construction with the Engineer and other contractors to present an effective and timely schedule for successful completion of the project.

TRAFFIC CONTROL PLAN (D1)

Effective: September 30, 1985

Revised: January 1, 2007

Traffic control shall be according to the applicable sections of the Standard Specifications, the Supplemental Specifications, the "Illinois Manual on Uniform Traffic Control Devices for Streets and Highways", any special details and highway standards contained in the plans, and the special provisions contained herein.

Special attention is called to Article 107.09 of the Standard Specifications and the following highway standards, details, quality standard for work zone traffic control devices, Recurring Special Provisions and special provisions contained herein, relating to traffic control.

The Contractor shall contact the District 1 Bureau of Traffic at least 72 hours in advance of beginning work.

Standards:

701001	Off-Road Operations, 2L, 2W, More than 15' Away
701006	Off-Road Operations, 2L, 2W, 15' to 24" from Pavement Edge
701101	Off-Road Operations, Multilane, 15' (4.5m) to 24" (600 mm) From Pavement Edge
701106	Off-Road Operations, Multilane, More Than 15' (4.5M) Away
701400	Approach to Lane Closure, Freeway/Expressway
701401	Lane Closure Freeway/Expressway
701411	Lane Closure, Multilane, at Entrance or Exit Ramp, for Speeds \geq 45 MPH
701428	Traffic Control, Setup and Removal, Freeway/Expressway
701446	Two Lane Closure, Freeway/Expressway
701501	Urban Lane Closure, 2L, 2W, Undivided
701601	Urban Lane Closure, Multilane, 1W or 2W with Nontraversable Median
701701	Urban Lane Closure, Multilane Intersection
701801	Sidewalk, Corner or Crosswalk Closure
701901	Traffic Control Devices

Details:

TC-08	Entrance and Exit Ramp Closure Details
TC-09	Freeway Single and Multilane Weave
TC-10	Traffic Control and Protection for Side Roads, Intersections and Driveways
TC-12	Multi-Lane Freeway Pavement Marking Detail
TC-16	Short Term Pavement Marking Letters and Symbols
TC-17	Traffic Control for Freeway Shoulder Closures and Partial Ramp Closures
TC-18	Signing for Flagging Operations at Work Zone Openings
TC-21	Detour Signing for Closing State Highways
TC-22	Arterial Road Information Sign
TC-24	City of Chicago Typical Pavement Markings

Special Provisions:

Maintenance of Roadways (D1)
Public Convenience and Safety (D1)
Traffic Control and Protection (Arterials) (D1)
Keeping the Expressway Open to Traffic

Failure to Open Traffic Lanes to Traffic
Traffic Control and Protection (Expressways)
Traffic Control Surveillance (Expressways)
Temporary Information Signing
Traffic Control for Work Zone Areas
Nighttime Work Zone Lighting (District One)
Speed Display Trailer (D1)
Temporary Ramps (Special)
Short Term and Temporary Pavement Markings (BDE)
Vehicle and Equipment Warning Lights (BDE)
Work Zone Traffic Control Devices (BDE)

TRAFFIC CONTROL AND PROTECTION (ARTERIALS) (D1)

Effective: February 1, 1996

Revised: March 1, 2011

Specific traffic control staging or maintenance of traffic plan details have been prepared for this contract. This work shall include all labor, materials, transportation, handling, and incidental work necessary to furnish, install, maintain, and remove all traffic control devices required as indicated in the plans and as approved by the Engineer.

When traffic is to be directed over a detour route, the Contractor shall furnish, erect, maintain, and remove all applicable traffic control devices along the detour route according to the details shown in the plans.

Method of Measurement. All traffic control shown in the Traffic Staging or Maintenance of Traffic Plans (except Traffic Control and Protection (Expressways) and temporary pavement markings) will be measured for payment on a lump sum basis unless otherwise noted.

Basis of Payment: This work will be paid for at the contract unit price per LUMP SUM for TRAFFIC CONTROL AND PROTECTION, (SPECIAL).

Temporary pavement markings will be paid for separately unless shown on a standard.

KEEPING THE EXPRESSWAY OPEN TO TRAFFIC

Effective: March 22, 1996

Revised: October 9, 2020

Whenever work is in progress on or adjacent to an expressway, the Contractor shall provide the necessary traffic control devices to warn the public and to delineate the work zone as required in these special provisions, the Standard Specifications, the standards, and the district freeway details. All the Contractors' personnel shall be limited to these barricaded work zones and shall not cross the expressway.

The Contractor shall request and gain approval from the Illinois Department of Transportation's Expressway Traffic Operations Engineer at www.idotlcs.com 24 hours in advance of all daily lane, ramp and shoulder closures and seven days in advance of all permanent and weekend closures on all freeways and/or expressways in District 1. This advance notification is calculated based on workweek of Monday through Friday and shall not include weekends or holidays.

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
COOK COUNTY
CONTRACT NO. 62U41

Location: I-290: Wolf to Central (3-Lane Section)

Week Night	Type Of Closure	Allowable Closure Hours		
Sunday - Thursday	1-Lane	10:00 PM	to	5:00 AM
	2-Lane	11:59 PM	to	5:00 AM
Friday	1-Lane	11:00 PM (Fri)	to	6:00 AM (Sat)
	2-Lane	11:59 PM (Fri)	to	6:00 AM (Sat)
Saturday	1-Lane	11:00 PM (Sat)	to	8:00 AM (Sun)
	2-Lane	11:59 PM (Sat)	to	8:00 AM (Sun)

Location: I-290: Central to Wells (4-Lane Section)

Week Night	Type Of Closure	Allowable Lane Closure Hours		
Sunday - Thursday	1-Lane	8:00 PM	to	5:00 AM
	2-Lane	11:00 PM	to	5:00 AM
Friday	1-Lane	10:00 PM (Fri)	to	8:00 AM (Sat)
	2-Lane	11:59 PM (Fri)	to	6:00 AM (Sat)
Saturday	1-Lane	10:00 PM (Sat)	to	10:00 AM (Sun)
	2-Lane	11:59 PM (Sat)	to	8:00 AM (Sun)

*Three lane closures will only be allowed from the left and are approved for specific operations only.

In addition to the hours noted above, temporary shoulder and non-system interchange partial ramp closures are allowed weekdays between 9:00 A.M. and 3:00 P.M. and between 7:00 P.M. and 5:00 A.M or as approved by the Expressway Traffic Operations Engineer.

Narrow lanes and permanent shoulder closures will not be allowed between Dec. 1st and April 1st. Permanent shoulder closures per District Detail TC-17 will only be permitted if called for in the plans or as approved by the Expressway Traffic Operations Engineer.

Full expressway closures will only be permitted for a maximum of 15 minutes at a time during the low traffic volume hours of 1:00 A.M. to 5:00 A.M. Monday thru Friday and from 1:00 A.M. to 7:00 A.M. on Sunday. During full expressway closures, the Contractor will be required to close off all lanes except one, using freeway standard closures. Police forces should be notified and requested to close off the remaining lane at which time the work item may be removed or set in place. The District 1 Expressway Traffic Control Supervisor (847-705-4151) shall be notified at least three working days (weekends and holidays do not count into this 72 hours notification) in advance of the proposed road closure and will coordinate the closure operations with police forces. Liquidated damages as specified in the Failure to Open Traffic Lanes to Traffic special provision for one lane or ramp blocked shall be assessed to the contract for every 15 minutes beyond the initial 15 minutes all lanes are blocked.

All stage changes requiring the stopping and/or the pacing of traffic shall take place during the allowable hours for full expressway closures and shall be approved by the Department. The Contractor shall notify the District 1 Expressway Traffic Control Supervisor at least three working days (weekends and holidays do not count into this 72 hours notification) in advance of any proposed stage change.

A maintenance of traffic plan shall be submitted to the District 1 Expressway Traffic Control Supervisor 14 days in advance of any stages changes or full expressway closures. The

maintenance of traffic plan shall include but not be limited to: lane and ramp closures, existing geometrics, and equipment and material location.

All daily lane closures shall be removed during adverse weather conditions such as rain, snow, and/or fog and as determined by the Engineer. Also, the Contractor shall promptly remove their lane closures when maintenance forces are out for snow and ice removal.

Additional lane closure hour restrictions may have to be imposed to facilitate the flow of traffic to and from major sporting events and/or other events.

All lane closure signs shall not be erected any earlier than one-half hour before the starting hours listed above. Also, these signs should be taken down within one-half hour after the closure is removed.

The Contractor will be required to cooperate with all other contractors when erecting lane closures on the expressway. All lane closures (includes the taper lengths) without a 3 mile gap between each other, in one direction of the expressway, shall be on the same side of the pavement. Lane closures on the same side of the pavement with a 1 mile or less gap between the end of one work zone and the start of taper of next work zone should be connected. The maximum length of any lane closure on the project and combined with any adjacent projects shall be 3 miles. Gaps between successive permanent lane closures shall be no less than 2 miles in length.

Private vehicles shall not be parked in the work zone. Contractor's equipment and/or vehicles shall not be parked on the shoulders or in the median during non-working hours. The parking of equipment and/or vehicles on state right-of-way will only be permitted at the locations approved by the Engineer.

Check barricades shall be placed every 1000' within a lane closure to prevent vehicles from driving through closed lanes.

Temporary ramp closures for service interchanges will only be permitted at night during the restricted hours listed for temporary one-lane closures within the project limits. However, no two adjacent entrance and exit ramps in one direction of the expressway shall be closed at the same time.

Should the Contractor fail to completely open, and keep open, the ramps to traffic in accordance with the above limitations, the Contractor shall be liable to the Department for liquidated damages as noted under the Failure to Open Traffic Lanes to Traffic special provision.

FAILURE TO OPEN TRAFFIC LANES TO TRAFFIC

Effective: March 22, 1996

Revised: February 9, 2005

Should the Contractor fail to completely open and keep open all the traffic lanes to traffic in accordance with the limitations specified under the Keeping the Expressway Open to Traffic special provision, the Contractor shall be liable to the Department for the amount of:

One lane or ramp blocked = \$1,700.00

Two lanes blocked = \$ 3,500

Not as a penalty but as liquidated and ascertained damages for each and every 15 minute interval or a portion thereof that a lane is blocked outside the allowable time limitations. Such damages may be deducted by the Department from any monies due the Contractor. These damages shall apply during the contract time and during any extensions of the contract time.

TRAFFIC CONTROL AND PROTECTION (EXPRESSWAYS)

Effective: March 8, 1996

Revised: January 25, 2024

Description. This work shall include furnishing, installing, maintaining, replacing, relocating, and removing all traffic control devices used for the purpose of regulating, warning, or directing traffic. Traffic control and protection shall be provided as called for in the plans, applicable highway standards, District 1 Expressway details, standards and Supplemental Specifications, these special provisions, or as directed by the Engineer.

The governing factor in the execution and staging of work for this project is to provide the motoring public with the safest possible travel conditions on the expressway through the construction zone. The Contractor shall arrange their operations to keep the closing of lanes and/or ramps to a minimum.

The Contractor shall be responsible for the proper location, installation, and arrangement of all traffic control devices. Special attention shall be given to existing warning signs and overhead guide signs during all construction operations. Warning signs and existing guide signs with down arrows shall be kept consistent with the barricade placement at all times. The Contractor shall immediately remove, completely cover, or turn from the motorist's view all signs which are inconsistent with lane assignment patterns.

The Contractor shall coordinate all traffic control work on this project with adjoining or overlapping projects, including barricade placement necessary to provide a uniform traffic detour pattern. When directed by the Engineer, the Contractor shall remove all traffic control devices that were furnished, installed, or maintained by them under this contract, and such devices shall remain the property of the Contractor. All traffic control devices shall remain in place until specific authorization for relocation or removal is received from the Engineer.

Additional requirements for traffic control devices shall be as follows.

Traffic Control Setup and Removal. The setting and removal of barricades for the taper portion of a lane closure shall be done under the protection of a vehicle with a truck/trailer mounted attenuator and arrow board per Standard 701428 and Section 701 of the Standard Specifications. Failure to meet this requirement will be subject to a traffic control deficiency. The deficiency will be calculated as outlined in Article 105.03 of the Standard Specifications. Truck/trailer mounted attenuators shall comply with Article 1106.02(g) or shall meet the requirements of NCHRP 350 test level 3 with vehicles used in accordance with manufacturer's recommendations and requirements.

Sign Requirements

- (1) **Sign Maintenance.** Prior to the beginning of construction operations, the Contractor will be provided a sign log of all existing signs within the limits of the construction zone. The Contractor is responsible for verifying the accuracy of the sign log. Throughout the duration of this project, all existing traffic signs shall be maintained by the Contractor. All provisions of Article 107.25 of the Standard Specifications shall apply.
- (2) **Work Zone Speed Limit Signs.** Work zone speed limit signs shall be installed as required in Article 701.14(b) and as shown in the plans and highway standards. Based upon the existing posted speed limit, work zone speed limits shall be established and signed as follows.
 - a. **Existing Speed Limit of 55 mph or Higher.** The initial work zone speed limit assembly, located approximately 4200' before the closure, shall be as shown in Standard 701400. Additional work zone 45 mph assemblies shall be used as required according to Article 701.14(b) and as shown in the highway standards and plans. "WORK ZONE SPEED LIMIT 55 PHOTO ENFORCED" assemblies may be omitted when this assembly would normally be placed within 1500 feet of the "END WORK ZONE SPEED LIMIT" sign. If existing speed limit is over 65 mph, then additional signage should be installed per Standard 701400.
 - b. **Existing Speed Limit of 45 mph.** The advance 55 mph work zone speed limit assembly shown in Standard 701400 shall be replaced with a 45 mph assembly. Additional work zone 45 mph assemblies shall be used as required according to Article 701.14(b) and as shown in the highway standards and plans. "WORK ZONE SPEED LIMIT 55 PHOTO ENFORCED" assemblies shall be eliminated in all cases. "END WORK ZONE SPEED LIMIT" signs are required.
- (3) **Exit Signs.** The exit gore signs as shown in Standard 701411 shall be a minimum size of 48 inch by 48 inch with 12-inch capital letters and a 20 inch arrow. "EXIT OPEN AHEAD" signs shown in Standard 701411 shall be a minimum size of 48 inch by 48 inch with 8-inch capital letters.
- (4) **Uneven Lanes Signs.** The Contractor shall furnish and erect "UNEVEN LANES" signs (W8-11) on both sides of the expressway, at any time when the elevation difference between adjacent lanes open to traffic equals or exceeds 1 inch. Signs shall be placed 500' in advance of the drop-off, within 500' of every entrance, and a minimum of every mile.

Drums/Barricades. Check barricades shall be placed in work areas perpendicular to traffic every 1000', one per lane and per shoulder, to prevent motorists from using work areas as a traveled

way. Check barricades shall also be placed in advance of each open patch, or excavation, or any other hazard in the work area, the first at the edge of the open traffic lane and the second centered in the closed lane. Check barricades, either type I or II, or drums shall be equipped with a flashing light.

To provide sufficient lane widths (10' minimum) for traffic and working room, the Contractor shall furnish and install vertical barricades, in lieu of type II or drums, along the cold milling and asphalt paving operations. The vertical barricades shall be placed at the same spacing as the drums.

Vertical Barricades. Vertical barricades shall not be used in lane closure tapers, lane shifts, exit ramp gores, or staged construction projects lasting more than 12 hours. Also, vertical barricades shall not be used as patch barricades or check barricades. Special attention shall be given, and ballast provided per manufacture's specification, to maintain the vertical barricades in an upright position and in proper alignment.

Temporary Concrete Barrier Wall. Prismatic barrier wall reflectors shall be installed on both the face of the wall next to traffic, and the top of sections of the temporary concrete barrier wall as shown in Standard 704001. The color of these reflectors shall match the color of the edgelines (yellow on the left and crystal or white on the right). If the base of the temporary concrete barrier wall is 12 inches or less from the travel lane, then the lower slope of the wall shall also have a 6 inch wide temporary pavement marking edgeline (yellow on the left and white on the right).

Flaggers. One flagger will be required for each separate activity of an operation that requires frequent construction vehicles to enter or leave a work zone to or from a lane open to traffic. Temporary traffic control and flagger position shall be according to District 1 Detail TC-18 – Expressway Flagging, or as directed by the Engineer.

Full Expressway Closures. Full expressway closures will only be permitted for a maximum of 15 minutes during the allowable hours listed in the Keeping the Expressway Open to Traffic special provision. During full expressway closures, the Contractor will be required to close off all lanes except one, using freeway standard closures. The Contractor will be required to provide one changeable message sign to be placed at the direction of the Engineer. The sign shall display a message as directed by the Engineer. A maintenance of traffic plan shall be submitted to the District 1 Expressway Traffic Control Supervisor 14 days in advance of the planned work; including all stage changes. The maintenance of traffic plan shall include, but not be limited to, lane and ramp closures, existing geometrics, and equipment and material location. The District 1 Expressway Traffic Control Supervisor (847-705-4151) shall be contacted at least three working days in advance of the proposed road closure and will coordinate the closure operation with police forces.

Method of Measurement. This item of work will be measured on a lump sum basis for furnishing, installing, maintaining, replacing, relocating, and removing traffic control devices required in the plans and these special provisions. Traffic control and protection required under Standards 701101, 701400, 701401, 701402, 701406, 701411, 701416, 701426, 701428, 701446, 701901 and District Details TC-8, TC-9, TC-17, TC-18 and TC-25 will be included with this item.

Basis of Payment. This work will be paid for at the contract unit price per LUMP SUM for TRAFFIC CONTROL AND PROTECTION (EXPRESSWAYS). This price shall be payment in full for all labor, materials, transportation, handling, and incidental work necessary to furnish, install,

maintain, replace, relocate, and remove all expressway traffic control devices required in the plans and specifications.

In the event the sum total value of all the work items for which traffic control and protection is required is increased or decreased by more than 10%, the contract bid price for Traffic Control and Protection (Expressways) will be adjusted as follows:

$$\text{Adjusted contract price} = .25P + .75P [1 \pm (X - 0.1)]$$

Where: "P" is the bid unit price for Traffic Control and Protection

Where: "X" =

Difference between original and final sum total value of all work items for which traffic control and protection is required
Original sum total value of all work items for which traffic control and protection is required.

The value of the work items used in calculating the increase and decrease will include only items that have been added to or deducted from the contract under Article 104.02 of the Standard Specifications and only items which require use of traffic control and protection.

Temporary traffic control costs due to delay will be paid for according to the Compensable Delay Costs (BDE) special provision.

The Engineer may require additional traffic control be installed in accordance with standards and/or designs other than those included in the plans. In such cases, the standards and/or designs will be made available to the Contractor at least one week in advance of the change in traffic control. Payment for any additional traffic control required will be in accordance with Article 109.04 of the Standard Specifications.

Revisions in the phasing of construction or maintenance operations, requested by the Contractor, may require traffic control to be installed in accordance with standards and/or designs other than those included in the plans. Revisions or modifications to the traffic control shown in the contract shall be submitted by the Contractor for approval by the Engineer. No additional payment will be made for a Contractor requested modification.

Temporary concrete barrier wall will be measured and paid for according to Section 704.

Impact attenuators, temporary bridge rail, and temporary rumble strips will be paid for separately.

Temporary pavement markings shown on the Standard will be measured and paid for according to Section 703 and Section 780. All pavement marking removal will be measured and paid for according to Section 703 or Section 783. Temporary pavement marking on the lower slope of the temporary concrete barrier wall will be measured and paid for as Temporary Pavement Marking, 6".

The changeable message sign required for full expressway closures shall not be paid for separately.

TRAFFIC CONTROL SURVEILLANCE, EXPRESSWAYS

Effective: October 25, 1995

Revised: January 21, 2015

The Contractor shall provide a person with a vehicle to survey, inspect and maintain all temporary traffic control devices when a lane is closed to traffic, when hazards are present adjacent to or within 10 foot of the edge of pavement for more than 24 hours, or as directed by the Engineer.

The surveillance person is required to drive through the project, to inspect all temporary traffic control devices, to correct all traffic control deficiencies, if possible, or immediately contact someone else to make corrections and to assist with directing traffic until such corrections are made, at intervals not to exceed 4 hours. This person shall list every inspection on an inspection form, furnished by the Engineer, and shall return a completed form on the first working day after the inspections are made.

The Contractor shall supply a telephone staffed on a 24-hour-a-day basis to receive any notification of any deficiencies regarding traffic control and protection or receive any request for improving, correcting or modifying traffic control, installations or devices, including pavement markings. The Contractor shall dispatch additional men, materials, and equipment as necessary to begin to correct, improve, or modify the traffic control as directed, within one hour of notification by this surveillance person or by the Department. Upon completion of such corrections and/or revisions, the Contractor shall notify the Department's communication center at (847) 705-4612.

Method of Measurement. Traffic control surveillance will be measured on calendar day basis. One calendar day is equal to a minimum of six inspections. The inspections shall start within four hours after the lane is closed to traffic, a hazard exists within 10 foot from the edge of pavement, or as directed by the Engineer and shall end when the lane closure or hazard is removed or as directed by the Engineer.

Basis of Payment. This work will be paid for at the contract unit price per CALENDAR DAY or fraction thereof for TRAFFIC CONTROL SURVEILLANCE, EXPRESSWAYS. The price shall include all labor and equipment necessary to provide the required inspection and maintenance on the expressway and on all cross streets which are included in the project. The cost of the materials for the maintenance of traffic control devices shall be included in the traffic control pay items.

DELINEATOR (SPECIAL)

Description. Work under this item shall be performed according to Section 635 of the Standard Specifications for Road and Bridge Construction, except as herein modified. Work under this item shall consist of furnishing all of the labor, tools, equipment, and materials necessary to furnish, deliver, and install a longitudinal channelizer system.

Materials. The longitudinal channelizer system shall consist of three main components:

- Interlocking series of raised separator units
- High visibility reflecting elements
- High-target value vertical markers.

The system shall be MASH 2016 crash tested and test results accepted by FHWA.

General Requirements.

Interlocking Raised Separator: The raised separator shall consist of two basic units: a Raised Separator Unit and an End Unit (Male and Female).

Durability is a prime consideration, and the Separator in the system selected must be sturdy and capable of resisting crushing effects of traffic impacts. Thus the raised separator shall be composed of recycled plastic with a sufficient durable mass to achieve a weight of at least 10 pounds per linear foot. The Contractor shall provide a copy of a letter from an independent engineering testing laboratory certifying that a representative section of a Separator unit was subjected to compression force of at least 3,500 psi and that the tested sample rebounded after 24 hours to within 90% of its original height.

The separator shall be a minimum of 10" and a maximum of 12" in width, and a minimum of 3- 1/2" and a maximum of 4" in height. The separator cross section shall be gently curved so as to provide minimal resistance to vehicle tires, thereby allowing emergency vehicles to cross the separator. To increase target value in daylight hours, the entire rounded surface of the separator shall be colored yellow or white to conform to the traffic pavement markings that they supplant.

For ease of installation, the raised separator units shall be in portable sections, 30" to 48" in length. The units must fasten together securely by bolting each unit to a connecting metal device molded securely into the adjoining unit. Tapered end units shall be used at the beginning and end of each run of separator to form a gradual increase in height from the pavement level to the top of the separator. The tapered end units shall be between 18" to 24" in length and be capable of receiving a reflecting element.

Each individual separator unit shall have a receptacle for the installation of a bow shaped device with retro-reflectivity on the top and sides facing motorists. Each individual separator unit will have a receptacle for the installation of a high target value marker.

The separators shall be secured to the bridge deck without the need to drill holes into the deck. The method of attachment shall be per the manufacturer's recommendations for this type of installation. Adhesives shall meet the requirements of Section 1027 of the Standard Specification and shall be approved by the Engineer prior to the start of the installation.

High Target Value Markers: Each high target value marker shall be affixed to a detachable flexible rubber boot or similar device that supports the marker in a vertical position, and is capable of restoring the marker to the vertical position if struck by a vehicle. The marker shall be composed of high impact plastic and accommodate Type IV retro-reflective sheeting. The reflective sheeting shall be the same color as the separator units.

Round bollards shall be used when a significant volume of traffic is approaching the traffic separator perpendicularly, with or without parallel approach traffic. Round bollards shall have a mounting height of 40" above the roadway and have a nominal diameter of 5". The round bollards shall have an overall round appearance so that reflective sheeting is visible from any direction around the round channelizer. Round bollards shall accommodate two 4" bands of reflective sheeting that fully encircle the bollard.

Reflecting Profile Element: For motorist safety at night, reflecting elements must depict the raised profile of the separator units at night. Thus reflecting elements must be designed to adhere to the top and both sides of the separator units. Snap-in arcs with seven reflecting elements on each side should be designed to depict the raised profile of the lane separator. Reflecting element should be installed on all Raised Separator Units and on all Male End Units. The individual reflective “cat’s eyes” shall be between 0.30” to 0.40” in diameter and have the following photometric performance data in millicandela per lux (mcd/lux) for the inclination angle of 0°:

Angle of Observation a	Entrance Angle b	Amber (mcd/lux)	White (mcd/lux)
0.3°	5°	68	136
0.5°	10°	42	85
1.0°	10°	16	35
2.0°	15°	2.2	4.5

Manufacturer’s Warranty. Manufacturer will provide a 100% Manufacturer’s warranty for damage to the raised separator units, end units, anchoring system and reflecting elements for the first two years against all normal vehicular roadway traffic, and the same pro-rated warranty averaging at least 70% of the replacement value for three additional years. The manufacturer must also warranty the system components to be free from defects in workmanship and material for a period of two years from the date of shipment. For the safety of the motoring public and to establish cost worthiness, the separator system must have a minimum of ten years of on-road experience.

Installation. The Contractor shall prepare shop drawings for approval by the Engineer showing the number of individual units to be installed. The units shall not be installed closer than 5’ from the joint between the bridge and the approach slab. A 2’ gap shall be provided between combined segments to provide for drainage. The typical section of connected separators shall include 12 internal segments with 6 delineators.

Method of Measurement. Delineator (Special) will be measured for payment per each. Separator segments and end segments (male or female) shall be defined as 1 each.

Basis of Payment. This work will be paid for at the contract unit price per EACH for DELINEATOR (SPECIAL).

EROSION CONTROL BLANKET, SPECIAL (NATIVE WILDLFLOWER FRIENDLY)

This Special Provision revises Section 251 of the Standard Specifications for Road and Bridge Construction to eliminate the use of Knitted Straw Mat for Erosion Control Blanket. This work shall consist of furnishing, transporting, and placing 100 % biodegradable erosion control blanket over seeded areas as detailed on the plans, according to Section 251 except as modified herein.

Delete Article 251.02(f) Knitted Straw Mat.

Delete the first and second sentence of paragraph one of Article 251.04 Erosion Control Blanket and substitute the following:

Immediately upon completion of seed placement, erosion control blanket shall be placed over the seeded areas.

Delete the first and second paragraph of Article 1081.10(a) Excelsior Blanket and substitute the following:

Excelsior blanket shall consist of a machine produced mat of wood excelsior of 100 percent, 6 in. (150 mm) or longer fiber length. The wood from which the excelsior blanket is cut shall be properly cured to achieve adequately curled and barbed fibers.

The blanket shall be of consistent thickness, with the fiber evenly distributed over the entire area of the blanket. The excelsior blanket shall be covered on the top side with a 90 - day 100 percent biodegradable, plastic-free netting. Netting material shall be made of natural fiber, including coir (coconut husk fibers), jute or sisal, not altered by synthetic materials. Netting shall be "leno-weave" with movable joints (not fixed or welded), allowing each opening between vertical and horizontal twines in the netting stretchable and thus allowing broadleaf wildflowers to grow through. Degradable, photodegradable, UV-degradable, oxo-degradable, or oxo-biodegradable plastic netting (including polypropylene, nylon, polyethylene, and polyester) are not acceptable alternatives. The netting shall be substantially adhered to the excelsior blanket by a knitting process using biodegradable thread. The netting shall also be entwined with the excelsior blanket for maximum strength and ease of handling.

Delete the second paragraph of Article 1081.10(c) (1) Excelsior Blanket and substitute the following:

Both the top and bottom sides of each blanket shall be covered with 100 percent biodegradable, plastic-free netting. Netting material shall be made of natural fiber, including coir (coconut husk fibers), jute or sisal, not altered by synthetic materials. Netting shall be "leno-weave" with movable joints (not fixed or welded). The netting consists of machine directional strands formed from two intertwined yarns with cross directional strands interwoven through the twisted machine strands to form an approximate 0.50 x 1.0 – inch (1.27 x 2.54 cm) mesh.

The manufacturer shall furnish a certificate with each shipment stating the amount of product furnished and that the material complies with these requirements.

Delete Article 1081.10(d) Wire Staples.

Add the following to Article 1081.10 (e) Wood Stakes:

Biodegradable plastic stakes will be allowed. The biodegradable plastic anchor shall be approximately 8 - inches (8") in length. No metal wire stakes will be allowed.

Add the following to Article 251.06(b) Method of Measurement:

EROSION CONTROL BLANKET, SPECIAL will be measured for payment in place in square yards of actual surface area covered.

Add the following to Article 251.07 Basis of Payment:

EROSION CONTROL BLANKET, SPECIAL shall be paid at the contract unit price per SQUARE YARD.

FAILURE TO COMPLETE PLANT CARE AND ESTABLISHMENT WORK ON TIME

Should the Contractor fail to complete the plant care and/or supplemental watering work within the scheduled time frame as specified in the Special Provision for "Planting Woody Plants" and "Supplemental Watering", or within 24 hours notification from the Engineer, or within such extended times as may have been allowed by the Department, the Contractor shall be liable to the Department in the amount of:

- \$50.00 per tree/per day
- \$40.00 per large shrub/per day
- \$35.00 per small shrub/per day
- \$20.00 per vine/per day
- \$20.00 per perennial/per day
- \$20.00 per sq yd sod/per day

not as penalty but as liquidated damages, for each calendar day or a portion thereof of overrun in the contract time or such extended time as may have been allowed.

In fixing the damages as set out herein, the desire is to establish a mode of calculation for the work since the Department's actual loss, in the event of delay, cannot be predetermined, would be difficult of ascertainment, and a matter of argument and unprofitable litigation. This said mode is an equitable rule for measurement of the Department's actual loss and fairly takes into account the loss of the tree(s) if the watering or plant care is delayed. The Department shall not be required to provide any actual loss in order to recover these liquidated damages provided herein, as said damages are very difficult to ascertain. Furthermore, no provision of this clause shall be construed as a penalty, as such is not the intention of the parties.

A calendar day is every day shown on the calendar and starts at 12:00 midnight and ends at the following 12:00 midnight, twenty-four hours later.

GENERAL REQUIREMENTS FOR WEED CONTROL SPRAYING

Experience:

The Contractor shall have previous experience with the use of weed control chemicals. He/she shall have had at least three (3) season's experience in ecological restoration and the ability to identify and differentiate between targeted weeds and vegetation to remain. The Contractor shall observe and comply with all sections of the Illinois Custom Spray Law, including licensing.

Equipment:

The equipment used shall consist of swiping gloves, wicks, wands, hand spray guns and /or backpack sprayers, plus any other accessories needed to complete the specified work as directed by the Engineer. Wick applicators, swiping gloves, or other such devices may be required to ensure herbicides are applied only to target species. If hand spray guns used are attached to spray vehicle, maximum speed of the spray vehicle during application of chemical shall be five (5) miles per hour. In areas where a vehicle is needed to traverse the right-of-way, a four-wheel drive vehicle with flotation tires will be required to minimize damage to the ground surface.

Prior to beginning work, the Contractor shall obtain approval from the Engineer of the spraying equipment proposed for completing this work. The proposed equipment shall be in an operational condition and available for inspection by the Engineer at least two (2) weeks prior to the proposed starting time. If requested by the Engineer, the Contractor shall demonstrate the calibration of the equipment.

The equipment must provide consistently uniform coverage and keep the spray mixture sufficiently agitated or the work will be suspended until the equipment is repaired or replaced.

Spraying Areas:

This work includes roadsides and other types of right-of-way of various widths and gradients. Spray areas often extend more than thirty (30) feet from the edge of the roadway, requiring both spray bar and hand gun applications.

When the description of work requires weed control of a stated species, such as teasel, the chemical shall be applied only to locations where the stated species is present. When the description of work requires general weed control within a bed or area, such as broadleaf weed control in turf, then the chemical shall be applied to the entire bed or area.

Exclusion of Spraying Areas:

Areas where weed control spraying is inappropriate or detrimental to the environment, desirable planting, or private property shall be excluded from the spray area.

Spraying will not be permitted over any drainage swales or waterways, or other areas where the chemical label prohibits application. Spraying within 150 feet of a natural area or site where endangered or threatened species occur.

Responsibility for Prevention of Damage to Private Property:

The Contractor shall, at all times, exercise extreme caution to prevent damage to residential plantings, flower or vegetable gardens, vegetable crops, farm crops, orchard or desirable plants adjacent to the roadside.

The Contractor or Department receives a complaint; the Contractor shall contact a complaint within ten (10) days after receiving a claim for damages, either in person or by letter. The Contractor, or his authorized representative, shall make a personal contact with the complainant within twenty (20) days. The Engineer shall also be notified by the Contractor of all claims for damage he received and shall keep the Engineer informed as to the progress in arriving at a settlement for such claims.

Communication with the Engineer:

The Contractor is required to communicate with the Engineer to receive all required approvals in a timely way and to assure that the Engineer can accurately document the work performed.

It shall be the Contractor's responsibility to assure that all chemical containers are opened and added to the spray mixture in the presence of the Engineer.

The Contractor shall obtain approval from the Engineer to proceed with spraying at each location 24 hours prior to the proposed spray operations.

Notification of Pesticide Application

The Contractor will be required to properly provide notification of pesticide application as required by the IL Public Act 103-0976 (Pesticide Application on Rights-of Way Notification Act).

Two weeks prior to the application of pesticides, including but not limited to herbicides, insecticides, algaecides, and fungicides, the Contractor shall complete Operations form "OPER 758" which may be found at the following link: <https://idot.illinois.gov/resources/forms.html>

The Contractor shall return the completed form to the Engineer. The completed form will be uploaded on the IDOT website as required by the Department of Agriculture and IL Public Act 103-0976 at:

<https://idot.illinois.gov/transportation-system/environment/natural-resources/roadside-maintenance/pesticide-application.html>

The Contractor shall confirm submission of the form has been uploaded before starting spraying operations. Submissions will be sorted by District (One) and county (Cook, DuPage, Kane, Lake, McHenry, or Will). Allow at least a week for notifications to be uploaded.

Pesticide Application Daily Spray Record

The Contractor will be required to properly track pesticide applications as required by the ILG87 Permit. Reported data from this form will be collected and compiled annually and reported to the IEPA as required.

Within 48 hours of the application of pesticides, including but not limited to herbicides, insecticides, algaecides, and fungicides, the Contractor shall complete and return to the Engineer, Operations form "OPER 2720". OPER 2720 may be found at the following link:

<https://idot.illinois.gov/resources/forms.html>

LANDSCAPE CONSTRUCTION WORK AND LANDSCAPE ESTABLISHMENT WORK

The Contractor shall complete each Phase of the work in accordance with the CALENDAR OF LANDSCAPE CONSTRUCTION AND ESTABLISHMENT WORK specified in the plans.

INTERIM COMPLETION DATE FOR PHASE ONE

The Contractor shall schedule his/her operations in order to complete all Phase I work on or before June 10, 2026.

INTERIM COMPLETION DATE FOR PHASE TWO

The Contractor shall schedule his/her operations in order to complete all Phase II work on or before September 20, 2026.

INTERIM COMPLETION DATE FOR PHASE THREE

The Contractor shall schedule his/her operations in order to complete all Phase III work on or before April 30, 2027.

INTERIM COMPLETION DATE FOR PHASE FOUR

The Contractor shall schedule his/her operations in order to complete all Phase IV work on or before June 10, 2027.

COMPLETION DATE FOR PHASE FIVE

The Contractor shall schedule his/her operations in order to complete all Phase V work on or before July 31, 2027.

COMPLETION DATE FOR PHASE SIX

The Contractor shall schedule his/her operations in order to complete all Phase VI work on or before August 31, 2027.

COMPLETION DATE FOR PHASE SEVEN

The Contractor shall schedule his/her operations in order to complete all Phase VII work on or before October 31, 2027.

COMPLETION DATE FOR PHASE EIGHT

The Contractor shall schedule his/her operations in order to complete all Phase VIII work on or before December 20, 2027.

COMPLETION DATE FOR PHASE NINE

The Contractor shall schedule his/her operations in order to complete all Phase IX work on or before March 20, 2028.

COMPLETION DATE FOR PHASE TEN

The Contractor shall schedule his/her operations in order to complete all Phase X work on or before April 30, 2028.

COMPLETION DATE FOR PHASE ELEVEN

The Contractor shall schedule his/her operations in order to complete all Phase XI work

on or before May 31, 2028.

COMPLETION DATE FOR PHASE TWELVE

The Contractor shall schedule his/her operations in order to complete all Phase XII work on or before June 30, 2028.

COMPLETION DATE FOR PHASE THIRTEEN

The Contractor shall schedule his/her operations in order to complete all Phase XIII work on or before July 31, 2028.

COMPLETION DATE FOR PHASE FOURTEEN

The Contractor shall schedule his/her operations in order to complete all Phase XIII work on or before August 31, 2028.

COMPLETION DATE FOR PHASE FIFTEEN

The Contractor shall schedule his/her operations in order to complete all Phase XIII work on or before September 30, 2028.

COMPLETION DATE FOR PHASE SIXTEEN

The Contractor shall schedule his/her operations in order to complete all Phase XIII work on or before November 30, 2028

FAILURE TO COMPLETE LANDSCAPE CONSTRUCTION

Should the Contractor fail to complete the Phase I work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase I time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase II work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425 not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase II time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase III work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase III time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase IV work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425 not as a penalty but as liquidated

damages, for each calendar day or portion thereof, of overrun in the Phase IV time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase V work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase V time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase VI work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase VI time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase VII work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase I time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase VIII work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425 not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase II time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase IX work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase III time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase X work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425 not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase IV time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase XI work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase V time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase XII work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase VI time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase XIII work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425, not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase I time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase XIV work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425 not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase II time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase XV work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425 not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase II time or such extended time as may have been allowed.

Should the Contractor fail to complete the Phase XVI work on or before the completion date stipulated in the Contract, or within such extended time as may have been allowed, the Contractor shall be liable to the Department in the amount of \$1,425 not as a penalty but as liquidated damages, for each calendar day or portion thereof, of overrun in the Phase II time or such extended time as may have been allowed.

A calendar day is every day shown on the calendar and starts at 12:00 midnight and ends at the following 12:00 midnight, twenty-four hours later.

MOWING (SPECIAL)

Description: This work shall consist of mowing and or hand trimming grass areas to the height of 1 inch to 6 inches dependent on the ground cover type (turf or native) and intent (interseeding, weed control (various type), or native vegetation establishment. It shall take place in difficult to mow areas that may consist of one or more of the following scenarios: narrow spaces less than 2 feet wide, steep slopes greater than 2:1, excessive debris and brush, areas of permanently wet conditions, and/or areas of uneven ground. These areas may not be able to be mowed with typical roadside mowing equipment.

Contractor shall give 48-hour notice prior to mowing work. Mowing shall only occur in dry sunny weather.

Schedule and Height of Mowing: See Calendar of Landscape Construction and Establishment Work or as directed by the Engineer.

Weed Control (Year One):

- Mow to keep weeds from flowering and setting seed.
- Mow the entire site to a height of 4".

Establishment of Native Vegetation (Year Two):

- Mow to keep weeds from flowering and setting seed.
- Mow the entire site to a height of 4".
- Before placing native Seeding, Class 3 (Special) and Seeding, Class 5 (Modified) mow as close to the ground as possible and rake off cuttings. See schedule.

Establishment of Native Vegetation (Year Three):

- Mow turf grass to a height of 4".
- Mow native seeded areas when vegetation reaches a height of 10" to a height of 6" to cut the weeds but leave the prairie plant unharmed. Cutting shorter than 6" might harm the prairie plants.
- Mow native seeded areas to keep weeds from flowering and setting seed.
- Mowing the native areas when reaches a height of 12" can damage the prairie plants.

Mowing prior to Interseeding:

- Areas of existing turf to be interseeded shall be mowed one or more times to achieve a height of not more than 3 inches (3").

Equipment:

The Contractor shall keep all mowing equipment sharp and properly equipped for operation within an urban arterial route. The equipment used shall be capable of completely severing all growth at the cutting height and distributing it evenly over the mowed area.

Special equipment may be required to cut weed trees and brush up to 2" diameter on steep slopes, in narrow areas, and for trimming around posts, poles, trees, shrubs, seedlings, along fences and concrete retaining walls, etc. Conventional lawn mowers which cannot achieve the appropriate cut shall not be used.

Mowing equipment shall be approved by the Engineer prior to work.

Method: All mowing and trimming operations are to proceed in the direction of traffic flow. The cut material shall not be windrowed or left in a lumpy or bunched condition. All drain inlets must be kept clean and draining freely. Additional mowing or trimming may be required to obtain the height specified or to disperse mowed material, and to allow penetration of the seed. When amount of grass is heavy, cut grass shall be removed to prevent destruction of underlying turf. If weeds or other undesirable vegetation threatens to smother planted species, or in case of weeds exceeding growth of planted species, at the direction of the Engineer, the weeds shall be cut, raked, and removed from the area.

Remove litter, including plastic bags, paper, bottles, etc. prior to mowing. Debris encountered during the mowing operations, including the cut material from *all weed* species, shall be removed, and disposed of according to Article 202.03. All trimmings, windrowed material, litter, and debris removal must be complete to the satisfaction of the Engineer. Damage to the turf, such as ruts or wheel tracks more than 2 inches in depth, scalping of the mowed areas, or other plantings or highway appurtenances caused by the mowing or trimming operation shall be repaired at the Contractor's expense and to the satisfaction of the Engineer.

If required, cut grass shall be raked and removed. Litter pickup and raking and removal of cut vegetation shall be incidental to the work.

Method of Measurement: Mowing and trimming will be measured in acres of surface area mowed at the completion of each mowing cycle.

If the inspection discloses any work as being unsatisfactory, the Engineer will give the Contractor the necessary instructions for correction of same, and the Contractor shall immediately comply with such instructions and correct the unsatisfactory work. Work that is not acceptable on the inspection date will not be measured for payment.

Plan quantities are estimates only. Actual quantities will be measured in place. Agreement to plan quantities will not be allowed.

Basis of Payment: This work will be paid for at the contract unit price per acre for MOWING (SPECIAL). Any additional mowing or trimming required to obtain the height specified or to disperse mowed material will be considered as included in the cost of the initial mowing. Payment for mowing and trimming shall include the cost of all material, equipment, raking, labor, removal, disposal, and incidentals required to complete the work as specified herein and to the satisfaction of the Engineer.

MOWING

Description: This work shall consist of mowing turf grass areas to a height not more than 4 inches. Turf grass shall include only those areas sodded and seeded with Seeding Class 2A.

Contractor shall give 48-hour notice prior to mowing work. Mowing shall only occur in dry sunny weather.

Schedule: See Calendar of Landscape Construction and Establishment Work or as directed by the Engineer. Turf grass mowing shall be to keep clear zone well maintained during construction or as directed by the Engineer.

Equipment: The Contractor shall keep all mowing equipment sharp and properly equipped for operation along an urban arterial route. The equipment used shall be capable of completely severing all growth at the cutting height and distributing it evenly over the mowed area. Special equipment may be required on steep slopes, in narrow areas, and for trimming around posts, poles, fences, trees, shrubs, seedlings, etc.

Mowing equipment shall be approved by the Engineer prior to work.

Method: All mowing and trimming operations are to proceed in the direction of traffic flow. The cut material shall not be windrowed or left in a lumpy or bunched condition. Additional mowing or trimming may be required to obtain the height specified or to disperse mowed material.

Remove litter, including plastic bags, paper, bottles, etc. prior to mowing. Debris encountered during the mowing operations which hampers the operation or is visible from the roadway shall be removed and disposed of according to Article 202.03. All trimmings, windrowed material, and debris removal must be complete to the satisfaction of the Engineer. Damage to the turf, such as ruts or wheel tracks more than 2 inches in depth, or other plantings or highway appurtenances

caused by the mowing or trimming operation shall be repaired at the Contractor's expense and to the satisfaction of the Engineer.

Method of Measurement: Mowing and trimming will be measured in acres of surface area mowed at the completion of each mowing cycle.

Plan quantities are estimates only. Actual quantities will be measured in place. Agreement to plan quantities will not be allowed. Shrub beds or perennial beds within the mowed area that are less than 1000 square feet will not be subtracted from the area mowed.

Basis of Payment: This work will be paid for at the contract unit price per acre for MOWING. Any additional mowing or trimming required to obtain the height specified or to disperse mowed material will be considered as included in the cost of the initial mowing. Payment for mowing and trimming shall include the cost of all material, equipment, labor, removal, disposal, and incidentals required to complete the work as specified herein and to the satisfaction of the Engineer.

MULCH PLACEMENT FOR EXISTING WOODY PLANTS

This work shall be done in accordance with the applicable portion of Section 253.02 (c) and Section 1081.06 of the Standard Specifications for Road and Bridge Construction.

Description: This work shall consist of furnishing, transporting, and spreading an approved shredded hardwood bark mulch to the depth specified in areas as shown in the plans or as directed by the Engineer.

Material: Hardwood bark mulch shall be clean, finely shredded mixed-hardwood bark meeting the following requirements:

- Material shall be free of sticks, leaves, stones, dirt clods, and other debris.
- Individual wood chips shall not exceed 2 inches (50 mm) in the largest dimension.

The Contractor must submit a sample (1 – Gallon Size Bag) to the Roadside Development Unit for approval prior to performing any work. Allow a minimum of seven (7) working days prior to installation for approval.

Method: The grade, depth, and condition of the area must be approved by the Engineer prior to placement.

The Contractor shall spade a planting bed edge at approximately a 45-degree angle and to a depth of approximately 3-inches around the perimeter of the tree mulch ring, remove all weeds, litter, and plant debris prior to placement of the mulch. Remove any debris created in the spade edging process and dispose of as specified in Article 202.03. The Contractor shall repair the grade by raking and adding topsoil as needed before mulching.

Mulch shall be applied at a depth of 4-inches around all plants within the entire mulched bed area or around each individual tree to form a mulch ring. An excess of 4-inches of mulch is unacceptable and excess shall be removed. Mulch shall be tapered so that no mulch shall be

placed within 6-inches of the shrub base or trunk to allow the root flare to be exposed and shall be free of mulch contact.

The diameter of the mulch rings shall be as follows:

- Trees with a diameter less than 10 inches shall have a minimum 5 – foot diameter mulch ring.
- Trees with a diameter between 10 -15 inches shall have a minimum 6 - foot diameter mulch ring.
- Trees with a diameter of 16 inches or greater shall have a minimum 8 – foot diameter mulch ring.

The shredded mulch shall be placed according at the required depth as specified in the plans for planting trees, shrubs, vines, and perennial plants. Care shall be taken not to bury leaves, stems, or vines under mulch material. Mulch shall not be in contact with the base of the trunk. Mulch volcanos are unacceptable.

All finished mulch areas shall be left smooth and level to maintain uniform surface and appearance.

After the mulch placement, any debris or piles of material shall be immediately removed from the right of way, including raking excess mulch out of turf areas.

Method of Measurement: Mulch placement will be measured in place to the depth specified in square yards. Areas not meeting the depth specified shall not be measured for payment.

If the inspection discloses any work as being unsatisfactory, the Engineer will give the Contractor the necessary instructions for correction of same, and the Contractor shall immediately comply with such instructions and correct the unsatisfactory work. Areas not meeting the satisfaction of the Engineer shall not be measured for payment. Plan quantities are estimates only. Actual quantities will be measured in place. Agreement to plan quantities will not be allowed.

Basis of Payment: This work will be paid for at the contract unit price per square yard for MULCH PLACEMENT, of the thickness specified. Payment shall include all costs for turf removal and disposal, trimming, materials, equipment, and labor required to complete the work specified herein, including the cost of removing and disposing of any debris to the satisfaction of the Engineer. Any mulch placement included as part of the work in other work items will not be measured separately for payment.

PLANTING WOODY PLANTS

This work shall consist of planting woody plants as specified in Section 253 of the Standard Specifications with the following revisions:

Delete Article 253.03 Planting Time and substitute the following:

Spring Planting. This work shall be performed between March 15th and May 31st except that evergreen planting shall be performed between March 15th and April 30th in the northern zone.

Add the following to Article 253.03 (a) (2) and (b):

All plants shall be obtained from Illinois Nurserymen's Association or appropriate state chapter nurseries. All trees and shrubs shall be dug prior to leafing out (bud break) in the spring or when plants have gone dormant in the fall, except for the following species which are only to be dug prior to leafing out in the spring:

- Red Maple (*Acer rubra*)
- Alder (*alnus* spp.)
- Buckeye (*Aesculus* spp.)
- Birch (*Betulus* spp.)
- American Hornbeam (*Carpinus carolina*)
- Hickory (*Carya* spp.)
- Eastern Redbud (*Cercis* spp.)
- American Yellowwood (*Cladrastis kentuckea* spp.)
- Corylus (Filbert spp.)
- Hawthorn (*Crataegus* spp.)
- Walnut (*Juglans* spp.)
- Sweetgum (*Liquidambar* spp.)
- Tuliptree (*Liriodendron* spp.)
- Dawn Redwood (*Metasequoia* spp.)
- Black Tupelo (*Nyssa sylvatica*)
- American Hophornbeam (*Ostrya virginiana*)
- Planetree (*Platanus* spp.)
- Poplar (*Populus* spp.)
- Cherry (*Prunus* spp.)
- Oak (*Quercus* spp.)
- Willow (*Salix* spp.)
- Sassafras (*Sassafras albidum*)
- Baldcypress (*Taxodium distichum*)
- Broadleaf Evergreens (all)
- Vines (all)

Fall Planting. This work shall be performed between October 1 and November 30 except that evergreen planting shall be performed between August 15 and October 15.

Planting dates are dependent on species of plant material and weather. Planting might begin or end prior or after above dates as approved by the Engineer. Do not plant when soil is muddy or during frost.

Add the following to Article 253.05 Transportation:

Cover plants during transport to prevent desiccation. Plant material transported without cover shall be automatically rejected. During loading and unloading, plants shall be handled such that stems are not stressed, scraped, or broken and that root balls are kept intact.

Delete the third sentence of Article 253.07 and substitute the following:

Trees must be installed first to establish proper layout and to avoid damage to other plantings such as shrubs and perennials.

The Contractor shall be responsible for all tree, shrub, and vine layout. The layout must be performed by qualified personnel. The planting locations must be laid out as shown in the landscape plan. This will require the use of an engineer's scale to determine dimensions.

Tree and shrub locations within each planting area shall be marked with different color stakes/flags and labeled to denote the different tree and shrub species.

Shrub and vine beds will first be marked out with flags to delineate the perimeter of the planting bed. Once the planting bed has been approved by the Roadside Development Unit, the perimeter shall be painted prior to the removal of the flags and turf. The removal of the existing turf will be by a method approved by the Engineer.

Prior to shrub, vine installation, all plants shall be placed above ground or planting locations clearly marked out.

All utilities shall have been marked prior to contacting the Roadside Development Unit. The Engineer will contact the Roadside Development Unit at (847) 705-4171 to approve the layout prior to installation. Allow a minimum of seven (7) working days prior to installation for approval.

Delete the first paragraph to Article 253.08 Excavation of Plant Holes and substitute with the following:

Protect structures, utilities, sidewalks, bicycle paths, knee walls, fences, pavements, utility boxes, other facilities, lawns and existing plants from damage caused by planting operations. Excavation of the planting hole may be performed by either hand, machine excavator, or auger.

The excavated material shall not be stockpiled on turf, in ditches, or used to create enormous water saucer berms around newly installed trees or shrubs. Remove all excess excavated subsoil from the site and dispose as specified in Article 202.03.

Delete the second sentence of Article 253.08 Excavation of Plant Holes (a) and the third paragraph of Article 253.08(b) and substitute with the following:

Excavation of planting hole width. Planting holes for trees, shrubs, and vines shall be three times the diameter of the root mass and with 45-degree sides sloping down to the base of the

root mass to encourage rapid root growth. Roots can become deformed by the edge of the hole if the hole is too small and will hinder root growth.

Planting holes dug with an auger shall have the sides cut down with a shovel to eliminate the glazed, smooth sides and create sloping sides.

Excavation of planting hole depth. The root flare shall be visible at the top of the root mass. If the trunk flare is not visible, carefully remove soil from around the trunk until the root flare is visible without damaging the roots. Remove excess soil until the top of the root mass exposes the root collar.

The root flare shall always be slightly above the surface of the surrounding soil. The depth of the hole shall be equal to the depth of the root mass minus one (1) inch allowing the tree or shrub to sit one (1) inch higher than the surrounding soil surface for trees that have a 1-inch caliper or smaller. The depth of the hole shall be equal to the depth of the root mass minus two (2) inches allowing the tree or shrub to sit two (2) inches higher than the surrounding soil surface for trees that have a 2-inch caliper or larger.

For stability, the root mass shall sit on existing undisturbed soil. If the hole was inadvertently dug too deep, backfill and recompact the soil to the correct depth.

Excavation of planting hole on slopes. Excavate away the slope above the planting hole to create a flattened area uphill of the planting hole to prevent the uphill roots from being buried too deep. Place the excess soil on the downslope of the planting hole to extend the planting shelf to ensure roots on the downhill side of the tree remain buried. The planting hole shall be three times the diameter of the root mass and saucer shaped. The hole may be a bit elongated to fit the contour of the slope as opposed to the typical round hole on flat ground.

Add backfill to create a small berm on the downhill portion of the planting shelf to trap water and encourage movement into the soil to increase water filtration around the tree. Smooth out the slope above the plant where you have cut into the soil so the old slope and the new slope transition together smoothly.

Add the following to Article 253.08 Excavation of Plant Holes (b):

When planting shrubs in shrub beds or vines in vine beds as shown on the plans or as directed by the Engineer, the Contractor will contact the Roadside Development Unit at (847) 705-4171 to approve the layout prior to removing the existing turf. The removal of the existing turf will be by a method approved by the Engineer. Areas damaged outside the delineated planting beds shall be restored at the Contractor's expense.

Spade a planting bed edge at approximately a 45-degree angle and to a depth of approximately 3-inches around the perimeter of the shrub bed prior to placement of the mulch. Remove any debris created in the spade edging process and dispose of as specified in Article 202.03.

Delete Article 253.09 (b) Pruning and substitute with the following:

Deciduous Shrubs. Shrubs shall be pruned to remove dead, conflicting, or broken branches and shall preserve the natural form of the shrub.

Delete the third and fourth paragraphs of Article 253.10 Planting Procedures and Article 253.10 (a) and substitute the following:

Approved watering equipment shall be at the immediate work site area and in operational condition PRIOR TO STARTING the planting operation and DURING all planting operations OR PLANTING WILL NOT BE ALLOWED.

All plants shall be placed in a plumb position and avoid the appearance of leaning. Confirm the tree is straight from two directions prior to backfilling.

Before the plant is placed in the hole, any paper or cardboard trunk wrap shall be removed. Check that the trunk is not damaged. Any soil covering the tree's root flare shall be removed to expose the crown prior to planting.

Check the depth of the root ball in the planting hole. With the root flare exposed, one-inch caliper trees shall be set one inch higher than the surrounding soil and two-inch and larger caliper trees shall be set two inches higher than the surrounding soil. The root flare shall always be slightly above the surface of the surrounding soil. For stability, the root ball shall sit on existing undisturbed soil. If the hole was inadvertently dug too deep, backfill and recompact the soil to the correct depth.

After the plant is placed in the hole, all cords and burlap shall be removed from the trunk. Remove the wire basket from the top three quarters (3/4) of the root ball. The remaining burlap shall be loosened and scored to provide the root system quick contact with the soil. All ropes or twine shall be removed from the root ball and tree trunk. All materials shall be disposed of properly.

The plant hole shall be backfilled with the same soil that was removed from the hole. Clay soil clumps shall be broken up as much as possible. Where rocks, gravel, heavy clay, or other debris are encountered, clean topsoil shall be used. Do not backfill excavation with subsoil.

The hole shall be 1/3 filled with soil and firmly packed to assure the plant remains in plumb, then saturated with water. After the water has soaked in, complete the remaining backfill in 8" lifts, tamping the topsoil to eliminate voids, and then the hole shall be saturated again. Maintain plumb during backfilling. Backfill to the edge of the root mass and do not place any soil on top of the root mass. Visible root flare shall be left exposed, uncovered by the addition of soil.

Add the following to Article 253.10 (b):

After removal of the container, inspect the root system for circling, matted or crowded roots at the container sides and bottom. Using a sharp knife or hand pruners, prune, cut, and loosen any parts of the root system requiring corrective action.

Delete the first sentence of Article 253.10(e) and substitute with the following:

Water Saucer. All plants placed individually and not specified to be bedded with other plants, shall have a water saucer constructed of soil by mounding up the soil 4-inches high x 8-inches wide outside the edge of the planting hole.

Delete Article 253.11 and substitute the following:

Individual trees, shrubs, shrub beds, and vines shall be mulched within 48 hours after being planted. No weed barrier fabric will be required for tree and shrub plantings.

The mulch shall consist of wood chips or shredded tree bark free not to exceed two (2) inches in its largest dimension, free of foreign matter, sticks, stones, and clods. Mulch shall be aged in stockpiles for a minimum of four (4) months where interior temperatures reach a minimum of 140-degrees. The mulch shall be free from inorganic materials, contaminants, fuels, invasive weed seeds, disease, harmful insects such as emerald ash borer or any other type of material detrimental to plant growth. A sample must be supplied to the Roadside Development Unit for approval prior to performing any work. Allow a minimum of seven (7) working days prior to installation for approval.

Mulch shall be applied at a depth of 4-inches around all plants within the entire mulched bed area or around each individual tree forming a minimum 5-foot diameter mulch ring around each tree. An excess of 4-inches of mulch is unacceptable, and excess shall be removed. Mulch shall not be tapered so that no mulch shall be placed within 6-inches of the shrub base or trunk to allow the root flare to be exposed and shall be free of mulch contact.

Care shall be taken not to bury leaves, stems, or vines under mulch material. All finished mulch areas shall be left smooth and level to maintain uniform surface and appearance. After the mulch placement, any debris or piles of material shall be immediately removed from the right of way, including raking excess mulch out of turf areas in accordance with Article 202.03.

Delete Article 253.12 Wrapping and substitute the following:

Within 48 hours after planting, screen mesh shall be wrapped around the trunk of all deciduous trees with a caliper of 1-inch or greater. Multi-stem or clump form trees, with individual stems having a caliper of 1-inch or greater, shall have each stem wrapped separately. The screen mesh shall be secured to itself with staples or single wire strands tied to the mesh. Trees shall be wrapped at time of planting, before the installation of mulch. The lower edge of the screen wire shall be in continuous contact with the ground and shall extend up to a minimum of 36-inches or to the lowest major branch, whichever is less. Replacement plantings shall not be wrapped.

Delete Article 253.13 Bracing and substitute with the following:

Unless otherwise specified by the Engineer, within 48 hours after planting all deciduous and evergreen trees, with the exception of multi-stem or clump form specimens, over 8-feet in height shall require three 6-foot long steel posts so placed that they are equidistant from each other and adjacent to the outside of the ball. The posts shall be driven vertically to a depth of 18-inches below the bottom of the hole. The anchor plate shall be aligned perpendicular to a line between the tree and the post. The tree shall be firmly attached to each post with a double guy of 14-gauge steel wire. The portion of the wire in contact with the tree shall be encased in a hose of a type and length approved by the Engineer.

During the life of the contract, within 72 hours the Contractor shall straighten any tree that deviates from a plumb position. The Contractor shall adjust backfill compaction and install or adjust bracing on the tree as necessary to maintain a plumb position. Replacement trees shall not be braced.

Delete the second sentence of the first paragraph of Article 253.14 Period of Establishment and substitute the following:

This period shall begin in April and end in November of the same year.

Delete the first paragraph of Article 253.15 Plant Care and substitute the following:

During the period of establishment, the Contractor shall properly care for all plants including weeding, watering, adjusting of braces, repair of water saucers, pruning, cultivating, tightening, and repairing supports, repair of wrapping, and furnishing and applying sprays as necessary to keep the plants free of insects and disease, or other work which is necessary to maintain the health and satisfactory appearance of the plantings. The Contractor shall provide plant care a minimum of every two weeks, or within 36 hours following notification by the Engineer. All requirements for plant care shall be considered as included in the cost of the contract.

Delete the first paragraph of Article 253.15 Plant Care (a) and substitute with the following:

During the period of establishment, watering (initial) shall be performed at least every 30 days following installation during the months of May through November and is included in the cost of the contract unit price per each for TREES, SHRUBS, or VINES, of the species, root type, and plant size specified. The Contractor shall apply per week a minimum of 15 gallons of water per tree, 10 gallons per large shrub, 5 gallons per small shrub, and 2 gallons per vine.

Additional watering will be done once a week (3 times a month) following installation during the months of May through November. Any required additional watering in between the regularly scheduled (initial) watering(s) will be paid for as Supplemental Watering.

Special consideration in determining water needs must be given during extreme weather conditions or if plants exhibit any signs of stress in between the regularly scheduled every thirty-day watering during the period of establishment. Water immediately if plants show signs of wilting or if top (1) inch to two (2) inches of soil is dry. Water to ensure that moisture penetrates throughout the root zone, including the surrounding soil, and only as frequently as necessary to maintain healthy growth. Do not overwater.

The Engineer may direct the Contractor to adjust the watering rate and frequency depending upon weather conditions. Should excess moisture prevail, the Engineer may delete any or all the additional watering cycles.

Add the following to Article 253.15 Plant Care (c):

The contractor shall correct any vine growing across the ground plane that should be growing up desired vertical element (noise wall, retaining wall, fence, knee wall, etc.). Work may include but is not limited to carefully weaving vines through fence and/or taping vines to vertical elements.

Add the following to Article 253.15 Plant Care (d):

The Contractor shall inspect all trees, shrubs, and vines for pests and diseases at least every two weeks during the months of initial planting through final acceptance. Contractor must identify and monitor pest and diseases and determine action required to maintain the good appearance, health, and top performance of all plant material. Contractor shall notify the Engineer with their inspection findings and recommendations within twenty-four (24) hours of findings. The recommendations for action by the Contractor must be reviewed and by the Engineer for

approval/rejection. All approved corrective activities will be considered as included in the cost of the contract and shall be performed within thirty-six (36) hours following notification by the Engineer.

Add the following to Article 253.16 Method of Measurement:

Additional Watering will be measured for payment as specified in Supplemental Watering.

Delete Article 253.17 Basis of Payment and substitute the following:

This work will be paid for at the contract unit price per each for TREES, SHRUBS, or VINES, of the species, root type, and plant size specified, and per unit for SEEDLINGS. The unit price shall include the cost of all materials, equipment, labor, plant care, removal, disposal, and incidentals required to complete the work as specified herein and to the satisfaction of the Engineer. Payment will be made according to the following schedule:

- (a) Initial Payment. Upon completion of planting, mulching, wrapping, and bracing, 75 percent of the pay item(s) will be paid.
- (b) Final Payment. Upon inspection and acceptance of the plant material, or upon execution of a third-party bond, the remaining 25 percent of the pay item(s) will be paid."
- (c) Additional Watering will be paid for as specified in SUPPLEMENTAL WATERING.

PROTECTION OF EXISTING TREES

The Contractor shall be responsible for taking measures to minimize damage to the tree limbs, tree trunks, and tree roots at each work site. All such measures shall be included in the contract price for other work except that payment will be made for TEMPORARY FENCE, TREE TRUNK PROTECTION, TREE ROOT PRUNING, and TREE PRUNING.

The Contractor shall coordinate with the village forester or arborist (Roadside Development Unit 847.705.4171) prior to the start of construction to do a walk through and determine which trees or shrubs are to be protected, method of protection, and determine type of work to minimize damage to the tree.

All work, materials and equipment shall conform to Section 201 and 1081 of the Standard Specifications except as modified herein.

A. Earth Saw Cut of Tree Roots (Root Pruning):

- 1. Whenever proposed excavation falls within a drip-line of a tree, the Contractor shall:
 - a. Root prune 6-inches behind and parallel to the proposed edge of trench a neat, clean vertical cut to a minimum depth directed by the Engineer through all affected tree roots.

- b. Root prune to a maximum width of 4-inches using a reciprocating saw blade for cutting tree roots or similar cutting machine. Trenching machines will not be permitted.
 - c. Exercise care not to cut any existing utilities.
 - d. If during construction it becomes necessary to expose tree roots which have not been precut, the Engineer shall be notified and the Contractor shall provide a clean, vertical cut at the proper root location, nearer the tree trunk, as necessary, by means of hand-digging and trimming with chain saw or hand saw. Ripping, shredding, shearing, chopping, or tearing will not be permitted.
 - e. Top Pruning: When thirty percent (30%) or more of the root zone is pruned, an equivalent amount of the top vegetative growth or the plant material shall be pruned off within one (1) week following root pruning.
- 2. Whenever curb and gutter is removed for replacement, or excavation for removal of or construction of a structure is within the drip line/root zone of a tree, the Contractor shall:
 - a. Root prune 6-inches behind the curbing so as to neatly cut the tree roots.
 - b. Depth of cut shall be 12 inches for curb removal and replacement and 24 inches for structural work. Any roots encountered at a greater depth shall be neatly saw cut at no additional cost.
 - c. Locations where earth saw cutting of tree roots is required will be marked in the field by the Engineer.
 - 3. All root pruning work is to be performed through the services of a licensed arborist to be approved by the Engineer.

Root pruning will be paid for at the contract unit price each for TREE ROOT PRUNING, which price shall be payment for all labor, materials, and equipment.

Tree limb pruning will be paid for at the contract unit price per each for TREE PRUNING (1 TO 10 INCH DIAMETER) and/or TREE PRUNING (OVER 10 INCH DIAMETER), which price shall include labor, materials, and equipment.

B. Temporary Fence:

- 1. The Contractor shall erect a temporary fence around all trees within the construction area to establish a "tree protection zone" before any work begins or any material is delivered to the jobsite. No work is to be performed (other than root pruning), materials stored, or vehicles driven or parked within the "tree protection zone".
- 2. The exact location and establishment of the "tree protection zone" fence shall be approved by the Engineer prior to setting the fence.

3. The fence shall be erected on three sides of the tree at the drip-line of the tree or as determined by the Engineer.
4. All work within the "tree protection zone" shall have the Engineer's prior approval. All slopes and other areas not regarded should be avoided so that unnecessary damage is not done to the existing turf, tree root system ground cover.
5. The grade within the "tree protection zone" shall not be changed unless approved by the Engineer prior to making said changes or performing the work.

The fence shall be similar to wood lath snow fence (48 inches high), plastic poly-type or and other type of highly visible barrier approved by the Engineer. This fence shall be properly maintained and shall remain up until final restoration unless the Engineer directs removal otherwise. Tree fence shall be supported using T-Post style fence posts. **Utilizing re-bar as a fence post will not be permitted.**

Temporary fence will be paid for at the contract unit price per foot for TEMPORARY FENCE, which price shall include furnishing, installing, maintaining, and removing.

C. Tree Trunk Protection:

1. The Contractor shall erect trunk protection around all trees within the construction area to prevent damage to the trunk of the tree when temporary fence is not an option before any work begins or any material is delivered to the jobsite. No work is to be performed (other than root pruning), materials stored, or vehicles driven or parked within the "tree protection zone".

2. The 2 inch x 8 inch x 8 foot boards shall be banded continuously around the trunk of each tree to prevent scarring of the trees shown on the plans or designated by the Engineer.

3. Multi-stem trees, saplings, and shrubs to be protected within the area of construction, temporary fence may be used for trunk protection.

Tree trunk protection will be paid for at the contract unit price per each for TREE TRUNK PROTECTION), which price shall include materials, installation, and removal.

D. Tree Limb Pruning:

1. The Contractor shall inspect the work site in advance and arrange with the Roadside Development Unit (847.705.4171) and/or village forester or arborist to have any tree limbs pruned that might be damaged by equipment operations at least one week prior to the start of construction. Any tree limbs that are broken by construction equipment after the initial pruning must be pruned correctly within 72 hours.

2. Top Pruning: When thirty percent (30%) or more of the root zone of a tree is pruned, an equivalent amount of the top vegetative growth or the plant material shall be pruned off within one (1) week following root pruning.

Tree limb pruning will be paid for at the contract unit price per each for TREE PRUNING (1 TO 10 INCH DIAMETER) and/or TREE PRUNING (OVER 10 INCH DIAMETER), which price shall include labor, materials, and equipment.

E. Removal of Driveway Pavement and Sidewalk:

1. In order to minimize the potential damage to the tree root system(s), the Contractor will not be allowed to operate any construction equipment or machinery within the "tree protection zone" located between the curb or edge of pavement and the right-of-way property line.
2. Sidewalk to be removed in the areas adjacent to the "tree protection zones" shall be removed with equipment operated from the street pavement. Removal shall be done by excavation equipment, or by hand, or a combination of these methods. The method of removal shall be approved by the Engineer prior to commencing any work.
3. Any pavement or pavement related work that is removed shall be immediately disposed of from the area and shall not be stockpiled or stored within the parkway area under any circumstances.

F. Backfilling:

1. Prior to placing the topsoil and/or sod, in areas outside the protection zone, the existing ground shall be disked to a depth no greater than one (1"), unless otherwise directed by the Engineer. No grading will be allowed within the drip-line of any tree unless directed by the Engineer.

G. Damages:

1. In the event that a tree not scheduled for removal is injured such that potential irreparable damage may ensue, as determined by the Roadside Development Unit, the Contractor shall be required to remove the damage tree and replace it on a three to one (3:1) basis, at his own expense. The Roadside Development Unit will select replacement trees from the pay items already established in the contract.
2. The Contractor shall place extreme importance upon the protection and care of trees and shrubs which are to remain during all times of this improvement. It is of paramount importance that the trees and shrubs which are to remain are adequately protected by the Contractor and made safe from harm and potential damage from the operations and construction of this improvement. If the Contractor is found to be in violation of storage or operations within the "tree protection zone" or construction activities not approved by the Engineer, a penalty shall be levied against the Contractor with the monies being deducted from the contract. The amount of the penalty shall be two hundred fifty dollars (\$250.00) per occurrence per day.

REQUIRED INSPECTION OF WOODY PLANT MATERIAL

Delete the first sentence of Article 1081.01(c)(1) and substitute the following:

Inspection of plant material will be made at the nursery by the Engineer, or a duly authorized representative of the Department; all plant material must be grown in the field of the nursery supplying the material.

The place of growth for all material, and subsequent inspection, must be located within 150 miles of the project.

The Contractor shall provide the Engineer 30 calendar days advance notice of the plant material to be inspected. Written certification by the Nursery will be required certifying that the plants are true to their species and/or cultivar specified in the plans.

SEEDING, CLASS 3 (SPECIAL) SHORT SAVANNA SLOPE MIX

This work shall consist of Seeding of Class 3 (Special) in areas as shown in the plans or a directed by the Engineer.

All work, materials, and equipment shall conform to Sections 250 and 1081 of the Standard Specifications except as modified herein.

The Class 3 (Special) seed mixture seed mixture shall be supplied in a separate bag from the three mixture components: Temporary Cover, Permanent Grasses, and Forbs. All native species will be local genotype and verified that original seed collection source will be from a radius of 200 miles from the project. Fertilizer is not required.

Article 250.07 Seeding Mixtures – Delete sentence 4. Add the following to Table 1 – Seeding Mixtures:

CLASS – TYPE	SEEDS	PURE LIVE SEED LB/ACRE
3 (Special) Northern Illinois Slope Native Grass		32.0
	Bouteloua curtipendula (Side-Oats Grama)	10.0
	Bromus kalmia (Prairie Brome)	2.0
	Elymus hystrix Bottlebrush Grass	2.0
Elymus villosus	(Silky Wild Rye)	2.0
	Koeleria macrantha (June Grass)	1.0
	Schizachyrium scoparius (Little Bluestem)	15.0
Temporary Cover		90 (lb/acre)
Fall:	Annual Rye (Lolium multiflorum)	40.0
	Spring Oats (Avena sativa)	50.0

Variation in the Class 3, 4, 5, or 6 seed quantities or varieties may be allowed in the event of a crop failure or other unforeseen conditions. Quantities of proposed substitutions shall be determined by seed count. The Contractor shall provide for the approval of the Engineer a written description of the proposed changes to the Class 3, 4, 5, or 6 Mixture(s), the reasons for the change, and the name of the seed suppliers who were contacted to obtain the specified species. Adjustments will be made at no cost to the contract. Approval of substitutes shall in no way waive any requirements of the contract.

Layout of Seeding:

The Contractor shall be responsible for filed verifying the acreage of the area(s) to be seeded. The amount of seed ordered shall match the area(s) to be seeded during the pending planting season. A minimum of 30 days shall be allowed for seed acquisition, testing, and inspection.

The Contractor shall demarcate all areas to be seeded and estimate quantities of each area to determine the quantity of seed necessary to achieve the specified seed rate per acre. The Contractor shall delineate the perimeter of the seedbed with wooden lathe. The wooden lathe shall remain in place. The contractor shall provide a minimum of seven calendar days' notice to the Engineer to allow for review and approval of seeding layout.

Seeding Time:

All trees shall be installed prior to seeding. Seeding shall be completed once soil temperatures are consistently below 50°F or between November 15 to December 15 but not when raining or when the ground is covered with snow, unless prior written approval is received from the Engineer. No seed shall be sown when the ground is not in proper condition for seeding. Seeding done

outside of this time frame will not be measured for payment unless approved in writing by Engineer in advance.

For bare earth seeding, the Contractor shall schedule work so that final grade is achieved during the specified seeding times.

Fall planting method: Seeding into dead vegetation, the Contractor shall schedule work so that all vegetation is dead, and all weeds have been eliminated before the specified seeding times.

Bagging, Transporting, and Storing Seed:

Seed mixtures of the specified classes shall be thoroughly mixed, labeled, and bagged by the supplier. Purity and germination tests no older than twelve months old must be submitted for all seed supplied to verify quantities of bulk seed required to achieve LB PLS specified. Seed shall not be picked up until the purity test has been completed for the seeds to be used, and said tests show that the seed meets the noxious weed seed requirements.

Seed shall be bagged, transported, and stored in such a manner to protect it from damage and to maintain the viability of the seed. All seed mixtures shall be brought to the site in clearly labeled and unopened bags.

Seed shall be adequately protected from rain, temperature extremes, rodents, insects, and other such factors that could adversely affect seed viability during transport or while being stored prior to planting. Bags of seed that are leaking, wet, moldy, or otherwise damaged shall be rejected and promptly removed from the site of work. Prior to application, the Engineer must approve the seed mix in the bags on site.

On Site Inspection of Seed:

The Engineer must witness the delivery of seed with original labels attached to the unopened bags in the field. A bag ticket must be affixed to each bag of seed upon delivery and shall not be removed until the Engineer has reviewed and accepted each bag of seed. The label shall bear the dealer's guarantee of mixture and year grown, purity and germination, and date of test.

Seed Bed Preparation:

All area(s) to be seeded must be properly prepared prior to planting seed. Seed bed preparation shall not be done if raining or soils are muddy. No seed shall be sown until the seed bed has been approved by the Engineer.

Bare earth seeding: Refers to sowing seed upon soils with no existing vegetative cover. In areas with existing vegetation, the vegetation shall be eradicated as specified or as directed by the Engineer. Seed bed preparation shall not be started until all requirements of Section 212 have been completed. The area to be seeded shall be worked to a minimum depth of 3 in. (75 mm) with a disk, tiller, box rake, or other equipment approved by the Engineer. In areas with heavy soils, tilling or power raking will be required to achieve the proper depth. All soil clods shall be reduced to a size not larger than ½ in. (13 mm) in the largest dimension to create a friable, pulverized topsoil surface suitable for seeding. Dragging the soil surface with the blade of a loader or dozer will not be an acceptable method of seed bed preparation. The prepared surface shall be relatively free of weeds, stones, roots, sticks, debris, rills, gullies, crusting, caking, and compaction.

Fall planting method: For seeding into dead vegetation, the area to be seeded shall be mowed as low to the ground as possible and the cut vegetation shall be raked off, so the cut vegetation does not impede the seed to soil contact. Seeding shall occur within 72 hours of completed bed preparation, or the Contractor shall propose a reasonable, alternative schedule that shall be approved by the Engineer. The seed will work its way down into the soil through the freeze and thaw process throughout the winter.

Seeding Methods:

No seed shall be sown when wind gusts exceed 15 miles per hour. Do not plant when the soil is wet or when the ground is not in a proper condition for seeding, wait until the soil has dried and is workable before planting.

All equipment shall be approved by the Engineer prior to being used. Prior to starting work, seeders shall be calibrated and adjusted to sow seeds at the required seeding rate. Equipment shall be operated in a manner to ensure complete coverage of the entire area to be seeded. The Engineer shall be notified 48 hours prior to beginning the seeding operations so that the Engineer may determine by trial runs that a calibration of the seeder will provide uniform distribution at the specified rate per acre.

Seeding Classes 3, 4, 5, and 6 must be incorporated into the soil surface, but no deeper than $\frac{1}{4}$ inch, such as by a broadcast seeder, rangeland type seed drill, harrow, hand rake, or other method approved by the Engineer.

Hand broadcasting and other methods of sowing seed such as hydraulic seeding will be allowed in special circumstances as approved by the Engineer. Special circumstances include but are not necessarily limited to steep slopes (over 1:3 (V:H)), inaccessible areas, wet areas, or other unique situations where the use of the specified equipment is not possible.

Broadcast Seeding

Seed shall be broadcast spread using a cyclone or whirlwind seeder or hand broadcast. Seed may be mixed with approved carrier to ensure a more even distribution of seed across the entire project. This carrier can be oats, rice hulls, pelletized lime, vermiculite, kitty litter or any other carrier approved by the Engineer. Proper calculation of seed to carrier ratio is critical to achieve even distribution of prairie seed and ensure complete coverage of the entire area to be seeded. Mix thoroughly to achieve a homogenous mixture.

Broadcast seeding shall be undertaken in two separate passes at ninety degrees to each other (cross pattern). One-half the seeding rate shall be applied in each direction (horizontally and vertically). To ensure seed to soil contact with broadcasting of seed, seeding shall be followed by rolling the seeded area with a hand roller or with equipment approved by the Engineer.

Hydraulic Seeding

Hydraulic seeding shall be per the manufacturer's directions and as follows.

Hydraulic seeding shall only be used for sites over half an acre in size or with permission of the Engineer.

Tank and hoses shall be cleaned from all previous hydraulic seeding and hydraulic mulching projects. Seed shall be mixed into the slurry immediately before application and slurry applied

within 30 minutes after seeds have been placed in the tank. Once seed has been placed in the tank, tank shall be agitated only enough to mix the seeds and keep slurry from separating.

When seed is applied with a hydraulic seeder, the rate of application shall be not less than 1000 gallons of slurry per acre. This slurry shall contain the proper quantity of seed specified per acre.

Erosion Control Blanket:

Erosion Control Blanket (Special) shall be placed the same day as the seeding. After installation of the erosion control blanket, all foot, vehicle, and equipment traffic over the blanket area is prohibited.

Selective Mowing Stakes:

Place Selective Mowing Stakes within 7 days after seeding.

Care During Germination and Establishment:

Contractor shall care for seeded areas as necessary for successful germination. Care will include watering and weed control as necessary to achieve establishment of the specified seeded species after one growing season as specified below.

The contractor shall maintain the stand of grasses and wildflowers to ensure healthy growth of the seeded species. Work shall include mowing or weed whacking for weed control, watering if necessary, and removal of invasive plants.

Watering shall be sufficient to achieve soil moisture to a depth of 2 inches or more and such moisture is uniform. Method of watering shall not erode or damage soil or grassed surfaces.

General Weed Control: Unless otherwise directed, mowing shall be as specified under Mowing (Special) for seed establishment. Weeds shall be mowed prior to weeds setting seed.

Control of Invasive and Aggressive Weeds: Invasive and aggressive weeds, including but not limited to teasel, thistle, curly dock, ragweed, wormwood, wild parsnip, foxtail, crabgrass, and chicory must be cut or treated prior to going to seed. Herbicide treatment must be coordinated with the Engineer.

Overseeding:

Areas of bare ground greater than 2-3 feet in diameter shall be over-seeded with the specified mix during the appropriate season for seeding. Where required for overseeding mowing shall be as close to the soil as possible. Soil that is compacted shall be raked or otherwise roughened prior to over-seeding.

Over-seeding rates and methods shall those specified above under Materials and Methods. Following over-seeding, soil shall be lightly tamped to ensure seed to soil contact and areas shall be mulched with erosion control blanket and watered with a fine mist to moisten soil to a depth of at least 2 inches.

Over-seeding, erosion control blanket, watering, and all work for over-seeding shall be incidental.

Determining Satisfactory Grass Establishment:

A well-established stand of the specified seeded species as determined by the Engineer and the Roadside Development Unit will be required for Final Acceptance. The expectation is that an

acceptable number and variety of the desired permanent seeded species (not the cover crop) will be visible. Generally:

- A minimum of 75% coverage by the specified permanent seeded species after one growing season. Of that percentage, generally, depending on the mix species:
 - At least 3 types of the permanent seeded grass species shall be visible.
 - At least 10 species of wildflowers shall be visible.
- There will be no significant gaps or bare soil (generally 2-3 feet in diameter or greater).
- There will be no more than 25% coverage by weed species.
- All soil shall be stabilized and there shall be no channeling or erosion.
- There will be no invasive or aggressive species within the stand at the time of acceptance.
- There shall be no evidence of seed from non-native mixes (i.e., clover) due to failure to clean the hydroseeding tank or using incorrect mix.

Invasive and aggressive weeds (such as teasel, thistle, curly dock, ragweed, wormwood, wild parsnip, foxtail, crabgrass, and chicory) must be cut or treated prior to going to seed for Interim Acceptance. Herbicide treatment must be coordinated with the Engineer.

A warm-season grass mix with perennials will not have uniform growth. A uniform stand of grass may indicate use of an incorrect mix.

Acceptance of Seeding and Establishment Work:

Conditional Acceptance shall be based on proper application of seed as specified herein.

Interim Acceptance of Care. Seeding will be inspected by mid-July to assess germination and Establishment conditions as described above. When necessary for Interim Acceptance, areas shall be mowed prior to weed species producing seed and as specified above under Weed Control. ***Areas requiring weed control that are not mowed prior to weed seed dispersal will not be approved for Interim Acceptance.*** Seeding that shows good germination and is determined by the Engineer and Landscape Architect to not require weed control at time of inspection shall be accepted for Interim Acceptance payment.

Final Acceptance of Establishment shall be given upon satisfactory Establishment as described above.

If the seeded area fails to meet the requirements of Establishment by the end of the growing season, contractor shall propose and implement remediations and site shall be inspected during the following growing season after July 1st. All remediation shall be at the Contractor's expense.

Method of Measurement:

SEEDING, CLASS 3 (SPECIAL) will be measured for payment in acres of surface area of seeding for the seed mix type specified, complete in place.

Carrier will not be measured for payment.

Erosion Control Blanket will be measured for payment as specified in Erosion Control Blanket, Special.

Selective Mowing Stakes will be measured as specified in Selective Mowing Stakes.

Mowing will be measured for payment as specified in Mowing (Special).

Watering will be measured for payment as specified in Supplemental Watering.

Disposal of debris (rock, stones, concrete, bottles, plastic bags, vegetation, etc.) removed from the seed bed preparation as specified in Article 202.03.

Basis of Payment:

SEEDING, CLASS 3 (SPECIAL) and its establishment will be paid at the contract unit price by the acre upon Conditional, Interim, and Final Acceptances as described above. The unit price shall include the cost of all submittals, seeding, bed preparation, materials, rolling to ensure seed-to-soil contact, equipment, weed control, over-seeding, labor, removal, disposal, and incidentals required to complete the work of as establishing a satisfactory stand of grass as specified herein and to the satisfaction of the Engineer.

Schedule of payment shall be as follows:

- 30% upon Conditional Acceptance
- 20% upon Interim Acceptance of Care, except this amount will be reduced to zero and final payment will be reduced accordingly when areas requiring weed control are not mowed as specified in the Interim Acceptance criteria.
- 50% upon Final Acceptance of Establishment

Carrier will be included in contract unit price of the SEEDING, CLASS 3 (SPECIAL) pay item.

Selective Mowing Stakes will be paid for at the contract unit price per each for SELECTIVE MOWING STAKES.

Erosion Control Blanket will be paid for at the contract unit price per square yard for EROSION CONTROL BLANKET, SPECIAL.

Mowing will be paid for at the contract unit price per acre for MOWING (SPECIAL).

Watering will be paid for at the contract unit price per unit for SUPPLEMENTAL WATERING.

SEEDING, CLASS 5 (MODIFIED) (SHORT SAVANNA SLOPE MIX)

This work shall consist of Seeding Class 5 (Modified) in areas as shown in the plans or as directed by the Engineer.

All work, materials, and equipment shall conform to Sections 250 and 1081 of the Standard Specifications except as modified herein.

The Class 5 (Modified) seed mixture shall be supplied in a separate bag from the three mixture components: Temporary Cover, Permanent Grasses, and Forbs. All native species will be local genotype and will be from a radius of 200 miles from project site. The Class 5 (Modified) seed mix shall be supplied with the appropriate inoculants. The seed shall be sown as soon as possible after inoculation. Seed that has been stored more than 30 days after inoculation shall be reinoculated before sowing. Fertilizer is not required.

Article 250.07 Seeding Mixtures – Delete sentence 4. Add the following to Table 1 – Seeding Mixtures:

<u>CLASS – TYPE</u>	<u>SEEDS</u>	<u>BULK LBS/ACRE</u>
5 (Modified) Short Native Forb Mixture:		16.0
	Allium cernuum (Nodding Onion)	0.40
	Aquilegia canadensis (Wild Columbine)	0.40
	Asclepias syriaca (Common Milkweed)	0.50
	Asclepias tuberosa (Butterfly Milkweed)	0.35
	Baptisia bracteata (Cream Wild Indigo)	0.25
	Chamaecrista fasciculata (Partridge Pea)	0.50
	Coreopsis lanceolata (Lanceleaf Coreopsis)	2.00
	Coreopsis palmate (Prairie Coreopsis)	0.30
Dalea candida	(White Prairie Clover)	2.50
Dalea purpurea	(Purple Prairie Clover)	2.50
	Echinacea pallida (Pale Purple Coneflower)	0.75
	Eryngium yuccifolium (Rattlesnake Master)	0.30
	Monarda fistulosa (Wild Bergamot)	0.50
	Penstemon digitalis (Foxglove Beard Tongue)	0.65
	Penstemon grandiflorus (Large-Flowered Beardtongue)	0.40
Penstemon hirsutus	(Hairy Beardtongue)	0.15
Rudbeckia hirta	(Black-eyed Susan)	5.00
	Solidago speciosa (Showy Goldenrod)	0.15
	Symphyotrichum lateriflorum (Calico Aster)	0.20
	Tradescantia ohimensis (Common Spiderwort)	0.30
	Verbena stricta (Hoary Vervain)	0.40

Variation in the Class 3, 4, 5, or 6 seed quantities or varieties may be allowed in the event of a crop failure or other unforeseen conditions. Quantities of proposed substitutions shall be determined by seed count. The Contractor shall provide for the approval of the Engineer a written description of the proposed changes to the Class 3, 4, 5, or 6 Mixture(s), the reasons for the change, and the name of the seed suppliers who were contacted to obtain the specified species. Adjustments will be made at no cost to the contract. Approval of substitutes shall in no way waive any requirements of the contract.

Layout of Seeding:

The Contractor shall be responsible for field verifying the acreage of the area(s) to be seeded. The amount of seed ordered shall match the area(s) to be seeded during the pending planting season. A minimum of 30 days shall be allowed for seed acquisition, testing, and inspection.

The Contractor shall demarcate all areas to be seeded and estimate quantities of each area to determine the quantity of seed necessary to achieve the specified seed rate per acre. The Contractor shall delineate the perimeter of the seedbed with wooden lathe. The wooden lathe shall remain in place. The contractor shall provide a minimum of seven calendar days' notice to the Engineer to allow for review and approval of seeding layout.

Seeding Time:

All trees shall be installed prior to seeding. Seeding shall be completed once soil temperatures are consistently below 50°F or between November 15 to December 15 but not when raining or when the ground is covered with snow, unless prior written approval is received from the Engineer. No seed shall be sown when the ground is not in proper condition for seeding. Seeding done outside of this time frame will not be measured for payment unless approved in writing by Engineer in advance.

For bare earth seeding, the Contractor shall schedule work so that final grade is achieved during the specified seeding times.

Fall planting method: Seeding into dead vegetation, the Contractor shall schedule work so that all vegetation is dead, and all weeds have been eliminated before the specified seeding times.

Bagging, Transporting, and Storing Seed:

Seed mixtures of the specified classes shall be thoroughly mixed, labeled, and bagged by the supplier. Purity and germination tests no older than twelve months old must be submitted for all seed supplied to verify quantities of bulk seed required to achieve LB PLS specified. Seed shall not be picked up until the purity test has been completed for the seeds to be used, and said tests show that the seed meets the noxious weed seed requirements.

Seed shall be bagged, transported, and stored in such a manner to protect it from damage and to maintain the viability of the seed. All seed mixtures shall be brought to the site in clearly labeled and unopened bags.

Seed shall be adequately protected from rain, temperature extremes, rodents, insects, and other such factors that could adversely affect seed viability during transport or while being stored prior to planting. Bags of seed that are leaking, wet, moldy, or otherwise damaged shall be rejected and promptly removed from the site of work. Prior to application, the Engineer must approve the seed mix in the bags on site.

On Site Inspection of Seed:

The Engineer must witness the delivery of seed with original labels attached to the unopened bags in the field. A bag ticket must be affixed to each bag of seed upon delivery and shall not be removed until the Engineer has reviewed and accepted each bag of seed. The label shall bear the dealer's guarantee of mixture and year grown, purity and germination, and date of test.

Seed Bed Preparation:

All area(s) to be seeded must be properly prepared prior to planting seed. Seed bed preparation shall not be done if raining or soils are muddy. No seed shall be sown until the seed bed has been approved by the Engineer.

Bare earth seeding refers to sowing seed upon soils with no existing vegetative cover. In areas with existing vegetation, the vegetation shall be eradicated as specified or as directed by the Engineer. Seed bed preparation shall not be started until all requirements of Section 212 have been completed. The area to be seeded shall be worked to a minimum depth of 3 in. (75 mm) with a disk, tiller, box rake, or other equipment approved by the Engineer. In areas with heavy soils, tilling or power raking will be required to achieve the proper depth. All soil clods shall be reduced to a size not larger than ½ in. (13 mm) in the largest dimension to create a friable topsoil surface suitable for seeding. Dragging the soil surface with the blade of a loader or dozer will not be an acceptable method of seed bed preparation. The prepared surface shall be relatively free of weeds, stones, roots, sticks, debris, rills, gullies, crusting, caking, and compaction.

Fall planting method: For seeding into dead vegetation, the area to be seeded shall be mowed as low to the ground as possible and the cut vegetation shall be raked off, so the cut vegetation does not impede the seed to soil contact. Seeding shall occur within 72 hours of completed bed preparation, or the Contractor shall propose a reasonable, alternative schedule that shall be approved by the Engineer. The seed will work its way down into the soil through the freeze and thaw process throughout the winter.

Seeding Methods:

No seed shall be sown when wind gusts exceed 15 miles per hour. Do not plant when the soil is wet or when the ground is not in a proper condition for seeding, wait until the soil has dried and is workable before planting.

All legumes (Purple Prairie Clover, Cream Wild Indigo, and Partridge Pea) shall be inoculated with the proper rhizobial bacteria in the amounts and manner recommended by the seed supplier before sowing or being mixed with other seeds for sowing. The inoculant shall be furnished by the Contractor and shall be approved by the Engineer.

All equipment shall be approved by the Engineer prior to being used. Prior to starting work, seeders shall be calibrated and adjusted to sow seeds at the required seeding rate. Equipment shall be operated in a manner to ensure complete coverage of the entire area to be seeded. The Engineer shall be notified 48 hours prior to beginning the seeding operations so that the Engineer may determine by trial runs that a calibration of the seeder will provide uniform distribution at the specified rate per acre.

Seeding Classes 3, 4, 5, and 6 must be incorporated into the soil surface, but no deeper than ¼ inch, such as by a broadcast seeder, rangeland type seed drill, harrow, hand rake, or other method approved by the Engineer.

Hand broadcasting and other methods of sowing seed such as hydraulic seeding will be allowed in special circumstances as approved by the Engineer. Special circumstances include but are not necessarily limited to steep slopes (over 1:3 (V:H)), inaccessible areas, wet areas, or other unique situations where the use of the specified equipment is not possible.

Broadcast Seeding

Seed shall be broadcast spread using a cyclone or whirlwind seeder or hand broadcast. Seed may be mixed with approved carrier to ensure a more even distribution of seed across the entire project. This carrier can be oats, rice hulls, pelletized lime, vermiculite, kitty litter or any other carrier approved by the Engineer. Proper calculation of seed to carrier ratio is critical to achieve even distribution of prairie seed and ensure complete coverage of the entire area to be seeded. Mix thoroughly to achieve a homogenous mixture.

Broadcast seeding shall be undertaken in two separate passes at ninety degrees to each other (cross pattern). One-half the seeding rate shall be applied in each direction (horizontally and vertically). To ensure seed to soil contact with broadcasting of seed, seeding shall be followed by rolling the seeded area with a hand roller or with equipment approved by the Engineer.

Hydraulic Seeding

Hydraulic seeding shall be per the manufacturer's directions and as follows.

Hydraulic seeding shall only be used for sites over half an acre in size or with permission of the Engineer.

Tank and hoses shall be cleaned from all previous hydraulic seeding and hydraulic mulching projects. Seed shall be mixed into the slurry immediately before application and slurry applied within 30 minutes after seeds have been placed in the tank. Once seed has been placed in the tank, tank shall be agitated only enough to mix the seeds and keep slurry from separating.

When seed is applied with a hydraulic seeder, the rate of application shall be not less than 1000 gallons of slurry per acre. This slurry shall contain the proper quantity of seed specified per acre.

Erosion Control Blanket:

Erosion Control Blanket (Special) shall be placed the same day as the seeding. After installation of the erosion control blanket, all foot, vehicle, and equipment traffic over the blanket area is prohibited.

Selective Mowing Stakes:

Place Selective Mowing Stakes within 7 days after seeding.

Care During Germination and Establishment:

Contractor shall care for seeded areas as necessary for successful germination. Care will include watering and weed control as necessary to achieve establishment of the specified seeded species after one growing season as specified below.

The contractor shall maintain the stand of grasses and wildflowers to ensure healthy growth of the seeded species. Work shall include mowing or weed whacking for weed control, watering if necessary, and removal of invasive plants.

Watering shall be sufficient to achieve soil moisture to a depth of 2 inches or more and such moisture is uniform. Method of watering shall not erode or damage soil or grassed surfaces.

General Weed Control: Unless otherwise directed, mowing shall be as specified under Mowing (Special) for seed establishment. Weeds shall be mowed prior to weeds setting seed.

Control of Invasive and Aggressive Weeds: Invasive and aggressive weeds, including but not limited to teasel, thistle, curly dock, ragweed, wormwood, wild parsnip, foxtail, crabgrass, and chicory must be cut or treated prior to going to seed. Herbicide treatment must be coordinated with the Engineer.

Overseeding:

Areas of bare ground greater than 2-3 feet in diameter shall be over-seeded with the specified mix during the appropriate season for seeding. Where required for overseeding mowing shall be as close to the soil as possible. Soil that is compacted shall be raked or otherwise roughened prior to over-seeding.

Over-seeding rates and methods shall those specified above under Materials and Methods. Following over-seeding, soil shall be lightly tamped to ensure seed to soil contact and areas shall be mulched with erosion control blanket and watered with a fine mist to moisten soil to a depth of at least 2 inches.

Over-seeding, erosion control blanket, watering, and all work for over-seeding shall be incidental.

Determining Satisfactory Grass Establishment:

A well-established stand of the specified seeded species as determined by the Engineer and the Roadside Development Unit will be required for Final Acceptance. The expectation is that an acceptable number and variety of the desired permanent seeded species (not the cover crop) will be visible. Generally:

- A minimum of 75% coverage by the specified permanent seeded species after one growing season. Of that percentage, generally, depending on the mix species:
 - At least 3 types of the permanent seeded grass species shall be visible.
 - At least 10 species of wildflowers shall be visible.
- There will be no significant gaps or bare soil (generally 2-3 feet in diameter or greater).
- There will be no more than 25% coverage by weed species.
- All soil shall be stabilized and there shall be no channeling or erosion.
- There will be no invasive or aggressive species within the stand at the time of acceptance.
- There shall be no evidence of seed from non-native mixes (i.e., clover) due to failure to clean the hydroseeding tank or using incorrect mix.

Invasive and aggressive weeds (such as teasel, thistle, curly dock, ragweed, wormwood, wild parsnip, foxtail, crabgrass, and chicory) must be cut or treated prior to going to seed for Interim Acceptance. Herbicide treatment must be coordinated with the Engineer.

A warm-season grass mix with perennials will not have uniform growth. A uniform stand of grass may indicate use of an incorrect mix.

Acceptance of Seeding and Establishment Work:

Conditional Acceptance shall be based on proper application of seed as specified herein.

Interim Acceptance of Care. Seeding will be inspected by mid-July to assess germination and Establishment conditions as described above. When necessary for Interim Acceptance, areas shall be mowed prior to weed species producing seed and as specified above under Weed Control. ***Areas requiring weed control that are not mowed prior to weed seed dispersal will not be approved for Interim Acceptance.*** Seeding that shows good germination and is determined by the Engineer and Landscape Architect to not require weed control at time of inspection shall be accepted for Interim Acceptance payment.

Final Acceptance of Establishment shall be given upon satisfactory Establishment as described above.

If the seeded area fails to meet the requirements of Establishment by the end of the growing season, contractor shall propose and implement remediations and site shall be inspected during the following growing season after July 1st. All remediation shall be at the Contractor's expense.

Method of Measurement:

SEEDING, CLASS 5 (MODIFIED) will be measured for payment in acres of surface area of seeding for the seed mix type specified, complete in place.

Carrier will not be measured for payment.

Erosion Control Blanket will be measured for payment as specified in Erosion Control Blanket, Special.

Selective Mowing Stakes will be measured as specified in Selective Mowing Stakes.

Mowing will be measured for payment as specified in Mowing (Special).

Watering will be measured for payment as specified in Supplemental Watering.

Disposal of debris (rock, stones, concrete, bottles, plastic bags, vegetation, etc.) removed from the seed bed preparation as specified in Article 202.03.

Basis of Payment:

SEEDING, CLASS 5 (MODIFIED) and its establishment will be paid at the contract unit price by the acre upon Conditional, Interim, and Final Acceptances as described above. The unit price shall include the cost of all submittals, seeding, bed preparation, materials, rolling to ensure seed-to-soil contact, equipment, weed control, over-seeding, labor, removal, disposal, and incidentals required to complete the work of as establishing a satisfactory stand of grass as specified herein and to the satisfaction of the Engineer.

Schedule of payment shall be as follows:

- 30% upon Conditional Acceptance
- 20% upon Interim Acceptance of Care, except this amount will be reduced to zero and final payment will be reduced accordingly when areas requiring weed control are not mowed as specified in the Interim Acceptance criteria.
- 50% upon Final Acceptance of Establishment

Carrier will be included in contract unit price of the SEEDING, CLASS 5 (MODIFIED) pay item.

Selective Mowing Stakes will be paid for at the contract unit price per each for SELECTIVE MOWING STAKES.

Erosion Control Blanket will be paid for at the contract unit price per square yard for EROSION CONTROL BLANKET, SPECIAL.

Mowing will be paid for at the contract unit price per acre for MOWING (SPECIAL).

Watering will be paid for at the contract unit price per unit for SUPPLEMENTAL WATERING.

SELECTIVE MOWING STAKES

This work shall be done in accordance with Article 250.08 of the Standard Specifications with the following addition:

On 20% of the selective mowing stakes, as directed by the Engineer, the Contractor shall furnish materials, labor and equipment to attach a 10" x 18" (250 mm x 450 mm) aluminum sign with one of the following texts:

1. Wetland
2. Prairie Plants
3. Wildflowers
4. Seedlings

The text of the sign should be appropriate to the area being delineated with selective mowing stakes. The signs shall be permanently attached to the stakes by a method approved by the Engineer. The signs will be provided by the Department and shall be picked up by the Contractor from the District One Roadside Development Architect in Schaumburg, Illinois. Scheduling the pickup of the signs can be arranged by contacting the District One Roadside Development Unit at (847)705-4171. The cost of picking up and attaching the signs to the selective mowing stakes will not be paid for separately, but shall be included in the contract unit price for SELECTIVE MOWING STAKES.

SUPPLEMENTAL WATERING

Modified July 16, 2025

This work will include watering sod, trees, shrubs, vines, and perennials at the rates specified and as directed by the Engineer.

Schedule: Water trees, shrubs, vines, perennials, and sod throughout the growing season (April 1 to November 30) as per the special provisions: Planting Woody Plants and Planting Perennials. The Engineer may direct the Contractor to adjust the watering rate and frequency depending upon weather conditions.

Watering must be completed in a timely manner. When the Engineer directs the Contractor to do supplemental watering, the Contractor must begin the watering operation within 24 hours of

notice. **The Contractor shall give an approximate time window of when they will begin at the work location to the Engineer. The Engineer shall be present during the watering operation.** A minimum of 10 units of water per day must be applied until the work is complete.

Should the Contractor fail to complete the work on a timely basis or within such extended times as may have been allowed by the Department, the Contractor shall be liable to the Department liquidated damages as outlined in the **“Failure to Complete Plant Care and Establishment Work on Time” special provision.**

In fixing the damages as set out herein, the desire is to establish a mode of calculation for the work since the Department’s actual loss, in the event of delay, cannot be predetermined, would be difficult of ascertainment, and a matter of argument and unprofitable litigation. This said mode is an equitable rule for measurement of the Department’s actual loss and fairly takes into account the loss of the trees if the watering is delayed. The Department shall not be required to provide any actual loss in order to recover these liquidated damages provided herein, as said damages are very difficult to ascertain. Furthermore, no provision of this clause shall be construed as a penalty, as such is not the intention of the parties.

A calendar day is every day shown on the calendar and starts at 12:00 midnight and ends at the following 12:00 midnight, twenty-four hours later.

Source of Water: The Contractor shall notify the Engineer of the source of water used and provide written certification that the water does not contain chemicals harmful to plant growth.

Rate of Application: The normal rates of application for each watering are as follows. The Engineer may adjust these rates as needed depending upon weather conditions.

- 15 gallons per tree
- 10 gallons per large shrub
- 5 gallons per small shrub
- 2 gallons per vine
- 6 gallons per square yard for perennial beds
- 27 gallons per square yard for Sodded Areas

Method of Application: A spray nozzle that does not damage small plants must be used when watering all vegetation. Water shall be applied at the base of the plant to keep as much water as possible off plant leaves. An open hose may be used to water trees, shrubs, and seedlings if mulch and soil are not displaced by watering. The water shall be applied to individual plants in such a manner that the plant hole shall be saturated without allowing the water to overflow beyond the earthen saucer. Watering of plants in beds shall be applied in such a manner that all plant holes are uniformly saturated without allowing the water flow beyond the periphery of the bed. Water shall slowly infiltrate into soil and completely soak the root zone. The Contractor must supply metering equipment as needed to assure the specified application rate of water.

Method of Measurement: Supplemental watering will be measured in units of 1000 gallons of water applied as directed.

Basis of Payment: This work will be paid for at the contract unit price per unit of SUPPLEMENTAL WATERING, measured as specified. Payment will include the cost of all water, equipment and labor needed to complete the work specified herein and to the satisfaction of the Engineer.

TEMPORARY SEEDING (NATIVE RESTORATION)

This work shall consist of Temporary Seeding of areas in the process of being converted to native vegetation as shown in the plans or as directed by the Engineer to fill the voids left from the herbicide applications and outcompete the weeds.

All work, materials, and equipment shall conform to Sections 250 and 1081 of the Standard Specifications except as modified herein.

Type of Temporary Seeding shall depend on the season. Fertilizer nutrients are not required.

Article 250.07 Seeding Mixtures – Add the following to Table 1:

CLASS – TYPE	SEEDS	PURE LIVE SEED LB/ACRE
Temporary Seeding:		
Early to Mid-Spring (Rain in the forecast):		
	Avena sativa (Annual Oats)	64.0
Late Spring to Summer (Less or no Rain in the forecast):		
	Avena sativa (Annual Oats)	32.0
	Lolium multiflorum (Annual Rye)	5.0
	Pennisetum glaucum (Pearl Millet)	10.0
Fall (Plant by Sept 20) to allow time for roots to grow:		
	Lolium multiflorum (Annual Rye)	5.0
	Triticum aestivum (Winter Wheat)	60.0

Method of Measurement:

TEMPORARY SEEDING will be measured for payment in acres of surface area of seeding for the seed mix type specified.

Basis of Payment:

The work shall be paid for at the contract unit price per acre for TEMPORARY SEEDING. The unit price shall include all equipment, materials, and labor required to furnish and place seed.

TREE REMOVAL (UNDER 6 UNITS DIAMETER)

This work consists of removing trees under 6 units diameter defined as saplings. This work shall be done in accordance with Section 201 of the Standard Specifications for tree removal, except that stumps are to be removed to a minimum of six (6) inches below the natural surface of the ground.

Any tree to be removed that is trapped within the access control fence shall be cut flush with the fence. The stump shall be treated with a resprout herbicide approved by the Engineer to prevent regrowth from those stumps.

All references to tree removal in the Standard Specifications shall include the item TREE REMOVAL (UNDER 6 UNITS DIAMETER). All of the trees to be removed shall be designated by the Engineer.

Basis of Payment: This work will be paid for at the contract unit price per unit diameter for TREE REMOVAL (UNDER 6 UNITS DIAMETER). No payment for Tree Removal (UNDER 6 UNITS DIAMETER) will be made until the tree and stump have been completely removed, or in the case of a trapped tree in the access control fence, until the stump has been treated with an approved resprout herbicide.

WEED CONTROL, BASAL TREATMENT

Cut Stump Treatment

To control resprouting of cut stumps of susceptible species, spray mixture must consist of 20 % Herbicide Type A, 3% Herbicide Type B, and 77% basal oil. Apply with a backpack or knapsack sprayer using low pressures and a solid cone or flat fan nozzle. Spray the root collar area, sides of the stump, and the outer portion of the cut surface including the cambium until thoroughly wet, but not to the point of runoff. Spray mixture concentration should vary with size and susceptibility of species treated. Apply at any time, including winter months, except when snow or water prevents spraying to the ground line.

Low Volume Basal Bark Treatment

To control susceptible woody plants with stems less than 6 inches in basal diameter, spray mixture must consist of 20 % Herbicide Type A, 3% Herbicide Type B, and 77% bark oil. Apply with a backpack or knapsack sprayer using low pressure and a solid cone or flat fan nozzle. Mixture should be applied from the root collar up to 18 inches. Spray the basal parts of brush and tree trunks in a manner which thoroughly wets the lower stems, including the root collar area, but not to the point of runoff. Herbicide concentration should vary with size and susceptibility of species treated. Apply at any time, including winter months, except when snow or water prevent spraying to the ground line or when stem surfaces are saturated with water.

Bark oil is for low-volume basal bark and stump treatments, to be used only with oil-miscible woody plant herbicides that permit dilution with oil on their labels. Follow all use directions and precautions on the label of the herbicide.

Description: This work shall consist of the application of an herbicide mixture to control undesirable brush areas along highway roadsides. The solution shall apply to areas for low volume basal treatment and cut stump treatment only.

Materials: The mixture shall contain twenty percent (20%) Herbicide Type A, three percent (3%) Herbicide Type B, and seventy-seven percent (77%) bark oil. Substitutions are allowable with herbicides of equal formulation. The mixture shall have the following formulation:

Herbicide Type A

Active Ingredient:

triclopyr: 3,5,6-trichloro-2-pyridinyloxyacetic acid,
butoxyethyl ester

61.6%

Inert Ingredients

38.4%

TOTAL

100.00%

Herbicide Type B

Active Ingredient:

Isopropylamine salt of Imazapyr (2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-3-pyridinecarboxylic acid)*

27.6%

Inert Ingredients

72.4%

TOTAL

100.00%

*Equivalent to 22.6% (2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-3-pyridinecarboxylic acid)
or 2 pounds acid per gallon

The Contractor shall submit a certificate, including the following, prior to starting work:

1. The chemical names of the compound and the percentage by volume of the ingredients which must match the above specified formulation.
2. A statement that the material is in a solution which will form a satisfactory emulsion for use when diluted with oil for normal spraying conditions.

3. A statement that the herbicide, when mixed with oil, will be completely soluble and dispersible and remain in suspension with continuous agitation.
4. A statement describing the products proposed for use when the manufacturer of herbicide requires that surfactants, drift control agents, or other additives be used with the product. These tank mix additives shall be used as specified by the manufacturer. Required additives will not be paid for separately.

All material shall be brought to the spray area in the original, unopened containers supplied by the manufacturer.

Application Rate: The Basal Treatment solution shall be applied at the rate specified herein.

Method of Measurement: Weed Control, Basal Treatment will be measured for payment in gallons of diluted solution applied as specified. The gallons for payment will be determined based on the gallons specified on the label attached to the original container supplied by the manufacturer. The Engineer must be present during the preparation of solution.

Basis of Payment: Weed Control, BASAL TREATMENT will be paid for at the contract unit price per gallon for WEED CONTROL, BASAL TREATMENT

Bark oil for dilution of the mixture and additives required for application will not be paid for as separate items, but the costs shall be considered as included in the contract price for Weed Control, BASAL TREATMENT and no additional compensation will be allowed.

WEED CONTROL, BROADLEAF IN TURF (POUND)

Description: This work shall consist of the application of a broadleaf herbicide along highway roadsides for control of teasel and other broadleaf weeds.

Materials: The broadleaf herbicide shall have the following formulation:

Active Ingredient:	
Metsulfuron methyl (Methyl 2-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]-carbonyl]-amino]sulfonyl]benzoate)	60%
Inert Ingredients:	<u>40%</u>
Total - 100%	

The Contractor shall submit a certificate, including the following, prior to starting work:

1. The chemical names of the compound and the percentage by weight of the ingredients which must match the above specified formulation.
2. A statement that the material will form a satisfactory emulsion for use when diluted with water for normal spraying conditions.
3. A statement that the Escort or equal, when mixed with water, will be completely soluble and dispersible and remain in suspension with continuous agitation.
4. A statement describing the products proposed for use when the manufacturer requires that surfactants, drift control agents, or other additives be used with the product. These

tank mix additives shall be used as specified by the manufacturer. Required additives will not be paid for separately.

All material shall be brought to the spray area in the original, unopened containers supplied by the manufacturer.

Application Rate: The broadleaf herbicide shall be applied at the rate of one (1) ounce per acre.

One (1) ounce of herbicide formulation shall be diluted with a minimum of forty (40) gallons of water and applied as a mixture. Water for dilution of the mixture will not be paid for separately.

Method of Measurement: WEED CONTROL, BROADLEAF IN TURF will be measured for payment in pounds of undiluted herbicide applied as specified. The pounds for payment will be determined based on the pounds specified on the label attached to the original container supplied by the manufacturer.

Basis of Payment: WEED CONTROL, BROADLEAF IN TURF will be paid for at the contract unit price per pound for WEED CONTROL, BROADLEAF IN TURF. Water for dilution of the mixture and additives required for application will not be paid for as separate items, but the costs shall be considered as included in the contract price, and no additional compensation will be allowed.

WEED CONTROL, AQUATIC

Description: This work shall consist of the application of herbicide along highway roadsides for weed control in wet areas. Applications may only be made for the control of undesirable vegetation in and around standing and flowing water. Equal formulation must be approved to use in or near water.

Materials: The herbicide shall have the following formulation:

Active Ingredient:

Isopropylamine salt of Imazapyr (2-[4,5-dihydro-4-methyl-4-(1-methylethyl)-5-oxo-1H-imidazol-2-yl]-3- Pyridinecarboxylic acid)*	28.7%
Inert Ingredients:.....	<u>71.3%</u>

Total 100.0%

The Contractor shall submit a certificate, including the following, prior to starting work:

1. The chemical names of the compound and the percentage by weight of the ingredients which must match the above specified formulation.
2. A statement that the material is in a solution which will form a satisfactory emulsion for use when diluted with water for normal spraying conditions.
3. A statement that the herbicide, when mixed with water, will be completely soluble and dispersible and remain in suspension with continuous agitation.
4. A statement describing the products proposed for use when the herbicide that surfactants, drift control agents, or other additives be used with the product. These tank mix additives

shall be used as specified by the manufacturer. Required additives will not be paid for separately.

All material shall be brought to the spray area in the original, unopened containers supplied by the manufacturer.

Application Rate: The herbicide shall be applied at the rate of 6 pints per acre. Formulation shall be diluted with a minimum of one hundred (100) gallons of water and applied as a mixture. Water for dilution of the mixture will not be paid for separately.

For Treatment of Phragmites: Apply herbicide to actively growing green foliage after full leaf elongation. Ensure 100% coverage. If stand of phragmites has substantial amount of old stem tissue, mow, and allow to regrow to approximately five feet (5') in height before treatment.

Method of Measurement: Weed Control, Aquatic will be measured for payment in gallons of undiluted herbicide applied as specified. The gallons for payment will be determined based on the gallons specified on the label attached to the original container supplied by the manufacturer.

Basis of Payment: Weed Control, Aquatic will be paid for at the contract unit price per gallon for WEED CONTROL, AQUATIC. Water for dilution of the mixture and additives required for application will not be paid for as separate items, but the costs shall be considered as included in the contract price for WEED CONTROL, AQUATIC, and no additional compensation will be allowed.

WEED CONTROL, NON-SELECTIVE AND NON-RESIDUAL

Description: This work shall consist of the application of a non-selective and non-residual herbicide to kill all existing vegetation at designated areas along highway roadsides.

Materials: The non-selective and non-residual herbicide shall have the following formulation:

A. Active Ingredient	
*Glyphosate, N- (phosphonomethyl) glycine, in the form of its isopropylamine salt	41.00%
B. Inert Ingredients (including surfactant)	<u>59.00%</u>
TOTAL 100.00%	

*Contains 480 grams per liter or 4 pounds per U.S. gallon of the active ingredient Glyphosate, in the form of its isopropylamine salt. Equivalent to 356 grams per liter or 3 pounds per U.S. gallon of the acid, glyphosate.

The Contractor shall submit a certificate, including the following, prior to starting work:

1. The chemical names of the compound and the percentage by volume of the ingredients which must match the above specified formulation.

2. A statement that the material is in a solution which will form a satisfactory emulsion for use when diluted with water for normal spraying conditions.
3. A statement that the herbicide, when mixed with water, will be completely soluble and dispersible and remain in suspension with continuous agitation.
4. A statement describing the products proposed for use when the manufacturer requires that surfactants, drift control agents, or other additives be used with the product. These tank mix additives shall be used as specified by the manufacture. Required additives will not be paid for separately.

All material shall be brought to the spray area in the original, unopened containers supplied by the manufacturer.

Schedule: Spraying will not be allowed when temperatures exceed 90° F or under 60° F, when wind velocities exceed fifteen (15) miles per hour, when foliage is wet or rain is eminent, when visibility is poor or during legal holiday periods.

Application Rate: The non-selective and non-residual herbicide shall be applied at the rate of one (1) gallon per acre.

One (1) gallon of herbicide formulation shall be diluted with a minimum of fifty-five (55) gallons of water and applied as a mixture. Water for dilution of the mixture will not be paid for separately.

Method of Measurement: Weed Control, Non-Selective and Non-Residual will be measured for payment in gallons of undiluted herbicide applied as specified. The gallons for payment will be determined based on the gallons specified on the label attached to the original container supplied by the manufacturer.

Basis of Payment: Weed Control, Non-Selective and Non-residual will be paid for at the contract unit price per gallon for WEED CONTROL, NON-SELECTIVE AND NON-RESIDUAL. Water for dilution of the mixture and additives required for application will not be paid for as separate items, but the costs shall be considered as included in the contract unit price for Weed Control, Non-Selective and Non-Residual, and no additional compensation will be allowed.

TEMPORARY INFORMATION SIGNING

Effective: November 13, 1996

Revised: January 29, 2020

Description. This work shall consist of furnishing, installing, maintaining, relocating for various states of construction, and eventually removing temporary informational signs. Included in this item may be ground mount, skid mount, truss mount, and bridge mount signs and overlay sign panels which cover portions of existing signs.

Materials. Materials shall be according to the following Articles of Section 1000 - Materials:

	<u>Item</u>	<u>Article/Section</u>
a.)	Sign Base (Note 1)	1090

b.)	Sign Face (Note 2)	1091
c.)	Sign Legends	1091
d.)	Sign Supports	1093
e.)	Overlay Panels (Note 3)	1090.02

- Note 1. The Contractor may use 5/8 inch instead of 3/4 inch thick plywood.
Note 2. The sign face material shall be in accordance with the Department's Fabrication of Highway Signs Policy.
Note 3. The overlay panels shall be 0.08 inch thick.

General Construction Requirements

Installation. The sign sizes and legend sizes shall be verified by the Contractor prior to fabrication.

Signs which are placed along the roadway and/or within the construction zone shall be installed according to the requirements of Article 701.14 and Article 720.04. The signs shall be 7 ft above the near edge of the pavement and shall be a minimum of 2 ft beyond the edge of the paved shoulder. A minimum of two posts shall be used.

The attachment of temporary signs to existing bridges, sign structures, or sign panels shall be approved by the Engineer. Any damage to the existing signs and/or structures due to the Contractor's operations shall be repaired or signs replaced, as determined by the Engineer, at the Contractor's expense.

Method of Measurement. This work shall be measured for payment in square feet edge to edge (horizontally and vertically). All hardware, posts or skids, supports, bases for ground mounted signs, connections, which are required for mounting these signs will be included as part of this pay item.

Basis Of Payment. This work will be paid for at the contract unit price per SQUARE FOOT for TEMPORARY INFORMATION SIGNING.

TRAFFIC CONTROL FOR WORK ZONE AREAS

Effective: September 14, 1995

Revised: January 1, 2007

Work zone entry and exit openings shall be established daily by the Contractor with the approval of the Engineer. All vehicles including cars and pickup trucks shall exit the work zone at the exit openings. All trucks shall enter the work zone at the entry openings. These openings shall be signed in accordance with the details shown elsewhere in the plans and shall be under flagger control during working hours.

The Contractor shall plan their trucking operations into and out of the work zone as well as on and off the expressway to maintain adequate merging distance. Merging distances to cross all lanes of traffic shall be no less than 1/2 mile. This distance is the length from where the trucks enter the expressway to where the trucks enter the work zone. It is also the length from where the trucks exit the work zone to where the trucks exit the expressway. The stopping of expressway traffic to allow trucks to change lanes and/or cross the expressway is prohibited.

Failure to comply with the above requirements will result in a traffic control deficiency charge. The deficiency charge will be calculated as outlined in Article 105.03 of the Standard Specifications. The Contractor will be assessed this daily charge for each day a deficiency is documented by the Engineer.

SPEED DISPLAY TRAILER (D1)

Effective: April 1, 2015

Revised: April 1, 2021

Revise the third paragraph of Article 701.11 of the Standard Specifications to read:

“When not being utilized to inform and direct traffic, sign trailers, speed display trailers, arrow boards, and portable changeable message boards shall be treated as nonoperating equipment.”

Add the following to Article 701.15 of the Standard Specifications:

“(m) Speed Display Trailer. A speed display trailer is used to enhance safety of the traveling public and workers in work zones by alerting drivers of their speed, thus deterring them from driving above the posted work zone speed limit.”

Whenever the speed display trailer is not in use, it shall be considered non-operating equipment and shall be stored according to Article 701.11.”

Add the following to Article 701.20 of the Standard Specifications:

“(k) “Speed Display Trailer will NOT be paid for by separate pay item, but its costs shall be included in the contract unit price of the various traffic control pay items.

Add the following to Article 1106.02 of the Standard Specifications:

“(o)Speed Display Trailer. The speed display trailer shall consist of a LED speed indicator display with self-contained, one-direction radar mounted on an orange see-through trailer. The height of the display and radar shall be such that it will function and be visible when located behind concrete barrier.

The speed measurement shall be by radar and provide a minimum detection distance of 1000 ft. The radar shall have an accuracy of ± 1 mile per hour.

The speed indicator display shall face approaching traffic and shall have a sign legend of “YOUR SPEED” immediately above or below the speed display. The sign letters shall be between 5 and 8 in. in height. The digital speed display shall show two digits (00 to 99) in mph. The color of the changeable message legend shall be a yellow legend on a black background. The minimum height of the numerals shall be 18 in., and the nominal legibility distance shall be at least 750 ft.

The speed indicator display shall be equipped with a violation alert that flashes the displayed detected speed when the posted limit is exceeded. The speed indicator shall have a maximum speed cutoff. On roadway facilities with a normal posted speed limit greater than or equal to 45 mph, the detected speeds of vehicles traveling more than

25mph over the work zone speed limit shall not be displayed. On facilities with normal posted speed limit of less than 45 mph, the detected speeds of vehicles traveling more than 15 mph over the work zone speed limit shall not be displayed. On any roadway facility if detected speeds are less than 25 mph, speed shall not be displayed. The display shall include automatic dimming for nighttime operation.

The speed indicator measurement and display functions shall be equipped with the power supply capable of providing 24 hours of uninterrupted service.”

OVERHEAD SIGN STRUCTURE – BRIDGE MOUNTED

Effective: July 1, 2015

Revised: March 1, 2017

Revise Article 733.10(b) of the Standard Specification to read:

“Sign Structure – Bridge Mounted. Bridge mounted overhead sign structures will be measured for by payment in feet of the overall width of the sign panel or total width of adjacent sign panels, including spacing between adjacent sign panels, to be installed on the sign structure.”

NIGHTTIME WORK ZONE LIGHTING (D-1)

Effective: November 1, 2008

Revised: June 15, 2010

Description. This work shall consist of furnishing, installing, maintaining, moving, and removing lighting for nighttime work zones. Nighttime shall be defined as occurring shortly before sunset until after sunrise.

Materials. The lighting shall consist of mobile and/or stationary lighting systems as required herein for the specific type of construction. Mobile lighting systems shall consist of luminaires attached to construction equipment or moveable carts. Stationary lighting systems shall consist of roadway luminaires mounted on temporary poles or trailer mounted light towers at fixed locations. Some lighting systems, such as balloon lights, may be adapted to both mobile and stationary applications.

Equipment. The Contractor shall furnish an illuminance meter for use by the Engineer. The meter shall have a digital display calibrated to NIST standards, shall be cosine and color corrected, and shall have an accuracy of $\pm 5\%$. The sensor shall have a level indicator to ensure measurements are taken in a horizontal plane.

Construction Requirements

At the preconstruction conference, the Contractor shall submit the type(s) of lighting system to be used and the locations of all devices. Before nighttime construction may begin, the lighting system shall be demonstrated as being operational.

Nighttime Flagging. The requirements for nighttime flagging shall be according to Article 701.13 of the Standard Specifications and the glare control requirements contained herein.

Lighting System Design. The lighting system shall be designed to meet the following.

- (a) Lighting Levels. The lighting system shall provide a minimum of 5 foot candles throughout the work area. For mobile operations, the work area shall be defined as 25 ft in front of and behind moving equipment. For stationary operations, the work area shall be defined as the entire area where work is being performed.

Lighting levels will be measured with an illuminance meter. Readings will be taken in a horizontal plane 3 ft above the pavement or ground surface.

- (b) Glare Control. The lighting system shall be designed and operated to avoid glare that interferes with traffic, workers, or inspection personnel. Lighting systems with flood, spot, or stadium type luminaires shall be aimed downward at the work and rotated outward no greater than 30° from nadir (straight down). Balloon lights shall be positioned at least 12 ft above the roadway.

As a large component of glare, the headlights of construction vehicles and equipment shall not be operated within the work zone except as allowed for specific construction operations. Headlights shall never be used when facing oncoming traffic.

- (c) Light Trespass. The lighting system shall be designed to effectively light the work area without spilling over to adjoining property. When, in the opinion of the Engineer, the lighting is disturbing adjoining property, the Contractor shall modify the lighting arrangement or add hardware to shield the light trespass.

Construction Operations. The lighting design required above shall be provided at any location where construction equipment is operating or workers are present on foot. When multiple operations are being carried on simultaneously, lighting shall be provided at each separate work area.

The lighting requirements for specific construction operations shall be as follows.

Installation or Removal of Work Zone Traffic Control. The required lighting level shall be provided at each truck and piece of equipment used during the installation or removal of work zone traffic control. Headlights may be operated in the work zone.

Guardrail, Fence, and High Tension Cable Barrier Median Repair. The required lighting level shall be provided by mounting a minimum of one balloon light to each piece of mobile construction equipment used in the work zone. This would include all machines but not include trucks used to transport materials and personnel or other vehicles that are continuously moving in and out of the work zone. The headlights of construction equipment shall not be operated within the work zone.

Pavement Marking and Raised Reflective Pavement Marker Removal/Installation. The striping truck and the attenuator/arrow board trucks may be operated by headlights alone; however, additional lighting may be necessary for the operator of the striping truck to perform the work.

For raised reflective pavement marker removal and installation and other pavement marking operations where workers are on foot, the required lighting level shall be provided at each truck and piece of equipment.

Sweeping. The required lighting level shall be mounted on the sweeping train vehicles during the sweeping operations. Headlights may be operated in the work zone.

Layout, Testing, and Inspection. The required lighting level shall be provided for each active area of construction layout, material testing, and inspection. The work area shall be defined as 15 ft in front and back of the individual(s) performing the tasks.

Nighttime work zone lighting will not be paid for as a separate item, but the cost shall be considered as included in the contract unit prices for the construction items involved. No additional compensation will be allowed.

STORM SEWERS AND SEWER CONNECTIONS TO CITY OF CHICAGO SEWERS

Created: September 30, 1985

Revised: March 10, 2021

This work consists of constructing storm sewers or sewer connections to City of Chicago sewers, in accordance with Section 550 of the Standard Specifications and the details shown in the plans at the locations shown on the plans.

All storm sewers and sewer connections 21 inches in diameter and smaller shall be best quality tile socket pipe conforming to the specifications for Extra Strength Clay Pipe, ASTM C 700, except as otherwise specified on the plans. Sewer pipes shall be gasketed in such a manner as to produce a compression type joint conforming to the requirements of ASTM C 425.

All storm sewer 24 inches in diameter or larger shall be reinforced concrete pipe conforming with ASTM Designation C76. All reinforced concrete pipe used must be gasketed. Each length of pipe must be provided with bell and spigot or tongue and groove ends of concrete formed on machined rings to ensure accurate joint surfaces. The physical properties of the gasket materials must conform to the requirements of those specified under ASTM Designation C443, ASTM Designation C361 and AASHTO Designation M198. Joints for catch basin and inlet connections shall be packed with oakum, caulked, and beveled off with portland cement mortar.

Ductile iron pipe shall be used to meet IEPA clearance requirements or other specific issues and must conform to the requirements of AWWA C151, class 52 and with the additions or substitutions specified in this section. Fittings must be gray or ductile iron conforming to AWWA C110. Polyethylene encasement must be installed around all buried pipe. Encasement material must be 4-mil, cross-laminated, high-density polyethylene tubing. The tubing must comply with AWWA C105.

Basis of Payment. This work will be measured and paid for at the contract unit price per FOOT for STORM SEWER in accordance with Articles 550.09 and 550.10 of the Standard Specifications.

EMBANKMENT I (D1)

Effective: March 1, 2011

Revised: November 1, 2013

Description. This work shall be according to Section 205 of the Standard Specifications except for the following.

Material. All material shall be approved by the District Geotechnical Engineer. The proposed material must meet the following requirements.

The laboratory Standard Dry Density shall be a minimum of 90 lb/cu ft when determined according to AASHTO T 99 (Method C).

The organic content shall be less than ten percent determined according to AASHTO T 194 (Wet Combustion).

Soils which demonstrate the following properties shall be restricted to the interior of the embankment and shall be covered on both the sides and top of the embankment by a minimum of 3 ft of soil not considered detrimental in terms of erosion potential or excess volume change.

- 1) A grain size distribution with less than 35 percent passing the number 75 um (#200) sieve.
- 2) A plasticity index (PI) of less than 12.
- 3) A liquid limit (LL) in excess of 50.

Reclaimed asphalt shall not be used within the ground water table or as a fill if ground water is present. The RAP used shall be according to the current Bureau of Materials and Physical Research Policy Memorandum, "Reclaimed Asphalt Pavement (RAP) for Aggregate Applications". Gradation deleterious count shall not exceed 10% of total RAP and 5% of other by total weight.

Construction Requirements

Samples. Embankment material shall be sampled, tested, and approved before use. The Contractor shall identify embankment sources, and provide equipment as the Engineer requires, for the collection of samples from those sources. Samples will be furnished to the Geotechnical Engineer a minimum of three weeks prior to use in order that laboratory tests for approval and compaction can be performed. Embankment material placement cannot begin until tests are completed and approval given.

Placing Material. In addition to Article 202.03, broken concrete, reclaimed asphalt with no expansive aggregate, or uncontaminated dirt and sand generated from construction or demolition activities shall be placed in 6 inches lifts and disked with the underlying lift until a uniform homogenous material is formed. This process also applies to the overlaying lifts. The disk must have a minimum blade diameter of 24 inches.

When embankments are to be constructed on hillsides or existing slopes that are steeper than 3H:1V, steps shall be keyed into the existing slope by stepping and benching as shown in the plans or as directed by the engineer.

Compaction. Soils classification for moisture content control will be determined by the Soils Inspector using visual field examination techniques and the IDH Textural Classification Chart.

When tested for density in place each lift shall have a maximum moisture content as follows.

- a) A maximum of 110 percent of the optimum moisture for all forms of clay soils.
- b) A maximum of 105 percent of the optimum moisture for all forms of clay loam soils.

Stability. The requirement for embankment stability in Article 205.04 will be measured with a Dynamic Cone Penetrometer (DCP) according to the test method in the IDOT Geotechnical Manual. The penetration rate must be equal or less than 1.5 inches per blow.

Basis of Payment. This work will not be paid separately but will be considered as included in the various items of excavation.

HOT-MIX ASPHALT BINDER AND SURFACE COURSE (D1)

Effective: November 1, 2019

Revised: January 1, 2025

Revise Article 1004.03(c) to read:

“(c) Gradation. The coarse aggregate gradations shall be as listed in the following table.

Use	Size/Application	Gradation No.
Class A-1, A-2, & A-3	3/8 in. (10 mm) Seal	CA 16 or CA 20
Class A-1	1/2 in. (13 mm) Seal	CA 15
Class A-2 & A-3	Cover Coat	CA 14
HMA High ESAL	IL-19.0; Stabilized Subbase IL-19.0	CA 11 ^{1/}
	SMA 12.5 ^{2/}	CA 13 ^{4/} , CA 14, or CA 16
	SMA 9.5 ^{2/}	CA 13 ^{3/4/} or CA 16 ^{3/}
	IL-9.5	CA 16, CM 13 ^{4/}
	IL-9.5FG	CA 16
HMA Low ESAL	IL-19.0L	CA 11 ^{1/}
	IL-9.5L	CA 16

1/ CA 16 or CA 13 may be blended with the CA 11.

2/ The coarse aggregates used shall be capable of being combined with the fine aggregates and mineral filler to meet the approved mix design and the mix requirements noted herein.

3/ The specified coarse aggregate gradations may be blended.

4/ CA 13 shall be 100% passing the 1/2 in. (12.5mm) sieve.”

Revise Article 1004.03(e) of the Standard Specifications to read:

“(e) Absorption. For SMA the coarse aggregate shall also have water absorption $\leq 2.0\%$.”

Revise the “High ESAL” portion of the table in Article 1030.01 to read:

“High ESAL	Binder Courses	IL-19.0, IL-9.5, IL-9.5FG, IL-4.75, SMA 12.5, Stabilized Subbase IL-19.0
	Surface Courses	IL-9.5, IL-9.5FG, SMA 12.5, SMA 9.5”

Revise Note 2. and add Note 6 to Article 1030.02 of the Standard Specifications to read:

“Item	Article/Section
(g)Performance Graded Asphalt Binder (Note 6)	1032
(h)Fibers (Note 2)	

Note 2. A stabilizing additive such as cellulose or mineral fiber shall be added to the SMA mixture according to Illinois Modified AASHTO M 325. The stabilizing additive shall meet the fiber quality requirements listed in Illinois Modified AASHTO M 325. Prior to approval and use of fibers, the Contractor shall submit a notarized certification by the producer of these materials stating they meet these requirements. Reclaimed Asphalt Shingles (RAS) may be used in SMA mixtures designed with an SBA polymer modifier as a fiber additive if the mix design with RAS included meets AASHTO T305 requirements. The RAS shall be from a certified source that produces either type i or type 2. Material shall meet requirements noted herein and the actual dosage rate will be determined by the Engineer.

Note 6. The asphalt binder shall be an SBS PG 76-28 when the SMA is used on a full-depth asphalt pavement and SBS PG 76-22 when used as an overlay, except where modified herein. The asphalt binder shall be a SBS PG 76-22 for IL-4.75, except where modified herein..”

Revise table in Article 1030.05(a) of the Standard Specifications to read:

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
COOK COUNTY
CONTRACT NO. 62U41

"Mixture Composition (% Passing)" ^{1/}												
Sieve Size	IL-19.0 mm		SMA 12.5		SMA 9.5		IL-9.5mm		IL-9.5FG		IL-4.75 mm	
	min	max	min	max	min	max	min	max	min	max	min	max
1 1/2 in (37.5 mm)												
1 in. (25 mm)		100										
3/4 in. (19 mm)	90	100		100								
1/2 in. (12.5 mm)	75	89	80	100		100		100		100		100
3/8 in. (9.5 mm)				65	90	100	90	100	90	100		100
#4 (4.75 mm)	40	60	20	30	36	50	34	69	60	75 ^{6/}	90	100
#8 (2.36 mm)	20	42	16	24 ^{4/}	16	32 ^{4/}	34 ^{5/}	52 ^{2/}	45	60 ^{6/}	70	90
#16 (1.18 mm)	15	30					10	32	25	40	50	65
#30 (600 μm)			12	16	12	18			15	30		
#50 (300 μm)	6	15					4	15	8	15	15	30
#100 (150 μm)	4	9					3	10	6	10	10	18
#200 (75 μm)	3.0	6.0	7.0	9.0 ^{3/}	7.5	9.5 ^{3/}	4.0	6.0	4.0	6.5	7.0	9.0 ^{3/}
#635 (20 μm)			≤ 3.0		≤ 3.0							
Ratio Dust/Asphalt Binder		1.0		1.5		1.5		1.0		1.0		1.0

1/ Based on percent of total aggregate weight.

2/ The mixture composition shall not exceed 44% passing the #8 (2.36 mm) sieve for surface courses with Ndesign = 90.

3/ Additional minus No. 200 (0.075 mm) material required by the mix design shall be mineral filler, unless otherwise approved by the Engineer.

4/ When establishing the Adjusted Job Mix Formula (AJMF), the percent passing the #8 (2.36 mm) sieve shall not be adjusted above the percentage stated on the table.

5/ When establishing the AJMF the percent passing the #8 (2.36 mm) sieve shall not be adjusted below 34%.

6/ When the mixture is used as a binder, the maximum shall be increased by 0.5% passing."

Revise Article 1030.05(b) of the Standard Specifications to read:

(b) Volumetric Requirements. The target value for the air voids of the HMA shall be 4.0%, for IL-4.75, for SMA mixtures it shall be 3.5%, and for stabilized subbase it shall be 3.0% at the design number of gyrations. The voids in the mineral aggregate (VMA) and voids filled

with asphalt binder (VFA) of the HMA design shall be based on the nominal maximum size of the aggregate in the mix and shall conform to the following requirements.

Mix Design	Voids in the Mineral Aggregate (VMA), % Minimum for Ndesign				
	30	50	70	80	90
IL-19.0		13.5	13.5		13.5
IL-9.5		15.0	15.0		
IL-9.5FG		15.0	15.0		
IL-4.75 ^{1/}		18.5			
SMA-12.5 ^{1/2/5/}				17.0 ^{3/} /16.0 ^{4/}	
SMA-9.5 ^{1/2/5/}				17.0 ^{3/} /16.0 ^{4/}	
IL-19.0L	13.5				
IL-9.5L	15.0				

1/ Maximum draindown shall be 0.3% according to Illinois Modified AASHTO T 305.

2/ The draindown shall be determined at the JMF asphalt binder content at the mixing temperature plus 30°F.

3/ Applies when specific gravity of coarse aggregate is ≥ 2.760 .

4/ Applies when specific gravity of coarse aggregate is < 2.760 .

5/ For surface course, the coarse aggregate can be crushed steel slag, crystalline crushed stone or crushed sandstone. For binder course, coarse aggregate shall be crushed stone (dolomite), crushed gravel, crystalline crushed stone, or crushed sandstone"

Revise the last paragraph of Article 1102.01 (a) (5) of the Standard Specifications to read:

"IL-4.75 and SMA mixtures which contain aggregate having absorptions greater than or equal to 2.0%, or which contain steel slag sand, shall have minimum surge bin storage plus haul time of 1.5 hours."

Revise the first and second paragraphs of Articles 1030.06(c)(2) of the Standard Specifications to read:

"(2) Personnel. The Contractor shall provide a QC manager who shall have overall responsibility and authority for quality control. This individual shall maintain active certification as a hot-mix asphalt level II technician.

In addition to the QC manager, the Contractor shall provide sufficient personnel to perform the required visual inspections, sampling, testing, and documentation in a timely manner. Mix designs shall be developed by personnel with an active certification as a hot-mix asphalt level III technician. Technicians performing mix design testing and plant sampling/testing shall maintain active certification as a hot-mix asphalt level I technician. The Contractor may provide a technician trainee who has successfully completed the Department's "Hot-Mix Asphalt Trainee Course" to assist in the activities completed by a hot-mix asphalt level I technician for a period of one year after the course completion date. The Contractor may also provide a gradation technician who has successfully completed the Department's "Gradation Technician Course" to run gradation tests only under the supervision of a hot-mix asphalt level II Technician. The Contractor shall provide a HMA

density tester who has successfully completed the Department's "Nuclear Density Testing" course to run all nuclear density tests on the job site."

Add Article 1030.06(d)(3) to the Standard Specifications to read:

"(3) The Contractor shall take possession of any department unused backup or dispute resolution HMA mixture samples or density specimens upon notification by the Engineer. The Contractor shall collect the HMA mixture samples or density specimens from the location designated by the Engineer. The HMA mixture samples or density specimens may be added to RAP stockpiles according to Section 1031."

Revise the second paragraph of Articles 1030.07(a)(11) and 1030.08(a)(9) of the Standard Specifications to read:

"When establishing the target density, the HMA maximum theoretical specific gravity (Gmm) will be based on the running average of four available department test results for that project. If less than four Gmm test results are available, an average of all available department test results for that project will be used. The initial Gmm will be the last available department test result from a QMP project. If there is no available department test result from a QMP project, the department mix design verification test result will be used as the initial Gmm."

Revise the following table and notes in Article 1030.09 (c) of the Standard Specifications to read:

CONTROL LIMITS						
Parameter	IL-19.0, IL-9.5, IL-9.5FG, IL-19.0L, IL-9.5L		SMA-12.5, SMA-9.5		IL-4.75	
	Individual Test	Moving Avg. of 4	Individual Test	Moving Avg. of 4	Individual Test	Moving Avg. of 4
% Passing ^{1/}						
1/2 in. (12.5 mm)	± 6 %	± 4 %	± 6 %	± 4 %		
3/8 in. (9.5mm)			± 4 %	± 3 %		
# 4 (4.75 mm)	± 5 %	± 4 %	± 5 %	± 4 %		
# 8 (2.36 mm)	± 5 %	± 3 %	± 4 %	± 2 %		
# 16 (1.18 mm)			± 4 %	± 2 %	± 4 %	± 3 %
# 30 (600 µm)	± 4 %	± 2.5 %	± 4 %	± 2.5 %		
Total Dust Content # 200 (75 µm)	± 1.5 %	± 1.0 %			± 1.5 %	± 1.0 %
Asphalt Binder Content	± 0.3 %	± 0.2 %	± 0.2 %	± 0.1 %	± 0.3 %	± 0.2 %
Air Voids ^{2/}	± 1.2 %	± 1.0 %	± 1.2 %	± 1.0 %	± 1.2 %	± 1.0 %
Field VMA ^{3/}	-0.7 %	-0.5 %	-0.7 %	-0.5 %	-0.7 %	-0.5 %

1/ Based on washed ignition oven or solvent extraction gradation.

2/ The air voids target shall be a value equal to or between 3.2% and 4.8%.

3/ Allowable limit below minimum design VMA requirement.

Revise Article 1030.09(g)(2) of the Standard Specifications to read:

“(2)The Contractor shall complete split verification sample tests listed in the Limits of Precision table in Article 1030.09(h)(1).”

In the Supplemental Specifications, replace the revision for the end of the third paragraph of Article 1030.09(h)(2) with the following:

“When establishing the target density, the HMA maximum (Gmm) will be the Department mix design verification test result.”

Add after third sentence of Article 1030.09(b) to read:

“If the Contractor and Engineer agree the nuclear density test method is not appropriate for the mixture, cores shall be taken at random locations determined according to the QC/QA document "Determination of Random Density Test Site Locations". Core densities shall be determined using the Illinois Modified AASHTO T 166 or T 275 procedure.”

Revise Table 1 and Note 4/ of Table 1 in Article 406.07(a) of the Standard Specifications to read:

	Breakdown/Intermediate Roller (one of the following)	Final Roller (one or more of the following)	Density Requirement
IL-9.5, IL-9.5FG, IL-19.0 ^{1/}	V _D , P , T _B , 3W, O _T , O _B	V _S , T _B , T _F , O _T	As specified in Section 1030
IL-4.75 and SMA ^{3/ 4/}	T _B , 3W, O _T	T _F , 3W	As specified in Section 1030
Mixtures on Bridge Decks ^{2/}	T _B	T _F	As specified in Articles 582.05 and 582.06.

“4/ The Contractor shall provide a minimum of two steel-wheeled tandem rollers (T_B), and/or three-wheel (3W) rollers for breakdown, except one of the (T_B) or (3W) rollers shall be 84 inches wide and a weight of 315 pound per linear inch (PLI), and one of the (T_B) or (3W) rollers can be substituted for an oscillatory roller (O_T). T_F rollers shall be a minimum of 280 lb/in. The 3W and T_B rollers shall be operated at a uniform speed not to exceed 3 mph, with the drive roll for T_B rollers nearest the paver and maintain an effective rolling distance of no more than 150 ft behind the paver.”

Add the following after the fourth paragraph of Article 406.13 (b):

“The plan quantities of SMA mixtures shall be adjusted using the actual approved binder and surface mix design’s G_{mb}.”

Revise first paragraph of Article 1030.10 of the Standard Specifications to read:

“A test strip of 300 ton, except for SMA mixtures it will be 400 ton, will be required for each mixture on each contract at the beginning of HMA production for each construction year according to the Manual of Test Procedures for Materials “Hot Mix Asphalt Test Strip Procedures”. At the request of the producer, the Engineer may waive the test strip if previous construction during the current construction year has demonstrated the constructability of the mix using department test results.”

Revise fourth paragraph of Article 1030.10 of the Standard Specifications to read:

“When a test strip is constructed, the Contractor shall collect and split the mixture according to the document “Hot-Mix Asphalt Test Strip Procedures”. The Engineer, or a representative, shall deliver split sample to the district laboratory for verification testing. The Contractor shall complete mixture tests stated in Article 1030.09(a). Mixture sampled shall include enough material for the Department to conduct mixture tests detailed in Article 1030.09(a) and in the document “Hot-Mix Asphalt Mixture Design Verification Procedure” Section 3.3. The mixture test results shall meet the requirements of Articles 1030.05(b) and 1030.05(d), except Hamburg wheel tests will only be conducted on high ESAL mixtures during production.”

FRICTION AGGREGATE (D1)

Effective: January 1, 2011

Revised: December 1, 2021

Revise Article 1004.03(a) of the Standard Specifications to read:

“1004.03 Coarse Aggregate for Hot-Mix Asphalt. The aggregate shall be according to Article 1004.01 and the following.

(a) Description. The coarse aggregate for HMA shall be according to the following table.

Use	Mixture	Aggregates Allowed
Class A	Seal or Cover	<u>Allowed Alone or in Combination</u> ^{5/} : Gravel Crushed Gravel Carbonate Crushed Stone Crystalline Crushed Stone Crushed Sandstone Crushed Slag (ACBF) Crushed Steel Slag Crushed Concrete
HMA Low ESAL	Stabilized Subbase or Shoulders	<u>Allowed Alone or in Combination</u> ^{5/} : Gravel Crushed Gravel Carbonate Crushed Stone Crystalline Crushed Stone Crushed Sandstone Crushed Slag (ACBF) Crushed Steel Slag ^{1/} Crushed Concrete

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SECTION FAI 290 22 KEELER BR
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Use	Mixture	Aggregates Allowed	
HMA High ESAL Low ESAL	Binder IL-19.0 or IL-19.0L SMA Binder	<u>Allowed Alone or in Combination</u> ^{5/ 6/} : Crushed Gravel Carbonate Crushed Stone ^{2/} Crystalline Crushed Stone Crushed Sandstone Crushed Slag (ACBF) Crushed Concrete ^{3/}	
HMA High ESAL Low ESAL	C Surface and Binder IL-9.5 IL-9.5FG or IL-9.5L	<u>Allowed Alone or in Combination</u> ^{5/} : Crushed Gravel Carbonate Crushed Stone ^{2/} Crystalline Crushed Stone Crushed Sandstone Crushed Slag (ACBF) Crushed Steel Slag ^{4/} Crushed Concrete ^{3/}	
HMA High ESAL	D Surface and Binder IL-9.5 or IL-9.5FG	<u>Allowed Alone or in Combination</u> ^{5/} : Crushed Gravel Carbonate Crushed Stone (other than Limestone) ^{2/} Crystalline Crushed Stone Crushed Sandstone Crushed Slag (ACBF) Crushed Steel Slag ^{4/}	
		<u>Other Combinations Allowed:</u>	
		<i>Up to...</i>	<i>With...</i>
		25% Limestone	Dolomite
		50% Limestone	Any Mixture D aggregate other than Dolomite
		75% Limestone	Crushed Slag (ACBF) or Crushed Sandstone
HMA High ESAL	E Surface IL-9.5 SMA Ndesign 80 Surface	<u>Allowed Alone or in Combination</u> ^{5/ 6/} : Crushed Gravel Crystalline Crushed Stone Crushed Sandstone Crushed Slag (ACBF) Crushed Steel Slag No Limestone.	
		<u>Other Combinations Allowed:</u>	

Use	Mixture	Aggregates Allowed	
		<i>Up to...</i>	<i>With...</i>
		50% Dolomite ^{2/}	Any Mixture E aggregate
		75% Dolomite ^{2/}	Crushed Sandstone, Crushed Slag (ACBF), Crushed Steel Slag, or Crystalline Crushed Stone
		75% Crushed Gravel ^{2/}	Crushed Sandstone, Crystalline Crushed Stone, Crushed Slag (ACBF), or Crushed Steel Slag
HMA High ESAL	F Surface IL-9.5 SMA Ndesign 80 Surface	<u>Allowed Alone or in Combination</u> ^{5/ 6/} :	
		Crystalline Crushed Stone Crushed Sandstone Crushed Slag (ACBF) Crushed Steel Slag No Limestone.	
		<u>Other Combinations Allowed:</u>	
		<i>Up to...</i>	<i>With...</i>
		50% Crushed Gravel ^{2/} or Dolomite ^{2/}	Crushed Sandstone, Crushed Slag (ACBF), Crushed Steel Slag, or Crystalline Crushed Stone

- 1/ Crushed steel slag allowed in shoulder surface only.
- 2/ Carbonate crushed stone (limestone) and/or crushed gravel shall not be used in SMA Ndesign 80.
- 3/ Crushed concrete will not be permitted in SMA mixes.
- 4/ Crushed steel slag shall not be used as binder.
- 5/ When combinations of aggregates are used, the blend percent measurements shall be by volume."
- 6/ Combining different types of aggregate will not be permitted in SMA Ndesign 80."

HOT-MIX ASPHALT – MIXTURE DESIGN VERIFICATION AND PRODUCTION (D1)

Effective: January 1, 2019

Revised: December 1, 2021

Add to Article 1030.05 (d)(3) of the Standard Specifications to read:

“During mixture design, prepared samples shall be submitted to the district laboratory by the Contractor for verification testing. The required testing and number and size of prepared samples submitted shall be according to the following tables.

High ESAL – Required Samples for Verification Testing	
Mixture	Hamburg Wheel and I-FIT Testing ^{1/ 2/}
Binder	total of 3 - 160 mm tall bricks
Surface	total of 4 - 160 mm tall bricks

Low ESAL – Required Samples for Verification Testing	
Mixture	I-FIT Testing ^{1/ 2/}
Binder	1 - 160 mm tall brick
Surface	2 - 160 mm tall bricks

- 1/ The compacted gyratory bricks for Hamburg wheel and I-FIT testing shall be $7.5 \pm 0.5\%$ air voids.
- 2/ If the Contractor does not possess the equipment to prepare the 160 mm tall brick(s), twice as many 115 mm tall compacted gyratory bricks will be acceptable.

Revise the fourth paragraph of Article 1030.10 of the Standard Specifications to read:

“When a test strip is not required, each HMA mixture shall still be sampled on the first day of production: I-FIT and Hamburg wheel testing for high ESAL; I-FIT testing for low ESAL. Within two working days after sampling the mixture, the Contractor shall deliver gyratory cylinders to the district laboratory for department verification testing. The high ESAL mixture test results shall meet the requirements of Articles 1030.05(d)(3) and 1030.05(d)(4). The low ESAL mixture test results shall meet the requirements of Article 1030.05(d)(4). The required number and size of prepared samples submitted for the Hamburg wheel and I-FIT testing shall be according to the “High ESAL - Required Samples for Verification Testing” table in Article 1030.05(d)(3) above.”

Add the following to the end of Article 1030.10 of the Standard Specifications to read:

“Mixture sampled during first day of production shall include approximately 60 lb of additional material for the Department to conduct Hamburg wheel testing and approximately 80 lb) of additional material for the Department to conduct I-FIT testing. Within two working days after sampling, the Contractor shall deliver prepared samples to the district laboratory for verification testing. The required number and size of prepared samples submitted for the Hamburg wheel and I-FIT testing shall be according to the “High ESAL - Required Samples for Verification Testing” table in Article 1030.05(d)(3) above.”

ADJUSTMENTS AND RECONSTRUCTIONS (D1)

Effective: March 15, 2011

Revised: October 1, 2021

Revise the first paragraph of Article 602.04 to read:

“602.04 Concrete. Cast-in-place concrete for structures shall be constructed of class SI concrete according to the applicable portions of Section 503. Cast-in-place concrete for pavement patching around adjustments and reconstructions shall be constructed of class PP-2 concrete, unless otherwise noted in the plans, according to the applicable portions of Section 1020.”

Revise the third, fourth, and fifth sentences of the second paragraph of Article 602.11(c) to read:

“Castings shall be set to the finished pavement elevation so that no subsequent adjustment will be necessary, and the space around the casting shall be filled with class PP-2 concrete, unless otherwise noted in the plans, to the elevation of the surface of the base course or binder course. HMA surface or binder course material shall not be allowed. The pavement may be opened to traffic according to Article 701.17(e)(3)b.”

Revise Article 603.05 to read:

“603.05 Replacement of Existing Flexible Pavement. After the castings have been adjusted, the surrounding space shall be filled with class PP-2 concrete, unless otherwise noted in the plans, to the elevation of the surface of the base course or binder course. HMA surface or binder course material shall not be allowed. The pavement may be opened to traffic according to Article 701.17(e)(3)b.”

Revise Article 603.06 to read:

“603.06 Replacement of Existing Rigid Pavement. After the castings have been adjusted, the pavement and HMA that was removed shall be replaced with class PP-2 concrete, unless otherwise noted in the plans, no less than 9 in. thick. The pavement may be opened to traffic according to Article 701.17(e)(3)b.

The surface of the class PP concrete shall be constructed flush with the adjacent surface.”

Revise the first sentence of Article 603.07 to read:

“603.07 Protection Under Traffic. After the casting has been adjusted and the class PP concrete has been placed, the work shall be protected by a barricade and two lights according to Article 701.17(e)(3)b.”

DRAINAGE AND INLET PROTECTION UNDER TRAFFIC (D1)

Effective: April 1, 2011

Revised: April 2, 2011

Add the following to Article 603.02 of the Standard Specifications:

“(i) Temporary Hot-Mix Asphalt (HMA) Ramp (Note 1) 1030

(j) Temporary Rubber Ramps (Note 2)

Note 1. The HMA shall have maximum aggregate size of 3/8 in.

Note 2. The rubber material shall be according to the following.

Property	Test Method	Requirement
Durometer Hardness, Shore A	ASTM D 2240	75 ±15
Tensile Strength, psi (kPa)	ASTM D 412	300 (2000) min
Elongation, percent	ASTM D 412	90 min
Specific Gravity	ASTM D 792	1.0 - 1.3
Brittleness, °F (°C)	ASTM D 746	-40 (-40)°

Revise Article 603.07 of the Standard Specifications to read:

“603.07 Protection Under Traffic. After the casting has been adjusted and the Class PP concrete has been placed, the work shall be protected by a barricade and two lights according to Article 701.17(e)(3)b.

When castings are under traffic before the final surfacing operation has been started, properly sized temporary ramps shall be placed around the drainage and/or utility castings according to the following methods.

- (a) Temporary Asphalt Ramps. Temporary hot-mix asphalt ramps shall be placed around the casting, flush with its surface and decreasing to a featheredge in a distance of 2 ft around the entire surface of the casting.
- (b) Temporary Rubber Ramps. Temporary rubber ramps shall only be used on roadways with permanent posted speeds of 40 mph or less and when the height of the casting to be protected meets the proper sizing requirements for the rubber ramps as shown below.

Dimension	Requirement
Inside Opening	Outside dimensions of casting + 1 in. (25 mm)
Thickness at inside edge	Height of casting ± 1/4 in. (6 mm)
Thickness at outside edge	1/4 in. (6 mm) max.
Width, measured from inside opening to outside edge	8 1/2 in. (215 mm) min

Placement shall be according to the manufacturer’s specifications.

Temporary ramps for castings shall remain in place until surfacing operations are undertaken within the immediate area of the structure. Prior to placing the surface course, the temporary ramp shall be removed. Excess material shall be disposed of according to Article 202.03.”

AGGREGATE FOR CONCRETE BARRIER (D1)

Effective: February 11, 2004

Revised: January 24, 2008

Add the following paragraph to Article 637.02 of the Standard Specifications:

“The coarse aggregate to be used in the concrete barrier walls shall conform to the requirement for coarse aggregate used in class BS concrete according to Article 1004.01(b), paragraph 2.”

DETECTABLE WARNINGS (SPECIAL) IN CITY OF CHICAGO (D1)

Effective: July 20, 2017

Description: Work under this item shall consist of installing cast iron detectable warning tiles on ADA curb ramps as shown on the plans and according to District Detail BD-58. Work shall be performed according to Section 424 of the Standard Specifications for Road and Bridge Construction, except as herein modified.

Materials: Detectable warning tiles shall be cast iron. The color of the detectable warning tiles is to be approved by the Engineer. The cast iron detectable warnings shall be of uniform quality and free of surface defects.

The detectable warnings shall meet requirements of ASTM A 48 class 30 or better.

Method of Measurement: This work will be measured for payment in place in square feet.

Basis of Payment: This work will be paid for at the contract unit price per SQUARE FOOT for DETECTABLE WARNINGS (SPECIAL).

ENGINEER'S FIELD OFFICE TYPE A (SPECIAL) (D1)

Effective: December 1, 2011

Revised: May 1, 2013

Revise the first paragraph of Article 670.02 to read:

670.02 Engineer's Field Office Type A (Special). Type A (special) field offices shall have a ceiling height of no less than 7 feet and a floor space of no less than 3000 square feet with a minimum of two separate offices. The office shall also have a separate storage room capable of being locked for the storage of the nuclear measuring devices. The office shall be provided with sufficient heat, natural and artificial light, and air conditioning. Doors and windows shall be equipped with locks approved by the Engineer.

Revise the first sentence of the second paragraph of Article 670.02 to read:

An electronic security system that will respond to any breach of exterior doors and windows with an on-site alarm shall be provided.

Revise the last sentence of the third paragraph of Article 670.02 to read:

Adequate all-weather parking space shall be available to accommodate a minimum of 12 vehicles.

Revise the fifth paragraph of Article 670.02 to read:

Sanitary facilities shall include hot and cold potable running water, lavatory and toilet as an integral part of the office where available. Solid waste disposal consisting of seven waste baskets and an outside trash container of sufficient size to accommodate a weekly provided pick-up service. A weekly cleaning service for the office shall be provided.

Revise subparagraph (a) of Article 670.02 to read:

(a) Twelve desks with minimum working surface 42 inch x 30 inch each and 12 non-folding chairs with upholstered seats and backs.

Revise the first sentence of subparagraph (c) of Article 670.02 to read:

(c) Two four-post drafting tables with minimum top size of 37-½ inch x 48 inch.

Revise subparagraph (d) of Article 670.02 to read:

(d) Eight free standing four-drawer legal size file cabinets with lock and an underwriters' laboratories insulated file device 350 degrees one hour rating.

Revise subparagraph (e) of Article 670.02 to read:

(e) Twenty folding chairs and two conference tables with minimum top size of 44 inch x 96 inch.

Revise subparagraph (h) of Article 670.02 to read:

(h) Three electric desk type tape printing calculator and two pocket scientific notation calculators with a 1000 hour battery life or with a portable recharger.

Revise subparagraph (i)(2) of Article 670.02 to read:

(i)(2) Telephones lines. Five separate telephone lines including one line for the fax machine, and two lines for the exclusive use of the Engineer. All telephone lines shall include long distance service and all labor and materials necessary to install the phone lines at the locations directed by the Engineer. The TELCOM company shall configure ROLL/HUNT features as specified by the Engineer.

Revise subparagraph (j) of Article 670.02 to read:

(j) Two plain paper network multi-function printer/copier/scanner machines capable of reproducing prints up to 11 inch x 17 inch within automatic feed tray capable of sorting 30 sheets of paper. Letter size and 11 inch x 17 inch paper shall be provided. The Contractor shall provide the multi-function machines with IT support for setup and maintenance.

Revise subparagraph (k) of Article 670.02 to read:

- (k) One plain paper fax machine including maintenance and supplies.

Revise subparagraph (l) of Article 670.02 to read:

- (l) Six four-line telephones, with touch tone, where available, and two digital answering machines, for exclusive use by the Engineer.

Revise subparagraph (m) of Article 670.02 to read:

- (m) One electric water cooler dispenser including water service.

Add the following subparagraphs to Article 670.02:

- (s) One 4 foot x 6 foot chalkboard or dry erase board.
- (t) One 4 foot x 6 foot framed cork board.

Add the following to Article 670.07 Basis of Payment.

The building or buildings, fully equipped, will be paid for at the contract unit price per CALENDAR MONTH or fraction thereof for ENGINEER'S FIELD OFFICE, TYPE A (SPECIAL).

RAISED REFLECTIVE PAVEMENT MARKER, REFLECTOR REMOVAL

Effective: August 1, 2023

Description: This work shall be completed in accordance with Section 783 of the Standard Specifications. This work shall consist of removing the reflector unit from existing raised reflector pavement markers that will remain in place at the end of construction activities. Existing reflectors that conflict with revised traffic patterns shall be removed immediately to facilitate a change in lane assignment. If darkness or inclement weather prohibits the removal operations, such operation shall be resumed the next morning of when weather permits.

The base casting shall remain in place in areas where no pavement rehabilitation is required, therefore only the reflector shall be removed. Debris from the removal operations shall be removed from the pavement prior to opening the roadway to traffic.

Basis of Payment: This work will be measured for payment at the contract unit price per EACH for RAISED REFLECTIVE PAVEMENT MARKER, REFLECTOR REMOVAL. Payment shall be full compensation for materials, labor and equipment required to complete this work.

RAISED REFLECTIVE PAVEMENT MARKER, REFLECTOR REPLACEMENT

Effective: August 1, 2023

Description: This work shall be completed in accordance with Section 781 of the Standard Specifications for Road and Bridge Construction. This work shall consist of reinstallation of reflectors into the raised pavement marker castings upon completion of staging in which the markers were in conflict with temporary lane usage.

Basis of Payment: This work will be measured for payment at the contract unit price per EACH for RAISED REFLECTIVE PAVEMENT MARKER, REFLECTOR REPLACEMENT. Payment shall be full compensation for materials, labor, and equipment required to complete this work.

SIGN SHOP DRAWING SUBMITTAL

Effective: January 22, 2013

Revised: July 1, 2015

Add the following paragraph to Article 720.03 of the Standard Specifications:

Shop drawings will be required, according to Article 105.04, for all arterials/expressways signs except standard highway signs covered in the MUTCD. Shop drawings shall be submitted to the Engineer for review and approval prior to fabrication. The shop drawings shall include dimensions, letter sizing, font type, colors, and materials.

TEMPORARY TRAFFIC SIGNAL TIMING (CITY OF CHICAGO)

Description: This item shall consist of coordination of and payment for work performed by the City of Chicago – Division of Electrical Operations and/ or Division of Traffic Safety related to engineering services in support of this contract. For the CDOT (Chicago Department of Transportation) maintained signals at intersections listed in this specification CDOT will perform, where necessary, traffic signal timing adjustments during construction and after construction is completed. Maintenance of the traffic signals during construction will remain CDOT responsibility.

It shall be the Contractor's responsibility to arrange and coordinate all required signal services for intersections listed herein with CDOT in accordance with the timeframes and procedures described herein. All work to be performed by CDOT is subject to CDOT work schedules and availability.

The following tasks are associated with signal timing

Contractor will contact CDOT and schedule signal timing adjustments to be performed by CDOT based on temporary signal timing plans and/or field observation to enhance operation of the intersection while utilized by the detour. The contract shall contact CDOT a minimum of four weeks prior to the detour implementation. No extra compensation will be allowed for delays associated with late requests by the Contractor. The Contractor must retain records of CDOT coordination. If all required approvals are obtained by the Contractor for the detour implementation, the detour implementation will not be delayed if CDOT does not respond within four weeks regarding payment. The CDOT contact is

Arturo Rodriguez
CDOT Superintendent of Electrical Operations
arturo.rodriguez2@cityofchicago.org
O: 312-746-4063
M: 312-617-2452

The total estimated cost for temporary signal timing and associated engineering services for the duration of the modified traffic signal condition shall include all intersections listed below. The Contractor will promptly submit payment within 30 calendar days to CDOT following receipt of the final invoice from CDOT. This payment shall be made using certified check and payment will occur at the city, or it shall be paid via certified mail with receipt notification.

- Total Estimated Cost for all Intersections Listed Herein: _____ \$98,371.30 _____
- The Contract Administrative Fee for the Contractor shall follow 109.05 and is _____ \$4,918.56 _____
- **For bidding purposes, this item shall be estimated cost plus admin fee for total bid price of = _____ \$103,289.86 _____**

Submit payment to:

City of Chicago
Department of Transportation (Finance)
2 N. LaSalle Street
Suite 1110
Chicago, IL 60602

Reference: IDOT Contract 62R63
DEO Traffic Signal Timing

CDOT will inform the contractor the final cost that must be paid to CDOT for this work. The Contractor shall secure invoices from CDOT for services provided by CDOT. These invoices shall be submitted to the Engineer as documentation before the Contractor reimbursement may be processed.

The estimated cost of the engineering services provided by CDOT may change based on field conditions encountered. If actual final costs provided by CDOT are higher than the original estimate included herein, Contractor shall submit additional payment to CDOT as required and documented. Additional payment to CDOT shall not occurred unless written approval is obtained by the Engineer.

Locations: The following intersections will be adjusted and maintained by CDOT as described in this specification.

Detour for Keeler Avenue

1. Keeler Avenue and Jackson Boulevard
2. Jackson Boulevard and Kostner Avenue
3. Kostner Avenue and 5th Avenue
4. Pulaski Road and Congress Parkway
5. Pulaski Road and Harrison Street

Method of Measurement. Signal timing will not be measured for payment. The Contractor will be reimbursed to the exact amount of money billed by CDOT for its services.

Basis of Payment. SIGNAL TIMING will be paid for at the contract unit price per LUMP SUM for costs invoiced by CDOT for all intersections requiring traffic signal timing modifications to the existing CDOT-maintained signals listed herein. Price shall be payment in full for performing all work described herein per listed intersection.

FORM LINER TEXTURED SURFACE

Description. This work consists of designing, developing, furnishing, and installing a form liner textured surface using reusable, high strength urethane and elastomeric form liners on designated concrete surfaces in the contract plans or as directed by the Engineer. The form liner textured surface shall be in accordance with the details shown in the plans. The work shall be performed in accordance with the manufacturer's recommendations and shall conform to applicable portions of Section 503 of the Standard Specifications except as herein modified. This work shall also include furnishing and installing reveal and bevel strips.

Materials. Form liners for retaining and abutment walls shall be of high quality, highly reusable, and capable of withstanding anticipated concrete pour pressures without causing leakage or physical defects. Forms for smooth surfaces shall be plastic coated to provide a smooth surface free of any impression or pattern. Reveals for the retaining walls shall be made of rubber material capable of reproducing the same quality texture with extended use on flat and curved surfaces.

The form ties shall be made of either metal or fiberglass. Metal ties, which result in a portion of the tie permanently embedded in the concrete, shall be designed to separate at least 1 inch back from finished surface, leaving only a neat hole that can be plugged with patching material. Contractor shall submit the type of form ties to the Engineer, for approval prior to use in this work.

Liners shall be attached to each other with flush seams and seams filled as necessary to eliminate visible evidence of seams in cast concrete. Liner butt joints shall be blended into the pattern to create no vertical joints or reveals. Concrete pours shall be continuous form liner pattern fields. Finished textures shall be continuous without visual disruption and properly aligned over adjacent liner panels, completed walls, and multiple liner panels. After each use, liners shall be cleaned and visually inspected. Damaged liners shall be replaced when continued use or repair would diminish the aesthetics of the Work. At the end of the work, master molds and form liners shall be turned over to the Department, delivered to a location designated by the Department, for future use on other contracts.

All surfaces exposed to view and not indicated to receive textured liner will receive rubbed finish conforming to Article 503.15 of the Standard Specifications.

Submittals. Shop drawings of the form liner texture surface shall be provided for each area of textured concrete.

1. Individual form liner pattern descriptions, dimensions, and sequencing of form liner sections, typical cross sections, joints, corners, joint locations, edge treatment, and any other

conditions.

2. Elevation views and layouts showing the full height and length of the structure with each form liner outlined.
3. Two 24"x 24" samples of each texture and two 36"x36" samples with all textures specified, adjacent to each other. Samples shall be made of the concrete mix specified for the structure.
4. Mockup: Partial mock-up of the front face of a wall, with a minimum height of 4' and an approximate 6" thickness. The mockup must be available for review at a mutually agreed-upon location that is accessible by the Engineer, Department staff, and their designees. The mock-up shall be made of the concrete mix specified for structure including the final finish.

No final concrete surfaces shall be cast until the Engineer accepts the final samples and mock-up after approval of any submitted materials.

Acceptable Form Liner Manufacturers:

1. Custom Rock International, St Paul, MN (Jim Rogers)
2. Scott Systems, Denver, CO
3. American Formliners, Inc, Naperville, IL
4. Creative Form Liner, INC, Brentwood, MD
5. Approved Equal.

Method of Measurement. This work will be measured for payment, complete in place, per square feet of exposed face of the wall.

Inspection, verifying the quantity, and any repairs of stored components will not be measured for payment. Transporting components between the various locations cited herein for the mock-up and storage and the project site will not be measured for payment.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE FOOT for FORM LINER TEXTURED SURFACE which price includes all labor, equipment, mockup, and incidentals necessary to complete the Work as herein specified.

PILE REMOVAL

Description. This item shall consist of furnishing all labor, equipment, and materials necessary for the removal of existing piles at locations shown on the plans or at locations directed by the Engineer. The work shall be done in accordance with the applicable portions of Section 501 of the Standard Specifications.

Prior to commencing work under this Item, the Contractor shall verify the location of all existing utilities in the area and shall submit drawings and written documentation to the Engineer of such verification. In addition, the Contractor shall obtain all necessary approvals/permits for operations pertaining to removing existing timber piles.

The work shall consist of removing all obstructions interfering with the pile removal in the vicinity of the proposed drilled shafts as shown on the plans. Excavation by hand may be required to expose the top of timber piles which interfere with the proposed drilled shaft construction. All excavation required for satisfactory completion of this work shall be considered incidental to this item.

The Contractor shall remove the piles in a manner such that the piles will not break. The work shall conform in every respect to all environmental, state, and local regulations regarding construction requirements, the protection of adjacent properties, as well as dust and noise control, and all work shall be executed in such a manner so as not to disturb or damage the existing utilities and/or adjacent structures.

All materials removed under this Item shall become the property of the Contractor and shall be disposed of by the Contractor off the site and in a lawful manner meeting all IDOT policies and procedures.

Construction Requirements. The Contractor shall submit drawings, complete with a list of equipment and methods the Contractor proposes to use, for the removal and disposal of all existing timber piles to the Engineer for review. Further, the Contractor shall submit copies of all approvals and permits for the work under this item to the Engineer. All work under this item shall be performed so as not to disturb adjacent facilities or construction and the removal shall include all timber piles and related materials encountered at locations interfering with drilled shaft construction only.

Method of Measurement. Pile removal shall be measured for payment by the number (each) of the complete pile removed. No other or separate measurement will be made for this Item.

Basis of Payment. The work under this Item will be paid for at the contract unit price per EACH for PILE REMOVAL, as indicated on the plans and as specified herein.

TEMPORARY SOIL RETENTION SYSTEM (SPECIAL)

Description. This work shall consist of furnishing and constructing the temporary soil retention system near the existing 42" gas main that will remain in place as detailed on the plans and according to Section 522 except as modified herein.

To avoid damaging the existing 42" gas main that will remain in place, the temporary soil retention system shall not be installed via impact driving or vibration. The method of installation of the temporary soil retention system shall be submitted according to Article 522.05.

Method of Measurement. Temporary soil retention system (special) furnished and installed will be measured for payment in place in square feet. The area measured shall be the minimum vertical exposed surface area envelope of the excavation supported by the temporary soil retention system.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE FOOT for TEMPORARY SOIL RETENTION SYSTEM (SPECIAL).

REMOVAL AND DISPOSAL OF REGULATED SUBSTANCES (PROJECT SPECIFIC)

Description. This work shall consist of the removal and disposal of regulated substances according to Section 669 of the Standard Specifications as revised below.

Contract Specific Sites. The excavated soil and groundwater within the areas listed below shall be managed as either "uncontaminated soil", hazardous waste, special waste, or non-special waste. For stationing, the lateral distance is measured from centerline, and the farthest distance is the offset distance or construction limit, whichever is less.

Soil Disposal Analysis. When the waste material requires sampling for landfill disposal acceptance, the Contractor shall secure a written list of the specific analytical parameters and analytical methods required by the landfill. The Contractor shall collect and analyze the required number of samples for the parameters required by the landfill using the appropriate analytical procedures. A copy of the required parameters and analytical methods (from landfill email or on landfill letterhead) shall be provided as Attachment 4A of the BDE 2733 (Regulated Substances Final Construction Report). The price shall include all sampling materials and effort necessary for collection and management of the samples, including transportation of samples from the job site to the laboratory. The Contractor shall be responsible for determining the specific disposal facilities to be utilized; and collect and analyze any samples required for disposal facility acceptance using a NELAP certified analytical laboratory registered with the State of Illinois.

Site No. 3055V-1: ROW, 3400-4400 Blocks of I-290, Chicago, Cook County

- Station 402+15 to Station 403+25 (CL Harrison Street), 0 feet to 50 feet LT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(3). Contaminant of concern sampling parameters: Benzo(a)pyrene, Lead, and Manganese.

- Station 403+25 to Station 404+25 (CL Harrison Street), 0 feet to 50 feet LT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(1). Contaminant of concern sampling parameters: Benzo(a)pyrene, Cadmium, Lead, and Manganese.
- Station 981+85 to Station 985+10 (CL I-290 EB), 0 to 50 feet LT, and 0 to 50 feet RT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(2). Contaminant of concern sampling parameters: Arsenic, and Manganese.
- Station 983+40 to Station 986+75 (CL I-290 WB), 0 to 50 feet LT, and 0 to 50 feet RT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(1). Contaminant of concern sampling parameters: Arsenic, Manganese, and Thallium.
- Station 501+85 to Station 503+15 (CL Congress Parkway), 0 feet to 50 feet RT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(2). Contaminant of concern sampling parameter: Manganese.
- Station 503+15 to 504+15 (CL Congress Parkway), 0 feet to 50 feet RT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(3). Contaminant of concern sampling parameters: Benzo(a)pyrene, Dibenzo(a,h)anthracene, and Manganese.

Site No. 3055V-54: Genevieve Melody Public School, 452 S Keeler Avenue, Chicago, Cook County

- Station 501+85 to Station 502+45 (CL Congress Parkway), 0 feet to 35 feet LT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(3). Contaminant of concern sampling parameters: Benzo(a)pyrene, Benzo(b)fluoranthene, Dibenzo(a,h)anthracene, Indeno(1,2,3-cd)pyrene, Lead, and Manganese.
- Station 502+45 to Station 503+15 (CL Congress Parkway), 0 feet to 55 feet LT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(2). Contaminant of concern sampling parameter: Manganese.

Site No. 3055V-71: Residential Buildings and Vacant Lots, 4110 – 4158 W Congress Parkway, Chicago, Cook County

- Station 503+15 to Station 504+15 (CL Congress Parkway), 0 feet to 55 feet LT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(2). Contaminant of concern sampling parameter: Manganese.

Site No. 3055V-74: Mixed-use Building, 4157 W Harrison Street, Chicago, Cook County

- Station 403+20 to Station 404+20 (CL Harrison Street), 0 feet to 70 feet RT. The Engineer has determined this material meets the criteria of and shall be managed in accordance with Article 669.05(a)(3). Contaminant of concern sampling parameter: Benzo(a)pyrene.

Work Zones. Three distinct OSHA HAZWOPER work zones (exclusion, decontamination, and support) shall apply to projects adjacent to or within sites with documented leaking underground storage tank (LUST) incidents or sites under management in accordance with the requirements of the Site Remediation Program (SRP); Resource Conservation and Recovery Act (RCRA); Comprehensive Environmental Response, Compensation and Liability Act (CERCLA); or as deemed necessary. For this project, the work zones apply for the following ISGS PESA Sites:
None

WATER SERVICE REMOVAL

Description. This work shall include the removal and disposal of existing abandoned water service lines and roundways at the locations noted on the plans or as directed by the Engineer.

The water service lines between the roundway and the watermain shall be removed. Disconnection of water service lines on existing water mains shall be accomplished by shutting off the corporation stop and removing the water service line from the corporation stop. If the corporation stop does not fully close and stop the flow of water in the service, a short section of water service line shall remain connected to the corporation stop and the end of the water service line capped in a manner meeting the approval of the Engineer that prevents leakage of water.

The excavated trench shall be properly backfilled. Trenches under and within 2 feet of paved surfaces shall be backfilled with compacted trench backfill.

Basis of Payment. This work will be paid for at the contract unit price per EACH for WATER SERVICE REMOVAL, regardless of the length of water service line removed.

CATCH BASINS (CITY OF CHICAGO)

Description. Work under this item shall be performed according to Sections 602 and 604 of the Standard Specifications for Road and Bridge Construction, the current City of Chicago Department of Water Management Standard Specifications for Water and Sewer Main Construction, and the details included in the plans except as herein modified.

Materials: Materials shall be according to the following:

- (a) Coarse aggregate for bedding material shall meet a CA 11 gradation in accordance with Article 1004.05 of the Standard Specifications.
- (b) Fine aggregate for backfilling material shall meet a FA 6 gradation in accordance with Article 1003.04 of the Standard Specifications.
- (c) City of Chicago standard frame and lid shall meet be in accordance with the City of Chicago Department of Water Management Standard Specifications for Water and Sewer Main Construction.

General Requirements. An ADA compliant open lid shall be placed on all catch basins located within the cross walk or as directed by the Commissioner.

QC/QA Requirements. All precast structures shall be from an IDOT approved source.

Basis of Payment. This work will be paid for at the contract unit price per EACH for CATCH BASINS, TYPE A, 4'-DIAMETER, TYPE 1 FRAME, OPEN LID (CITY OF CHICAGO).

CONCRETE MEDIAN SURFACE, 5 INCH

Description. This work shall consist of constructing 5 inch thick concrete median surface in accordance with the application portions of Section 606 of the Standard Specifications, the applicable portions of Standard 606301, and as directed by the Engineer.

Method of Measurement. Concrete medians will be measured for payment in place, and the area computed in square feet.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE FOOT for CONCRETE MEDIAN SURFACE, 5 INCH.

DELINEATOR REMOVAL

Description. This work shall consist of the removal of surface mounted lane delineators at the locations shown on the plans or as determined by the Engineer.

Removal shall include the vertical panels and base units.

The City shall have the option to salvage delineators and delineators. If the City determines they want to salvage the delineators, the Contractor shall deliver them to the public works facility designated by the City. If the City does not want to keep the delineators, the Contractor shall dispose of them in accordance with Article 202.03.

Method of Measurement. This work will be measured for payment as each delineator base unit removed, regardless of the length of the delineator base unit.

Basis of Payment. This work will be paid for at the contract unit price per EACH for DELINEATOR REMOVAL.

CONCRETE BARRIER BASE (SPECIAL)

Description. This work shall consist of constructing a concrete barrier base with reinforcement bars below a concrete barrier wall as detailed in the plans. This work shall be done in accordance with the applicable portions of Section 637 of the Standard Specifications. The concrete barrier base shall be constructed as detailed in the plans. The concrete barrier wall shall be constructed separately and not poured monolithically with the concrete barrier base.

Method of Measurement. Concrete barrier base (special) shall be measured for payment in feet along the centerline of the base.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for CONCRETE BARRIER BASE (SPECIAL), which price shall include all equipment, labor, and materials necessary to construct the concrete barrier base including all reinforcement bars in the concrete barrier base and those extending into the concrete barrier wall

CONCRETE BARRIER WALL (SPECIAL)

Description. This work shall consist of constructing a concrete barrier wall with reinforcement bars on a concrete barrier base as detailed in the plans. This work shall be done in accordance with the applicable portions of Section 637 of the Standard Specifications. The concrete barrier wall shall be constructed on a concrete barrier base as detailed in the plans. The concrete barrier wall shall be constructed separately and not poured monolithically with the concrete barrier base.

Method of Measurement. Concrete barrier wall (special) shall be measured for payment in feet along the centerline of the barrier.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for CONCRETE BARRIER WALL (SPECIAL), which price shall include all equipment, labor, and materials necessary to construct the concrete barrier wall including all reinforcement bars in the concrete barrier wall.

CHAIN LINK FENCE, 4' ATTACHED TO STRUCTURE

Description. This work shall consist of fabricating, furnishing and erecting chain link fence where indicated in the plans or as directed by the Engineer. This work shall conform to the applicable portions of Sections 509 and 664 of the Standard Specifications, as modified herein, and as shown on the plans.

Submittals. Prior to fabrication or ordering materials, the Contractor shall submit detailed shop drawings to the Engineer for approval as described in Article 505.03 of the Standard Specifications; including but not limited to materials, fittings, attachments, anchors, and accessories required for complete assembly of the chain link fence.

Materials. Materials shall meet the requirements of Section 1006 of the Standard Specifications except as specified herein.

Fabric: Type IV fabric identified in Article 1006.27 will not be allowed.

Self-Tapping Screws: Self tapping screws shall conform to S.A.E. J81 thread rolling screws. The self-tapping screws used to attach the welded wire mesh frames and closure angles to the fence frames shall be zinc electroplated with a service condition SC 4, type I finish, unslotted hex washer head, thread rolling tapping screws. Self-tapping screws shall be galvanized by electroplating according to ASTM B633-98.

Anchors Bolts and Rods: All anchor bolts and rods shall conform to Section 1006.09 and be galvanized according to AASHTO M232.

Base and Angled Plates: Base and angled plates shall be structural steel conforming to the requirements of AASHTO M270 Grade 36 and Section 1006.04 of the Standard Specifications.

Posts, post tops, base and angled plates, railings, braces, stretcher bars, fittings, and hardware shall be hot dip galvanized or galvanize coated after fabrication according to Articles 1006.27, 1006.28, and 1006.34 of the Standard Specifications and ASTM F1043. Vent holes for galvanizing shall be placed in the posts and rails at locations that will not allow the accumulation of moisture in the members.

Elastomeric Pad: Fabric reinforced elastomeric pad shall conform to Section 1028.

Construction Requirements. The layout of the fence shall be in accordance with the plans and be approved by the Engineer in the field prior to installation.

The Contractor shall locate posts to avoid construction and expansion joints. The posts shall be mounted to the retaining wall using base and anchor plates. Posts shall be set vertical and in true alignment.

Method of Measurement. Chain link fence, 4' attached to structure shall be measured in feet along the top of the fence, from center to center of end posts, installed and accepted.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for CHAIN LINK FENCE, 4' ATTACHED TO STRUCTURE, which price shall be payment in full for all shop and fabrication drawings, materials, fabricating and installing the complete fence including the posts, base and angled plates, fittings, chain link fabric, anchorage, hardware, grounding, and other incidentals for the fence, as specified herein.

TELEVISION INSPECTION OF SEWER, SPECIAL

Description. Work under this item shall include cleaning of existing sewer, satisfactory disposal of material, and televising sewer as detailed in the plans, standard drawings, work order and/or as directed by the Commissioner.

Prior to commencement of the work, the Contractor shall submit a cleaning plan to the Commissioner for approval. After televising is complete, a report will be submitted to the City.

Equipment. The type of equipment and the method to be used must be based on the condition of the sewer at the time work commences. The selection of equipment must produce the results specified and will be at the Contractor's discretion, subject to the approval of the Commissioner.

The equipment selected for cleaning must be capable of removing all dirt, grease, rock, roots, concrete, asphalt and any other solid and deleterious material from the main sewers and manholes. Deleterious materials include but are not limited to mineral deposits, concrete, sand, and rock, 90% of mineral deposits must be removed. When roots are encountered, they must be removed with a mechanical cutting device equal to the size of the pipe diameter minus one inch. Other methods may be approved for use by the Commissioner. Water and facilities for obtaining water for sanitary purposes, drinking, cleaning and for all other purposes will be provided by and be at the expense of the Contractor. The Contractor must not use water hydrants without written permission from the Commissioner and obtaining and paying for all necessary permits and fees as required by the City.

Whenever a main sewer designated for cleaning is found to be more than half full with debris, bucket machines, rodding machines, and/or vacuum machines will be used to remove the major portion of the material before hydraulic equipment is used for final cleaning. Other types of cleaning may be utilized by the Contractor if they are capable of producing the specified results and are approved by the Commissioner.

Cleaning work may be executed by hand at the discretion of the Commissioner.

Cleaning Requirements. Satisfactory precautions must be taken to protect the main sewers and sewer manholes from damage that might be caused by the improper use of televising or cleaning equipment. A shield approved by the Commissioner must be used to protect the arch of all manholes during televising or cleaning operations. Whenever hydraulically propelled cleaning tools, which depend on water pressure, or any tools which retards the flow of water in the main sewers are used, precautions must be taken to ensure that the water pressure created does not cause any damage or flooding to any public or private property.

The Contractor must take all necessary precautions to ensure that the water pressure created by diverging or retarding the flow does not cause any damage or flooding to public or private property being served by the main sewer section being televised and/or cleaned.

The Contractor will be responsible for all damages to any public or private property as a result of all televising and/or cleaning operations. Costs of restoration of any damaged area to at least its condition prior to damage will be incidental to this item.

The Contractor's attention is drawn to the fact that the existing flows in the sewers could flood the work under this contract, especially in the event of heavy rainfall. The Contractor must be prepared at all times to safeguard workers and protect the work under this contract from damage by flooding. The Contractor must maintain flow in the existing sewer at all times.

All excess material shall be disposed of in accordance with Article 202.03 of the Standard Specifications for Road and Bridge Construction

Televising Equipment. The equipment selected for televising sewers must be capable of adapting to reasonable levels of dirt, grease, rocks, bricks and other deleterious materials as previously described that may be encountered in the main sewers and manholes.

Televising equipment must include a color video camera, a color video monitor, cables, power source, lights and other equipment necessary to the televising operation. The camera, monitor and components of the video system must be capable of producing a minimum of 350-lines resolution color video picture.

For televising, the camera must be specifically designed and constructed for sewer inspection. The camera must have a high-resolution lens and must be operational in 100% humidity. The camera must be capable of spanning 360° on the vertical axis and 270° on the horizontal axis, so that all connections and defects can be properly inspected. Focal distance must be adjustable through a range of from 1-inch to infinity. The camera must be capable to be mounted on skids suitably sized for each pipe diameter to be inspected.

Lighting for the camera must minimize reflective glare. Camera lighting quality must be sufficient to provide a clear, continuously in-focus picture of the entire inside periphery of the sewer or lateral pipe for all conditions encountered during the work.

The remote reading footage counter must be accurate to 0.20 feet over the length of the particular sewer section and 0.15 feet over the length of the particular lateral connection being inspected.

Televising Requirements. Arrangements must be made by the Contractor for video recording sewers in conformance with the following:

1. The video operator must have substantial experience in video recording of sewer mains and lateral connections.
2. Video recording should be clear enough to be able to clearly visualize the condition of the sewer, any defects, and the lateral connections. Any out-of-focus video recordings, video recordings that exhibit poor visibility due to foggy atmospheric conditions, poor lighting or portions thereof, will be cause for rejection of the video recording and will necessitate re-televising at the Contractor's expense. The video inspection must be recorded under the supervision of the Commissioner.
3. The Contractor must submit to the Commissioner a DVD disc copy of the video recorded file within 48 hours of completion of televising or payment will be reduced by 50%.
4. Sewer televising must be done one section at a time, each section isolated from the remainder of the sewer line as required. Sufficient water must be supplied to cause drainage within the isolated section prior to televising.
5. If more than one section of the sewer is to be televised, televising of the sections of the sewer must be done in consecutive order (i.e., one section followed by the next section). Jumping from one section to another unrelated section, or to a sewer section in another street location will not be accepted. If televising of the sections of the sewer cannot be done consecutively, the operator must address the reason on the video recording.
6. If more than one street location is to be televised, televising and video recording must be accomplished on a per street basis.

Satisfactory precautions must be taken to protect the main sewers and sewer manholes from damage that might be caused by the improper use of televising equipment. A shield approved by the Commissioner must be used to protect the arch of all manholes during televising operations.

The Contractor will be responsible for all damages to any public or private property as a result of all televising operations. Costs of restoration of any damaged area to at least its condition prior to damage will be included in this item.

The Contractor's attention is drawn to the fact that existing flows in the sewers could flood the work under this contract, especially in the event of heavy rainfall. The Contractor must be prepared at all times to safeguard workers and protect the work under this contract from damaged by flooding. The Contractor must maintain flow in the existing sewer at all times.

The camera must be capable of movement through the sewer line in either direction at a uniform rate of speed, stopping when necessary to ensure proper documentation of the condition of the sewer line. In no case will the camera be moved at a speed greater than 30 feet per minute.

Manual winches, power winches, camera cables, power rewinds, or any other devices that do not obstruct the camera view or interfere with proper documentation of the sewer conditions may be

used to move the camera through the sewer line. The camera must be capable of movement through the lateral connection line at a uniform rate of speed, stopping where necessary to ensure proper documentation of the lateral connection line. In no case will the camera be moved at a speed greater than 30 feet per minute.

Parties to this contract agree that there are several methods to propel the camera through a sewer pipe. The Contractor must select an appropriate method based on the existing conditions. If the selected method of propelling the camera through the sewer is incapable of doing so, the Contractor will be obligated to try another method where appropriate, as determined by the Commissioner.

If during the televising operations the camera will not pass through an entire sewer section, the Contractor must reset their equipment in a manner such that the inspection can continue on the opposite side of the obstruction in the opposite direction, i.e. a reverse set-up. The movement will be in the direction such that the obstruction can be televised.

When conditions exist making it impossible to televise the sewer, the Contractor must lamp the line (add additional light sources) as determined by the Commissioner.

It will be the Contractor's responsibility to locate all live drain and dead drains connected to the section being televised.

All sewers and lateral connections, manhole risers, missing bricks, voids and dark areas are to be televised. The camera must be held in the viewing position long enough to allow proper evaluation of each. The camera must be held in the viewing position long enough to allow proper evaluation of defects in the lateral connection line.

Whenever non-remote powered and controlled winches are used to pull the camera through the line, telephones, radios, or any suitable means of communications must be set up between the manholes of the section being inspected to ensure that adequate communication exists between members of the crew.

Where necessary, a high-pressure water jet spray must be utilized downstream of the camera to remove dirt, grease, roots, or other material previously described. The spray must be equally spread out within the sewer to define the contour shape of the sewer.

Should the camera go under water, the Contractor must adjust the camera height and re-televise the affected portion of the sewer.

The importance of accurate distance measurement is emphasized. The Contractor will be liable for unnecessary main sewer repair expenses that are determined to be the direct result of Contractor's measurement error. Measurement for location of defects must be above ground by means of a meter device. Markings on the cable, or similar, which would require interpolation for depth of manhole will not be accepted. The accuracy of measurement meters will be checked daily with the use of a walking meter, roll- a-tape, or other suitable device. Footage measurement must begin at the centerline of the upstream manhole, unless permission is given by the Commissioner to do otherwise. Footage must be shown on the video data view at all times.

Certifications and Other Documentation

1. The voice recording on the video recorded file must be brief but informative comments on the data of significance, including, but not limited to, the locations of unusual conditions, connections, collapsed sections, the presence of scales, root intrusions, corruptions, and other discernible features.
2. Manholes are to be cross-referenced to house addresses. If house addresses are not available at the location to be televised and the Contractor cannot make an assumption of address based on the grid number of the block to be televised, then the Contractor must use the property line as basis for identifying manholes.
3. If the camera is stopped for more than one minute, the Contractor is to address the reason for the delay on the video recorded file.
4. The report must include the following: Data View
 - a. Report Number.
 - b. Date and time of the video inspection.
 - c. Upstream and downstream manhole or station numbers.
 - d. Current distance along reach as measured from the center of the manhole base (tape counter footage).
 - e. Weather conditions.
 - f. Depth of debris/dirt and water in the manholes.
 - g. Contractor's name.
 - h. Printed labels on the DVD disc and jewel case with location information, date, format, and other descriptive information.
 - i. Each DVD disc must be accompanied by a card which includes the following:
 - i. Work order number
 - ii. Date of video recording
 - iii. Upstream and downstream manhole locations for all sewer sections included in the work order.
 - iv. Status of televising for all sewer sections in the work order.
 - v. Locations of areas requiring repairs such as, but not limited to, collapsed sewers and manholes, and voids in the main sewer. In addition, these locations are to be reported to the Department of Water Management's 24-hour emergency telephone number (312) 747-7000.

Written Report

1. Same requirements as above in Data View
2. Location of unusual conditions.
3. Location and clock position of sewer and lateral connections.
4. Location of structural defects.
5. Location of above ground catch basins.
6. Location of frames and lids that are damaged or missing.
7. Location of collapses or areas requiring repair work such as but not limited to collapsed sewers, manholes and catch basins.
8. Above ground voids in the pavement that are in the close proximity of the main sewer and/or catch basins.
9. All locations are to be cross-reference to a house address.

Acceptance. The City retains the right to determine the acceptance or rejection of all work according to the terms of the contract. In the event of rejection of completed work, corrective action is to be initiated within 48 hours of notice of rejection. All video files must be in MPEG-1 format.

The Contractor will be paid for cleaning the sewer once. If additional cleaning is required after the initial cleaning operation, the Contractor must re-clean the sewer at no additional expense to the City.

Method of Measurement. The actual length of sewer televised/cleaned from center to center of manholes will be measured for payment per foot. Individual runs can be made over one or more manhole sections as determined in the field by the Contractor and approved by the Commissioner. No separate payments will be made for the following:

1. Poor or unacceptable quality videos. Hazy, unclear pictures will not qualify for payment.
2. Re-videoing or cleaning any segment without prior approval of the Commissioner.
3. Portions of sewer not televised or cleaned. No payment will be made for segments through which the camera could not pass.
4. Reverse setup required to bracket an obstruction.
5. Locating the alignment of a sewer line.
6. Sewer flow control.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for TELEVISION INSPECTION OF SEWER, SPECIAL.

TEMPORARY RAMP (SPECIAL)

Description. This work shall consist of constructing and removing temporary HMA ramps at the locations shown on the plans or as directed the Engineer. The ramps shall be constructed at the project limits when traffic is directed to use composite pavement where the binder and/or surface courses have not been placed and at locations where traffic traverses between existing and proposed pavements that are not at the same elevation.

Temporary HMA ramps shall have a minimum taper rate of 1:40 (V:H) unless otherwise approved by the Engineer.

The temporary ramps shall be removed in a manner meeting the Engineer's approval just prior to placement of the remaining HMA lifts.

Materials. HMA shall be according to Section 406 of the Standard Specifications and the applicable hot-mix asphalt special provisions contained herein. The HMA mixtures shall be as specified in the plans.

Method of Measurement. Temporary ramps (special) will be measured for payment in place, and the area computed in square yards.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD for TEMPORARY RAMP (SPECIAL).

BRIDGE APPROACH PAVEMENT CONNECTOR (SPECIAL)

Description. This work shall consist of constructing a bridge approach pavement connector according to the details shown on the plans. This work shall also be performed in accordance with the applicable portions of Section 420 of the Standard Specifications.

Method of Measurement. Bridge approach pavement connector construction will be measured for payment in place, and the area computed in square yards.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD for BRIDGE APPROACH PAVEMENT CONNECTOR (SPECIAL) which shall include all labor, materials, and equipment necessary to construct bridge approach pavement connector, including welded wire reinforcement and tie bar connection to the adjacent pavement. Aggregate subbase shall be paid for separately as Subbase Granular Material, Type B 6".

TEMPORARY SIDEWALK RAMP

Description. This work shall consist of constructing a temporary ADA-compliant ramp at locations where the existing curb is not shown to be removed. The ramp may be a prefabricated system or a ramp constructed on site. Regardless of the method chosen, the Contractor shall be responsible for ensuring that the installed system meets all ADA requirements.

The Contractor shall submit catalog cuts or detailed drawings of the proposed system to the Engineer for approval prior to ordering or installation.

If the existing curb is damaged, the Contractor shall remove and replace the curb or curb and gutter to the satisfaction of the Engineer at no cost to the contract.

Basis of Payment. This work will be paid for at the contract unit price per EACH for TEMPORARY SIDEWALK RAMP, which price shall include all equipment, materials, and labor required to install and remove the ramp.

PAVEMENT REMOVAL (SPECIAL)

Description. This work shall be performed in accordance with Section 440 of the Standard Specifications except as modified here within. This work shall consist of the removal and disposal of existing concrete pavement, pavement with concrete base and asphalt overlay, cobblestone, wood and concrete pavers, brick, mortar, streetcar tracks, rebar, and mesh as indicated on the typical cross sections, plans, or as directed by the Engineer.

General Requirements. The Contractor shall saw cut a perpendicular clean joint between that portion of the pavement to be removed and that portion which is to remain in place. If the Contractor removes or damages the existing pavement outside the limits designated by the Engineer for removal and replacement, he/she will be required to repair that portion at his/her own expense and to the satisfaction of the Engineer.

The only type of pavement removal permitted will be by lifting slabs of pavement. The Contractor shall saw cut the pavement full depth into slabs which can be lifted into trucks and shall be hauled away from the jobsite and disposed of properly.

The Contractor shall remove and separate from the excavation all existing brick or granite block pavers. The Contractor shall remove all excess material from the pavers, including but not limited to, concrete, asphalt, grout, etc. as to permit the recycling of the paver. The Contractor will palletize the block pavers and load separate trucks with removed block pavers and deliver them to a location specified by the Engineer. Stockpiling of this material at the site shall not be allowed.

The Contractor shall use reasonable care during the removal and delivery of block pavers, to maintain the integrity of the paver.

The Contractor shall contact the Engineer to verify the possible delivery location and delivery times. If at any time all facilities become full, the Commissioner may add additional locations within the City limits at no additional cost to the contract. Further, the Engineer can direct the Contractor to properly dispose of the pavers at no additional cost to the contract. All block pavers will be legally disposed of beyond the project limits.

Method of Measurement. This work will be measured in place, and the area computed in square yards.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD for PAVEMENT REMOVAL (SPECIAL).

CONCRETE WEARING SURFACE

Description. This work consists of placing a concrete wearing surface, to the specified thickness, on previously constructed approach slabs. Included in this work is cleaning and preparing the concrete surface prior to placement of the concrete wearing surface. This work shall be according to the applicable articles of Section 503 and the following.

Materials. The concrete wearing surface shall be class BS concrete.

Equipment: The equipment used shall be subject to the approval of the Engineer and shall meet the following requirements:

Surface Preparation Equipment. Surface preparation equipment shall be according to the applicable portions of Section 1100 and the following:

- (1) Hand-Held Blast Cleaning Equipment. Blast cleaning using hand-held equipment may be performed by high-pressure waterblasting or abrasive blasting. Hand-held blast cleaning equipment shall have oil traps. Hand-held high-pressure waterblasting equipment shall have a minimum water pressure of 7000 psi.
- (2) Vacuum Cleanup Equipment. The equipment shall be equipped with fugitive dust control devices capable of removing wet debris and water all in the same pass. Vacuum equipment shall also be capable of washing the deck with pressurized water prior to the vacuum operation to dislodge all debris and slurry from the deck surface.

Concrete Equipment: Equipment for proportioning and mixing the concrete shall be according to Article 1020.03.

Finishing Equipment. Finishing equipment shall be according to Article 503.03.

Mechanical Fogging Equipment. Mechanical fogging equipment shall be according to 503.03.

Construction Requirements

Surface Preparation. Prior to placement of the concrete wearing surface, the top surface of the approach slab shall be clean and free of all foreign material. All debris of every type, including dirty water, resulting from the cleaning operation shall be reasonably confined during the performance of the cleaning work and shall be immediately and thoroughly removed from the cleaned surfaces and all other areas where debris may have accumulated.

Prior to placement of the concrete wearing surface, the Engineer will inspect the cleaned surface, all areas still contaminated shall be cleaned again at the Contractor's expense.

Wearing Surface Placement. The concrete wearing surface placement shall be according to Article 503.16 of the Standard Specifications. Areas to receive the overlay shall be either thoroughly or continuously wetted with water at least one hour before placement of the concrete wearing surface is started. When the surface is pre-wetted any accumulations of water shall be dispersed or removed prior to placement of the concrete wearing surface.

Plans for anchoring support rails and the mixture-placing procedure shall be submitted to the Engineer for approval.

Curing and Protection. The concrete shall be continuously wet cured for at least 14 days according to Article 1020.13(a)(5). However, if the minimum specified compressive strength or flexural strength is obtained prior to 14 days, the cure time may be reduced, but at no time shall the wet cure be less than seven days. The concrete shall be protected from low air temperatures according to Article 1020.13(d)(1) or (2), except the protection method shall remain in place for the entire curing period.

Opening to Traffic. The concrete wearing surface may be opened to traffic when test specimens have obtained a minimum compressive strength of 4000 psi or a minimum flexural strength of 675 psi, but not prior to the completion of the wet cure.

Method of Measurement. Concrete wearing surface will be measured for payment in place, and the area computed in square yards.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD for CONCRETE WEARING SURFACE, of the thickness specified.

COMBINATION CONCRETE CURB AND GUTTER, TYPE B V.12 (CDOT)

Description. This work shall be performed according to Section 606 of the Standard Specifications for Road and Bridge Construction and to the City of Chicago Department of Transportation Regulations for Openings, Construction, and Repair in the Public Way. The work consists of constructing variable height PCC combination curb and gutter greater than 3" in height and less than 9" in height. Materials shall meet the applicable requirements of Division 1000 of the Standard Specifications. The work shall be performed in accordance with the requirements of Section 606 of the Standard Specifications.

Method of Measurement. Combination concrete curb and gutter will be measured for payment in feet along the flow line of the gutter, which measurement will include drainage castings incorporated.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for COMBINATION CONCRETE CURB AND GUTTER, TYPE B V.12 (CDOT).

SIGN PANEL (SPECIAL)

Description. This work shall consist of installing the sign panels in accordance with the contract drawings. As part of the work under this item, the Contractor must supply and install all hardware required for a complete installation including horizontal bracing channels, bands, bolts, washers, and nuts. Work under this item shall be according to Sections 720 and 1090 of the Standard Specifications for Road and Bridge Construction, except as herein modified.

Materials. The sign mounting hardware for the signs furnished under this contract includes brackets, holders, bands, bolts, nuts, washers, screws, and all other necessary devices to connect sign assemblies and to mount signs to new posts, existing light or traffic signal posts, or adjacent structures. Bolts and nuts must be tamper-resistant.

Sign Hardware for Center Mount Sign Poles and Light Poles. Stainless steel sign bracket, 5/16"x 5/8" stainless steel hex head flanged bolt, and 3/8"x 3/4"x 1/16" stainless steel washers. 5/16"x 3.5" stainless steel socket head screw with 1-1/2"x1-1/4" sign bracket, two flat washers and nylon locknut per fastener assembly as per the installation detail. Mounting detail for back-to-back sign mount on light pole is provided.

Sign Hardware for Flag or Center Mount, Banding to Light Poles. Two 3/4"x 020 stainless steel band fasteners.

Sign Hardware for Center Mounting. One stainless steel button head socket head screw with two flat washers and nylon locknut per mounting bracket, to attach mounting bracket to pole. Two button head socket head screws with four flat washers and nylon locknut per mounting bracket to attach sign to the sign bracket.

Sign Flag Mount, Light Pole or Sign Pole. Cast aluminum brackets per the drawing in the contract documents. The sign mounting brackets must be an aluminum-zinc alloy. The casting must be tenzalo with a multi-curve back design. The sign brackets must be powder coated with a satin black finish, Product 311 B, with cure 400 °F - 18 minutes PMT, TGIC polyester. Mount the brackets to a sign pole with a 3" x 5/16" stainless steel bolt and nylon lock nut.

Mount the bracket to a light pole by banding with 3/4" x 020 stainless steel banding. Attach the sign to each bracket with two 1-1/4" x 1/4" stainless steel bolts and nylon locknut.

Mast Arm Mount. Cast aluminum brackets per the drawing in the contract documents. The sign mounting brackets must be an aluminum-zinc alloy. The casting must be tenzalo with a multi-curve back design. The sign brackets must be powder coated with a satin black finish, Product 311 B, with cure 400 °F - 18 minutes PMT, TGIC polyester. Mount the brackets to a sign pole with a 3" x 5/16" stainless steel bolt and nylon lock nut.

Mount the bracket to a mast arm by banding with 3/4" x 020 stainless steel banding. Attach the sign to each bracket with two 1-1/4" x 1/4" stainless steel bolts and nylon locknut.

Miscellaneous: All stainless-steel screws, bolts, washers, and nuts must conform to the requirements of Section 1006.29(d) of the Standard Specifications. All bolts and nuts must have National Coarse Thread.

Equipment. Equipment shall be according to Section 720 of the Standard Specifications. Signs must be fabricated and installed in accordance with the latest version of the Manual for Sign Installation issued by the Chicago Department of Transportation.

Reflective sign sheeting must conform to type A material requirements as specified in Section 1091, if not specified otherwise in the Manual for Sign Installation.

All signs must have "CITY OF CHICAGO XX" logo screened at the bottom center or top right corner of the sign where XX represents the last two digits of the year of sign fabrication.

Sign face must have legend application in accordance with Section 1091 as recommended by the manufacturer, if not specified otherwise in the Manual for Sign Installation.

Submittals. Submit materials data, catalog sheets, certifications and samples, for each type of hardware specified, for Engineer's approval prior to the start of work.

Construction Requirements. All signs must be installed at locations and with mounting type as specified on contract drawings. Sign panels must be installed according to Article 720.04 .Sign panels will be installed on newly supplied sign poles and on existing light poles or traffic signal poles. Installation will include provision of all necessary support brackets, flanges, banding material, and hardware to provide a complete installation. On light poles or traffic signal poles, sign panels will be installed by the banding method. Guide signs and flag mounted signs will be installed on brackets banded to the light/signal pole. Two stainless steel bands will be required for signs up to 6 feet high. Three bands will be required for signs over 6 feet high.

Warranty. Workmanship warranty shall be five years from the date of installation and acceptance.

Method of Measurement. This work will be measured for payment per Section.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE FOOT for SIGN PANEL (SPECIAL), of the type specified, regardless of the mounting type specified.

INSTALL ROUND STEEL SIGN SUPPORT

Description. This work shall be performed in accordance with Section 729 of the Standard Specifications for Road and Bridge Construction. Work under the item shall consist of furnishing sign poles of various lengths and installation by drill method as shown on the plans, standard drawings and/or work order. The "CDOT Field Manual for Sign Installation" should be adhered to and is available for review at 2 N. LaSalle St., Suite 820, Chicago, IL. The poles installed shall be a minimum of 10'-6" in length. The cost of wedges, sleeves, pole bases and all other required hardware to install will be included in the cost of these items.

Materials. The material for the poles furnished shall be in accordance with Article 1006.29 of the SSRBC. The material shall be hollow steel tubes, 2-3/8 inches outside diameter, conforming to ASTM A500 grade B and coated for resistance to corrosion and outdoor weathering. Nominal wall thickness of the pole shall be 0.08". The sign pole must be formed to the size and type required

per the "CDOT Field Manual for Sign Installation" and/or the contract drawings. Holes shall be drilled prior to coating to prevent indentations and dimples in the poles.

Finish. The poles shall be galvanized, straight and have a smooth, black, uniform powder coating finish as specified below. The interior of the sign poles shall be coated with a minimum of an 81% zinc-rich primer. The exterior of the poles shall be galvanized with material conforming to AASHTO M120 with a minimum weight of 1.00 ounce per square foot. The weight of the exterior galvanizing may be reduced to 0.65 ounces per square foot of high grade material conforming to AASHTO M120 if applied with a chromate conversion coating and a clear high performance organic polymer coating. Powder coating of the poles and extensions shall meet the following requirements:

Color: Black
Resin type: TGIC Polyester
Gloss: Medium

Pretreatment Process.

- Cleaning: All parts shall be cleaned, utilizing spray washers and alkaline cleaner to remove any remaining grease, dirt, or other contaminants.
- Rinsing: All parts shall be spray rinsed in a continuously overflowing rinse stage to remove any remaining cleaning solution.
- Phosphating: All parts shall be spray phosphated with a heated phosphate solution to provide a transition coating between metal and powder.
- Rinse: All parts shall be spray rinsed in a continuously overflowing rinse stage to remove any remaining phosphate / sealant solution.

Powder Coating Process.

- Drying: All parts shall be preheated to totally eliminate moisture and prevent off gassing of casting.
- Powder Coating: A premium TGIC polyester powder shall be electrostatically applied to provide a uniform coating to a thickness of 1-3 mils (1 mil minimum). To achieve proper mil thickness, the powder shall be applied with one application. The manufacturer shall be responsible for ensuring proper adhesion to the metal surface.
- Curing: All parts shall be heated to the exact time and temperature requirements, recommended by the powder coat material manufacturer, in precisely controlled gas ovens.

Sign Pole Base. The sign pole base furnished under this contract includes a carriage bolt, tamper-resistant nuts and anchor bolts with nuts. The finished casting shall be free from burrs, cracks, voids, or other defects.

Support base. Twelve-inch diameter, aluminum-zinc alloy casting per ASTM A197. The casting shall have the words "City of Chicago" cast in relief as shown in the "CDOT Field Manual for Sign Installation".

Bolt Washers and Nut. Stainless steel as specified in Article 1006.31a of the SSRBC. Include a 1/2" x 4-1/2" carriage bolt with two 1" flat washers and a 1/2" x 13" full height hex nylon locknut.

Anchor Bolt. Galvanized steel expansion anchors conforming to Article 1006.09. Furnish three per each sign base provided.

Finish. Powder coat to minimum 1 mil thickness with satin black polyester finish.

Submittals.

Shop Drawings. Fabrication shop drawings showing the full size layout, color, and proposed materials for poles, bases, and hardware shall be submitted for approval prior to start of fabrication.

Poles. Mill certification, samples of each size of finished pole and extension. Locking wedge and sleeve: Samples of each item.

Cast Aluminum Base. Mill Certifications.

Powder Coating. Test Data; Sample; Manufacturer's certification that material complies with the required specifications.

Galvanizing. Requires Manufacturer's Certification for compliance with these specifications.

Stainless Steel Bolts and Nuts, Anchor Bolts. Sample, product data sheet.

Construction Requirements. All installations shall be in accordance with Section 729 of the Standard Specifications and as per latest edition of the "CDOT Field Manual for Sign Installation".

The pole base shall be secured to the concrete surface by steel expansion anchors and shall be leveled by using stainless steel washers as shims at the anchor bolt locations and under the base castings. The sign pole shall be installed into the cast iron base and locked in place with a carriage bolt with two flat washers and a nylon lock nut as shown in the "CDOT Field Manual for Sign Installation". The holes at the top of the sign pole shall be aligned such that the sign to be installed shall properly face the flow of traffic.

Sign poles shall be installed 18" from back of curb unless otherwise specified. Poles for transportation stops, e.g., bus, taxi, tour bus, or tour boat stops, shall be installed 24" from the back of the curb unless otherwise noted.

QC/QA Requirements. The Contractor shall provide manufacturer's certifications that the materials comply with these specifications.

Warranty. Manufacturer's warranty shall be five years from the date of installation and acceptance.

Method of Measurement. This work will be measured for payment per Section 729.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for INSTALL ROUND STEEL SIGN SUPPORT, which price shall include furnishing all poles or extensions, sleeves, locking wedges, bases and all other hardware required to complete the installation as specified herein.

METHYL METHACRYLATE PAVEMENT MARKINGS AND COLORIZATION, VARIOUS COLORS

Description. The work will include the application of methyl methacrylate (MMA) acrylic high friction colorized surface for a variety of uses including but not limited to: bike lanes, bus lanes, pedestrian areas, and ADA parking. The colorized surfaces shall be applied according to the manufacturer's specifications and as amended in these specifications.

Materials. The MMA acrylic pavement marking material must be methyl methacrylate acrylic material with a pigment specified by CDOT and include anti-skid abilities as described below. Color pigmented resins shall comply with FHWA color guidelines.

1. Pigmented Resin.
 - a. Color pigmented resins shall comply with FHWA color guidelines.
2. Anti-Skid Aggregate.
 - a. For all designated bike lanes and pedestrian areas, a durable aggregate with a minimum hardness of 7.0 per Mohs Hardness Scale must be used and incorporated into the pigmented MMA acrylic resin.
 - b. For all designated bus lanes and ADA parking spaces, calcined bauxite with minimum hardness of 8.5 per Mohs Hardness Scale must be used and incorporated into the pigmented MMA acrylic resin.
 - c. For other use cases, CDOT will coordinate with the vendor on the desired hardness to be incorporated into the pigmented MMA acrylic resin.

Submittals.

1. Product data describing physical and performance characteristics and colors available
2. Material Certification: Provide a manufacturer's written certification that the material complies with these specifications.
3. Samples: Submit manufacturer's sample of materials, finishes, and colors
4. Quality Control Plan
 - a. Description of equipment for placing MMA
 - b. Description of equipment for measuring, mixing, placing, and finishing MMA
 - c. Method for protecting areas not to receive MMA
 - d. Cure time estimates for MMA
 - e. Storage and handling of MMA components
 - f. Disposal of excess MMA and containers
 - g. Contingency plan for possible failure during the MMA application including remediation

Construction Requirements. Construction of bike lane pavement markings shall be in accordance with manufacturer application and installation procedures, Standard Specifications, and Engineer.

All pavement marking areas shall be laid out by the Contractor and then reviewed by the Engineer. Approval of the marking layout shall be approved by the Engineer prior to placement of material.

Surface preparation shall include cleaning and preparation of the pavement surface using high pressure water, compressed air, sandblasting, or shot-blasting. Both asphalt and concrete surfaces shall be prepared and approved by the material manufacturer and the Engineer. Concrete surfaces shall require shot blasting preparation in addition to any other methods of preparation used. All surface damage shall be corrected by the Contractor at the Contractor's expense, as directed by the Engineer. Manufacturer recommended pavement and air temperatures must be followed.

New HMA shall have been placed 30 days prior to installation of the MMA acrylic colorized material and surface oils shall not be present. MMA acrylic colorized material applied on concrete surfaces shall receive a base coat application and shall be included in the pay item. Marking layout, material mixing, base coat application, and pigmented coat application shall comply with the manufacturer's installation procedures.

The Contractor shall protect the pavement markings from damage and allow them to fully cure prior to allowing traffic to drive over markings. Any damage shall be corrected by the Contractor at the Contractor's expense.

Method of Measurement. The quantity to be paid will be the area in square yards of methyl methacrylate pavement colorization of the color specified, measured in place, completed, and accepted.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD for METHYL METHACRYLATE PAVEMENT COLORIZATION of the color specified, which price will include all material, labor, equipment, and surface preparation needed for the installation.

CONCRETE TRUCK WASHOUT

Description. The Contractor shall take sufficient precautions to prevent pollution of streams, lakes, reservoirs, and wetlands with fuels, oils, bitumens, calcium chloride, or other harmful materials according to Article 107.23 of the Standard Specifications.

To prevent pollution by residual concrete and/or the by-product of washing out the concrete trucks, concrete washout facilities shall be constructed and maintained on any project which includes cast-in-place concrete items. The concrete washout shall be constructed, maintained, and removed according to this special provision. Concrete washout facilities shall be required regardless of the need for NPDES permitting. On projects requiring NPDES permitting, concrete washout facilities shall also be addressed in the Storm Water Pollution Prevention Plan.

The concrete washout facility shall be constructed on the jobsite in accordance with Illinois Urban Manual practice standard for Temporary Concrete Washout Facility (Code 654). The Contractor may elect to use a pre-fabricated portable concrete washout structure. The Contractor shall submit a plan for the concrete washout facility, to the Engineer for approval, a minimum of ten calendar days before the first concrete pour. The working concrete washout facility shall be in place before any delivery of concrete to the site. The Contractor shall ensure that all concrete washout activities are limited to the designated area.

The concrete washout facility shall be located no closer than 50 feet from any environmentally sensitive areas, such as water bodies, wetlands, and/or other areas indicated on the plans. Adequate signage shall be placed at the washout facility and elsewhere as necessary to clearly indicate the location of the concrete washout facility to the operators of concrete trucks.

The concrete washout facility shall be adequately sized to fully contain the concrete washout needs of the project. The contents of the concrete washout facility shall not exceed 75% of the facility capacity. Once the 75% capacity is reached, concrete placement shall be discontinued until the facility is cleaned out. Hardened concrete shall be removed and properly disposed of outside the right-of-way. Slurry shall be allowed to evaporate or shall be removed and properly disposed of outside the right-of-way. The Contractor shall immediately replace damaged basin liners or other washout facility components to prevent leakage of concrete waste from the washout facility. Concrete washout facilities shall be inspected by the Contractor after each use. Any and all spills shall be reported to the Engineer and cleaned up immediately. The Contractor shall remove the concrete washout facility when it is no longer needed.

Basis of Payment. The cost of all materials required and all labor necessary to comply with the above will be paid for at the contract unit price per LUMP SUM for CONCRETE TRUCK WASHOUT. The unit price shall include all labor, equipment, and materials necessary to complete the work, regardless of the number washout facilities required.

APPROACH SLAB REMOVAL

Description. This work includes the removal and disposal of the existing approach slabs shown on the plans or as directed by the Engineer including approach slab pavement, bituminous overlays, reinforcement, stabilized sub-base, expansion joint material adjacent to the approach pavement, and sleeper slabs/footings. Approach slab removal and disposal shall be performed in accordance with Section 440 and Article 442.05(a) of the Standard Specifications and the following provisions.

Based on existing plans, the thickness of the existing pavement including subsequent resurfacing(s) is estimated at 10.5" PCC pavement. In the event the average combined thickness of the existing pavement and overlays in an area to be removed differs from the thickness shown on the plans, the Engineer will adjust the pay quantity, meeting this requirement as indicated by the following chart. Thickened haunches of the approach slab as well as any sleeper slab depth will be omitted from the average combined thickness calculation. The quantities will be increased when the thickness is greater and decreased when the thickness is less.

% Change of Thickness	% Change of Quantity
0 to less than 15	0
15 to less than 20	10
20 to less than 30	15
30 and greater	20

No other compensation will be allowed for variations in thickness from that shown on the plans.

Contract Quantities. The requirements for the use of Contract Quantities shall conform to Article 202.07(a) of the Standard Specifications.

Method of Measurement. Approach slab removal will be measured for payment in place on the approach pavement surface, and the area computed in square yards.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD for APPROACH SLAB REMOVAL. The contract unit price for approach slab removal shall include removing and disposing of the entire approach slab as defined above. Removal of sleeper slabs and pavement haunches will be included in the cost for Approach Slab Removal.

DRILL AND GROUT TIE BARS

Description. This item shall consist of furnishing and installing tie bars at locations where proposed concrete base course, pavement, curb and gutter or median abuts existing concrete pavement, or base course at locations shown on the plans and/or as directed by the Engineer. Tie bars between proposed sections are not included in this item but are included in the cost of the proposed sections.

The bars shall be epoxy-coated, deformed, of the length indicated in the plans, conforming to Article 1006 of the Standard Specifications. The grout shall be as specified in Article 1024.01 of the Standard Specifications.

The bars shall be spaced as indicated in the plans. Individual bar locations shall be shifted at least 5 inches away from existing cracks, joints or unsound concrete. Holes for the bars shall be drilled with equipment suitable for this purpose to a diameter large enough to allow grouting around the bar. The tie bars shall be secured in the drilled holes with non-shrink grout. The grout shall be allowed to cure before the new abutting concrete is poured.

Basis of Payment. This work will be paid for at the contract unit price per EACH for DRILL AND GROUT TIE BARS, of the size specified.

FENCE REMOVAL

Description. This work shall consist of the removal and disposal of an existing fence from the project site. The Contractor shall remove all components of the existing fence including any concrete used to anchor fence posts, bracing, guy wires, posts, and/or gates. All removed materials shall be disposed of outside the limits of the right-of-way according to Article 202.03 of the Standard Specifications and/or as directed by the Engineer.

Any sections of fencing shown to remain shall be reconnected to the last remaining post along the fence line. New bracing or guy wires shall be installed if required by the Engineer.

Method of Measurement. This work will be measured for payment in feet, along the top of the existing fence, from center to center of end posts, excluding the length occupied by gates.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for FENCE REMOVAL. The unit price shall include all equipment, materials and labor required to remove and dispose of the fence.

TEMPORARY PAVEMENT

Description. This work shall consist of constructing a temporary pavement at the locations shown on the plans or as directed by the engineer.

The Contractor shall use either PCC according to Sections 353 and 354 of the Standard Specifications or HMA according to Sections 355, 356, and 406 of the Standard Specifications, and other applicable HMA special provisions as contained herein. The HMA mixtures to be used shall be specified in the plans. The thickness of the temporary pavement shall be as described in the plans. The Contractor shall have the option of constructing either material type if both PCC and HMA are shown in the plans.

Articles 355.08 and 406.11 of the Standard Specifications shall not apply.

The removal of the temporary pavement, if required, shall conform to Section 440 of the Standard Specification.

Method of Measurement. Temporary pavement will be measured in place, and the area computed in square yards.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD for TEMPORARY PAVEMENT and TEMPORARY PAVEMENT (INTERSTATE).

Removal of temporary pavement will be paid for at the contract unit price per SQUARE YARD for PAVEMENT REMOVAL (SPECIAL).

UNDERGROUND CONDUIT

Effective: March 9, 2024

Revised: September 26, 2025 (Basis of Payment)

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1462, 1533
Standard Drawings: 579, 813

This work shall consist of furnishing and installing conduit, fittings, and accessories as part of a raceway laid in trench, pushed, or directionally bored.

Materials. Galvanized rigid steel conduit and PVC coated galvanized rigid steel conduit shall

conform to the requirements of Material Specification 1462. Polyvinyl chloride (PVC) conduit shall conform to the requirements of Material Specification 1533. Coilable non-metallic conduit shall be a high-density polyethylene meeting the requirements of Material Specification 1533. Conduit color shall be as determined by the Resident Engineer. Aluminum conduit shall be rigid wall conduit with a minimum wall thickness of 0.099". The conduit shall be extruded from 6063 aluminum alloy and tempered to T-1. Aluminum conduit shall meet the requirements of UL 6 and ANSI C80.5.

Construction Requirements. Galvanized rigid steel conduit shall be installed in a trench or pushed underground. PVC conduit shall normally be installed in a trench. Coilable conduit shall be installed in a trench for short distances only. The normal installation method for coilable conduit is directional boring. The Contractor shall exercise care in installing the conduit to ensure that it is smooth, free from sharp bends or kinks, and has the minimum practicable number of bends. Crushed or deformed conduit shall not be accepted. All conduit and fittings shall have the burrs and rough places smoothed, and all conduit runs shall be cleaned and swabbed before installation of electric cables. If cable is not to be installed immediately after cleaning of the conduit, a light weight pulling line such as 1/8" polyethylene line shall be placed in the conduit and shall remain in the conduit for future work. The excavation for pushing conduit shall be located at least two feet (2') from the edge of pavement. All underground conduits shall have a minimum cover of thirty inches (30") below grade. If conduit cannot be installed with a minimum cover of thirty inches (30"), the conduit shall be encased in concrete for protection. The method of encasement and protection shall be approved by the engineer. Concrete encasement shall be paid for as a separate pay item.

When multiple conduits are installed in a common trench, no more than three (3) three inch (3") or smaller conduit laterals shall be laid on a single, horizontal level. Four or more conduit laterals shall be installed on two (2) or more levels in accordance with instructions of the Resident Engineer.

Threaded fittings and bends of the same material as conduit shall be furnished and installed as required. Threadless couplings may be used only for splicing existing conduit. All conduit splices, where required, shall be included in the cost of this work.

Method of Measurement. This work will be measured for payment in feet in place. Measurements will be made in straight lines along the centerline of the conduit between ends and changes in direction. Vertical conduit will be measured for payment. The vertical distance required for breakaway devices, barrier wall, concrete pedestals, etc., and the depth of any burial will be measured. Changes in direction shall assume perfect straight line runs, ignoring actual raceway sweeps.

Basis of Payment. This work will be paid for at the contract unit price per foot for UNDERGROUND CONDUIT, CONDUIT IN TRENCH, CONDUIT PUSHED, or CONDUIT, DIRECTIONAL BORED of the type and size specified.

TRENCH AND BACKFILL WITH SCREENINGS

Effective: March 9, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: Not Applicable
Standard Drawings: 579, 813

This work shall consist of excavating a trench for the installation of conduit and backfilling with limestone screenings as a portion of the total backfill of the trench, all as shown in Division of Electrical Operations Standard Drawings No. 579 and No. 813. This work shall meet all applicable requirements of Article 810.04(a) of the Standard Specifications.

Materials. Underground cable marking tape shall meet the requirements of Section 1066.05 of the Standard Specifications. Backfill shall meet the requirements of Section 1003.04 of the Standard Specifications.

Construction Requirements. The trench shall be deep enough to provide 30" of cover over the conduit to be installed. The trench shall not exceed 12" in width unless approved by the Engineer. The bottom of the trench shall be tamped, and the trench inspected by the Engineer before conduit is installed. All trenches shall be backfilled as soon as possible after the installation of the conduit or cable. Any material excavated from the trenches that in the opinion of the Engineer is satisfactory backfill, may be used for backfill above the layer of screenings. The limestone screenings shall be used to fill the bottom of the trench to a depth of one foot above the top of the conduit or duct encasement. Cinders, rocks, or other inappropriate materials shall not be permitted to be used as backfilling material. Backfilling material, beginning with limestone screenings shall be deposited in the trench in layers not to exceed 6" in depth, and shall be thoroughly compacted with a mechanical tamper before the next layer is deposited in the trench. All trenches for conduit shall be backfilled as per this specification. Unsuitable material shall be disposed of according to the requirements of Section 202.03 of the Standard Specifications. Underground cable marking tape shall be installed 12" below the finished grade for all conduit runs.

Method of Measurement. This work will be measured in feet along the centerline of the trench. Trench and backfill will not be measured for payment for conduit which is installed by pushing or by directional boring. Where more than one conduit is installed in a single trench, only one run will be measured for payment.

Basis of Payment. This work will be paid for at the contract unit price per FOOT, measured with conduit in place, for TRENCH AND BACKFILL WITH SCREENINGS. This price shall include the cost of all excavation, furnishing, and placing all backfill material and disposal of all surplus excavated material. If sidewalk, driveway pavement or pavement must be removed and replaced, such work will be paid for separately.

JUNCTION BOX, POLE OR POST MOUNTED

Effective: March 16, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1407
Standard Drawings: 834, 954, 11984

This item shall consist of furnishing and installing a junction box on each traffic signal post, traffic signal pole, or street light pole on which a signal head is mounted, as shown on the plans, specified herein, or directed by the Engineer.

Materials. The junction box shall conform to the requirements of Material Specification Number 1407 and Drawing Number 954. The box shall contain a 20-conductor terminal strip, securely fastened to an aluminum channel. Two #10 stainless steel machine screws shall be used to mount the channel to the junction box.

Construction Requirements. The junction box shall be mounted to the side of the pole away from the roadway, or as directed by the Engineer. The center of the box shall be located approximately 58" above the adjacent sidewalk. Two long sweep elbows shall be attached to the box, one to the top and one to the bottom, unless otherwise directed by the Engineer. Each shall be attached with four #10-24x3/4" stainless steel screws. The lower long sweep elbow shall be properly positioned over a hole 1 1/2 inches in diameter drilled in the pole approximately 48" above the sidewalk, for the installation of cable. Another 1 1/2-inch hole shall be drilled for the upper elbow. The holes shall be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation. A stainless steel, banding bracket per Drawing Number 11984, shall be attached to the center of the back of the box with a 5/16"-18 x 1" stainless steel machine screw. The entire unit shall be banded to the pole with five 3/4" stainless steel bands, one through the banding bracket and one each at the top and bottom of each elbow. The banding and clips shall have a baked-on black finish.

Basis of Payment. This work will be paid for at the contract unit price per EACH for a JUNCTION BOX, POLE OR POST MOUNTED, which price shall be payment in full for furnishing and installing the junction box complete with its component parts and appurtenances. Connection of cables and wires to the terminal strip shall not be part of the cost of the junction box but shall be considered part of the installation of the underground cable and the installation of signal heads.

MANHOLE, ELECTRIC

Effective: March 9, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1458, 1528

Standard Drawings: 729, 730, 732, 733, 872, 874, 10927

This item shall consist of furnishing and installing an electrical manhole of the dimensions indicated with either a 24" or 30" frame and lid.

Materials. The concrete manhole shall meet the applicable requirements of Material Specification 1528. The frame and lid shall meet the requirements of Material Specification 1458. A 24" frame and lid shall meet the requirements of Standard Drawing 872. A 30" frame and lid shall meet the requirements of Standard Drawings 874 and 10927. Bricks shall meet the requirements of Section 1041 of the Standard Specifications. All other materials used shall meet the appropriate material requirements of the Standard Specifications.

Construction Requirements. The manhole shall be a precast concrete structure, or, if conditions merit, a cast in place concrete structure, complete with cast iron frame and lid. A 3' x 4' x 4' manhole with a 24" frame and lid shall conform to the requirements of Drawing 730. A 3' x 4' x 4' manhole with a 30" frame and lid shall conform to Drawing 729. A 4' x 6' x 6' manhole with a 24" frame and lid shall conform to Drawing 732. A 4' x 6' x 6' manhole with a 30" frame and lid shall conform to Drawing 733. The number and size of conduit openings shall be as shown on the construction plans.

Each manhole shall be installed in paved sidewalk, earth parkway, or in pavement at the location specified on the construction plans or at a location as directed by the Engineer.

The area where the manhole is to be placed shall be properly excavated. All disposable material shall be properly disposed of per Article 202.03. Each manhole shall be set or constructed to conform with the appropriate City of Chicago drawings, except that the number and size of conduit openings shall be in accordance with the construction plans. The frame casting shall be accurately set on a full bed of mortar to the finished elevation so that no subsequent adjustment shall be necessary. Mortar and brick, or mortar and concrete rings, may be used to adjust to the proper grade. Adjustment rings, bricks, and frames shall be set in a full mortar bed. Use of partial bricks will not be allowed. Bricks shall be laid in full header courses only. In no instance shall the neck of the manhole exceed 2 feet in height. Mortar shall be mixed in a proportion of one part cement to three parts sand by volume of dry materials. After entering laterals have been installed in place in the manhole, the openings in the wall shall be plugged in an approved manner flush with the inner surface. If backfill is required, screenings shall be used and properly compacted. Parkway shall be restored to the proper grade. Pavement shall be restored to the correct grade. Patching of the pavement shall be done with high early strength concrete meeting the requirements of Sections 1001 and 1020. Sidewalks shall be restored to the proper grade using a 5-inch thickness of concrete. The inside of the manhole shall be clean of all debris.

Basis of Payment. This work will be paid for at the contract unit price per EACH for MANHOLE,

ELECTRIC, of the size and frame and lid specified.

ELECTRIC CABLE IN CONDUIT, 600V

Effective: March 16, 2024

Revised: August 2, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1534
Standard Drawings: None Applicable

This work shall consist of furnishing and installing electric cable as specified in raceways, complete with all splicing, identifications, and terminations.

Materials. Cable shall meet all requirements of Material Specification 1534.

Construction Requirements. All cables shall be installed with care to prevent damage to the cable. Any defects found in the cable shall be reported to the Engineer. Damaged cable shall be replaced.

Cable shall be pulled into the conduit with a minimum of dragging on the ground or pavement. This shall be accomplished by means of reels mounted on jacks or other suitable devices located for unreeling cable directly into duct. Lubricants shall be used to facilitate installation if deemed necessary by the contractor.

Bends in the cable shall conform to the recommended minimum radii as outlined in the National Electric Code.

Cable passing through manholes shall be trained and racked around the sides of the manhole into a permanent position. If racks are non-existent or in poor condition, the Contractor shall install racks. Installation of racks will be paid for as Install Rack In Handhole Or Manhole.

Where cable runs continue from manhole to manhole without tapping within a light pole, cable shall be continuous without splices unless authorized by the Engineer.

All cable within the distribution panels and control cabinets shall be properly trained and have sufficient slack provided for any rearrangement of equipment or future additions. There shall be at least 2 feet of slack in a street light pole base or street light controller base. A handhole shall have at least 5 feet of slack and a manhole, at least 10 feet of slack.

Method of Measurement. The length of cable furnished and installed will be measured per foot as the length of conduit plus three feet for cable entering and leaving a light pole or street light control cabinet, plus any slack in manholes or handholes.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for ELECTRIC CABLE IN CONDUIT, 600V of the size specified.

POLE, STEEL, ANCHOR BASE, 34.5 FT

Effective: March 9, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1447
Standard Drawings: 808, 824, 827, 837

This item will consist of furnishing and installing a steel anchor base pole complete with all hardware and accessories required for the permanent use of the pole.

Materials. Poles shall meet the requirements of Material Specification 1447. Ten-inch diameter poles shall meet the requirements of Standard Drawing 808. Eleven-inch diameter poles shall meet the requirements of Standard Drawing 824. Twelve and one-half inch diameter poles shall meet the requirements of Standard Drawing 827.

Construction Requirements. Installation shall be in accordance with Sections 801 and 830 of the Standard Specifications and Standard Drawing 837 to provide ventilation within the pole and the ability to plumb the pole.

Any exposed portions of anchor rods extending above the nuts which interfere with the installation of the bolt covers must be cut off to provide the necessary clearance for installation. The excess shall not be burned off.

The Contractor shall use non-abrasive slinging materials and exercise care in erecting the pole to minimize damage to the finish. When necessary, and approved by the Engineer, the Contractor will utilize, at their own expense, factory approved touch-up materials and methods to restore the finish to a like new appearance and durability.

Basis of Payment. Light poles will be paid for at the contract unit price per EACH for POLE, STEEL, ANCHOR BASE, 34.5 FT, of the diameter, gauge, and bolt circle specified.

CONCRETE FOUNDATIONS

Effective: March 17, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1465, 1467, 1533
Standard Drawings: 565, 709, 816, 817, 818, 937A, 953, 956

This work shall consist of furnishing and installing a concrete foundation to support a light pole, traffic signal pole, traffic signal post, or other pedestal mounted equipment. The foundation shall be poured in place and be complete with reinforcement, anchor rods, raceways, and grounding, as shown in the standard drawings referenced below.

Pay Item	Standard Drawing	Usage
Concrete Foundation, 20" Diameter, 3/4" Anchor Rods, 13" Bolt Circle, 5 Feet	709	traffic signal post
Concrete Foundation, 20" Diameter, 1" Anchor Rods, 10" Bolt Circle, 5 Feet	565	residential light poles
Concrete Foundation, 24" Diameter, 1 1/4" Anchor Rods, 15" Bolt Circle, 7 Feet	818	arterial light poles
Concrete Foundation, 24" Diameter, 1 1/4" Anchor Rods, 15" Bolt Circle, 9 Feet	818	traffic signal poles with 16', 20' or 26' mast arms
Concrete Foundation, 24" Diameter, Offset, 1 1/4" Anchor Rods, 15" Bolt Circle	937A	arterial light poles and traffic signal poles with 16', 20' or 26' mast arms
Concrete Foundation, 28" Diameter, 1 1/4" Anchor Rods, 15" Bolt Circle, 7 Feet	953	Chicago 2000 Gateway or Pedestrian poles
Concrete Foundation, 28" Diameter, Offset, 1 1/4" Anchor Rods, 15" Bolt Circle	937A	Chicago 2000 Gateway or Pedestrian poles
Concrete Foundation, 30" Diameter, 1" Anchor Rods, 15" Bolt Circle, 7 Feet	956	Loop and Extended Loop poles
Concrete Foundation, 30" Diameter, Offset, 1" Anchor Rods, 15" Bolt Circle	937A	Loop and Extended Loop poles
Concrete Foundation, 30" Diameter, 1 1/4" Anchor Rods, 17 1/4" Bolt Circle, 9 Feet	816	traffic signal poles with 30' mast arm
Concrete Foundation, 30" Diameter, 1 1/2" Anchor Rods, 16 1/2" Bolt Circle, 11 Feet	817	traffic signal poles with 35', 40' or 44' mast arms

Materials. Concrete shall be PCC meeting the requirements of Section 1020 of the Standard Specifications for Road and Bridge Construction for class SI concrete. Anchor rods shall meet the requirements of Material Specification 1467. Ground rods shall meet the requirements of Material Specification 1465. Conduit shall be PVC and meet the requirements of Material Specification 1533.

Construction Requirements. The top surface of foundation shall be at an elevation of 2 inches above grade or as directed by the Engineer. Care shall be taken to install a level foundation and to ensure adequate anchor rod projections for double nut installation. The foundation top shall be chamfered 3/4 of an inch. The foundation shall be centered back from the face of the curb in accordance with dimensions shown on the construction plans. When the foundation is in a solid sidewalk area, the foundation shall be installed level, with the height of the foundation as close to the height of the sidewalk as possible, or as directed by the Engineer. An expansion joint shall be installed between the sidewalk and the foundation.

Anchor rods shall be set in accordance with applicable standard drawing so that when poles are

installed on the foundations, the mast arms are oriented as shown in the plans. The anchor rods shall be set by means of a metal template which shall be submitted for approval before any foundation work is begun. The template must hold the rods vertical, and in proper position.

Foundation raceways shall consist of large radius conduit elbow(s) in quantity, size, and type specified in the applicable standard drawing or as indicated in the plans. Elbow ends above ground shall be capped with standard conduit bushings.

Method of Measurement. Concrete foundations will be measured for payment in feet in place or per each, as designated in the contract. Offset foundations will be measured along the vertical and horizontal centerlines of the foundation without overlap.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for CONCRETE FOUNDATION, of the diameter and bolt circle specified or per EACH for CONCRETE FOUNDATION, of the diameter, bolt circle, and length specified.

MAINTENANCE OF EXISTING TRAFFIC SIGNAL INSTALLATION (SPECIAL)

Effective: March 17, 2024

Revised: August 13, 2025

Description. This work shall consist of maintaining an existing traffic signal installation within the project limits or as directed by the Engineer. If during the course of construction, it becomes necessary to use temporary aerial cable to maintain intersection operation, this work shall be performed at no additional cost.

General Requirements. Before taking over maintenance of the existing traffic signal installation, the Contractor shall arrange to make an inspection with the Engineer to determine if any corrective action needs to be performed, and to mutually agree on a date for transferring maintenance. The Contractor shall begin maintaining the existing traffic signals upon the start of any work at the site.

The Contractor shall be responsible for maintaining the traffic signal installation in proper operating condition. The Contractor shall perform the maintenance procedures as outlined in Article 802.07 of the Standard Specifications.

The traffic controller shall be maintained as outlined in Article 850.03.

Method of Measurement. This work shall be measured per each. The time frame shall begin at the mutually agreed date for taking over maintenance. The time frame shall end upon the issuance of a signal acceptance notice from the Engineer. Before such notice is given, a final inspection shall be performed with the contractor, the Engineer, and a representative from the Chicago Department of Transportation.

Basis of Payment. This work will be paid for at the contract unit price per EACH for MAINTENANCE OF EXISTING TRAFFIC SIGNAL INSTALLATION (SPECIAL), which payment shall be in full for maintaining the traffic signals during said time frame. If for any reason the contractor fails to properly maintain the traffic installation, leading to and requiring a response from the city maintenance forces, the cost of such a response shall be charged to the Contractor.

FOUNDATION, CONCRETE, SIGNAL CABINET

Effective: March 23, 2024

Revised: August 13, 2025

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1465, 1467, 1533
Standard Drawings: 888, 888A

This work shall consist of furnishing and installing a concrete foundation for a “P” or “Super P” traffic signal cabinet. The foundation shall be poured in place and be complete with anchor rods, raceways, and grounding.

Materials. Concrete shall be PCC, class SI, meeting the requirements of Section 1020 of the Standard Specifications. Ground rods shall meet the requirements of Material Specification 1465. Conduit shall be PVC meeting the requirements of Material Specification 1533. Anchor rods shall meet the applicable requirements of Material Specification 1467.

Construction Requirements. The Contractor shall install a concrete foundation for a base mounted traffic signal controller cabinet, as shown on City of Chicago Drawing Number 888 for a “P” cabinet, or as shown on Drawing 888A for a “Super P” cabinet. Work under this item shall be performed in accordance with Section 800 of the SSRBC.

The foundation shall have a minimum depth of at least 40” below grade and shall have large radius conduit elbows in quantity, size and type shown. The elbow ends above ground shall be capped with standard conduit bushings. The ground rod shall be installed adjacent to the foundation and shall be driven straight down with the top to be no higher than 30 inches below finished grade. The Contractor shall furnish anchor bolts, hardware, conduit elbows, and all other material shown on the foundation construction drawing.

All excavation and restoration of parkway shall be considered as part of this item. If the foundation is in sidewalk, an expansion joint shall be required between the sidewalk and the foundation.

Basis of Payment. This work will be paid for at the contract unit price per EACH for CONCRETE FOUNDATION FOR TYPE SUPER “P” BASEMOUNTED TRAFFIC SIGNAL CONTROLLER CABINET.

CONTROLLER, ATC, TRAFFIC, 16 LOAD BAY, “SUPER P” CABINET

Effective: March 23, 2024

Revised: August 13, 2025

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1560
Standard Drawings: None Applicable

This work shall consist of furnishing and installing an advanced transportation controller (ATC) with a battery powered back-up system and associated equipment in a cabinet onto a foundation and making all necessary connections.

Materials. The cabinet shall meet the requirements of Material Specification 1560, “Advanced Transportation Controller and Cabinet with Uninterruptible Power Supply”.

General Requirements.

Procurement. The Contractor shall provide Request for Inspection of Material forms for traffic signal controllers and cabinets. The Division of Electrical Operations will review and comment on the submitted material. The Division of Electrical Operations will approve the purchase of the material from a supplier. Final material approval will be made in accordance with Chicago Department of Transportation specifications. The Contractor must provide proof of purchase to the Engineer within seven days following approval by the Division of Electrical Operations. Payment will be withheld in accordance with the terms and conditions of this contract, until such time that the Commissioner determines the requirements are met.

The controllers and cabinets shall be delivered to the Division of Electrical Operations within 90 days of purchase. If the controllers and cabinets are not delivered, payment shall be withheld until such time that the controllers and cabinets are delivered.

The Division of Electrical Operations will notify the Contractor when the material has been inspected and approved. If a railroad interconnect is involved, a representative from the Illinois Commerce Commission will also need to review and inspect the controller at the Division’s facilities. Within 48 hours of notification, the Contractor must pick-up the controllers and cabinets from the Division. The controllers and cabinets will be stored at a facility, approved by the Commissioner, at the contractor’s expense.

Construction Requirements. The controller shall be programmed to provide the sequencing and timing of operation as shown on the plans. The controller shall be enclosed in a housing and installed in a completely wired cabinet. The model and serial numbers of the controller shall be affixed on the front of the controller housing and be readily visible.

The cabinet shall be set onto a pad foundation designed specifically for the cabinet and affixed with bolts provided with the foundation. Electric cables inside the cabinet shall be neatly trained along the base and back of the cabinet. Each conductor used shall be connected individually to the proper terminal, and the spare conductors must be insulated and bound into a neat bundle. Each cable shall be marked with suitable identification and recorded on a copy of the plans for

the intersection and submitted to the Engineer. Signal indications for each direction shall be wired to a separate circuit whether or not the signal plans call for a split movement. The absolute zero for time coordination shall be set in the field by city personnel after obtaining the appropriate city time-tone reference.

All conduit entrances into the cabinet shall be sealed with a pliable waterproof material to restrict moisture entrance into the cabinet.

Division of Electrical Operations and Division of Traffic Safety personnel from the Chicago Department of Transportation must be present during the cutover to the new control equipment. If a railroad interconnect is part of the signal project, a representative from the Illinois Commerce Commission must be invited to be present for the cutover.

Basis of Payment. This work will be paid for at the contract unit price per EACH for CONTROLLER (SPECIAL).

INTERSECTION TECHNOLOGY ENHANCEMENTS

Effective: June 5, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1620
Standard Drawings: None

This work shall consist of furnishing, installing, integrating, and testing a set of environmentally hardened communications node equipment at a signalized intersection. The equipment shall include a hemispherical video detection camera, video detection processor, and communications node device for video streaming, Ethernet networking, and cellular communications. The equipment shall collectively interface with the existing traffic signal controller, enable remote monitoring and control of the traffic and signal operations, support continuous data collection and signal performance monitoring, provide vehicle actuation, enable web-based real-time and recorded video from the intersection, provide network connectivity for equipment in the cabinet, interface with the city's central signal system, and provide forward compatibility with future ITS systems such as connected vehicles and adaptive signals.

Materials. Equipment shall meet the requirements of Material Specification 1620.

General Requirements. The intersection technology enhancements shall have video detection, web portal interface, and intersection communications node. The intersection communications node shall include multiple backhaul communications options including Ethernet over hardwired copper or fiber cabling and cellular communications backhaul. The node shall support full control of the intersection hardware including the remote management and control of the traffic signal controller.

Fiber backhaul requires coordination with the Chicago Office of Emergency Management and Communications (OEMC) to complete an end-to-end communications link between field device locations and the Chicago Traffic Management Center (TMC).

Cellular backhaul requires service coordination with the Chicago Department of Innovation and Technology (DoIT) to complete an end-to-end, broadband cellular communications link between field device locations and the Chicago TMC. The cellular modem may be a separate unit or integrated into the intersection communications node. In either case, the cellular modem, establishing cellular service in DoIT's name, installation, and coordination requirements shall be as required in the special provision for Cellular Modem.

The communications node shall be provided with all required components, including power supply, cables, mounting hardware, and all accessories required to make the system fully operational in accordance with these specifications. The camera shall be mounted to a city pole or extension arm with a mounting bracket specifically manufactured for that purpose at a height that allows full visibility of the intersection as required to achieve the performance requirements of this special provision. If additional cameras, mounting arms, or cabling are required to achieve the detection accuracy requirements specified herein, they shall be furnished and installed at no additional cost beyond the original bid price.

Shall be forward compatible to support connected vehicles technologies including dedicated short range communications (DSRC) and adaptive traffic signal controls.

Final equipment selection, procurement, and provisioning shall be coordinated with DoIT and COOT.

Identical and completely interchangeable equipment shall be used at each field location.

All equipment shall include the manufacturer's installation and operations manuals in hardcopy and electronic PDF formats.

The Contractor shall provide documentation of the exact equipment model and serial numbers in hardcopy and electronic PDF formats.

The Contractor shall provide documentation of the exact equipment model and serial numbers in hardcopy and electronic PDF formats.

The intersection technology equipment shall conform in detail to the requirements herein stated and to the latest referenced specifications of the following:

- Electronic Industries Alliance (EIA)
- Federal Communications Commission (FCC)
- National Electrical Manufacturers Association (NEMA)
- National Transportation Communications for ITS Protocol (NTCIP)
- Restriction of Hazardous Substances (RoHS)
- Telecommunications Industry Association (TIA)
- Underwriters Laboratories (UL)

If requested by the Chief Procurement Officer, a sample of the technology enhancement equipment intended to be provided under this specification, shall be submitted to the Division of Electrical Operations within 15 business days after receipt of the request. The samples shall be delivered to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois

60608.

Construction Requirements.

General

1. Installation shall be done in accordance with manufacturer recommendations.
2. Contractor shall securely mount the intersection communications node equipment inside the signal cabinet in designated locations as shown on the plans.
3. Contractor shall securely mount the video detection camera(s) to the designated city infrastructure and route cabling with city raceways as shown on the plans. Drip loops shall be used for all exposed cabling.
4. Cabling length shall remain within required Ethernet and serial communications limits. Cable slack shall be provided at pull points and at the cabinet for maintenance access of equipment.
5. If an integrated cellular modem is used, the Contractor shall perform the associated survey and installation work as specified in the Cellular Modem special provision. Contractor shall neatly route and secure all cabling with the cabinet.
6. Contractor shall configure the communications node equipment with enabled security and interoperability with the existing city network as directed by the Engineer, including VPN settings and local IP address. Administrative account login credentials shall be provided to the Engineer.
7. Contractor shall provide field troubleshooting support during integration and testing by CDOT advanced traffic management system (ATMS) administrator.

Integration

1. Contractor shall develop a device integration plan (DIP) and submit it to the Engineer for approval at least 14 days prior to field installation. Equipment shop drawing approvals shall be obtained prior to submitting the DIP.
2. The Contractor shall contact the equipment manufacturer and the CDOT ATMS administrator to facilitate the sharing of device information. Contractor shall obtain recommendations and support services from these parties and incorporate them into the DIP.
3. Contractor shall set up a bench test if recommended by the equipment manufacturer or CDOT ATMS administrator. Configuration support is to be provided by the equipment manufacturer and CDOT ATMS administrator as obtain by the Contractor. Location of the bench test shall be proposed by the Contractor for approval by the Engineer.
4. Contractor shall coordinate with CDOT Division of Electrical Operations (DEO) for all work affecting existing city infrastructure and equipment.
5. The DIP shall include the following:
 - a. Updated communications node locations and layouts inside cabinets
 - b. Current device communications interconnect schematics
 - c. Proposed technical steps for integration and validation
 - d. Configuration settings for each communications interface for each equipment
6. Support from the equipment manufacturer shall include on-site installation guidance, equipment configuration settings, and troubleshooting. Physical installation work shall be performed by the Contractor.
7. Support from the CDOT ATMS administrator shall include modifications and software programming necessary to integrate the data from the intersection communications node

equipment.

8. Contractor shall provide field support for equipment at the intersection and shall coordinate with the CDOT traffic signal management software vendor, Kapsch, and the CDOT ATMS administrator to assist with integration.

Acceptance Testing

1. Contractor shall develop an acceptance test plan (ATP) and submit it to the Engineer for approval. The Contractor shall obtain the recommendations from the equipment manufacturer and CDOT ATMS administrator and incorporate them in the ATP.
2. The ATP shall document detailed steps to verify each required functional performance of the equipment.
3. The ATP shall include checklists for each test. Each checklist item shall have defined pass/fail criteria with a reserved space to record the results.
4. Corrective actions shall be documented in detail on checklist forms.
5. Testing shall be witnessed by representatives of the Contractor and the Engineer.
6. Each checklist shall include areas for signatures by representatives of the Contractor's representative and the Engineer's representative. Completed checklists shall be provided to the Engineer in hardcopy and electronic PDF formats.
7. The ATP shall include three levels of testing:
 - a. Local – Verification that each individual equipment of the intersection communications node is installed and functioning properly
 - b. Subsystem – Verification that connected field devices are properly communicating with the intersection communications node
 - c. System – Verification that the connected field devices are properly configured and communicating with the Chicago ATMS central management software through the intersection communications node equipment
8. The Contractor shall submit to the Engineer a proposed schedule for conducting the approved ATP.
9. The Contractor shall conduct pre-testing to confirm equipment readiness before the formal acceptance testing takes place.
10. After all levels of testing are successfully completed and accepted by the Engineer, there shall be a 60-day burn-in period to verify the continuous and stable operation of the intersection communications node and continued achievement of accuracy requirements.
 - a. The Contractor shall document all failures, including description, date, time, and location of each occurrence. The written documentation shall be provided to the Engineer.
 - b. Major failures shall require the restarting of the 60-day burn-in period following the correction of the issue. Major failures shall include those that involve more than 48 hours to resolve the issue or frequent recurrence of minor failures as determined by the Engineer.
 - c. Minor failures shall require the pausing of the 60-day burn-in period until the issue is resolved; then resuming the 60-day burn-in period.

Basis of Payment. This work will be paid for at the contract unit price per EACH for INTERSECTION TECHNOLOGY ENHANCEMENTS, which price will be payment in full for furnishing and installing the communications node equipment complete and fully operational for three years with all necessary cameras, cables, modems, hardware, accessories, components, coordination with and payments to cellular service provider as applicable, with all wiring and connections as specified herein.

ELECTRIC CABLE IN CONDUIT, SIGNAL

Effective: June 5, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1475, 1537, 1641
Standard Drawings: None

This work shall consist of furnishing and installing electric cable for traffic signals of the type, size and number of conductors as specified on the plans. The cable shall be rated 600 volts and comply with the following requirements.

Materials. Harness cable shall conform to the requirements of Material Specification 1475. Ten, nineteen, and twenty-two conductor cable shall conform to the requirements of Material Specification 1537. Shielded conductors for railroad preemption circuits, pedestrian actuate push button circuits, and other traffic signal related circuits shall conform to the requirements of Material Specification 1641.

Installation. All cable shall be installed in conduit, as indicated on the plans, with care to prevent damage to the insulation or cable. Suitable devices shall be used in pulling the cable, and only approved lubricants should be used. All cables installed in conduit shall be from the power source to the traffic signal controller cabinet, from the traffic controller cabinet to the traffic signal junction box, or from junction box to junction box. For cable terminating in a traffic signal controller cabinet or traffic signal junction box the following procedures shall be followed:

Controllers.

1. Remove 36" of neoprene jacket.
2. Wrap vinyl electrical tape on 2" of the neoprene jacket and 2" on the exposed conductors.
3. Remove 1" of insulation and scrape copper conductor.
4. Train cables neatly along the base and back of cabinet.
5. Connect conductors to proper terminal lugs.

Traffic Signal Junction Box.

1. Remove 24" of neoprene jacket.
2. Wrap vinyl electrical tape on 2" of neoprene jacket and 2" on the exposed conductors.
3. Remove 1" of insulation and scrape copper conductor.
4. Train cables neatly along the side and back of the box.

Connect all conductors to terminal strip.

Cable Slack. The length of cable slack that shall be provided shall be in accordance with the following schedule:

Location	Length of Slack Cable (feet)
Base of Controller	7
Detector, Junction Box	1
Base of Traffic Signal Post or Traffic Signal Pole	4
City Handhole	6
City Manhole	12
ComEd Manhole	25

Cable slack in manholes and handholes shall be trained and racked in the holes. If racks are non-existent, racks shall be provided and are included in the cost of this pay item.

No cable splices shall be allowed for traffic signal cable, except for 7 conductor interconnect cable. These splices shall be as indicated on the plans.

Method of Measurement. The length of measurement shall be the distance horizontally measured between changes in direction and shall include cable slack. All vertical cables shall not be measured for payment.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for ELECTRIC CABLE IN CONDUIT, SIGNAL of the size indicated.

TRAFFIC SIGNAL POST, ALUMINUM

Effective: June 6, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1385
Standard Drawings: 526

This item shall consist of furnishing and installing an aluminum post for supporting a traffic signal on a concrete foundation at the location shown on the plans, as specified herein, or as directed by the Engineer. The post installation shall be in accordance with Standard Drawing 526 for the installation of a post for a traffic signal.

Materials. The material of the post shall meet the requirements of Material Specification 1385 and of Standard Drawing 526.

The post and base shall be mounted on the foundation so that the handhole faces away from the curb. The nuts on the foundation shall be tightened to secure the post to the foundation such that there is no space separating the post from the foundation. There shall be no double nutting. The post shall be plumb; the use of shims shall not be permitted. The post cap shall be secured by

three 5/16-18 x 3/4" hex head stainless steel set screws.

The height of the post shall be as indicated on the plans.

Basis of Payment. This work will be paid for at the contract unit price per EACH for TRAFFIC SIGNAL POST, ALUMINUM of the height noted.

MAST ARM, STEEL, MONOTUBE

Effective: June 6, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1454

Standard Drawings: 834, 870

This item shall consist of furnishing and installing a steel monotube mast arm for the purpose of supporting traffic signals, and/or illuminated signs on an anchor base pole at the locations shown on the plans, or as specified or directed by the Commissioner. The length of the mast arm and the angular orientation of the arm relative to the centerline of the roadway shall be as indicated on the plans.

Materials. The mast arm shall be 7-gauge steel and conform to the requirements of Material Specification 1454 and to Drawing 870.

Construction. A mast arm monotube shall only be installed on a 3-gauge pole and the length of the mast arm shall govern the minimum base diameter of the pole on which the arm is to be installed, in accordance with the following chart:

MAST ARM LENGTH (feet)	POLE BASE DIAMETER (inches)
16	10
20	10
26	10
30	11
35	12.5
40	12.5
44	12.5

The mast arm shall be mounted on the pole at the height specified on Drawing 834, or at a different height if specified on the plans, or as directed by the Engineer. A 1" diameter opening for the installation of cable shall be field drilled in the pole in line with the orientation of the mast arm. The hole shall be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation. A neoprene grommet shall be inserted into the finished hole prior to the installation of the cable.

Two holes shall be field drilled in the pole at 180° relative to the orientation of the pole for installation of locator shear pins, provided with the back plate, to prevent rotation of the mast arm. These holes shall be drilled after the mast arm is in place in order that the position of the holes shall match the location of the locator bushings attached to the back half of the clamp.

All signals, signs, and electrical equipment shall be attached in the correct relative position to the mast arm, with service cord in place, prepared to be installed on the pole, prior to the attachment of the mast arm to the pole. The installation of the cord in the pole shall be coordinated with the attachment of the mast arm to the pole. The clamp bolts shall be tightened securely so that there is no slippage of the mast arm either upward or downward to exert a vertical force on the shear pins. The end cap shall be secured in place with the attachment screws provided.

The mast arm shall be delivered completely finished with a factory applied black finish per Material Specification 1454. The Contractor shall utilize non-abrasive slinging materials and shall otherwise exercise due care in erecting the pole and mast arm to prevent any damage to the finish.

Basis of Payment. This work will be paid for at the contract unit price per EACH for MAST ARM, STEEL, MONOTUBE of the length indicated.

SIGNAL HEAD, LED, 1-FACE

Effective: June 6, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1463, 1475, 1493, 1543
Standard Drawings: 740, 741, 834, 835, 11984, 112268A

This item shall consist of furnishing and installing a traffic signal head on a signal pole, signal post, monotube mast arm, CTA beam or column, or other structure as shown on the plans, as specified herein, or as directed by the Engineer. Specific installations and configurations are shown on Drawing 834 entitled "Standard Traffic Signal Mounting Details".

Each signal face shall be pointed in the direction of the approaching traffic that it is to control and shall be aimed to have maximum effectiveness for an approaching driver at a distance from the stop equal line to the normal distance traversed while stopping. The optically programmed signal face shall be programmed in accordance with the visibility requirements of the Traffic Engineer.

During construction, and until the installation is placed in operation, all signal faces shall be hooded. The hooding material shall be securely fastened so it shall not be disturbed by normal inclement weather or wind.

Materials. The traffic signal head construction shall meet the requirements of Material Specification 1493 for LED traffic signals. The material for a programmed LED traffic signal head shall meet Material Specification 1543. The mast arm bracket shall meet the requirements of Material Specification 1463. The cable shall meet the requirements of Material Specification 1475.

General Requirements.

Bracket Installation. The signals shall be mounted using pole mounting brackets banded to the pole with two strips of 3/4" stainless steel banding single wrapped, one at the top and one at the bottom of the brackets, each secured with a stainless-steel banding clip. The banding and clips shall be coated with a baked-on black finish. The mounting configuration connecting the signals to the mounting bracket shall consist of polycarbonate brackets specifically made for mounting signal heads to the side of poles, to create the designated structure. When the signals are to be mounted on a square pole or flat surface, the bracket used shall be bolted to the flat pole or surface using 3/8" drive studs where permissible or using a 3/8" studs in a tapped hole.

The bottom mounting bracket shall be accurately located to cover an opening 1" in diameter, for cable entrance, drilled into the pole or standard at a calculated height to position the bottom signal face at a standard height of 10 feet, or a height indicated on the plans. The opening shall be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation.

Mast Arm Installation. The signal shall be mounted on the mast arm at the position indicated on the drawing in the manner shown on Drawing 834. The bracket shall be banded to the mast arm with the 5/8" banding as shown on Drawing Number 834. The banding and clips shall have a baked-on black finish. The bracket shall be located over a hole drilled into the mast arm for the installation of cable. The hole shall be reamed or filed to remove any sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation.

Structure Installation. Signals shall be mounted to existing beams or structures as shown on plan details using "C" clamps and channel strut hardware, or as directed by the Commissioner. When the signals are to be mounted on a square pole or flat surface, the bracket used shall be bolted to the flat pole or surface using 3/8" drive studs where permissible or using a 3/8" studs in a tapped hole.

Cable. The Contractor shall provide and install a length of 8/C #16 flexible electrical cord, of sufficient length to extend without strain or stress from the terminal strip in the "Green" section of the signal head to the terminal strip in the junction box mounted on the pole. The number of conductors in the cord, and the color coding of the conductors, shall be sufficient to match the requirements of the signal head being installed, and shall be connected in accordance with Material Specification 1493 for LED traffic signals, or Material Specification 1543 for optically programmed LED traffic signals. Both ends of the cable length shall be carefully stripped of 6" of jacket and 1" of insulation, and each conductor properly tinned. The service cable from the signal heads shall enter the traffic signal mast arm through the hole from the mounting bracket, whence it shall continue and enter the pole through the hole for mast arm wiring, then extend downward through the pole to enter the long sweep elbow to terminate by attachment to the terminal strip in the junction box in accordance with the terminal strip connector schematic, Standard Drawing 12268A.

Brackets shall have a gloss black finish.

Basis of Payment. This work shall be paid for at the contract unit price per EACH for SIGNAL HEAD, POLYCARBONATE, LED, 1-FACE or OPTICALLY PROGRAMMED SIGNAL HEAD, LED, 1-FACE of the mounting and number of sections specified.

**PEDESTRIAN SIGNAL HEAD, LED, 1-FACE, BRACKET MOUNTED WITH COUNTDOWN
TIMER**

Effective: June 6, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1475, 1495, 1545
Standard Drawings: 834, 835, 12668A

This item shall consist of furnishing and installing a pedestrian signal on a street light pole, a traffic signal pole or a traffic signal post as shown on the plans, as specified herein, or as directed by the Engineer. The signal may be installed as a single unit on a pole or in combination with other pedestrian signals or with traffic signals of various types and sizes. Specific installations and configurations are shown on Standard Drawing 834 and 835 entitled "Standard Traffic Signal Mounting Details".

The method of mounting shall be indicated on the plans, or as directed by the Engineer. Each signal face shall be pointed in the direction of the marked cross walk area for the pedestrians it is intended to control.

Materials. Pedestrian signal heads shall conform to the requirements of Material Specification 1545. Mounting hardware shall conform to the requirements of Material Specification 1495. Harness cable shall conform to the requirements of Material Specification 1475.

General Requirements. The signal shall be mounted using pole mounting brackets banded to the pole with two strips of 3/4" stainless steel banding, single wrapped, one at the top and one at the bottom of the bracket, each secured with a stainless-steel banding clip. The banding and clips shall have a baked-on black finish. The mounting configuration connecting the signals to the mounting bracket shall consist of polycarbonate brackets specifically made for mounting signal heads to the side of poles, to create the designated structure.

The bottom mounting bracket shall be accurately located to cover a hole 1" in diameter for the cable entrance drilled into the pole at a height calculated to position the bottom signal face at a standard height of 10 feet, or a height indicated on the plans. The hole shall be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation.

When the pedestrian signal is attached below a traffic signal head, the separate opening for cable may be omitted to eliminate additional weakening of the pole and the pedestrian signal cord shall be installed using the same opening as the traffic signal cord.

Cable. The Contractor shall provide and install a length of 8/C #16 AWG flexible electric cord, of sufficient length to extend without strain or stress from the terminal strip in the signal head to the terminal strip in the junction box mounted on the pole. The number of conductors in the cord, and the color coding of the conductors, shall be sufficient to match the requirements of the signal head

being installed, and shall be so connected in accordance with Material Specification 1494. Both ends of the cable shall be carefully stripped of 6" of jacket and 1" of insulation, and each conductor properly tinned. The service cord from the signal head shall enter the pole through the bottom mounting bracket and enter the long sweep elbow to terminate by attachment to the terminal strip in accordance with the terminal strip connector schematic, Standard Drawing 12268A.

During construction and until the installation is placed in operation, all signal faces shall be hooded. The hooding material shall be securely fastened so it shall not be disturbed by inclement weather or wind

Basis of Payment. This work will be paid for at the contract unit price per EACH for PEDESTRIAN SIGNAL HEAD, LED, 1-FACE, BRACKET MOUNTED WITH COUNTDOWN TIMER.

ACCESSIBLE PEDESTRIAN SIGNAL

Effective: July 25, 2024

Revised: October 17, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1617, 1618

Standard Drawings: Not applicable

This work shall consist of furnishing and installing an accessible pedestrian signal (APS) on a traffic signal pole or post.

Materials. The APS shall meet the requirements of Material Specification 1617. Cable for the APS shall meet the requirements of Material Specification 1618.

General Requirements. The location of the APS shall be as shown on the plans or as directed by the Engineer and shall meet the requirements of the MUTCD Part 4.

The power supply shall be installed in the associated WALK/DONT WALK signal head compartment and be securely attached. The input wires to the power supply shall be terminated to the correct terminals in the signal head. The output wires of the power supply shall be connected to the APS cable with quick disconnect plugs. The APS cable shall be properly terminated at the APS.

The APS cable is the six-conductor cable that shall connect the power supply to the APS. The cable length shall vary depending upon the relative location of the APS to its associated WALK/DONT WALK signal head. Care shall be taken to ensure the correct wires are connected to the correct terminals. In all cases, the white wire shall be the neutral and the green wire shall be the ground. The cable should have sufficient slack so that there is no tension in the cable and there is enough extra cable to make or break connections easily. If the cable goes through a manhole/handhole, it shall be trained along the sides.

The APS shall be located as indicated on the plans. A three-quarter inch (3/4") to one inch (1") diameter hole shall be drilled into the pole at the proper height for the cable entrance. The size of the hole shall be as directed by the Engineer. The hole shall be reamed or filed to remove all

sharp edges or burrs which might damage the cable. A weatherproof flexible caulking shall be applied between the hole in the pole and the APS housing to protect the cable. The APS bracket shall be attached to the pole with 3/4" steel banding or with two stainless steel screws. The APS shall be attached to the bracket with two stainless steel screws. The height of the push-button shall be 42" above the sidewalk grade where the pedestrian shall be located when at the APS, according to ADA requirements. The front face of the APS shall be parallel to the associated crosswalk. When required, a push button extension bracket shall be provided to position the button within reach of the accessible path. The tactile arrow shall be aligned parallel to the direction of travel on the associated crosswalk.

The APS shall be programmed in accordance with the Chicago Department of Transportation's Accessible Pedestrian Signal (APS) Operation Guidelines. The sound levels and any vocal messages shall be programmed as indicated in these guidelines, the contract plans, or as directed by the Commissioner.

A sign shall be mounted to the back-plate of the APS. The sign size and message shall be as indicated on the plans or as directed by the Commissioner.

Basis of Payment. This work will be paid for at the contract unit price per EACH for ACCESSIBLE PEDESTRIAN SIGNAL.

REMOVE EXISTING TRAFFIC SIGNAL EQUIPMENT

Effective: May 8, 2024

Revised: June 7, 2024

Description. This work shall consist of removing all existing traffic signal equipment at the intersections listed on the plans.

General Requirements. The items to be removed shall include traffic signal arms, traffic signal poles, traffic signal heads, traffic signal controllers, and all associated equipment and cable.

All traffic signal items, except for traffic signal cable, are to remain the property of the City of Chicago. The Contractor shall deliver removed traffic signal equipment to Division of Electrical Operations (DEO) yard at 2451 South Ashland Avenue, or as directed by the Engineer. Twenty-four-hour advance notice is necessary before delivery. The traffic signal cable shall be removed and become the property of the Contractor and shall be disposed outside the right of way, at their sole expense.

The Contractor shall provide three copies of a list of equipment that is to remain the property of the City, including model and serial numbers where applicable. The Contractor shall also provide a copy of the contract plan, or special provisions, showing the quantities and type of equipment. The Contractor shall be responsible for the condition of the traffic control equipment from the time of removal until its acceptance by a receipt drawn by the City indicating that the items have been returned.

Method of Measurement. This item shall be measured as one unit per project contract, or per signalized intersection, depending upon the contract conditions. The breaking down of foundations and manholes shall not be considered part of this item.

Basis of Payment. This work will be paid for at the contract unit price per EACH for REMOVE EXISTING TRAFFIC SIGNAL EQUIPMENT. This price shall be payment in full for removing the equipment and disposing of it as required. The salvage value of the cable retained by the Contractor shall be reflected in this unit price.

REMOVE EXISTING CONCRETE FOUNDATION

Effective: June 7, 2024

Revised: August 13, 2025

Description. This work shall consist of removing and disposing an existing concrete foundation for lighting, traffic signal, or other electrical equipment.

General Requirements. Removal shall consist of completely removing a foundation or breaking a foundation down to a point three feet below grade, disposing of the debris offsite in an approved manner, backfilling the excavation with screenings or other approved backfill material, and reconstructing the surface area. For foundations removed from within the parkway, the parkway shall be restored with topsoil to match the existing grade. For foundations removed from within sidewalk, the sidewalk shall be restored. Restoration of sidewalk shall be paid for separately. Debris shall be disposed of according to Section 202.03 of the Standard Specifications. Backfill shall meet the requirements of Section 1003.04 of the Standard Specifications.

Basis of Payment. Breakdown of Type A foundations will be paid for at the contract unit price per EACH for REMOVE EXISTING CONCRETE FOUNDATION. Breakdown of Concrete Foundation, Light Pole type will be paid for at the contract unit price per EACH for REMOVE CONCRETE FOUNDATION (ELECTRICAL).

BREAKDOWN HANDHOLE OR MANHOLE

Effective: June 7, 2024

Revised: September 26, 2025

Description. This work consists of breaking down an existing handhole or manhole and filling in the affected area to grade.

General Requirements. This work shall consist of removing the frame and cover of an existing handhole or manhole, breaking down the handhole/manhole walls, removing large debris, and backfilling the hole with screenings or other approved material. Backfill shall be installed in 6-inch layers and tamped. If the handhole or manhole is in a parkway, the hole shall be filled level to the existing grade. The top six inches of fill shall be of an approved soil mixture. If the handhole/manhole is in sidewalk or in pavement, the sidewalk or pavement shall be restored under a different pay item. If the frame or cover is deemed re-useable by the Engineer, the frame and/or cover shall be delivered to the CDOT Division of Electrical Operations at a location identified by the Engineer. Any debris, including the frame and cover shall be disposed of off-site in an approved manner. The contractor shall pay for all disposal fees.

Basis of Payment. This work will be paid for at the contract unit price per each for BREAKDOWN HANDHOLE, or REMOVING MANHOLES (SPECIAL).

SHALLOW CONDUIT PROTECTION STEEL PLATE

Revised: 3/7/2022

Description: This work will consist of furnishing and installing a steel plate(s) to protect conduit and/or existing utilities at locations where a conduit depth of 30" cannot be achieved, as shown in the plan details. A minimum of 24" cover shall be provided over the steel plate.

Materials: The plate material shall be grade 304 stainless steel, in accordance with ASTM A240. The thickness shall be 0.25". The width shall be 12". Length shall be as necessary to protect conduit installed shallower than 30" to avoid conflict with an existing utility or other obstacle.

Installation: The plate shall be centered over the conduit or utility to be protected.

Method of Measurement: This work will be measured for payment in lineal feet in place.

Basis of Payment: This work will be paid for at the contract unit price per FOOT for SHALLOW CONDUIT PROTECTION STEEL PLATE.

SHALLOW CONDUIT PROTECTION FIBERGLASS PLATE

Revised: 5/14/2024

Description: This work will consist of furnishing and installing a plate(s) to protect conduit and/or existing utilities at locations where a conduit depth of 30" cannot be achieved, as shown in the plan details. A minimum of 24" cover shall be provided over the fiberglass plate.

Materials: The plate material shall be high strength fiberglass reinforced plastic epoxy sheets resistant to impacts. The thickness shall be 0.25". The width shall be 12". Length shall be as necessary to protect conduit installed shallower than 30" to avoid conflict with an existing utility or other obstacle.

Installation: The plate shall be centered over the conduit or utility to be protected.

Method of Measurement: This work will be measured for payment in feet in place.

Basis of Payment: This work will be paid for at the contract unit price per FOOT for SHALLOW CONDUIT PROTECTION FIBERGLASS PLATE.

PEDESTAL WITH BASE: ALUMINUM, FOR TRAFFIC SIGNALS

ELECTRICAL SPECIFICATION 1385
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED AUGUST 12, 2013

SUBJECT

1. The specification states the requirements of an aluminum pedestal and base with handhole and door for supporting a traffic signal.

GENERAL

2. (a) Specifications. The pedestal base shall conform to the requirements herein stated, to the specifications and methods of test of the American Society for Testing and Materials (ASTM), to the requirements of the Society of Protective Coatings (SSPC), and to the requirements of the American Welding Society (AWS), of which the most recently published revisions will govern.
- (b) Acceptance. Pedestal bases not conforming to this specification will not be accepted.
- (c) Drawing. The drawing mentioned herein is a drawing of the Department of Transportation. It is an integral part of this specification cooperating to state the necessary requirements.
- (d) Workmanship. All pedestal bases must be free of casting flaws and must have neat, smooth exterior surfaces. All holes must be accurately located and drilled. The bottom surface of the base must be ground smooth.
- (e) Sample. One complete pedestal of the manufacture intended to be furnished must be submitted within fifteen (15) business days upon receipt of a request from the Chief Procurement Officer.
- (f) Warranty. The manufacturer shall warrant the performance and construction of the traffic pedestal to meet the requirements of this specification and shall warrant all parts, components, and appurtenances against defects due to design, workmanship, or material developing within a period of five years after the traffic pedestals have been delivered. This will be interpreted particularly to mean structural or mechanical failure of any element or weld, or failure of any portion of the painting system. The warranty must be furnished in writing guaranteeing material replacement including shipment, free of charge to the City. The Commissioner will be the sole judge in determining which replacements are to be made and the Commissioner's decision will be final.

DETAIL REQUIREMENTS

3. (a) Design. The pedestal base must conform to the design shown on Drawing Number 526. All bases must be of the same dimensions, and all doors must be interchangeable.
- (b) Base. The base must be cast of aluminum alloy 319 meeting the requirements of ASTM B26 with a minimum wall thickness of 9/32". The handhole opening must have a recessed lip along the entire length of both sides and the bottom such that with the door in place the exterior surface of the door is flush with the exterior surface of the base. The door must have the same curvature as the base. The door must be locked in place by means of two fingers located on its top edge which bear against the inside surface of the base, and a stainless steel Allen head locking screw which fastens to the base. The locking screw must be protected by a C-shaped drip edge protruding approximately 5/8" and concentrically encircling the screw head. The clearance between the inner surface of the drip edge and the outer surface of the screw head must be no greater than 1/8". The drip edge must encircle the screw head by a minimum of 300° with the opening in the drip edge centered at the bottom of the screw head. A continuous pipe stop must be integrally cast along the inside of the base 2.5" below the top edge.
- (c) Pedestal. The pedestal must be aluminum-alloy extruded round tube conforming to the requirements of ASTM B221, alloy 6063-T6. Its outside diameter must be 5.563"; its wall thickness must be not less than 0.187", and its length must be as required to furnish the overall height specified in the order. The round tube must be inserted not less than two and one-half inches (2.5") into the base and welded with four (4) butt welds each not less than one (1) inch long on the inside and a continuous seam weld around the outside. Aluminum alloy pipe in lieu of aluminum alloy tube is acceptable.
- (d) The pedestal cap must be of the same cast aluminum as the base. The pedestal cap shall be essentially conical with a globe-shaped upper-end and having a minimum wall thickness throughout of not less than 1/4 inch. The cone portion must meet the skirted portion of the top in a smooth filet. The skirt must enclose the top 7/8" inches of the pedestal. Three stainless steel, or other similar approved material, set screws not less than 3/4 inches long must be equally spaced in tapped holes around the skirt and must hold the cap securely in place atop the pedestal. The set screw size must be 5/16 – 18 hex head.
- (e) Welding. The welds shall be made by the inert gas metal welding process. Filler wire shall conform to chemical composition requirements of AWS Alloy Number A5.10-69.

PAINTING

4. (a) Oil and Grease Removal. All metal surfaces shall be washed with an alkaline detergent to remove any oils or grease.
- (b) Chemical Pretreatment. The cleaned metal surfaces must then be treated with a hot, pressurized phosphate wash and must be dried by convection heat.

- (c) Coat. A thermosetting, weathering, polyester powder coat shall be applied electrostatically to all cleaned and treated exterior surfaces to a uniform four mil (4) thickness in a one coat application. This powder coat must be cured in a convection oven at a minimum temperature of 400° Fahrenheit to form a high molecular weight fusion bonded finish.
- (d) Alternate Methods. Alternate powder coat methods may be reviewed and tested on a case-by-case basis. However, no coating method will be accepted unless the Commissioner judges such alternate to be equal to the coating herein specified.
- (e) Durability. The coating shall be capable of passing 1,000 hours of salt spray exposure as per ASTM B117 in a 5% NaCl (by weight) solution at 95° Fahrenheit and 95% relative humidity without blistering. Before testing, the test panel must be scribed with an "X" down to bare metal.
- (f) Coating Measurement. Measurement of coating thickness shall be done in accordance with SSPC-PA 2-73T, "Measurement of Dry Paint Thickness with Magnetic Gauges", except that the lowest single spot measurement in an area of two (2) square inches must not be less than 3 mils.
- (g) Color. Color shall be gloss black unless identified otherwise in the order. A color sample must be submitted for approval prior to fabrication. This color sample must include the manufacturer's name and the manufacturer's color name.

PACKING

- 5. Each pedestal shall be individually wrapped to prevent damage to the surface. Each pedestal shall be suitably packed or blocked to prevent damage during shipment and handling.

POLE MOUNTED CAST ALUMINUM JUNCTION BOX FOR TRAFFIC SIGNALS

ELECTRICAL SPECIFICATION 1407
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED APRIL 2, 2009

SCOPE

- 1. This specification states the requirements for pole mounted, cast aluminum junction boxes, with terminal strips, to be used for traffic signal multiple cable terminations.

GENERAL

2. (a) Specifications. The junction boxes shall conform in detail to the requirements herein stated, and to the specifications and methods of test of the American Society for Testing and Materials cited by ASTM Designation Number, of which the most recently published revisions will govern. The terminal strip shall meet the applicable sections of NEMA ICS 4-2005, as well as the requirements herein stated.
- (b) Drawing. The drawing mentioned herein is a drawing of the Department of Transportation, and will be interpreted as part of these specifications.
- (c) Acceptance. Junction boxes not conforming to this specification will not be accepted.
- (d) Sample. One complete junction box with terminal strip of the manufacture intended to be furnished shall be submitted within fifteen (15) business days after receipt of a request from the Chief Procurement Officer. The box must be delivered to the Division of Electrical Operations at 2451 South Ashland.
- (e) Workmanship. All junction boxes shall be free of casting flaws and must have neat, smooth exterior surfaces. All holes must be accurately located and drilled to ensure interchangeability of all components.

DESIGN

3. (a) Drawing. The junction box must conform in detail to the dimensions and requirements shown on Drawing Number 954.
- (b) Material. The body door and plate must be castings of non-heat treated aluminum silicon alloy conforming to ANSI alloy 443.0 of ASTM B26.

DETAIL REQUIREMENTS

4. (a) Assembly. Each junction box shall consist of the body, door with its gasket, two cast elbows with gaskets at either end of the box, terminal block mounting bracket, and terminal strip on channel mounted to bracket. All must be completely assembled, painted and ready for installation. A flat plate with gasket shall also be provided so that the City can use the junction box with only one elbow if desired.
- (b) Body. The body shall be cast as shown in Drawing Number 954. The top and bottom sides of the box where flat plates, or other fittings, will be attached, must be identically cast, machined flat, and drilled and tapped in accordance with dimensions shown. All fittings which fit on the top side must fit on the bottom side.
- (c) Door. The door shall be cast as shown in Drawing Number 954. The door must be hinged at the left with stainless steel hinge pins and must open not less than 180° to permit complete access to the interior of the junction box. Two stainless steel Allen head machine screws, undercut and held captive, shall hold the door closed and maintain positive pressure against a sponge neoprene gasket cemented in place completely around the door jamb. The door shall be finished and painted prior to cementing the gasket into its groove in the door.

- (d) Elbow sweep. Two elbows must be provided for cable entry and exit into the box. The elbows shall be cast of the same alloy as the box. The dimensions will be as indicated on Standard Drawing 954.
- (e) End Plate. A flat end plate shall be furnished with each body casting. The plate must be drilled to align with tapped holes in the body casting and have a flush match with the periphery of the top and bottom body casting pads. The plate must have a properly fitted gasket.
- (f) Gaskets. The gasketing between the body and the door shall be of sponge neoprene and must be cemented in place after painting of the door. A cork gasket, 1/8 inch thick, shall be used between the elbow or end plate and the body of the junction box on the top end and bottom end and held in place by four (4) stainless steel screws.
- (g) Mounting Bracket. A terminal block mounting bracket, as shown on Drawing Number 954, shall be furnished and installed in each junction box. The bracket must be cast from ANSI alloy 443.0 per ASTM B26.
- (h) Terminal Strip. The terminal strip will consist of modular blocks. Each block will consist of two terminals to handle one circuit. The strip will consist of twenty blocks to handle twenty circuits. The terminal strip will be mounted to an aluminum channel. The channel will have pre-punched holes for mounting to the junction box. The channel will be mounted to the box with two #10 screws.

Each block housing shall be constructed of nylon, polypropylene, or another approved material of equal properties. The bottom of the block housing will be dovetailed to fit into the aluminum channel. Overall dimensions of each block will be approximately 1.2 inches wide by 1.5 inches high. Center-to-center spacing between contacts (blocks) must be at least .375 inches.

The terminals shall accommodate AWG wire sizes 8 to 22. The contact type will be tubular clamp, with electroplated tubular copper contact. The screw type will be a steel electroplated number 10-32, slotted pan head. The terminals will be rated at 30 amps and 600 volts.

Maximum service temperature for the terminal strip will be 150° Celsius. The flammability rating must meet UL 94V-0.

- (i) Hardware. The hinge pins and all screws required for assembly of this junction box must be of stainless steel.
- (j) Painting. The exterior surfaces of the junction box shall be properly cleaned and given one (1) coat of zinc chromate primer containing ten percent (10%) iron oxide and one (1) coat of enamel. The color of the enamel must be gloss black or as ordered. A color sample must be submitted and approved before manufacturing commences. The primer and enamel shall be of an approved grade and quality.

- (k) Packing. After the paint is completely dry, and the junction boxes have been assembled, they shall be suitably packed to prevent damage to painted surfaces during shipping and handling. All shipments must be fastened to, and shipped on, 48" x 48" hardwood, 4 way, non-returnable pallets. Total height must not exceed 64" and total weight must not exceed 2,000 pounds.

POLE: ANCHOR BASE, 3 AND 7 GAUGE, TAPERED TUBULAR STEEL, WITH HANDHOLE ENTRY

ELECTRICAL SPECIFICATION 1447
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED JANUARY 9, 2024

SUBJECT

1. This specification states the requirements for tapered, tubular, 3 gauge and 7 gauge steel anchor base poles with mast arm supports. They will support street light luminaires and/or traffic signal mast arms and will be served by underground cables.

GENERAL

2. (a) Specifications. The poles shall conform in detail to the requirements herein stated, and to the requirements of the following organizations cited herein, of which the most recent revisions shall govern:
- American Association of State Highway and Transportation Officials (AASHTO)
American National Standards Institute (ANSI)
American Society for Testing and Materials (ASTM)
American Welding Society (AWS)
Association for Materials Protection and Performance
American Society for Nondestructive Testing (ASNT)
- (b) Acceptance. Poles not conforming to this specification will not be accepted.
- (c) Bidders Drawings. Bidders shall submit with their bids detailed scale drawings of the mast showing actual dimensions, details, and welding. Shop drawings must be original engineering drawings created by the manufacturer. The drawings must show every dimension necessary to show how all parts will fit each other and be properly held in assembly. These drawings must also be submitted in electronic format, preferably a CAD file, if requested by the City.

(d) Drawings. The drawings mentioned herein are drawings of the Department of Transportation being an integral part of this specification cooperating to state necessary requirements.

(e) Sample. If requested by the Chief Procurement Officer, one completely assembled anchor-base pole of the manufacture intended to be furnished, must be submitted for review within fifteen (15) business days of receiving the request.

(f) Warranty. The manufacturer shall warrant the performance and construction of the light poles to meet the requirements of this Specification and must warrant all parts, components, and appurtenances against defects due to design, workmanship, or material developing within a period of five years after the light poles have been delivered. This will be interpreted particularly to mean structural or mechanical failure of any element or weld, or failure of any portion of the painting system. The warranty must be furnished in writing guaranteeing material replacement including shipment, free of charge to the City. The Commissioner will be the sole judge in determining which replacements are to be made and the Commissioner's decision will be final.

STANDARDS

3. (a) Assembly. Each anchor base pole shall consist of a steel mast with handhole entry, entry door with machine screws, grounding nut, mast base plate, top cap for mast, two (2) mast arm supports, bolt covers, and all necessary hardware required for complete assembly of these parts, ready for assembly, without special tools.
- (b) Interchangeability. Members of each pole type shall be mutually interchangeable for assembly, so that no reworking will be required to make any member fit properly in the place of any other similar member of any other similar pole.
- (c) Design. Each pole type shall conform in design and dimensions to the pertinent drawing(s) listed in Table "A".

MASTS

4. (a) Mast Size. The outside diameters of the mast of each pole type shall be as listed in Table A. The mast must be tapered at 0.14 inches per foot.
- (b) Material. The mast must be fabricated from one length of No. 3, No. 7, or No. 11 Standard gauge steel meeting the material requirements of ASTM A606 for low alloy high strength coil steel, which, after fabrication, must possess an ultimate tensile strength of not less than 70,000 psi and a yield strength of not less than 60,000 psi, in accordance with ASTM A595, Grade C. Chemistry of the steel must be such as to insure resistance to atmospheric corrosion superior to that of ordinary copper bearing steel. Material certification is required. Manufacturer's steel meeting the specified physical and chemical requirements, and approved by the Commissioner, will be accepted.

(c) Fabrication. The mast must be fabricated with not more than one (1) longitudinal weld. The weld shall be ground or conditioned in a manner as to provide a smooth appearance so that the weld seam is virtually invisible. There shall be no lateral welds in the masts other than where the masts are welded to the steel bases. Each mast must be straight and centered on its longitudinal axis. Each mast must be worked to form a round cross-section with a maximum out of roundness or ovality of 1/8" measured at the cross section. The maximum deflection, flatness or "waviness" of the seam area shall be 1/32". This shall be measured with a taper gauge and a straight edge, measured at the trough between the high peaks. The completed, unpainted masts shall have smooth external surfaces free from protuberances, dents, cracks, or other imperfections marring their appearance.

(d) Base. The mast base shall be a steel plate, of low alloy, high strength steel as noted in Par. 4 (b).

Plate Base. The base plate for each pole type shall be as listed in Table "A". It must be fabricated from the same ASTM A606 low alloy, high strength steel as is used for the mast. After fabrication the steel must meet the requirements of ASTM A588. The mast must be inserted into the base to a maximum depth which will still allow for an adequate weld to be made between the bottom of the mast and the plate. A circumferential weld must be made between the mast and the base at both the top and underside of the plate. Non-metallic removable bolt covers which completely cover the anchor bolts and nuts shall be provided. The covers must be attached with stainless steel screws coated with a non-seizing compound, or another type of non-seizing fastener, as approved by the Commissioner. The covers shall enclose the anchor bolts and be secured in an approved manner. The base shall be attached to the mast so that the bearing surface of the base is at right angles to the longitudinal axis of the mast. The vertical center line of the seam must be positioned so that no welds for the simplex attachments and the handhole opening shall not be permitted to intersect the seam weld.

Anchor Rod Openings. All anchor rod openings for each pole type shall have a width as listed in Table "A". Each opening must be sized to have a circumferential slot length equal to 15° of the circumference.

(e) Mast Arm Support Plates. The mast arm support plates shall be made of cast steel conforming to the requirements for Grade 65-35 cast steel of ASTM A27, or equivalent, subject to approval. They shall neatly fit the external surface of the mast. The upper mast arm support plate must have a hollow protuberance, the hole of which must be approximately equivalent to two (2) inches in diameter, extending into the interior of the pole providing a smooth surface for the lamp cables to rest upon. The mast arm support plates shall be designed so that they will carry the mast arm and hold it in the proper position for fastening the mast arm to the mast. The design of the mast arm support plates must be a two (2) bolt type as shown on Drawing No. 659.

(f) Provision for Ground. A 1/2-13 UNC (unified thread – course ANSI B1.1) square nut shall be welded to the inside of the mast on the handhole entry frame for a ground connection.

(g) Entry. A vertical doorframe carrying a removable door providing access to the interior of the mast must be welded into a close fitting opening centered approximately 15 inches above the bottom of the base. The doorframe shall be formed and welded of steel with a cross section of two and one-quarter (2-1/4) inches wide by one-quarter (1/4) inch thick to adequately reinforce the opening of the mast. The internal horizontal clearance of the doorframe must be four and three-quarter (4-3/4) inches; its internal vertical clearance must be seven (7) inches. Its upper and lower ends must be semi-circular meeting its straight sides tangentially. The radius of this opening must be two and three-eighths (2-3/8) inches. The vertical center line of the entry must be at a right angle clockwise from the vertical center line of the mast arm supports. The frame must have two welded tabs; one at the top and one at the bottom of the door frame. These tabs must be drilled and tapped to accept a 1/4-20 UNC screw. The top hole must be located 13/16 of an inch from the top of the opening. The bottom hole must be located 13/16 of an inch from the bottom of the opening. The 1/4-20 UNC machine screws must be stainless steel with hex heads, meeting the requirements of ASTM A193. The screws shall be treated with a compound to prevent seizing. Other non-seizing types of screws and fasteners may be considered. An alternate method of attachment consisting of a removable hinge on the bottom with a screw connection at the top may be considered. (The above requirements apply to all pole masts except those with a 10 inch bolt circle. Poles with 10 inch bolt circles must have handhole openings of 3" by 5". All other requirements apply.)

(h) Door. The removable door must be formed of sheet steel approximately one-eighth (1/8) inch thick. It shall be flat or dished depending upon the pole type and fit the doorframe closely so that it will stay in proper position even if its locking screws are slightly loosened. The door must be drilled top and bottom to accept the 1/4-20 UNC hex head machine screws which will fasten the door to the doorframe. A half-circle piece of steel must be welded by the screw opening, to allow only a socket wrench to be used. All doors shall be interchangeable. An alternate method of attachment using an internal hinge at the bottom of the door with a screw at the top of the door will be considered. Any alternate method will be subject to approval by the Commissioner or his duly authorized representative.

(i) Locking Device. Any other door locking device, other than the one outlined above in (g) and (h), must be approved by the Commissioner or his duly authorized representative.

(j) Tag. To each pole shall be attached immediately below the handhole, by mechanical means and not by adhesive, a stainless-steel tag with a 1/4" font stamped or embossed legend that must have three lines to include the pole outside diameter at the base,/(space),_GA(space)/ the overall length, i.e., 12.5"/ 3GA /34'-6". Second line to include pole manufacturer name, and third line to include pole manufacturer initials-individual serial number-order number, i.e., VI-XXX-XXXXXX-X.

(k) Structural Requirements. The mast or shaft and base assembly must be designed to AASHTO "LRFD Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 1st Edition with 2017, 2018, 2019, 2020,

and 2022 Interim Revisions". Light poles shall be designed for a three-second gust wind speed of 115 mph. The poles shall be designed appropriately for Chicago applications for both street lighting and traffic signal applications, including signal mast arms.

TOP

5. (a) Design. The mast top shall be essentially conical with a globe-shaped upper-end and having a minimum wall thickness throughout of not less than 1/4 inch. The cone portion must meet the skirted portion of the top in a smooth filet, the skirt must enclose the top 7/8" inches of the mast. Three stainless steel, or other similar approved material, set screws not less than 3/4 inches long must be equally spaced in tapped holes around the skirt and must hold the top securely in place atop the mast. The design of the top shall be similar to the one shown on Drawing #11420A.
- (b) Material. The top must be aluminum alloy 356-F per ASTM B108. It shall have smooth surfaces, neat edges and corners and be free from fins, holes or other casting flaws. Non-metallic tops may be substituted if approved by the Commissioner.
- (c) Finish. Tops shall be painted as herein specified.

HARDWARE

6. All the hardware necessary to complete the assembly of the pole shall be furnished. All hardware will be as specified elsewhere in these specifications. Hardware not specified elsewhere must be stainless steel meeting the requirements of ASTM A193, or equal corrosion-resistant non-seizing metal, or a non-metallic material subject to approval by the Commissioner.

WELDING

7. (a) General. Every welded joint shall be made in conformity with the proper interpretation of the standard welding symbols of the American Welding Society as indicated on the drawings; however, each bidder must submit with his proposal a drawing showing the sizes and types of welds, must state the type of electrode, and must describe the welding methods, he proposes to use in fabricating the pole. Fully documented Welding Procedure Specifications and supporting Procedure Qualification Test Records (if applicable) shall be submitted for approval prior to the start of work on all contract work. These procedures shall be accepted by the Engineer and CDOT QA prior to the commencement of the work and shall remain valid provided consumables and parameters are not substituted. Welder Qualification Test Records and Continuity Records shall be provided to the inspector or Engineer at the time of request, inspection or included in the final documentation package.
- (b) Testing. All welds shall be inspected for penetration and soundness of the welds by the magnetic particle inspection method, ultrasonic shear wave inspection or by radiography at the fabricators expense. Acceptance or rejection

will be governed by the same conditions as in Section 9 of this document and the current edition of the AWS D1.1 Structural Welding Code-Steel for Cyclically Loaded Members. If the magnetic inspection process is to be used, the dry method with AC and half wave rectified DC currents shall be employed. All welds shall be magnetized by longitudinal magnetization provided the material is verified in all directions to ensure a full field has been obtained around the weld being tested. The fabricator shall submit the NDT Certifications, Written Practice, Written Procedures and Current Visual Acuity Records for approval prior to acceptance of the members.

(c) Personnel Qualification for Nondestructive Testing. Personnel performing NDT, other than visual examination, shall be certified in conformance with the American Society for Nondestructive Testing (ASNT) *Recommended Practice No. SNT-TC-1A* Personnel Qualification and Certification in Nondestructive Testing, Or an equivalent satisfactory to the engineer.

PAINTING

8. (a) Oil and Grease Removal. All metal surfaces shall be washed with an alkaline detergent to remove any oils or grease. All metal surfaces shall be cleaned in accordance with SSPC-SP1 after fabrication and before blasting and painting.
- (b) Metal Cleaning. All exterior metal surfaces shall be cleaned by blasting with a combination of shot and grit to remove all dirt, mill scale, rust, corrosion, oxides and foreign matter and provide a "near white" surface in accordance with SSPC-SP10. Included in this process will be the interior base section of the mast to a minimum height of twelve (12) inches. The blast cleaning abrasive shall be dry and free of oil, grease, and other contaminants as determined by the test methods found in SSPC-AB 1, SSPC-AB 2, and SSPC-AB 3 per SSPC-SP10 sub section 6.3).
- (c) Chemical Pretreatment. The cleaned metal surfaces shall then be treated with a hot, pressurized iron phosphate wash and shall be dried by convection heat.
- (d) Primer Coat. All exterior surfaces are to be coated with corrosion-inhibiting zinc-rich aromatic urethane conforming to SSPC Paint 20, Type II. Dry film thickness shall be a minimum of 2.5 mils (.0025"). The aromatic urethane shall consist of a zinc dust content not less than 83% by weight in dried film. The coating shall be airless spray applied and moisture cured.
- (e) Finish Coat. All exterior surfaces are to be subsequently coated with aliphatic acrylic polyurethane paint, conforming to SSPC-36, to a minimum dry film thickness of 3.0 mils (.003"). The coating shall be airless-spray applied and cured in a gas-fired convection oven by heating the steel substrate to between 150° Fahrenheit and 220° Fahrenheit.
- (f) Interior Coat. Interior surfaces are coated with red oxide rust inhibitive alkyd primer to a dry film thickness of 1.5 mils.

(g) Durability. Both the exterior and interior coats must be capable of passing 1,000 hours of salt spray exposure as per ASTM B117 in a 5% NaCl (by weight) solution at 95°F and 95% relative humidity without blistering. Before testing, the panel must be scribed with an "X" down to bare metal.

(h) Coating Measurement. Measurement of coating thickness must be done in accordance with SSPC-PA 2, "Measurement of Dry Paint Thickness with Magnetic Gauges," except that the lowest "single spot measurement" in an area of two square inches must be not less than 5.5 mils.

(i) Color. The color must be gloss black unless otherwise noted in the order. A color sample must be submitted for approval prior to fabrication.

(j) Alternate Methods. Alternate painting methods may be reviewed and tested on a case-by-case basis. However, no coating method will be accepted unless the Commissioner judges such alternate to be equal to the coating herein specified.

(k) Quality Control. The personnel performing the QC tests for this work shall be trained in coatings inspection and the use of the testing instruments. Documentation of training shall be provided. The QC personnel shall not perform hands on surface preparation or paint activities. Painters shall perform wet film thickness measurements, with QC personnel conducting random spot checks of the wet film.

Quality Control (QC) Program. The shop and field QC Programs shall identify the following: the instrumentation that will be used, a schedule of required measurements and observations, procedures for correcting unacceptable work, and procedures for improving surface preparation and painting quality as a result of quality control findings. The shop program shall include a copy of the QC form(s) that will be completed daily.

The instruments used to conduct paint inspections shall be calibrated by the Contractor's personnel according to the equipment manufacturer's recommendations and the Contractor's QC Program. All inspection equipment shall be made available to the Engineer for QA observations on an as needed basis.

(l) Inspection Access. The Contractor shall facilitate the Engineer's observations as required, including allowing ample time to view the work. The Contractor shall furnish, erect, and move scaffolding or other mechanical equipment to permit close observation of all surfaces to be cleaned and painted. This equipment shall be provided during all phases of the work. Examples of acceptable access structures include the following.

(m) Weather Conditions. Coatings shall be applied within the temperature and relative humidity limits specified by the coating manufacturer's product data sheet. Work may be performed outside when the air speed is less than 5 mph (8 km/hr) and surface temperature is more than 5 °F (3 °C) above dew point. For indoor painting, adequate ventilation shall be provided. The surface of the steel shall be dry when the paint is applied. The relative humidity and ambient temperature

ranges specified by the coating manufacturer for coating application shall be maintained for at least ten hours where steel is stored after painting is complete.

(n) Removal of Unsatisfactory Paint. If all or a portion of the coating shows significant or widespread defects, evidence of having been applied under unfavorable conditions, or poor workmanship, the Engineer may order it removed and steel cleaned and repainted. Areas where damage or defects are present, coatings shall be removed to soundly bonded paint and re-coated if necessary for adequate dry film thickness. Areas adjacent to the removal of unsatisfactory paint shall be feathered to provide a smooth transition between original and re-applied paint. Recoating shall be according to the manufacturer's written instructions, as accepted by the Engineer.

MAST TEST

9. (a) General. All completed masts shall be available for testing for maximum deflection and set. The masts shall meet the structural requirements of Section 4(k). Unless specifically authorized in writing, all tests shall be made at the works of the manufacturer. A record of every test must be made, and a certified copy of the test record must be submitted to the Commissioner before the masts are shipped.
- (b) Lot. Tests for welds, deflection and set of the mast and of the mast arm supports shall be made upon three (3) masts of the first fifty (50) in every order. An additional one (1) mast shall be tested for each additional fifty (50) masts in the order. The selection of masts for testing shall be random from the entire completed lot. If any of the masts in any lot fail to meet the test, an additional three (3) masts of the same lot must be tested. If any of these masts fail to meet the test requirements, the entire lot will be subject to rejection, except that the manufacturer may subject each mast in the lot to the test, and those which fulfill the requirement will be accepted. After testing, each base weld shall be re-inspected by the magnetic particle method to determine that the welds have not been affected.
- (c) Mast Requirements. With base rigidly anchored, a test load as indicated in Table A must be applied at a point approximately two feet (2'0") from the free end. The load must be applied at right angles to the center line of the mast and in the same vertical plane. The deflection must not be greater than that indicated in Table A. Within one (1) minute after the test load is released, measurement must be made of the set taken by the mast. This set must not be greater than that indicated in Table A. The deflection measurement device must be reset to zero and the test load must be reapplied. The deflection must not change from the deflection noted in the first test by more than $\pm 5\%$. No measurable set must be noted within one (1) minute after test load is released.
- (d) Mast Arm Support (simplex) Requirements. With an appropriate mast arm firmly attached to the mast, a test load of 300 pounds must be applied to the mast arm as a side pull at a point seven (7) feet from the mast. After the test, the mast arm support welds on the mast must be tested by the magnetic particle method to determine that they have not been affected.

PACKAGING

10. (a) General. The poles must be shipped in twelve (12) pole bundles. Each pole must be individually wrapped so that the pole can be bundled for shipping and unbundled for delivery to the City without damaging the pole or its finish.
- (b) Bundles. The bundles shall consist of twelve (12) poles laid base to top to form an approximately rectangular cylinder. Materials such as lumber (2" x 4" min.), non-marring banding, and other appropriate bundling materials must be used to make a rigid, long lasting, bundle capable of being handled, shipped and stored without shifting of contents or breaking, subject to approval. Any bundles, in which either poles or packaging is received broken, damaged or with contents shifted, will not be accepted and it will be the responsibility of the supplier to return the bundle to its original destination at no cost to the City of Chicago. The bundles should be capable of being stacked two (2) high without breaking or shifting of the contents. Each bundle must be capable of being lifted by a forklift truck or crane and the bundles must be shipped on a flatbed truck to facilitate unloading. Each pole wrapping must be clearly labeled indicating the pole size, i.e. 34'6", 7 GAUGE, STEEL POLE, 15" B.C.
- (c) Hardware. The bolt covers and their attachment devices must be shipped with each bundle and packaged in twelve (12) sets of four (4) each. The package must be labeled and placed in a prominent position to facilitate accessibility, and must be attached to, or within, the bundle in such a manner as to assure safe delivery. Payment will be withheld for any bundle delivered without the accompanying hardware. Pole caps must be attached at the manufacturer's facilities or be packed separately in a manner similar to the bolt covers, and the same payment conditions will prevail. Cracked, broken or chipped parts will be considered as an incomplete delivery as regards payment.

SHIPMENT

11. (a) Final Documentation Packages shall be presented with the applicable signed and stamped Material Submittal form as the cover page. This package shall include the following information as applicable: Certified Certificates of Conformance from the Manufacturer, Visual Inspection Reports, NDT Reports, Coating Reports, Welder Qualification Test Records with Continuity Logs, Certificates of Conformance for the Coating Material, Certified Material Test Reports with full traceability to the melt origin.

TABLE A

POLE	GAUGE	BOLT CIRCLE	ANCHOR ROD	BASE P L A T E	TEST L O A D	M A X. D E F	M A X. S E T	D R A W I N G
7.67"x12.5" x34'6"	3	16.5"	1.5"	1.75"	3200#	22"	2.5"	827
6.17"x11"x 34'6"	3	17.25"	1.25"	1.5"	2500#	26"	2.5"	824
5.17"x10.0" x34'6"	3	15.0"	1.25"	1.5"	2000#	30"	2.5"	808
5.17"x10.0" x34'6"	7	15.0"	1.25"	1.5"	1500#	30"	2.5"	808
3.95"x8.5"x 32'6"	3	11.5"	1.25"	1.5"	1500#	33"	2.5"	763
3.95"x8.5"x 32'6"	7	11.5"	1.0"	1.25"	1200#	33"	2.5"	762
4.15"x8.0"x 29'6"	7	15.0"	1.0"	1.25"	1500#	28"	1.0"	988
4.15"x8.5"x 32'6"	3	15.0"	1.25"	1.25"	1200#	28"	1.0"	988

MAST ARM: TRAFFIC SIGNAL MONO-TUBE

ELECTRICAL SPECIFICATION 1454
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED SEPTEMBER 25, 2020

SUBJECT

1. This specification states the requirements for a tapered, tubular, 7 gauge steel mono-tube arm with mounting brackets. The arm will support traffic signals and signs.

GENERAL

2. (a) Specifications. The arms shall conform in detail to the requirements herein stated, and to the requirements of the following organizations cited herein:
- American Association of State Highway and Transportation Officials (AASHTO)
American National Standards Institute (ANSI)
American Society for Testing and Materials (ASTM)
American Welding Society (AWS)
Society for Protective Coatings (SSPC)
- (b) Acceptance. Arms not conforming to this specification will not be accepted.
- (c) Bidders Drawings. Bidders must submit with their bids detailed scale drawings of the mast arm showing actual dimensions, details, and welds. Shop drawings must be original engineering drawings created by the manufacturer. The drawings must show every dimension necessary to show how all parts will fit each other and be properly held in assembly. These drawings shall also be submitted in electronic format, preferably MicroStation dgn format, if requested by the City.
- (d) Drawings. The drawings mentioned herein are drawings of the Department of Transportation being an integral part of this specification cooperating to state necessary requirements.
- (e) Sample. If requested by the Chief Procurement Officer, one complete mast arm of the manufacture intended to be furnished must be submitted for review by the Commissioner within fifteen (15) business days of receiving such request.
- (f) Warranty. The manufacturer shall warrant the performance and construction of the mast arms to meet the requirements of this specification and shall warrant all parts, components, and appurtenances against defects due to design, workmanship, or material developing within a period of five years after the mast arms have been delivered. This will be interpreted particularly to mean structural or mechanical failure of any element or weld, or failure of any portion of the painting system. The warranty must be furnished in writing guaranteeing material replacement including shipment, free of charge to the City. The Commissioner will be the sole judge in determining which replacements are to be made and the Commissioner's decision will be final.

STANDARDS

3. (a) Assembly. Each arm shall consist of a tubular tapered steel shaft, mounting brackets, an aluminum cap, and all mounting hardware.
- (b) Interchangeability. Members of each arm type must be mutually interchangeable for assembly, so that no reworking will be required to make any member fit properly in the place of any other similar member of any other similar arm.

(c) Design. Each arm must meet the requirements as shown on Standard Drawing 870.

ARMS

4. (a) Arm Size. The outside diameters of the arm of each size shall be as listed in Standard Drawing 870.

(b) Material. The arm must be fabricated from one length of No. 7 Standard gauge steel meeting the requirements of ASTM A606 for low alloy high strength coil steel, which, after fabrication, must possess an ultimate tensile strength of not less than 70,000 psi and a yield strength of not less than 60,000 psi, in accordance with ASTM A595, Grade C. Chemistry of the steel shall be such as to insure resistance to atmospheric corrosion superior to that of ordinary copper bearing steel. Material certification is required. Manufacturer's steel meeting the specified physical and chemical requirements, and approved by the Commissioner, will be accepted.

(c) Fabrication. The arm must be fabricated with not more than one (1) longitudinal weld. The weld must be ground smooth so that it is virtually invisible. There must be no lateral welds in the arms other than where the arms are welded to the steel clamp. Each arm must be straight and centered on its longitudinal axis. Each arm must be formed on a mandrel and worked to form a round cross-section. The completed, unpainted arms shall have smooth external surfaces free from protuberances, dents, cracks, or other imperfections marring their appearance.

(d) Clamp. The arm clamp must be of low alloy, high strength steel as noted in Section 4 (b). The clamp must be constructed as shown on Standard Drawing 870.

(e) Structural Requirements. The mast arm must be manufactured in accordance with AASTHO's 1994 version of the Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals. The arm assembly must be designed to meet AASTHO's 1994 criteria for 80 MPH wind loading with a 30% gust factor. The arms shall be designed appropriately for traffic signal applications within the City of Chicago.

CAP

5. (a) Design. The arm cap shall be essentially conical with a globe-shaped upper-end and having a minimum wall thickness throughout of not less than 5/32 inches. The cone portion must meet the skirted portion of the arm in a smooth filet, the skirt must enclose the top 7/8" inches of the arm. Three stainless steel, or other similar approved material, set screws not less than 3/4 inches long must be equally spaced in tapped holes around the skirt and must hold the cap securely in place on the arm.

(b) Material. The cap must be of aluminum alloy 356-F per ASTM B108. It shall have smooth surfaces, neat edges and corners and be free from fins, holes, or other casting flaws.

- (c) Finish. Tops shall be painted as herein specified.

HARDWARE

6. All the hardware necessary to complete the assembly of the arm must be furnished. All hardware shall be stainless steel, or equal corrosion-resistant non-seizing metal, subject to approval.

WELDING

7. (a) General. Every welded joint shall be made in conformity with the proper interpretation of the standard welding symbols of the American Welding Society as indicated on the drawings; however, each bidder must submit with his proposal a drawing showing the sizes and types of welds, must state the type of electrode, and must describe the welding methods, he proposes to use in fabricating the arm.
- (b) Testing. All welds of the first three (3) arms of the first fifty (50) arms in every lot must be inspected for penetration and soundness of the welds by the magnetic particle inspection method or by radiography. Acceptance or rejection must be governed by the same conditions as in Section 9. If the magnetic inspection process is used, the dry method with the direct current shall be employed. All transverse welds must be magnetized by the "prod" (circular magnetization) method. Longitudinal welds may be magnetized by either circular or longitudinal magnetization.

PAINTING

8. (a) Oil and Grease Removal. All metal surfaces must be washed with an alkaline detergent to remove any oils or grease.
- (b) Metal Cleaning. All exterior metal surfaces must be cleaned by blasting with a combination of shot and grit to remove all dirt, mill scale, rust, corrosion, oxides, and foreign matter and provide a "near white" surface in accordance with SSPC-SP 10.
- (c) Chemical Pretreatment. The cleaned metal surfaces must then be treated with a hot, pressurized iron phosphate wash and must be dried by convection heat.
- (d) Primer Coat. All exterior surfaces are to be coated with corrosion-inhibiting zinc-rich aromatic urethane conforming to SSPC Paint 20, Type II. Dry film thickness shall be a minimum of 2.5 mils (.0025"). The aromatic urethane shall consist of a zinc dust content not less than 83% by weight in dried film. The coating shall be airless-spray applied and moisture cured.
- (e) Finish Coat. All exterior surfaces are to be subsequently coated with aliphatic acrylic polyurethane paint, conforming to SSPC-36, to a minimum dry film thickness of 3.0 mils (.003"). The coating shall be airless-spray applied and cured in a gas-fired convection oven by heating the steel substrate to between 150° Fahrenheit and 220° Fahrenheit.

- (f) Interior Coat. Interior surfaces are to be coated with red oxide rust inhibitive alkyd primer to a dry film thickness of 1.5 mils.
- (g) Durability. Both the exterior and interior coats must be capable of passing 1,000 hours of salt spray exposure as per ASTM B117 in a 5% Na Cl (by weight) solution at 95°F and 95% relative humidity without blistering. Before testing, the panel must be scribed with an "X" down to bare metal.
- (h) Coating Measurement. Measurement of coating thickness must be done in accordance with SSPC-Pa 2-73T, "Measurement of Dry Paint Thickness with Magnetic Gauges," except that the lowest "single spot measurement" in an area of two square inches must not be less than 5.5 mils.
- (i) Color. Color must be gloss black unless noted otherwise in the order. A paint chip must be submitted for approval prior to fabrication.
- (j) Alternate Methods. Alternate coating methods may be reviewed and tested on a case by case basis. However, no coating method will be accepted unless the Commissioner judges such alternate to be equal to the coating herein specified.

ARM TEST

9. (a) General. All completed arms shall be available for testing for maximum deflection and set. Unless specifically authorized in writing, all tests must be made at the works of the manufacturer. A record of every test must be made and a certified copy of the test record must be submitted to the Engineer of Electricity before the arms are shipped.
- (b) Lot. Tests for deflection and set must be made upon the first three (3) arms in the first fifty (50) arms in the lot. An additional one (1) arm must be tested for each additional fifty (50) arms. If any of the arms in any lot fail to meet the test, an additional three (3) arms of the same lot must be tested. If any of these arms fail to meet the test requirements, the entire lot will be subject to rejection, except that the manufacturer may subject each arm in the lot to the test, and those which fulfill the requirement will be accepted. After testing, each weld must be inspected by the magnetic particle method to determine that the welds have not been affected.
- (c) Requirements. With arm rigidly anchored, a test load as indicated in the table in Standard Drawing 870 must be applied at a point approximately two feet (2'0") from the free end. The load must be applied at right angles to the center line of the arm and in the same vertical plane. The deflection must not be greater than that indicated. Within one (1) minute after the test load is released, measurement must be made of the set taken by the arm. The deflection measurement device must be reset to zero and the test load must be reapplied. The deflection must not change from the deflection noted in the first test by more than $\pm 5\%$. No measurable set must be noted within one (1) minute after test load is released.

PACKAGING

10. (a) General. The arms shall be shipped in twelve (12) arm bundles. Each arm must be individually wrapped so that the arm can be bundled for shipping and unbundled for delivery to the job site without damaging the arm or its finish.
- (b) Bundles. The bundles shall consist of twelve (12) arms laid base to top to form an approximately rectangular cylinder. Materials such as lumber (2" x 4" min.), non-marring banding, and other appropriate bundling materials must be used to make a rigid, long lasting, bundle capable of being handled, shipped, and stored without shifting of contents or breaking, subject to approval. Any bundles, in which either arms or packaging is received broken, damaged or with contents shifted, will not be accepted and it will be the responsibility of the supplier to return the bundle to its original destination at no cost to the City of Chicago. The bundles should be capable of being stacked two (2) high without breaking or shifting of the contents. Each bundle must be capable of being lifted by a fork lift truck or crane and the bundles must be shipped on a flat bed truck to facilitate unloading. Each arm wrapping must be clearly labeled indicating the mast size, i.e. "30' SIGNAL MAST ARM".
- (c) Hardware. The hardware must be shipped with each bundle and packaged in twelve (12) sets of four (4) each. The package shall be placed in a prominent position to facilitate accessibility, and must be attached to, or within, the bundle in such a manner as to assure safe delivery. Payment will be withheld for any bundle delivered without the accompanying hardware. Arm caps must be attached at the manufacturer's facilities or be packed separately in a manner similar to the other hardware, and the same payment conditions will prevail. Cracked, broken or chipped parts will be considered as an incomplete delivery as regards payment.

ELECTRICAL MANHOLE FRAMES AND COVERS 24 INCH AND 30 INCH DIAMETER

ELECTRICAL SPECIFICATION 1458
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED MARCH 4, 2014

SCOPE

1. This specification describes the requirements for both 24 inch and 30 inch round frames and covers. These frames and covers will be used for electrical manholes and handholes and will provide access to the interior of the manholes and handholes. The 24 inch frames and covers will be used in parkway and sidewalk areas. The 30 inch frames and covers will be used in streets and in driveways and will provide sufficient strength to withstand normal traffic conditions.

GENERAL REQUIREMENTS

2. (a) Conformance. The manhole frames and covers shall conform with every detail of the requirements herein stated and to the specifications and methods of test of the American Society for Testing and Materials cited by ASTM Designation Number in which the most recently published revision will govern.
- (b) Acceptance. Frames and covers not conforming to this specification will not be accepted. The Commissioner of Transportation will have the final say as to whether or not the frames and covers meet specifications.
- (c) Drawings. The drawings mentioned herein are drawings of the Department of Transportation, Division of Engineering, and must be interpreted as part of these specifications.
- (d) Sample. Upon request, one complete manhole frame and cover of the manufacture intended to be furnished must be submitted within fifteen (15) business days after receipt of such a request from the Chief Procurement Officer. The samples must be delivered to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois.
- (e) Warranty. The manufacturer shall warrant that the frames and covers meet the specifications and warrant the frames and covers for a period of one (1) year from the date of delivery against defects which may occur during that period from normal and customary use. Any frame or cover which fails during this period must be replaced by the manufacturer at no cost to the City.

DESIGN

3. (a) The frames and covers shall each conform in detail to the designs shown on Drawings 872, 874 and 10927.
- (b) Each frame and cover shall weigh approximately as shown on the drawings.
- (c) Machining. The bearing surfaces of both the cover and the frame shall be machine finished as indicated on the drawings.
- (d) Workmanship. The frames and covers must be mutually interchangeable size for size, so that each lid will fit every frame neatly without jamming and with only such clearance as the drawings indicate. In addition, 24" & 30" covers must fit existing 24" & 30" frames, as shown on drawings 872, 874 and 10927. The castings shall be neat, true to pattern and free from cracks and casting flaws. No welding of defective castings will be permitted nor must the castings be painted.
- (e) Material. The frames and covers must be made of Class 30 Cast Iron described in the specifications for Gray Iron Castings of ASTM A48. No plugging of defective castings will be permitted.

TESTS

4. (a) Test bars of the metal used for the castings shall be made and tested for tensile and transverse strength in accordance with ASTM A48. The metal must be

tested at the works of the manufacturer. The manufacturer must furnish a certified copy of all test data sheets to the City prior to delivery of the castings. Frames and covers shall each be considered a separate casting for determining the requirement of testing.

RIGID STEEL CONDUIT (HOT DIPPED GALVANIZED)

ELECTRICAL SPECIFICATION 1462
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED NOVEMBER 21, 2014

SCOPE

1. This specification describes rigid steel conduit, zinc coated. This specification also describes rigid steel conduit that is both zinc and PVC coated. The conduit will be used underground or on structure as a raceway for electrical cables.

GENERAL REQUIREMENTS

2.
 - (a) Rigid steel conduit must be zinc coated by the hot-dip process. Conduit must be furnished in 10 foot lengths, threaded on each end and with one coupling attached to one end and a protective cap at the other end.
 - (b) The conduit shall be manufactured according to Underwriters Laboratories Standard U.L. - 6 and must meet ANSI Standard C 80.1 and the requirements of NEC Article 344. In addition, conduit must be recognized as an equipment grounding conductor as per NEC Article 250. There will be no exceptions to meeting these standards.
 - (c) Acceptance. Conduit not conforming to this specification will be rejected. The Commissioner will be the final judge in determining if the conduit meets the specification.
 - (d) Sample. If requested by the Chief Procurement Officer, a sample of conduit must be submitted to the Engineer of Electricity within fifteen (15) business days of receipt of such a request.
 - (e) Warranty. The manufacturer shall warrant the construction and performance of the conduit to meet the requirements of this specification and shall warrant all parts and components against defects due to design, workmanship, or material developing within a period of one (1) year after the conduit has been delivered.

STEEL

3. Conduit shall be formed from steel suitable for use as an electrical raceway. It shall be structurally sound so that it will hang straight and true when supported by

hangers in accordance with Chicago electrical code requirements and shall be capable of being field bent without deformation of the walls.

Conduit shall have a circular cross section sufficiently accurate to permit the cutting of threads in accordance with Table 2 and shall provide a uniform wall thickness throughout. All surfaces shall be smooth and free of injurious defects. The dimensions and weights of rigid steel conduit must be in accordance with Table 1.

THREADING AND CHAMFERING

4. Each length of conduit, and each nipple, elbow and bend must be threaded on both ends, and each end must be chamfered to remove burrs and sharp edges.

The number of threads per inch, and the length of the threaded portion at each end of each length of conduit, nipple and elbow must be as indicated in Table 2. The perfect thread must be tapered for its entire length, and the taper must be 3/4 inch per foot.

ZINC COATING

5. After all cutting, threading, and chamfering all conduit surfaces shall be thoroughly cleaned before application of zinc. The cleaning process shall leave the interior and exterior surfaces of the conduit in such a condition that the zinc will be firmly adherent and smooth.

The conduit must be hot dipped galvanized both inside and out to provide approximately two (2) ounces of zinc per square foot. This is equivalent to 3.4 mils of zinc coating. An additional interior coating to aid in the installation of wires is required.

COUPLINGS

6.
 - (a) The outside surface of couplings shall be protected by means of a zinc coating. The zinc content of the coating on the outside surface must be equivalent to a minimum thickness of 3.4 mils.
 - (b) Couplings shall be so made that all threads will be covered when the coupling is pulled tight on standard conduit threads.
 - (c) Both ends of the coupling must be chamfered to prevent damage to the starting threads.
 - (d) The outside diameter, length and weight of coupling must be as indicated in Table 3.
 - (e) Couplings must be straight tapped, except that the 2 1/2 inch and larger sizes may be taper-tapped.

PVC COATED (WHEN SPECIFIED)

7.
 - (a) Only hot dipped galvanized conduit, couplings, and fittings may be polyvinylchloride (PVC) coated.
 - (b) All conduit, couplings, and fittings must be cleaned before being coated.
 - (c) All conduit, couplings, and fittings must have a PVC coating applied to the exterior by dipping in liquid plastisol. The coating thickness must be a nominal 40 mils.
 - (d) All coated conduit, couplings, and fittings must conform to the requirements of NEMA Standard RN1- Section 3 , "External Coatings". The latest revision will apply.

PACKING AND IDENTIFICATION

8. The pipe shall be delivered in bundles. Each length of conduit must be marked with the manufacturer's name or trademark. Securely attached to each bundle at two (2) locations on the bundle must be a weather resistant tag containing the following information:
 - a. conduit size
 - b. footage of bundle
 - c. gross weight of bundle
 - d. manufacturer's name

Precaution will be taken by the contractor in handling during shipment or delivery of conduit, and any conduit found to be damaged will not be accepted.

TEST AND INSPECTION

9. Galvanized rigid conduit must be capable of being bent cold into a quarter of a circle around a mandrel, the radius of which is four times the nominal size of the conduit, without developing cracks at any portion and without opening the weld.

The protective coatings used on the outside and inside surfaces of rigid steel conduit must be sufficiently elastic to prevent their cracking or flaking off when a finished sample of 2 inch conduit is tested within one year after the time of manufacture, by bending it into a half of a circle around a mandrel, the radius of which is 3 1/2 inches.

Tests on sizes other than 1/2 inch may be conducted within one year after the time of manufacture. If such tests are conducted, the conduit must be bent into a quarter of a circle around a mandrel, the radius of which is six times the nominal size of the conduit.

One of the following three test methods shall be employed for measuring the thickness or extent of the external zinc coating on conduit:

- (a) Magnetic test.
- (b) Dropping test.

- (c) Preece test (Material which will withstand four 1-minute immersions will be considered as meeting requirements as follows; the zinc content of the coating on the outside surface must be equivalent to a minimum thickness of 3.4 mils).

All tests and inspections must be made at the place of manufacture prior to shipment unless otherwise specified, and shall be so conducted as not to interfere with normal manufacturing processes.

Each length of conduit shall be examined visually both on the outside and inside to determine if the product is free from slivers, burrs, scale or other similar injurious defects (or a combination thereof), and if coverage of the coating is complete.

If any samples of rigid steel conduit tested as prescribed in this specification should fail, two additional samples must be tested, both of which must comply with the requirements of the specification.

All pipe which may develop any defect under tests, or which may before testing or on delivery be found defective, or not in accordance with these specifications, must be removed by the Contractor at his own expense; and such pipe so removed by the Contractor must be replaced by him within ten (10) days of such rejection with other pipe which will conform to these specifications.

TABLE 1

Design Dimension and Weights of Rigid Steel Conduit

Nominal or Size of Conduit	Inside Diameter	Outside Diameter	Wall Thickness Coupling	Length Without of Ten	Minimum Weight Trade Unit Lengthw/coupl ings
(Inches)	(Inches)	(Inches)	(Inches)	(Feet/Inches)	(Pounds)
1/2	0.622	0.840	0.109	9-11 1/4	79.00
3/4	0.824	1.050	0.113	9-11 1/4	105.0
1	1.049	1.315	0.133	9-11	153.0
1 1/4	1.380	1.660	0.140	9-11	201.0
1 1/2	1.610	1.900	0.145	9-11	249.0
2	2.067	2.375	0.154	9-11	334.0
2 1/2	2.469	2.875	0.203	9-10 1/2	527.0
3	3.068	3.500	0.216	9-10 1/2	690.0
3 1/2	3.548	4.000	0.226	9-10 1/4	831.0
4	4.026	4.500	0.237	9-10 1/4	982.0

NOTE: The applicable tolerances are:

Length: + 1/4 inch (without coupling)

Outside diameter: + 1/64 inch or -1/32 inch for the 1 1/2 inch and smaller sizes,
± 1 % for the 2 inch and larger sizes.

Wall thickness: - 12 1/2 %

TABLE 2

Dimensions of Threads

Nominal or Trade Size of Conduit (Inches)	Threads per Inch	Pitch Diameter at end of Thread (Inches) Tapered 3/4 Inch per foot	Length of Thread (Inches)	
			Effective L2	Overall L4
1/2	14	0.7584	0.53	0.78
3/4	14	0.9677	0.55	0.79
1	11 1/2	1.2136	0.68	0.98
1 1/4	11 1/2	1.5571	0.71	1.01
1 1/2	11 1/2	1.7961	0.72	1.03
2	11 1/2	2.2690	0.76	1.06
2 1/2	8	2.7195	1.14	1.57
3	8	3.3406	1.20	1.63
3 1/2	8	3.8375	1.25	1.68
4	8	4.3344	1.30	1.73

NOTE: The applicable tolerances are:

Threaded Length (L4 Col 5): Plus or minus one thread

Pitch Diameter (Col 3): Plus or minus one turn is the maximum variation permitted from the gaging face of the working thread gages. This is equivalent to plus or minus one and one half turns from basic dimensions, since a variation of plus or minus one half turn from basic dimensions is permitted in working gages.

TABLE 3

Designed Dimensions and Weights of Couplings

Nominal or Trade Size of Conduit (INCHES)	Outside Diameter (INCHES)	Minimum Length (INCHES)	Minimum Weight (POUNDS)
1/2	1.010	1-9/16	0.115
3/4	1.250	1-5/8	0.170
1	1.525	2	0.300
1 1/4	1.869	2-1/16	0.370
1 1/2	2.155	2-1/16	0.515
2	2.650	2 1/8	0.671
2 1/2	3.250	3-1/8	1.675
3	3.870	3-1/4	2.085
3 1/2	4.500	3-3/8	2.400
4	4.875	3-1/2	2.839

TRAFFIC SIGNAL MOUNTING BRACKETS FOR MONOTUBE ARMS

ELECTRICAL SPECIFICATION 1463
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED FEBRUARY 7, 2014

SUBJECT

1. This specification states the requirements for mounting brackets which will be used to secure traffic signals and illuminated signs to steel monotube mast arms.

GENERAL

2. (a) Specifications. The mounting brackets shall conform in detail to the requirements herein stated and to the specifications and methods of test of the American Society for Testing and Materials cited by ASTM Designation number of which the most recently published revision will govern.

(b) Acceptance. Mounting brackets not conforming to these specifications will not be accepted.

(c) Sample. If requested by the Chief Procurement Officer, one complete mounting bracket must be submitted within 15 business days upon receipt of such

a request. It must be delivered to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois 60608.

(d) Warranty. Bracket must have a minimum 3 year warranty. The warranty must cover the material and workmanship. Any structural flaws or inability to maintain alignment will be deemed a failure and result in the warranty being invoked. The manufacturer will supply a new bracket for each failed bracket, at no cost to the City. The warranty will start from the date of delivery [date of acceptance for contract construction].

DESIGN

3. (a) General. The mounting bracket shall be designed such that no portion of the bracket is put into tension when it is attached to the mast arm with banding. The signal support tube will be attached to the bracket using compression type attachments. All materials must be corrosion resistant and designed to be structurally sound. The signal support tube will be a slotted aluminum pipe of sufficient length to hold either 3, 4, or 5 section signal heads, or an illuminated sign. The slot must have a neoprene gasket to protect the cable. There must also be top and bottom brackets that hold the signal head assembly at each end to the tube. The bottom bracket will also be used as a cable runway.
- (b) Hardware. All components of the mounting brackets must be held firmly in place with stainless steel hardware.
- (c) Adjustments. Bracket shall allow for mounting and adjustment of signal faces in any direction desired on a fixed mast arm. Adjustments shall be made using standard hand tools. Neither mounting nor adjusting the bracket should require the use of a torque wrench.
- (d) Signal Mounting. Mounting hardware shall be available for use with standard 2, 3, and 5 signal head configurations; for use with optically programmed signal heads; and for use with illuminated signs.
- (e) Wiring. Bracket design shall allow for ease of installation of components and wiring. All wiring troughs and nipples must provide smooth, burr-free surfaces and adequate space for facile movement of nominal .5 inch diameter cable between the mast arm and the signal face.
- (f) Banding. Where banding is used to attach the mounting bracket to the mast arm, the banding must be .75 inch wide stainless steel.
- (g) Castings. Where castings are used for the brackets, they shall be smooth and free of defects.

TESTING

4. (a) General. At least 1% of the traffic signal mounting brackets in each order or contract shall be tested for rigidity and structural integrity.

(b) Re-testing. If any mounting bracket fails any portion of the test, an additional 3% of the brackets must be tested. If an additional bracket fails, the entire lot will be rejected.

(c) Tests.

1. With five 12" signal head sections attached to the bracket, the assembly shall be mounted to a suitable and proper supporting structure.
2. Using a calibrated dynamometer, a 100 pound force must be applied for 60 seconds at the center of the bracket in the horizontal plane. At the completion of the test, there must be no movement of the assembly or deterioration of the bracket or appurtenant hardware.
3. Using a calibrated dynamometer, a 100 pound force must be applied to the top signal head section for 60 seconds in a direction which will pull the head away from the mounting post in the mounting post plane. During this time period, the mounting bracket castings must be struck 10 times with an 8 ounce flat head hammer at the point(s) which appear to be most vulnerable to stress. At the completion of the test, no movement of the assembly must have been observed and there must be no cracking of the castings or deterioration of the appurtenant hardware.
4. The above test must be repeated except that the force must be applied in a plane which is perpendicular to the mounting post plane.

PACKAGING

5. (a) Packing. Each bracket shall be packed in a suitable carton so secured that the bracket and parts will not be damaged during shipment, handling or storage.
- (b) Marking. Each carton containing the bracket and parts shall be clearly marked on the outside in letters not less than 3/8 inches tall with the legend: "TRAFFIC SIGNAL MONOTUBE BRACKET", the name of the manufacturer, the date of manufacture, the contract number, and the City commodity code.

GROUND RODS

ELECTRICAL SPECIFICATION 1465
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED JULY 12, 2006

SUBJECT

1. This specification states requirements for ground rods and clamps to be used for

ground electrodes in street lighting, traffic signal, and miscellaneous electrical circuits.

GENERAL

2. (a) Ground rods must be copper clad, steel rods suitable for driving into the ground without deformation of the rod or scoring, separation or other deterioration of the copper cladding.
- (b) Sample. If requested by the Chief Procurement Officer, the contractor must furnish one sample of the ground rod proposed to be furnished within fifteen (15) business days from receipt of such request. The sample ground rod must be delivered to the Division of Electrical Operations, 2451 S. Ashland Avenue, Chicago, Illinois 60608.
- (c) Warranty. The manufacturer shall warrant every ground rod against defects due to design, workmanship, or material developing within a period of one (1) year after the ground rod has been accepted. Any ground rod which fails during this period must be replaced by the contractor without expense to the City. The Commissioner of Transportation or his duly authorized representative will be the sole judge in determining which replacements are to be made.
- (d) The Commissioner will be the sole judge in determining whether the submitted ground rods meet the requirements of this specification. Ground rods not accepted must be removed at the sole expense of the contractor.

DESIGN

3. (a) The ground rods and couplings must meet the latest requirements of (National Electrical Manufacturer's Association) NEMA Standard GR-1, for copper bonded ground rod electrodes and couplings. The ground rods must also meet the requirements of (Underwriter's Laboratories) UL 467.
- (b) Ground rods shall be made of steel core suitable for driving into the earth without deformation.
- (c) A uniform covering of electrolytic copper, 10 mils in thickness, shall be metallically bonded to the steel core to provide a corrosion resistant, inseparable bond between the steel core and the copper overlay.
- (d) The finished rod must be of uniform cross-section; straight, and free of nicks, cuts or protuberances.
- (e) The rod must be pointed at one end and chamfered at the other.
- (f) All ground rods must be three-quarter inches (3/4") in diameter. The length shall be as specified in the order or in the plans. The length and diameter of the rod and the manufacturer must be clearly and permanently marked near the top of the rod (chamfered end).

- (g) All ground rods must have a ground clamp capable of accommodating a No. 6 AWG Copper Wire.

PACKING

- 4.
 - (a) Ground rods must be packed in bundles with reinforced tape or plastic banding that will not damage the rods. Small bundles may then be bound in larger bundles held together with steel banding.
 - (b) Ground clamps must be packed in a suitable carton. The carton must be labeled to indicate the contents.

ROD: ANCHOR, STEEL, WITH HARDWARE

SPECIFICATION 1467
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED JUNE 28, 2012

SUBJECT

- 1. This specification states the requirements for steel anchor rods with hardware for street light pole foundations.

GENERAL

- 2.
 - (a) Specifications. The anchor rods shall conform in detail to the requirements herein stated, and to the specifications of the American Society for Testing and Materials cited by ASTM Designation Number, of which the most recently published revision will govern.
 - (b) Drawing. The drawings mentioned herein are issued by the Department of Transportation, Division of Engineering, and are an integral part of this specification.

ANCHOR ROD

- 3.
 - (a) Fabrication. Each anchor rod must be fabricated in conformity with City of Chicago drawings numbered 806, 811, 830 and 844.
 - (b) Material. The rods must be fabricated from cold rolled carbon steel bar meeting the requirements of ASTM Specification A-36, except that the Specification must be modified to provide a minimum yield point of 55,000 psi (379 MPa).

(c) Thread. The straight end of each rod must be threaded as shown on City of Chicago drawing for that size rod, and must be American Standard, National Coarse.

HARDWARE

4. Hardware furnished with the anchor rod shall be as shown on the applicable drawing. It must include two (2) hexagonal nuts, American Standard Regular, two (2) flat washers, type B, series W, and one (1) lock washer, steel, helical spring. The nuts must have a Class 2 or 3 fit.

FINISH

5. Galvanizing. The threaded end of each rod must be hot dipped galvanized for the distance shown on the applicable drawing. The thickness of the galvanized coating must not be less than 0.0021 inches. Each hexagonal nut and washer must be galvanized to the minimum thickness required by ASTM A-153, Class C, or ASTM B-454, Class 50. After galvanization, each anchor rod and nut must have a mating fit equivalent to the American Standard Class 2 or 3 fit for nuts and bolts.

TESTS

6. At the discretion of the Commissioner, anchor rods and hardware furnished under this specification will be subject to testing to determine compliance with the materials physical requirements.

INSPECTION

7. Final inspection must be made at point of delivery. Any anchor rods and hardware rejected must be removed by the Contractor at his sole expense.

CORD: TRAFFIC SIGNAL, NO. 16 AWG

ELECTRICAL SPECIFICATION 1475
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED JULY 20, 2023

SUBJECT

1. This specification states the requirements for a four (4) or an eight (8) conductor number 16 AWG, electrical cable, to be installed in poles and conduit and used to electrically energize traffic signals at street intersections. The cable shall be connected from the traffic signal to the junction box on the same pole.

GENERAL

2. (a) Specifications. The cable shall conform in detail to the requirements herein stated, and to the specifications and methods of test of the following organizations, of which the most recently published revision will govern:
- ASTM - American Society for Testing and Materials
ICEA - Insulated Cable Engineers Association
IMSA - International Municipal Signal Association
UL - Underwriters Laboratories
- (b) Acceptance. Cable not conforming to this specification will not be accepted.
- (c) Warranty. The contractor will be responsible for any cable failing during normal use within one (1) year after the date of acceptance by the City. The contractor shall provide material replacement of any failed cable. There shall be no cost to the City. All replacements must be made free of charge F.O.B. delivery point of original contract.
- (d) Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be provided under this specification shall be submitted to the attention of the Engineer of Electricity within fifteen (15) business days after receipt of such request.

CABLE

3. (a) Construction. This cable shall consist of stranded copper conductors each concentrically encased with a "free stripping," ethylene propylene rubber (EPR) insulation. Suitable fillers shall be used to produce an essentially round cross-section. The insulated conductors and the fillers must be cabled with a suitable left-hand lay as close together as is consistent with forming a core of minimum diameter. A Mylar tape must be wrapped over the conductor assembly, and a chlorinated polyethylene (CPE) jacket applied overall. Cable shall meet the applicable requirements of ICEA S-95-658.
- (b) Outer Diameter. The nominal outer diameter shall be .42 inches for the 4 conductor cable and .565 inches for the 8 conductor cable.
- (c) Insulation Colors. Identification shall be provided by colors in accordance with IMSA Standards.
- (d) Jacket Marking. The outer jacket shall be marked as follows: "4/C or 8/C 16 AWG 600V 90°C CPE, name of manufacturer and date of manufacture. The height of letters must not be less than 1/8 inch in height and the message must repeat at approximately two (2) foot intervals. A sequential footage marking must be located on the opposite side of the jacket. All marking must be perfectly legible with permanent white ink. Similar markings will be acceptable. The cable shall meet the applicable requirements of ICEA S-58-679.

CONDUCTOR

4. (a) Material. Round, annealed, stranded copper wire in accordance with ASTM B-3 and B-8.
- (b) Size. The stranded conductor must consist of 26/30 stranded wires twisted with an appropriate lay to form a No. 16 AWG conductor.

INSULATION

5. (a) Type. The insulation shall be an easily strippable thermosetting ethylene propylene rubber (EPR) or equal meeting or exceeding the requirements of ICEA S-95-658 and the additional requirements of this specification.
- (b) Rating. The insulation shall be rated for continuous duty at 90°C in accordance with U.L. AWM Style 3400.
- (c) Thickness. The insulated conductor must be circular in cross-section, concentric to the conductor, with a nominal insulation thickness of 0.030 inches (30 mils).

JACKET

6. (a) Type. The jacket shall be a thermosetting chlorinated polyethylene (CPE) or equal meeting the physical and electrical requirements specified herein.
- (b) Rating. The jacket shall be rated for continuous duty at 90° C.
- (c) Thickness. The jacket shall be circular in cross-section, concentric with the insulation. It shall have a nominal thickness of 0.060 inches (60 mils) for the 4 conductor cable and 0.080 inches (80 mils) for the 8 conductor cable.

PACKAGING

7. (a) Reels. The completed cord shall be delivered on sound, substantial reels. The ends of the cable must be securely fastened so that they will not become loose during shipment and handling.
- (b) Footage. The number of feet per reel must be five hundred (500) feet plus or minus ten percent ($\pm 10\%$).
- (c) Marking. A metal tag, or an approved indelible marking material such as alkyd enamel paint, must be used to mark the reel. The marking information must include, but not be limited to, the following: reel number, contract number, a description of the cord, and the footage of that particular reel.
- (d) Sealing. Both ends of each length of cable must be thoroughly sealed to prevent the entrance of moisture or other foreign matter.

**TRAFFIC SIGNAL: VEHICULAR, TWELVE-INCH SINGLE FACE, SINGLE OR
MULTIPLE-SECTION, POLYCARBONATE, LED OR INCANDESCENT**

ELECTRICAL SPECIFICATION 1493
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED FEBRUARY 6, 2014

1. GENERAL REQUIREMENTS

- 1.1 This specification states the requirements for twelve-inch, single face, single and multiple-section, traffic signals with polycarbonate housings, using LED or incandescent light source, for use in the traffic control system of the City of Chicago. Units include red ball, yellow ball, green ball, red arrow, yellow arrow, green arrow, red bicycle, yellow bicycle, green bicycle, white vertical bar, and white horizontal bar.
- 1.2 Sample and Certified Test Reports. One complete signal, fully assembled and wired, of the manufacture proposed to be furnished, must be submitted along with the required certified test reports, within 15 business days upon request of the Chief Procurement Officer. The sample must be delivered to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois 60608.
- 1.3 Standards. Equipment furnished under this specification shall meet the appropriate requirements of the following standards, as required within the body of this specification:
 - American Association of State Highway and Transportation Officials (AASHTO)
 - American Iron and Steel Institute (AISI)
 - American Society for Testing and Materials (ASTM)
 - Institute of Transportation Engineers (ITE)
 - National Electrical Manufacturers Association (NEMA)
 - Underwriters Laboratories (UL)
- 1.4 Approval. Approval will mean approval in writing by the Commissioner or his duly authorized representative.
- 1.5 Warranty. The manufacturer shall warrant the signals to meet the requirements of this specification, and shall warrant all equipment, components, parts and appurtenances against defective design, material and workmanship for a period of 3 years from date of delivery [date of acceptance for contract construction]. In addition, LED optical modules must carry a 7 year warranty against failure or loss of color (chromaticity) and signal brightness (luminance) below minimum acceptable ITE standard levels from date of delivery [date of acceptance for contract construction]. In the event defects or failures occur in the units during the warranty period, the manufacturer must replace all defective units, at no expense to the City. This warranty shall be evidenced by a letter or certificate of warranty submitted to the City at the time delivery is made. The warranty must cover all units delivered in an order or installed by contract, and must include unit serial numbers. The warranty must be signed and dated by an official of the manufacturer who is empowered by the manufacturer to enter into such a warranty.

2. **MATERIALS AND EQUIPMENT REQUIREMENTS**

- 2.1 The traffic signal heads shall conform to ITE Standard "Vehicle Traffic Control Signal Heads" (VTCSH), in which the most recently published revision will govern.
- 2.2 Housing. The housing of each section must be one piece, ultraviolet stabilized polycarbonate resin of the specified color, injection molded complete with integral top, bottom, and sides, having a minimum thickness of 0.1 inch.

- (a) The polycarbonate shall meet or exceed the following tests:

TEST	REQUIRED	METHOD
Specific gravity	1.17 minimum	ASTM D 792
Vicat Softening temp	310-320° F	ASTM D 1525
Brittleness temp.	-200° F	ASTM D 746
Flammability	Self-extinguishing	ASTM D 635
Tensile strength, yield	8,500 PSI	ASTM D 638
Elongation at yield	5.5-8.5%	ASTM D 638
Shear strength, yield	5,500 PSI min.	ASTM D 732
Izod impact strength (notched, .125" thick)	12-16 ft-lbs/in.	ASTM D 256
Fatigue strength (at 2.5 mm cycles)	950 PSI min.	ASTM D 671

- (b) Assembly. A traffic signal section shall be comprised of, but not limited to, the housing, hinged door, visor, optical unit and all necessary gaskets and hardware. The multi-section, single face, traffic signal shall be comprised of single face single sections assembled together, containing an internally mounted terminal block. Arrow indications must be shipped as single sections. The traffic signals shall be designed and constructed to permit sections to be assembled together, one above the other, forming a weatherproof and dust-tight unit.
- (c) Individual sections shall be fastened together with a coupling washer assembly composed of 2 washers, 3 zinc plated bolts, nuts, and lock washers which lock the individual sections together. As an alternative, individual sections may be fastened together with 4 cadmium plated bolts, lock washers, and nuts.
- (d) Height. The overall height of an assembled traffic signal must be 14 inches \pm 1 inch for a single-section signal, 42 inches \pm 3 inches for a three-section signal, and 70 inches \pm 5 inches for a five-section signal.
- (e) Mounting. The traffic signal shall be designed for mounting with standard traffic signal brackets using 1.5 inch pipe size fittings.
- (f) Positioning Device. The top and bottom opening of each housing must have integral serrated bosses that will provide positive positioning of the signal head in 5° increments. A total of 72 teeth must be provided in the serrated bosses to allow the signal face to be rotated 360° about its axis. The teeth shall be clean and well defined to provide positive positioning.

- (g) Hinges. The signal housing shall be sectional; one section for each optical unit. Each housing must have 4 integral hinge lugs, with stainless steel hinge pins (AISI 304 or equivalent), located on the left side for mounting the door. The hinge pins shall be straight and not protrude past the outside of the housing lugs. The housing must have 2 integral latching bolt lugs on the right side each with a stainless steel hinge pin to which a latching bolt (AISI 304 or equivalent), washer, and wing nut will be attached. The wing nuts must be captive. Each housing must be equipped with holes to be used for mounting backplates.
- (h) Door. The door shall be a one piece ultraviolet stabilized polycarbonate resin of the specified color, injection molded complete with a minimum thickness of 0.1 inch. Two hinge lugs on the left side and 2 sets of latch screw jaws centered on the right side, as viewed from the front of the signal, must be integrally cast with the housing door. The door must be hinged to the housing with 2 stainless steel hinge pins, drive fitted. Two stainless steel latch screws and wing nut and washer assemblies on the latch side of the housing body shall provide for opening and closing the door without the use of tools. The door must have holes with threaded metal inserts for stainless steel machine screws to secure the visor and the lens. The inside of the door must be grooved to accommodate a one piece, air-cored ethylene propylene diene monomer (EPDM) gasket to provide a weatherproof and dust proof seal when the door is closed. The inside of the door must have 4 equally spaced threaded metal inserts for the lens attachment. The outside of the door must have an integral rim completely encircling the lens opening to prevent leakage between the door and the lens. The rim must have 4 equally spaced tabs around the circumference with threaded metal inserts for the visor.
- (i) Visor. Each traffic signal shall have a visor for each signal indication (section). The visor shall be the tunnel type, 9.25 inches long, fabricated of ultraviolet stabilized polycarbonate resin of the specified color, injection molded. The visor shall fit tightly against the door and not permit any light leakage between the door and visor. All hardware necessary for, but not limited to, attachment of the visor must be of stainless steel. The visor must have 4 mounting lugs for attaching the visor to the door. Screws must go through the visor lugs into the metal inserts in the door to secure the visor.

2.3 The traffic signal heads shall be provided with incandescent or LED optical modules as specified in the line item [or Contract Plans].

2.3.1 INCANDESCENT OPTICAL UNITS

- (a) Incandescent Optical Unit. The incandescent optical unit consists of the lens, reflector and lamp holder. The optical unit and visor shall be designed as a whole so as to eliminate the return of outside rays entering the unit from above the horizontal (known as sun phantom). The optical unit shall be designed and assembled so that no light can escape from one indication to another.
- (b) Lenses. The red, green, and yellow polycarbonate lenses must be round with a nominal 12 inch diameter and shall conform to all requirements set forth under the heading "Traffic Signal Lenses" in the ITE standard. The red, green, and yellow

arrow lenses must be round with a nominal 12 inch diameter and the outside surface must be covered, except for the arrow, with a dull or dark grey opaque material of a thickness sufficient to totally hide the light from a 2000-lumen lamp placed behind it operating at rated voltage. The opaque material shall be hard and durable and shall be bonded such that it will not peel or flake when subject to the heat of a signal lamp or when the lens is washed. The shape and size of the arrow shall meet ITE standards. The arrow shall appear uniformly illuminated when viewed from angles usually encountered in service, whatever may be the angular position of the lens in the signal section. The lens must be enclosed by an air-cored EPDM gasket providing a weather proof and dust proof seal between the lens, door, and reflector assembly. The gasketed lens must be secured to the housing door by 4 stainless steel screws (AISI 304 or equivalent) and clamps equally spaced around the lens opening. The door must have threaded metal inserts to receive the screws.

- (c) Reflector. The reflector shall be fabricated of high-purity, clad-type aluminum sheet formed to a parabolic shape and cut to fit in a circular polycarbonate, hinged frame for rigid mounting within the housing. The circular rim of the reflector shall be mounted in such a way as to seal the internal optical system by being compressed against the lens gasket when the signal door is closed. The reflecting surface must be an "ALZAK" class SI specular finish having a minimum reflectivity of 82% and a protective oxide coating. The reflector must have an opening in the back to accommodate the lamp holder.
- (d) Lamp Holder. The lamp holder must have a heat, moisture, and weatherproof molded phenolic housing designed to accommodate a standard 133 watt, 3 inch light center length, incandescent lamp. The lamp holder shall be so designed that it can be readily rotated and positively positioned to provide proper lamp filament orientation and focus. The inner brass shell, or ferrule, of the lamp holder must have a grip to prevent the lamp from working loose due to vibration. A gasket must be furnished at the junction of the lamp holder and the reflector.

2.3.2 LIGHT EMITTING DIODE (LED) OPTICAL MODULES

- (a) Light emitting diode (LED) optical modules shall consist of an integral unit containing the following components: power leads, housing, matrix of light emitting diodes (LEDs) emitting monochromatic light of desired signal color, and electronic and electrical components necessary to permit operation at nominal 120 volt, 60 hertz power.
- (b) The LED module shall be of such dimensions as to permit mounting in any standard traffic signal housing, be interchangeable with incandescent optical units, and must include appropriate gasket for this purpose. Gasketing provided must provide a watertight seal meeting existing ITE standard for signal heads, and exclude the infiltration of moisture into either the signal housing or into the LED optical unit case.
- (c) The LED module shall meet the applicable requirements of the ITE standards for Vehicle Traffic Control Signal Heads (VTC SH) Part 2: LED Vehicle Signal Modules,

for color (chromaticity), signal brightness (luminance), and beam spread (luminance at various vertical and horizontal angles).

- (d) Minimum brightness of LED signal units shall be in accordance with the luminous requirements in a standard testing procedure as defined by Section 4 of the VTCSH Part 2: LED Vehicle Signal Modules. During the required operating life of LED signal units, the luminance output of the units must not be less than 60% of the values specified in the standard.
- (e) The module indicator surface shall be constructed of ultraviolet (UV) stabilized, impact resistant polycarbonate, acrylic, or other approved material. The surface must be anti-glare, smooth texture, and clear.
- (f) Modules shall consist of LEDs uniformly distributed to present a homogeneous appearance on the indicator face from a wide viewing angle.
- (g) LEDs shall be wired so that the loss of a single LED or a string of LEDs will not reduce the luminescence below the minimum requirement.
- (h) For purposes of this specification, failure of a single unit is defined as an occurrence where the luminescence of the signal measured in candela in standard test procedures is less than the required initial luminance or luminance at time points and conditions specified; or where minimum required brightness is achieved, but 2 or more series strings of LEDs or in excess of 20% of LEDs are not operable.
- (i) Module power supply shall be constant current regulated and filtered to provide instant on indications, and to prevent momentary signal outages or flicker. Units must be fully operable over a range of 90 volts to 130 volts at 60 hertz \pm 3 hertz.
- (j) Surge protection: Each module must be provided with integral surge protection to withstand transient of 600 volt, 100 microsecond rise and 1 millisecond pulse width. The surge protector shall provide full electrical and physical protection to all unit components.
- (k) Maximum permissible power consumption at ambient conditions (nominal 120 volts, 60 hertz, 70°F.) must be 30 watts at a minimum 90% power factor. Power consumed must not vary by more than 10% from nominal power consumption over a voltage range of 105 volts to 125 volts, and over permissible environmental ranges.
- (l) Modules must be fully operable at temperature ranges of -40°F. (-40°C.) to +165°F. (+74°C.) at up to 100% relative humidity.
- (m) Modules shall be clearly marked on the back surface of the unit in a permanent manner showing information required for warranty and long term performance. Information to be shown must include manufacturer name, date of manufacture, electric power requirements, signal model type including color and indication type, and signal serial number.

- (n) The LED module shall be compatible with the traffic signal controller equipment currently in use by the City of Chicago, and meeting the City's latest specifications for traffic signal control equipment. In particular the LED unit shall be compatible with the NEMA TS-1 and later traffic signal load switches and conflict monitors.
- (o) Modules shall meet applicable sections of Title 47, Sub-Part B, Section 15 of the Federal Communications Commission (FCC) rules as applies to electronic noise limitation and electromagnetic interference.
- (p) Total harmonic distortion (THD) induced into the voltage and current AC power line sine waves must not exceed 20%.
- (q) LED modules must meet the requirements of VTCSH Part 2: LED Vehicle Signal Modules Section 6.3.1 for signal burn-in.

2.4 Wiring. Each lamp holder must be furnished with two (2) leads color coded as follows:

First Lead Wire:

White Common

Second Lead Wire:

Red Red Section

Yellow

Green

Green with Black Tracer

Yellow with Black Tracer

Red with Black Tracer

Green with White Tracer

Yellow with White Tracer

Red with White Tracer

Any Other Colors

Yellow Section

Green Section

Green Arrow Section

Yellow Arrow Section

Red Arrow Section

Green Bicycle Section

Yellow Bicycle Section

Red Bicycle Section

Bus Sections

The leads must be No. 18 AWG stranded copper wire rated at 600 volt, 105° C., with thermo-plastic insulation. The leads must connect to the terminal strip without being spliced. The ends of the leads must be stripped of 0.5 inches of insulation and tinned.

2.5 Terminal Strip. A dual-point, barrier type terminal strip with a solid base and pressure plate type connectors shall be securely attached at both ends to the housing body inside the "Green" section of the signal head, or other approved section within a multiple section head.

2.6 Cable. One 11 foot length of flexible electric cord, medium duty, type SO, No. 16 AWG stranded copper conductor, color coded, rubber insulated, neoprene jacketed, must be furnished with each signal head. The number of conductors must include a neutral and one leg for each section. Both ends of each cable length must be carefully stripped of 6 inches of jacket and 1 inch of insulation, and each conductor properly tinned.

- 2.7 Gaskets. Wherever necessary to make a completely dustproof, moistureproof and weatherproof assembly of the housing and optical system, approved type gaskets of neoprene or silicone rubber shall be provided.

3. TESTING AND DOCUMENTATION REQUIREMENTS

- 3.1 Documentation. The contractor shall provide certified manufacturing and testing documentation to demonstrate that the traffic signals being supplied meet or exceed the specification requirements. All LED modules shall be tested by a nationally recognized testing laboratory (NRTL), such as Intertek (ETL division), to demonstrate compliance with the latest ITE VTCSH specification. All LED units shall have the testing laboratory's label attached.
- 3.2 Inspection. The signals shall be subject to inspection at the request of the Commissioner. Final inspection shall be made at point of delivery. Any signal rejected shall be removed, disposed of, and replaced by the contractor at his sole cost.

4. PACKAGING

- 4.1 Packing. Each traffic signal assembly shall be packed in a suitable carton so secured that the signal will not be damaged during shipment, handling or storage.
- 4.2 Marking. Each carton containing a traffic signal shall be clearly marked on the outside in letters not less than 3/8 inch tall with the legend: "TRAFFIC SIGNAL, TWELVE-INCH, POLYCARBONATE@ or ATRAFFIC SIGNAL, TWELVE INCH, POLYCARBONATE, LED OPTICS@ and the number of Sections as required, the color and indication types, the name of the manufacturer, the date of manufacture, the pertinent Contract Number and the appropriate City Commodity Code Number.

TRAFFIC SIGNAL MOUNTING BRACKET POLYCARBONATE, SIDE OF POLE

ELECTRICAL SPECIFICATION 1495
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED FEBRUARY 7, 2014

SCOPE

1. This specification states the requirements for polycarbonate brackets designed for mounting traffic and pedestrian signal heads from the side of poles.

GENERAL REQUIREMENTS

2. (a) Sample and Certified Test Reports. One complete signal bracket of the manufacture proposed to be furnished, must be submitted along with the required certified test reports, within 15 business days upon request of the Chief

Procurement Officer. The sample must be delivered to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois 60608.

(b) Standards. Equipment furnished under this specification must meet the appropriate requirements of the following standards, as required within the body of this specification:

American Association of State Highway and Transportation Officials (AASHTO)
American Society for Testing and Materials (ASTM)
Institute of Transportation Engineers (ITE)
National Electrical Manufacturers Association (NEMA)

(c) Approval. Approval will mean approval in writing by the Commissioner or his/her duly authorized representative.

(d) Warranty. The manufacturer shall warrant the signal bracket to meet the requirements of this specification, and shall warrant all equipment, components, parts and appurtenances against defective design, material and workmanship for a period of 3 years from date of delivery [date of acceptance for contract construction]. In the event defects and failures become apparent during this period, the manufacturer must replace the defective brackets at no expense to the City. This warranty must be evidenced by a letter or certificate of warranty submitted to the City at the time final delivery is made.

MATERIAL

3. (a) The bracket must be one piece, ultra violet stabilized polycarbonate resin of the specified color, injection molded complete with integral top, bottom, and sides.
- (b) The polycarbonate formulation used must provide these physical properties (Tests may be performed on separately molded specimens).

<u>TEST</u>	<u>REQUIRED</u>	<u>METHOD</u>
Specific gravity	1.17 minimum	ASTM D 792
Vicat Softening temp	310-320° F	ASTM D 1525
Brittleness temp.	-200° F	ASTM D 746
Flammability	Self-extinguishing	ASTM D 635
Tensile strength, yield	8,500 PSI	ASTM D 638
Elongation at yield	5.5-8.5%	ASTM D 638
Shear strength, yield	5,500 PSI min.	ASTM D 732
Izod impact strength (notched, 1/8" thick)	12-16 ft-lb/in.	ASTM D 256
Fatigue strength (at 2.5 mm cycles)	950 PSI min.	ASTM D 671

- (c) Glass. The polycarbonate may be glass impregnated to increase strength.

POSITIONING DEVICE

4. The top and bottom opening of the bracket must have integral serrated bosses that

will provide positive positioning of the signal head in 5° increments to eliminate undesirable rotation or misalignment of the signal head between sections. A total of 72 teeth must be provided in the serrated bosses to allow the signal head to be rotated 360° about its axis. The teeth must be clean and sharp to provide positive positioning with the grooves of the signal head.

HARDWARE

5. The mounting brackets must be provided complete with 1 polycarbonate shim(.25 inches thick), one 1.5 inch chase nipple with rubber gasket, and 1 pinnacle cap with rubber gasket.

DIMENSIONS

6. The bracket must have nominal dimensions of 12 inches long, by 6 inches high, by 3 inches wide.

WIRING SPACE

7. The bracket must have an integral molded wireway with a minimum 1.5 inch diameter opening.

DESIGN STRENGTH

8. The bracket must be designed to support a 12 inch, single face, five-section, polycarbonate signal head.

TESTING AND DOCUMENTATION REQUIREMENTS

9. (a) Documentation. The contractor must provide certified manufacturing and testing documentation to demonstrate that the brackets being supplied meet or exceed the specification requirements.

(b) Inspection. The brackets will be subject to inspection at the request of the Commissioner. Final inspection must be made at point of delivery. Any bracket rejected must be removed, disposed of, and replaced by the contractor at his sole cost.

PACKAGING

10. (a) Each bracket must be packed in a suitable carton so secured that the bracket will not be damaged during shipment, handling, or storage.

(b) Marking. Each carton containing brackets must be clearly marked on the outside in letters not less than 3/8 inch tall with the legend: "POLYCARBONATE SIGNAL BRACKET, SIDE OF POLE" the appropriate City Commodity Code Number, the name of the manufacturer, the date of manufacture, and the pertinent contract number.

PRECAST CONCRETE STRUCTURES

ELECTRICAL SPECIFICATION 1528
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED JUNE 6, 2014

SUBJECT

1. This specification covers the requirements for precast concrete structures to be used for City of Chicago electrical facilities. The structures will include manholes, handholes, and street light pole foundations.

GENERAL

2. (a) Specifications. The precast structures must conform in detail to the requirements herein stated and to the specifications and methods of test of the American Society for Testing and Materials cited by ASTM Designation Number of which the most recently published revision will govern.
- (b) Acceptance. Precast structures not conforming to this specification will not be accepted. The Commissioner of Transportation or his representative will be the sole judge in determining if the precast structures meet this specification. The Commissioner's decision will be final.
- (c) Drawings. The drawings mentioned herein are drawings of the Department of Transportation. They are integral parts of this specification cooperating to state necessary requirements.
- (d) Bidders Drawings. The apparent low bidder must submit detailed scale drawings of the precast structures showing actual dimensions and details, if so requested. Shop drawings must be original engineering drawings created by the manufacturer. The drawings must give every dimension necessary and show how the structure is assembled.
- (e) Sample. One complete precast structure of each item must be submitted within fifteen (15) business days upon request of the Chief Procurement Officer.
- (f) Warranty. The manufacturer must warrant the performance and construction of the precast structures to meet the requirements of this specification and must warrant all parts, components, and appurtenances against defects due to design, workmanship, or material developing within a period of one (1) year after the precast structures have been delivered. This will be interpreted particularly to mean structural failure of any element. The warranty must be furnished in writing guaranteeing material replacement including shipment, free of charge to the City. The Commissioner will be the sole judge in determining which replacements are to be made. The Commissioner's decision will be final.

DESIGN

- (a) Material. Concrete must be Portland cement concrete, Class SI or PC, meeting current IDOT specifications. Pulling irons in manholes must meet or exceed the requirements of ASTM A36 steel. Pulling irons must be hot dipped galvanized. Steel reinforcing bars must meet or exceed the requirements of ASTM A615, Grade 60. Cable supports in manholes, including stanchions and racks, must be manufactured for that specific purpose. Stanchions must be non-metallic and must be capable of accommodating several different sizes of cable hooks at various elevations. A minimum of eight cable hooks, 4 inches in length, must be provided with each manhole, and should include any hardware necessary to affix the hooks to the racks. Cable hooks for handholes must be manufactured for that specific purpose. Cable hooks for handholes must be a minimum of 3 inches in length and 3 inches in depth. Anchor rods in foundations must meet the latest Electrical Material Specification 1467. Conduit elbows in foundations must meet the latest Electrical Material Specification 1462.
- (b) Foundations must include conduit elbows, anchor rods, washers, and nuts. The 7 foot foundation must include a 6 foot re-bar cage. Handholes must include cable hooks. Manholes must include cable racks, pulling irons, and cable hooks. Each manhole and each handhole must have lifting anchors cast in the concrete to facilitate shipment and installation. If the manhole or handhole is in more than one piece, instructions for assembly must be provided. Also, a sufficient amount of bonding agent must be provided. The bonding agent must be approved material. Frames and covers, sump grates, clay tile, and ground rods are not included under this specification.
- (c) Dimensions of Manholes and Handholes. Each manhole or handhole must be dimensioned as shown on the appropriate standard drawing. The 30 inch diameter handhole is Standard Drawing 867. The 36 inch diameter handhole for 24 inch frame and cover is Standard Drawing 866. The 36 inch diameter for 30 inch for frame and cover is Standard Drawing 871. The 3 foot by 4 foot by 4 foot manhole for a 24 inch diameter frame and cover is Standard Drawing 730. The 3 foot by 4 foot by 4 foot manhole for 30 inch frame and cover is Standard Drawing 729. The 4 foot by 6 foot by 6 foot manhole for 24 inch frame and cover is Standard Drawing 732. The four foot by 6 foot by 6 foot manhole for 30 inch frame and cover is Standard Drawing 733. The 5 foot 4 inch by 7 foot 4 inch manhole roof is Standard Drawing 733.
- (c) Dimensions of Grade Rings. Grade rings shall be in four different dimensions. The 39 inch outside diameter ring shall have a 24 inch diameter opening and shall come in both 2 inch and 4 inch thicknesses. The 45 inch outside diameter ring shall have a 30 inch diameter opening and shall also come in both 2 inch and 4 inch thicknesses.
- (d) Dimensions of foundations. The residential street light foundation shall be dimensioned as shown on standard drawing 565. The 7 foot arterial street light foundation shall be as shown on standard drawing 818.

DELIVERY

4. All manholes, handholes, and foundations will be delivered to the Division of Electrical Operations storage yard at 1539 South Ashland Avenue in Chicago, or to another location within the City as indicated on the order. Any manhole, handhole, or foundation deemed to be defective by the Commissioner or his representative must be removed and replaced at no cost to the City. The Commissioner's decision will be final.

NON-METALLIC CONDUIT

ELECTRICAL SPECIFICATION 1533
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED FEBRUARY 24, 2023

SCOPE

1. This specification states the requirements for both rigid and coillable non-metallic conduit. The conduit will be used for low voltage (600 volt rated cables) electrical street lighting and traffic control systems. It may also be used for fiber-optic communications cables. This conduit will be installed underground. Rigid non-metallic conduit may be installed on structure.

GENERAL

2. (a) Standards. The following standards are referenced herein.

ASTM – American Society for Testing and Materials
NEC – National Electrical Code
NEMA – National Electrical Manufacturer's Association
UL – Underwriter's Laboratories
- (b) Warranty. The manufacturer must warrant the conduit against defective workmanship and material for a period of one year from date of installation or date of delivery. Any conduit that is found to be defective must be replaced without cost to the City.
- (c) Sample. If requested by the Chief Procurement Officer, a sample of the conduit intended to be furnished under this specification, must be submitted to the Engineer of Electricity within fifteen (15) business days upon receipt of such request.

MATERIAL

2. (a) Rigid non-metallic conduit will be made of polyvinyl chloride (PVC). All conduit and fittings must comply with ASTM D 1784 and with the applicable sections of NEMA TC2, UL standard 651, and NEC Article 352. Fittings must meet the standards of NEMA TC3 and TC6, as well as UL 514.
- (b) Coilable non-metallic conduit will be made of high-density polyethylene (HDPE). All conduit must comply with ASTM D3350 and NEMA TC7.
- (c) A tape must be installed in the HDPE conduit at the factory. The tape is for pulling cable through the conduit. The tape must be specifically manufactured for this purpose. The tape must have a tensile strength of at least 1000 pounds.

SIZES

3. (a) PVC and HDPE will come in two wall thicknesses: schedule 40 and schedule 80.
- (b) PVC will come in ten-foot sections. HDPE will come on reels.
- (c) Nominal inside diameters (in inches) for non-metallic conduits will include the following: $\frac{1}{2}$, $\frac{3}{4}$, 1, 1 $\frac{1}{4}$, 1 $\frac{1}{2}$, 2, 2 $\frac{1}{2}$, 3, 3 $\frac{1}{2}$, 4.

PACKING

4. Rigid conduit must be shipped in bundles. Coilable conduit must come on wooden reels. Both bundles and reels must be tagged to indicate the size and diameter of the conduit, the quantity in feet, the weight, and the manufacturer's name. The conduit itself must be marked to indicate the type and size, as well as the manufacturer.

CABLE: SINGLE-CONDUCTOR, COPPER 600 VOLT

ELECTRICAL SPECIFICATION 1534
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED JULY 1, 2023

SUBJECT

1. This specification states the requirements for single conductor cables intended to be used in 240 VAC street lighting circuits. The cable will also be used as service cable for both street light controllers and traffic signal controllers. The cables will be installed in underground conduit and rated as 600 volt.

GENERAL

2. (a) Specifications. The cable shall conform in detail to the requirements herein stated, and to the applicable portions of the latest revisions of the specifications and methods of test of the following agencies:
- (1) ASTM – American Society for Testing and Materials
 - (2) ICEA – Insulated Cable Engineers Association
 - (3) IEEE – Institute of Electrical and Electronics Engineers
 - (4) UL – Underwriters Laboratories
- (b) Acceptance. Cable not in accordance with this specification will not be accepted.
- (c) Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be provided under this specification must be sent to the attention of the Engineer of Electricity within fifteen (15) days of receipt of such request.
- (d) Warranty. The contractor will be responsible for any cable failing during normal use within one (1) year after the date of acceptance by the City. The contractor shall provide material replacement of any failed cable. There shall be no cost to the City. All replacements must be made free of charge F.O.B. delivery point of the original contract.

CABLES

3. (a) Construction. The cable shall consist of a copper conductor with a tight fitting thermoset, free stripping, concentric layer of insulation.
- (b) The outer diameter of the cable shall be as noted in Table A.
- (c) Cable shall be UL approved for sunlight resistance and for direct burial applications.
- (d) Cable must meet IEEE 383 and UL 1581 70,000 BTUs per hour flame test requirements.

COLOR CODE

4. (a) Triplexed cable shall consist of a black cable, a red cable, and a green ground cable. Triplexed cable will have a 16" to 18" lay.
- (b) Individual cables will be black, red, or white, depending upon the order.

CONDUCTOR

5. (a) Material. The conductors shall be bare annealed copper. All strands shall be round.
- (b) Specifications. The conductor must meet the requirements of ASTM B3 and ASTM B8.

(c) Sizes. The conductor sizes must be in accordance with all requirements in Table A of this specification.

(d) Stranding. The number of strands must be as indicted in Table A. Stranding must meet the requirements of ASTM B8, Class B or Class C.

INSULATION

6. (a) Type. The insulation shall be either ethylene propylene rubber compound (EPR) or irradiated cross-linked polyolefin (XLPO) meeting the requirements of ICEA S-95-658 and UL 44 for RHW-2 cable and UL 854 for USE-2 cable.

(b) Thickness. The insulation shall be circular in cross-section, concentric to the conductor, and must have an average thickness not less than that set forth in Table A of this specification, and a spot thickness not less than ninety percent (90%) of the average thickness.

(c) Cable Marking. The cable shall be identified by a permanently inscribed legend in white lettering as follows:

1/C No. (conductor size) AWG-600V-90°C-EPR or XLPO-RHW-2

A similar marking may be acceptable. The legend must be repeated at approximately eighteen (18) inch intervals on the outside surface of the cable parallel to the longitudinal axis of the conductor. A sequential footage marking must be located on the opposite side from the legend.

PACKAGING

8. (a) Reels. The completed cable must be delivered on sound substantial, non-returnable reels. Both ends of each length of cable must be properly sealed against the entrance of moisture and other foreign matter by the use of clamp-on cable caps. The ends must be securely fastened so as not to become loose in transit. Before shipment, complete 2 X 4 lagging must be applied to all reels.

(b) Footage. Each reel must contain the length of cable as set forth in Table A of this specification. Alternate lengths may be considered.

(c) Reel Marking. A metal tag must be securely attached to each reel indicating the reel number, contract number, date of shipment, gross and tare weights, the appropriate City commodity code if applicable, and a description of the cable. Also, each reel must have permanent marking on it indicating the total footage, and the beginning and ending sequential footage numbers.

TABLE A

CONDUCTOR		INSULATION THICKNESS	A-C TEST	REEL LENGTH	OVERALL DIAMETER
<u>AWG</u>	<u>STRANDS</u>	<u>MILS</u>	<u>VOLTS</u>	<u>FEET</u>	<u>INCH</u>
14	7	45	5500	2000	.133
12	7	45	5500	2000	.152
10	7	45	5500	2000	.176
8	7	60	5500	2000	.236
6	7	60	5500	2000	.274
4	7	60	5500	2000	.322
2	7	60	5500	1000	.382
1/0	19	80	7000	1000	.470
2/0	19	80	7000	1000	.514
3/0	19	80	7000	1000	.564
4/0	19	80	7000	1000	.620
250 MCM	37	95	8000	1000	.705

CABLE: TRAFFIC SIGNAL, MULTIPLE CONDUCTOR, COPPER WIRE, 600 VOLT

ELECTRICAL SPECIFICATION 1537
 DIVISION OF ENGINEERING
 DEPARTMENT OF TRANSPORTATION
 CITY OF CHICAGO
 REVISED JUNE 5, 2024

SUBJECT

1. This specification states the requirements for a multiple conductor cable to be installed in underground conduits and used to distribute electrical energy to operate automatic traffic control signals for both vehicular and pedestrian traffic at street intersections within the City of Chicago. The cable will be used between the traffic controller cabinet and the junction boxes on the traffic signal poles. The cable will be rated as 600 volts.

GENERAL

2. (a) Specification. The cable must conform in detail to the requirements herein stated, and to the specifications and methods of test of the following:
- ASTM - American Society for Testing and Materials
ICEA - Insulated Cable Engineers Association
IEEE - Institute of Electrical and Electronic Engineers
UL - Underwriters Laboratories
- (b) Acceptance. Cable not conforming to this specification will not be accepted.
- (c) Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be furnished under this specification must be submitted to the attention of the Engineer of Electricity within fifteen (15) business days of receipt of such request.
- (d) Warranty. The manufacturer must warrant the cable to be first class material throughout. In lieu of other claims against them, if the cable is installed within twelve (12) months of date of shipment, the manufacturer must replace any cable failing during normal and proper use within two years of date of installation. All replacements under this warranty must be made free of charge F.O.B. delivery point of the original contract.

CABLES

3. (a) Construction. The cable must consist of coated conductors each concentrically encased with a free- stripping, thermoset cross-linked polyethylene insulation. Suitable fillers must be used to produce an essentially round cross-section. A Mylar tape must be wrapped over the conductor assembly, and a thermoset low smoke zero halogen polyolefin (LSZH) jacket applied overall.
- (b) Outer Diameter. The maximum allowable outer diameter for round cables must be as follows:
- | <u>No. Of Conductors</u> | <u>Outer Diameter</u> | (inches) |
|--------------------------|-----------------------|----------|
| Ten | 0.69 | |
| Twenty-Two | 1.08 | |
- (c) Cable shall be UL approved for sunlight resistance and for direct burial applications.
- (d) Cable must meet UL 1277, IEEE 383 and UL 1581 and UL1202 70,000 BTUs per hour flame test requirements.

COLOR CODE

4. Conductor identification must be provided by color synthetic-resin coverings, or an approved equal. Table A sets forth the color code for the various conductor arrangements.

CONDUCTOR

5. (a) Material. Solid, soft, or annealed, tinned copper wire, meeting the requirements of ASTM B-33 and B-258.
- (b) Size. Cables must be made up of conductor sizes as set forth in this specification. The Number 12 AWG will be solid.

INSULATION

6. (a) Type. The insulation must be a thermoset cross-linked polyethylene compound meeting the requirements of ICEA S-73-532 and UL 44 for XHHW-2 cable.
- (b) Thickness. The insulation must be circular in cross-section and have the following minimum thicknesses.

<u>Conductor Size. AWG</u>	<u>stranding (No. Of Wires)</u>	<u>No. of Conductors</u>	<u>Insulation Thickness (mils)</u>
#12	1	10	30
#12	1	22	30

CABLE TAPE

7. The assembled and cabled conductor core must be wrapped with a one mil (0.001 inch) thick Mylar tape allowing a minimum of ten percent (10%) overlap.

JACKET

8. (a) Material. The jacket must be a thermoset low smoke zero halogen (LSZH) polyolefin.
- (b) Workmanship. The jacket must have a smooth exterior surface free from holes, cracks, and splits, and must be tough, elastic, homogeneous in composition, and properly vulcanized.
- (c) Thickness. Thickness of the jacket must be 4/64 inches. Minimum thickness must be not less than ninety percent (90%) of the average thickness.
- (d) Cable Marking. Outer Jacket must be embossed or printed with the manufacturer's name, year of manufacture, insulation and jacket materials, conductor number, conductor size, at approximately 18" intervals. On the side opposite, the cable must be sequentially marked in one (1) foot increments. The jacket must be black.

TESTING

9. (a) Initial Physical Properties of Insulation.
1. Tensile Strength, minimum 1200psi
 2. Elongation at Rupture, minimum 250%
- (b) Physical Properties of Insulation After Aging. After 168 hours in air oven at 121° C.
1. Tensile Strength 75% of initial value
 2. Elongation 75% of initial value
- (c) Initial Physical Properties of Jacket.
1. Tensile Strength, minimum 1800psi
 2. Elongation at Rupture, minimum 300%
- (d) Physical Properties of Jacket After Aging. After 168 hours in air oven at 121° C.
1. Tensile Strength 75% of initial value
 2. Elongation 65% of initial value
- (e) Water Absorption. Tests must be made in accordance with ASTM D 470. After 168 hours in distilled water at 70° C., water absorption of the insulation material must not exceed 5 milligrams of water per square inch. For the jacket material the water absorption must not exceed 1 milligram per square inch under the same conditions.
- (f) Cold-Bend Test. The completed cable must pass cold bend test of ASTM D 470, except that the test temperature must be minus(-)25°C.
- (g) Electrical Requirements.
1. Voltage. The completed cable must meet an A.C. and D.C. voltage test in accordance with ASTM D 470 and D 2655.
 2. Insulation Resistance. The completed cable must have an insulation resistance of not less than 20,000 ohms when tested in accordance with methods in ASTM D 470.
- (h) Flame Tests. Cable must pass a 70,000 BTU flame test in accordance with IEEE 383.
- (i) Tests. The above tests must be performed on the insulation, the jacket, and the completed cable as required above. Tests must be performed on samples taken every 25,000 feet or fraction thereof of each cable size.
- (j) Reports. No cable will be accepted until certified copies of the test reports have been reviewed and approved by the City. Cable that does not pass any of the above tests will be rejected.

PACKAGING

10. (a) Reels. The completed cable must be delivered on sound substantial, non-returnable reels. Both ends of each length of cable must be properly sealed against the entrance of moisture and other foreign matter by the use of clamp-on cable caps. The ends must be securely fastened so as not to become loose in transit. Before shipment, complete 2 x 4 lagging must be applied to all reels.
- (b) Footage. Each reel must contain the length of cable as set forth below.
- | | |
|--------------------------|-----------|
| (1) Ten-Conductor | 2000 feet |
| (2) Twenty two-Conductor | 1000 feet |
- (c) Marking. A metal tag must be securely attached to each reel indicating the reel number, contract number, date of shipment, gross and tare weights, the appropriate City commodity Code Number if applicable, and a description of the cable. Also, each reel must have permanent marking on it indicating directions for unrolling the cable and the footage of cable contained in the reel. Indelible ink or other such material susceptible to washing off or fading will not be permitted; and approved permanent marking material such as paint or a securely attached metal tag is required.

TABLE A COLOR CODE CONDUCTOR IDENTIFICATION

Base Color	First Tracer	Second Tracer	10	22
White	Black	Red	--	12
White	Red	Green	--	12
Black	--	--	12	12
White	--	--	12	12
Red	--	--	12	12
Green	--	--	12	12
Orange	--	--	12	12
Blue	--	--	12	12
White	Black	--	--	--
Red	Black	--	12	12
Green	Black	--	12	12
Orange	Black	--	12	12
Blue	Black	--	12	12
Black	White	--	--	12
Red	White	--	--	12
Green	White	--	--	12
Blue	White	--	--	12
Orange	White	--	--	12
White	Red	--	--	12
Blue	Orange	--	--	12
Red	Blue	--	--	12
Green	Blue	--	--	12
Orange	Blue	--	--	12

**PEDESTRIAN COUNTDOWN TRAFFIC SIGNAL LED, 16 INCH WITH SYMBOLIC
WALK/DON'T WALK, POLYCARBONATE HOUSING**

ELECTRICAL SPECIFICATION 1545
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED FEBRUARY 14, 2014

SUBJECT

1. This specification states the requirements for a single section pedestrian countdown signal with light emitting diode (LED) symbolic messages on a nominal sixteen inch by eighteen inch message surface and enclosed in a polycarbonate housing.

GENERAL REQUIREMENTS

2. (a) Sample and Certified Test Report. One complete pedestrian countdown signal, fully assembled and wired, of the manufacture proposed to be furnished, must be submitted along with the required certified test reports, within 15 business days upon request of the Chief Procurement Office. The sample must be delivered to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois 60608.
- (b) Standards. Equipment furnished under this specification shall meet the appropriate requirements of the following standards, as required within the body of this specification:

American Association of State Highway and Transportation Officials (AASHTO)
American Iron and Steel Institute (AISI)
American Society for Testing and Materials (ASTM)
Institute of Transportation Engineers (ITE)
National Electrical Manufacturers Association (NEMA)
Underwriters Laboratories (UL)
- (c) Approval. Approval will mean approval in writing by the Commissioner or his duly authorized representative.

MATERIAL AND EQUIPMENT REQUIREMENTS

3. (a) The pedestrian signal heads must conform to ITE Standard "Pedestrian Traffic Control Signal Indications" (PTCSI), in which the most recently published revision will govern.
- (b) Housing Design. The housing must be one piece, ultra violet stabilized polycarbonate resin of the specified color, injection molded complete with integral top, bottom, and sides, having a minimum thickness of 0.1 inches.

The polycarbonate formulation used must provide these physical properties in

the housing (Tests may be performed on separately molded specimens).

TEST	REQUIRED	METHOD
Specific gravity	1.17 minimum	ASTM D 792
Vicat Softening temp	310-320° F	ASTM D 1525
Brittleness temp.	-200° F	ASTM D 746
Flammability Self-extinguishing		ASTM D 635
Tensile strength, yield	8,500 PSI	ASTM D 638
Elongation at yield	5.5-8.5%	ASTM D 638
Shear strength, yield	5,500 PSI min.	ASTM D 732
Izod impact strength (notched, .125" thick)	12-16 ft. lbs./in.	ASTM D 256
Fatigue strength (at 2.5 mm cycles)	950 PSI min.	ASTM D 671

- (c) Positioning Device. The top and bottom opening of each housing must have integral serrated bosses that will provide positive positioning of the signal head in 5° increments to eliminate undesirable rotation or misalignment of the signal head between sections. A total of 72 teeth must be provided in the serrated bosses to allow the signal face to be rotated 360° about its axis. The teeth shall be clean and sharp to provide positive positioning with the grooves of the mating section or framework. Each opening must accommodate standard 1.5 inch pipe fittings and brackets.
- (d) Hinges. The housing must have 4 integral hinge lugs, with stainless steel hinge pins (AISI 304 or equivalent), located on the left side for mounting the door. The hinge pins must be straight and not protrude past the outside of the housing lugs. The housing must have 2 integral latching bolt lugs on the right side each with a stainless steel hinge pin to which a latching bolt (AISI 304 or equivalent), washer, and wing nut will be attached. The wing nuts must be captive.
- (e) Door. The door must be a one piece ultraviolet stabilized polycarbonate resin of the specified color, injection molded complete with a minimum thickness of 0.1 inch. Two hinge lugs on the left side and 2 sets of latch screw jaws centered on the right side, as viewed from the front of the signal, must be integrally cast with the housing door. The door must be hinged to the housing with 2 stainless steel hinge pins, drive fitted. Two stainless steel latch screws and wing nuts and washer assemblies on the latch side of the housing body must provide for opening and closing the door without the use of tools.

The inside of the door must be grooved to accommodate a one piece, air-cored ethylene propylene diene monomer (EPDM) gasket to provide a weatherproof and dust proof seal when the door is closed. The outside of the door must have an integral rim completely encircling the opening to prevent leakage between the door and the LED module. The rim must have equally spaced tabs around the circumference with threaded metal inserts for the visor attachment.

- (f) Gaskets. Wherever necessary to make a completely dust-proof, moisture-proof and weatherproof assembly of the housing and optical system, approved type gaskets of neoprene or silicone rubber shall be provided.

LED OPTICAL MODULES

4. (a) Light emitting diode (LED) optical modules must consist of an integral unit containing the following components: power leads, housing, integral lens, matrix of light emitting diodes (LEDs) emitting monochromatic light of desired colors, and electronic and electrical components necessary to permit operation at nominal 120 volt, 60 hertz power. All units shall form a neat compact unit within the housing body with no light leakage between the door and the housing body.
- (b) The LED unit shall meet the applicable requirements of ITE's LED Pedestrian Traffic Control Modules. During the required operating life of LED signal units, the luminance output of the units must not be less than 60% of the values specified in the standard.
- (c) LED module power supply must be constant current regulated and filtered to provide instant on indications, and to prevent momentary signal outages or flicker.
- (d) Modules shall consist of LEDs uniformly distributed to present a homogeneous appearance on the face of the lens from a wide viewing angle.
- (e) LEDs shall be wired so that the loss of a single LED or a string of LEDs will not reduce the luminescence below the minimum requirement.
- (f) For purposes of this specification, failure of a single unit is defined as an occurrence where the luminescence of the signal measured in candela in standard test procedures is less than the required initial luminance or luminance at time points and conditions specified; or where minimum required brightness is achieved, but 2 or more series strings of LEDs or in excess of 20% of LEDs are not operable.
- (g) LED modules must be fully operable over a range of 90 volts to 130 volts at 60 hertz \pm 3 hertz.
- (h) Surge protection. Each unit must be provided with integral surge protection to withstand a transient of 600 volt, 100 microsecond rise and 1 millisecond pulse width. The surge protector shall provide full electrical and physical protection to all unit components.
- (i) Maximum permissible power consumption at ambient conditions (nominal 120 volts, 60 hertz, 70°F.) must be 18 watts at a minimum 90% power factor. Power consumed must not vary by more than 10% from nominal power consumption over a voltage range of 105 volts to 125 volts, and over permissible environmental ranges.
- (j) Modules must be fully operable at temperature ranges of -40°F. (-40°C.) to +165°F. (+74°C.) at up to 100% relative humidity.

(k) Modules shall be clearly marked on the back surface of the unit in a permanent manner showing information required for warranty and long term performance. Information to be shown must include manufacturer name, date of manufacture, electric power requirements, signal model type, and signal serial number.

(l) The LED module shall be compatible with all traffic signal controller equipment currently in use by the City of Chicago, and meeting the City's latest specifications for traffic signal control equipment. In particular the LED unit shall be compatible with the NEMA TS-1 and later traffic signal load switches and conflict monitors.

(m) Modules shall meet applicable sections of Title 47, Sub-Part B, Section 15 of the Federal Communications Commission (FCC) rules as applies to electronic noise limitation and electromagnetic interference.

(n) Total harmonic distortion (THD) induced into the voltage and current AC power line sine waves must not exceed 20%.

(o) Burn-in. LED Optical modules must be energized for a minimum 24 hour burn-in at 100% on-time duty cycle.

DISPLAY

5. (a) The message area shall be approximately 16 inches square and display the double overlay "Don't Walk" and "Walk" symbols immediately adjacent to the countdown digits. The symbols shall be applied in such a manner as to provide an opaque polycarbonate background and illuminated legends.
- (b) Symbolic Messages. Symbols for "Walk" (Man) and "Don't Walk" (Hand) must conform in style and color to those of ITE. The symbols must not be less than 9.5 inches high with proportional width. The "Don't Walk" symbol must be Portland orange, and the "Walk" symbol must be of lunar white, conforming to the specifications of the ITE/PTCSI.
- (c) Countdown Digits. Countdown digits must be Portland orange and not less than 9 inches high with proportional width and shall be compliant with latest ITE standards.
- (d) The module message surface shall be constructed of ultraviolet (UV) stabilized, impact resistant polycarbonate, acrylic or other approved material. The surface must be anti-glare, smooth texture, and clear.

WIRING

6. (a) Wire Leads. Each module connector must be furnished with 3 wire leads color coded as follows:

White	-	Common
Red	-	"Don't Walk" Indication

Green - "Walk" Indication

The leads must be No.18 AWG, stranded copper wire rated at 600 volt and 105°C., with thermoplastic insulation. The ends of the leads must be stripped of 0.5 inches of insulation and tinned. The leads must be splice-free and connected to one side of the terminal strip.

(b) Terminal Strip. A four terminal, eight point, barrier type terminal strip with solid base and pressure plate type connectors must be securely attached at each end to the housing body inside the walk section.

(c) Cable. One 11 foot length of flexible electric cord, medium duty, type SO, 3-conductor No. 16 AWG stranded copper, with color coded insulation, and an overall jacket, must be furnished with each pedestrian signal. Both ends of each cable length must be carefully stripped of 6 inches of jacket and 1 inch of insulation, and each conductor properly tinned.

COUNTDOWN FUNCTIONALITY

7. (a) The countdown unit shall be compatible with all traffic signal controller equipment currently in use by the City of Chicago, and meeting the City's latest specifications for traffic signal control equipment.
- (b) The countdown timer must have a micro-processor capable of recording its own time when connected to a traffic controller.
- (c) The countdown timer unit must continuously monitor the traffic controller for any changes to the pedestrian phase time and re-program itself automatically as needed.
- (d) The countdown unit must register the time for the walk and clearance intervals individually and must begin counting down at the beginning of the pedestrian change interval (flashing hand).
- (e) At the end of the pedestrian change interval, the unit must display A0" and the blank out. The display must remain dark until the beginning of the next countdown.
- (f) In the event of a preemption sequence, the countdown unit must skip the pre-empted clearance time and reach "0" at the end of the pedestrian change interval.
- (g) The countdown must remain synchronized with signal indications and always reach A0" at the end of the pedestrian change interval.
- (h) The countdown must not display an erroneous or conflicting time when subjected to defective load switches.

TESTING AND DOCUMENTATION REQUIREMENTS

8. (a) Documentation. The contractor shall provide certified manufacturing and testing documentation to demonstrate that the traffic signals being supplied meet or exceed the specification requirements. All LED Optical modules shall be tested by a nationally recognized testing laboratory (NRTL), such as Intertek (ETL division), to demonstrate compliance with the latest ITE VTCSH specification. All LED modules shall have the testing laboratory's label attached.
- (b) Inspection. The signals will be subject to inspection at the discretion of the Commissioner. Final inspection shall be made at point of delivery. Any signal rejected must be removed, disposed of, and replaced by the contractor at his sole cost.
- (c) Warranty. The manufacturer shall warrant the signals to meet the requirements of this specification, and must warrant all equipment, components, parts and appurtenances against defective design, material and workmanship for a period of 3 years from date of delivery [date of acceptance for contract construction]. In addition, LED optical modules must carry a 7 year warranty against failure or loss of color (chromaticity) and signal brightness (luminance) below minimum acceptable PTCSI standard levels from date of delivery [date of acceptance for contract construction]. In the event defects and failures occur in the LED units during the warranty period, the manufacturer must replace such units at no expense to the City. This warranty shall be evidenced by a letter or certificate of warranty submitted to the City at the time delivery is made. The LED warranty must cover all units delivered in an order or installed by contract, and must include unit serial numbers. The warranty must be signed and dated by an official of the manufacturer who is empowered by the manufacturer to enter into such a warranty.

PACKAGING

9. (a) Packing. Each pedestrian signal assembly shall be packed in a suitable carton so secured that the signal will not be damaged during shipment, handling or storage.
- (b) Marking. Each carton containing a pedestrian signal shall be clearly marked on the outside in letters not less than 3/8 inch tall with the legend: "PEDESTRIAN SIGNAL, COUNTDOWN, SIXTEEN-INCH, SYMBOLIC LED WALK-DON'T WALK@, the name of the manufacturer, the date of manufacture, the pertinent Contract Number and the appropriate City Commodity Code Number.

**NEMA TS2-2 SUPER P CABINET WITH ADVANCED TRANSPORTATION CONTROLLER AND
UNINTERRUPTIBLE POWER SUPPLY**

ELECTRICAL SPECIFICATION 1560
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED JANUARY 14, 2021

1. GENERAL REQUIREMENTS

1.1 This specification details the requirements for traffic signal control equipment for use in the City of Chicago. This equipment shall control traffic signal timing and sequencing at an intersection. The equipment shall include a battery back-up system which will maintain power to the signals during a power failure.

1.2 (For contract construction only) If requested by the City, the contractor shall provide a sample to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois 60608. The sample shall consist of the controller, cabinet, load switches, conflict monitor and all appurtenant wiring and equipment completely assembled as a working unit. This sample will be regarded as a finished production sample and conformance or non-conformance to these specifications will be based on the sample submitted.

(For City commodity contract only) If requested by the Chief Procurement Officer, within thirty (30) days from the receipt of such request, the bidder shall provide a sample to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois 60608. The sample shall consist of the controller, cabinet, load switches, conflict monitor and all appurtenant wiring and equipment completely assembled as a working unit. If the sample is acceptable and the bidder is awarded a contract, the sample will become the property of the City of Chicago with a suitable credit issued to the contract.

1.3 All tests as outlined herein shall be regarded as minimum requirements. The contractor shall submit his testing procedure for approval prior to performing any testing functions. Upon successful completion of all testing, certified test reports shall be submitted for each unit. Units not successfully passing these tests or lacking proper documentation will be rejected. The manufacturer, or manufacturer's representative, must be available for shop testing at the City's facilities.

1.4 Standards. Equipment furnished under this specification shall meet the appropriate requirements of the following standards, as required within the body of this specification:

American Association of State Highway and Transportation Officials
(AASHTO)
American Society for Testing and Materials (ASTM)

Institute of Transportation Engineers (ITE)
Manual on Uniform Traffic Control Devices (MUTCD)
National Electrical Manufacturers Association (NEMA)
Occupational Safety and Health Administration (OSHA)
Underwriters Laboratories (UL)

- 1.5 Standard Drawings. The Electrical Standard Drawing 962 "Load Switch and Conflict Assignment", Electrical Standard Drawing 964 "Traffic Controller Cabinet Back Panel and Power Supply, 1 of 2", and Electrical Standard Drawing 965 "Traffic Controller Cabinet Back Panel and Power Supply, 2 of 2" are integral parts of this specification.
- 1.6 Warranty. The manufacturer(s) shall warranty the performance and construction of the traffic signal controller and other major components to meet the requirements of this specification, and must warranty all parts, components, and appurtenances against defects in design, material, and workmanship for a period of one (1) year after acceptance by the City. In the event of defects or failures during this period, the manufacturer(s) must repair and/or replace all defective or failed parts or appurtenances at no expense to the City.
- 1.7 Manufacturer. The manufacturer of the cabinet and controller and the manufacturer of the battery back-up system must demonstrate a knowledge of past production, or have been actively engaged in the sale and/or service of the equipment herein described, as demonstrated by a submitted list of comparable projects.

2. CONTROLLER REQUIREMENTS

- 2.1 ATC. The controller shall be an Advanced Transportation Controller (ATC) meeting the requirements of the specification "Advanced Transportation Controller (ATC) Standard Version 5.2b" dated June 26, 2006 and the requirements of NEMA TS2-2003. The referenced ATC specification is a joint effort of AASTHO, NEMA, and ITE. Since each user agency has different controller needs, for the City of Chicago, the controller shall meet the programming modifications and options listed in the ATC Matrix as indicated in Table A. All software necessary to make the controller operational shall be included.
- 2.2 Power. The controller shall operate on 120 volt, 60 cycle (± 3 Hertz), single phase, alternating current. The controller shall function in the range from 89 to 135 Volts a.c. The power consumed must be under 50VA.
- 2.3 Packing. (For City commodity contracts only) Each controller, with all its component parts, shall be suitably packed in a single container in such a manner as to prevent damage to the contents in shipment and handling.
- 2.4 Instructions. One (1) complete set of up to date instructions providing complete information on installation, adjustment, operation and maintenance, including both up to date "Logic Schematics" and "Electronic Circuit" diagrams, of these controllers, shall be furnished to the Division of Electrical Operations for approval prior to the first shipment of controllers. All information, including photos and schematics, shall reference to the controller being furnished on this contract and must be a high quality, completely legible reproduction. Upon approval, one complete set of data must be furnished with each controller.

- 2.5 Training. If requested, the contractor shall provide training at the City's facilities. The training must be on the actual equipment provided under the contract, and must include, but not be limited to, programming all features, connecting and wiring, and troubleshooting. Training manuals are required (training manuals should include the instructions in a teaching-type format). Training shall be structured for both field personnel and shop personnel. The manufacturer shall provide all material and equipment necessary for the training.
- 2.6 Chassis. The chassis shall be aluminum with a powder coat finish. No plastic chassis or composite chassis will be allowed. The controller must physically fit into existing 'M', 'P', and 'SUPER P' cabinets configured for City of Chicago applications, so that retrofitting will not be a problem. The controller must not exceed the following dimensions: 10.5 inches high, 10.5 inches deep, and 15 inches wide.
- 2.7 Processor / Memory. At a minimum, the processor will be:

Clock speed - 300MHz
Non-volatile Memory - 32MB Flash
DRAM - 64MB
SRAM - 1MB
(All memory and firmware must be stored in flash memory. No EPROMS will be allowed.)
- 2.8 Display. The display shall be a 16 x 40 backlit LCD using a 6 x 8 character font. Display and keypad must be permanently attached to chassis. Detachable keypads will not be allowed.
- 2.9 Environmental. The controller shall operate in the temperature range of -34° Celsius to +74° Celsius. The controller shall operate within the relative humidity of 5% to 95%.
- 2.10 All printed circuit boards shall be mounted vertically.
- 2.11 Encapsulation of 2 or more discrete components into circuit modules is prohibited except for transient suppression circuits, resistor networks, diode arrays, solid-state switches, optical isolators and transistor arrays. All encapsulated components must be second sourced and must be of such design, fabrication, nomenclature or other identification as to be purchased from a wholesale distributor or from the component's manufacturer as a standard product. Custom encapsulated components are not allowed.
- 2.12 Obsolete components, components no longer supported by the manufacturer, components not recommended for new designs, components which have been discontinued or which the contractor should have reasonably been expected to know were discontinued, or components which the vendor/manufacturer has announced plans to discontinue at the time of the bid/contract must not be used in the design of any subassemblies provided under this contract.
- 2.13 The controller shall meet the functional and environmental requirements of NEMA TS2 2003. The use of 2070s, 170s, BIUs, SIUs, or similar devices is not allowed.

- 2.14 As allowed by ATC v5.2b, Section 8.1.1, the controller will utilize NEMA 'A', 'B', and 'C' I/O connectors, except for the HMC-1000 and LMD40 I/O variants. Pin assignments for NEMA 'A', 'B', and 'C' connectors shall follow the NEMA TS2 2003 standards for I/O. Port 2 must be the ATC v5.2b pin-limited version of NEMA TS2 Port 2. Port 4 (C50S) must be a 9-pin connector with only limited signals being required.

Special function connector for the TS2-2 shall follow the CPC style "D" pin outs as follows:

CPC MSD Pin	Function
1	Flash
2	Offset 1
3	Interconnect Common
4	User defined input 6
5	Offset 2
6	Offset 3
7	Time Plan A
8	User defined input 7
9	User defined input 8
10	Call to Free
11	Call to week 10
12	Time Plan B
13	Time Plan C
14	Time Plan D
15	Alt Seq A
16	Alt Seq B
17	Alt Seq C
18	Dimming
19	Monitor status bit C
20	System Input
21	Alt Seq D
22	Monitor status bit A
23	Monitor status bit B
24	Veh Det 13
25	Veh Det 9
26	Veh Det 10
27	Veh Det 11
28	Polarizing Pin
29	Veh Det 12
30	Veh Det 14
31	Veh Det 15
32	Veh Det 16
33	SGO/Conditional Service
34	Preempt input 5
35	Preempt output 1
36	Preempt output 2
37	Interconnect inhibit

38	Time Clock sync
39	Sync inhibit
40	Preempt input 1
41	Preempt input 2
42	Preempt input 3
43	Preempt output 3
44	Polarizing Pin
45	Preempt output 4
46	Preempt output 5
47	System Out
48	Preempt output 6
49	Preempt input 4
50	Clock Ckt 9 (Aux 1)
51	Clock Ckt 10 (Aux 2)
52	Clock Ckt 11 (Aux 3)
53	Clock Ckt 12 (Aux 4)
54	Clock Ckt 13 (System)
55	Clock Ckt 8 (Flash)
56	Clock Ckt 3 (Offset 1)
57	Clock Ckt 4 (Offset 2)
58	Clock Ckt 5 (Offset 3)
59	Clock Ckt 1 (T/P A)
60	Clock Ckt 2 (T/P B)
61	Clock Ckt 6 (T/P C)
62	Clock Ckt 7 (T/P D)
63	Preempt input 6

2.15 Downward compatibility with existing City of Chicago cabinets.

- (1) The controller shall be of a modular design allowing for the ability to exchange I/O modules to allow for use in existing City of Chicago HMC-1000, LMD40, and standard NEMA TS2-2 cabinets. This I/O module shall be “plug and play”. The controller’s firmware must detect the type of I/O installed (HMC-1000, LMD40 or NEMA TS2) and provide the proper user interface. Adapter harnesses for the HMC-1000, LMD40 and Setcon clock will not be allowed.
- (2) The HMC-1000 I/O module shall be pinned as follows:

63 Pin Connector	Function
1	Output 20
2	Output 11
3	Manual Advance
4	Stop Time
5	Output 24
6	Offset 1
7	Offset 3
8	Output 15

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9	Preempt 2
10	Advance
11	Output 23
12	Restart
13	Output 32
14	Offset 2
15	Output 16
16	Preempt 1
17	Output 25
18	Output 28
19	Spare 1
20	Spare 2
21	Output 7
22	Output 18
23	Output 21
24	Output 22
25	Dial 3
26	Dial 2
27	Output 1
28	Output 14
29	Output 4
30	Output 29
31	Output 27
32	Output 17
33	Output 9
34	Output 19
35	Dial 4
36	On-Line
37	Flashing Bus
38	Manual
39	Output 30
40	Output 31
41	Output 12
42	Output 10
43	Output 2
44	Output 3
45	Output 13
46	Output 8
47	Output 26
48	Logic Ground
49	Not Used
50	Not Used
51	Output 5
52	Output 6
53	Logic Ground
54	Logic Ground
55	Not Used
56	Not Used

57	Not Used
58	Not Used
59	24 V.D.C
60	Not Used
61	115 Volts AC
62	AC Neutral
63	Chassis Ground

(3) The LMD40 I/O module contains 4 I/O connectors, MSA, MSB, MSD, and communications connectors which shall be pinned as follows:

LMD40 MSA	Pin	Voltage Level
Actuation 3	A	DC
24 V.D.C	B	DC
Voltage Monitor	C	DC
Actuation 1	D	DC
Actuation 2	E	DC
Preemption 2	F	DC
Preemption 1	G	DC
Interval Advance	H	DC
Stop Time	J	DC
MCE (Manual Control)	K	DC
External C/S/O	L	DC
Signal Plan 2	M	DC
Signal Plan 3	N	DC
System Cont/AZ Reset	P	DC
External Start	R	DC
Remote Flash (AC)	S	120 VAC
Interconnect Common	T	120 VAC
AC – (Common)	U	AC
Chassis Ground	V	Earth Ground
Logic Ground	W	DC Reference
Output 1	X	DC
Output 2	Y	DC
Output 3	Z	DC
Output 4	a	DC
Output 5	b	DC
Output 6	c	DC
Output 7	d	DC
Output 8	e	DC
Output 9	f	DC
Output 10	g	DC
Output 11	h	DC
Output 12	i	DC
Output 13	j	DC
Output 14	k	DC
Output 15	m	DC
Output 16	n	DC

AC+ input	p	120 VAC
Output 17	q	DC
Output 18	r	DC
Output 19	s	DC
Output 20	t	DC
Output 21	u	DC
Spare Output	v	DC
Spare Output	w	DC
Spare Output	x	DC
Cycle 2 (User Defined)	y	120 VAC
Cycle 3 (User Defined)	z	120 VAC
Split 2	AA	120 VAC
Split 3	BB	120 VAC
Output 22	CC	120 VAC
Output 23	DD	120 VAC
Offset 1	EE	120 VAC
Offset 2	FF	120 VAC
Offset 3 (user def 1)	GG	120 VAC
Output 24	HH	DC

LMD40 MSB	Pin	Voltage
Output 25	A	DC
Output 26	B	DC
Output 27	C	DC
Output 28	D	DC
Output 29	E	DC
Output 30	F	DC
Output 31	G	DC
Output 32	H	DC
Output 33	J	DC
Output 34	K	DC
Output 35	L	DC
Output 36	M	DC
Output 37	N	DC
Output 38	P	DC
Output 39	R	DC
Output 40	S	DC
Actuation 4	T	DC
Hold	U	DC
Force Off	V	DC

LMD40 MSD	Pin	Voltage
Flash Monitor 1	1	120 VAC
Cycle 5	2	120 VAC
PE Clear 1	3	DC
PE Clear 3	4	DC
Flash Monitor 2	5	120 VAC
Spare Input 4	6	120 VAC

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System Input	7	120 VAC
AZ Reset (Absolute Zero)	8	DC
PE Clear 2	9	DC
UD 6 Input	10	DC
Call to week 10	11	DC
Signal Plan 6	12	DC
Signal Plan 7	13	DC
Signal Plan 8	14	DC
Actuation 5	15	DC
Actuation 6	16	DC
Actuation 7	17	DC
Spare input 1	18	DC
UD 7 Input	19	DC
Actuation 8	20	DC
Actuation 9	21	DC
Actuation 10	22	DC
Spare input 2	23	DC
UD 8 input	24	DC
Sys Command (Ckt 13)	25	DC
Flash Attained	26	DC
PE Active	27	DC
Polarization	28	DC
System Out	29	DC
Preempt input 3	30	DC
Preempt input 4	31	DC
Preempt input 5	32	DC
Signal Plan 5 in	33	DC
Call to FREE op	34	DC
Output 41	35	DC
Output 42	36	DC
Interconnect Inhibit	37	DC
Spare input 3	38	DC
Sync Inhibit	39	DC
Dimming	40	DC
Added Time inhibit	41	DC
Time Clock Sync	42	DC
Output 43	43	DC
Polarization	44	DC
Output 44	45	DC
Output 45	46	DC
Output 46	47	DC
Output 47	48	DC
Signal Plan 4	49	DC
Aux 1 (Ckt 9)	50	DC
Aux 2 (Ckt 10)	51	DC
Aux 3 (Ckt 11)	52	DC
Aux 4 (Ckt 12)	53	DC
Output 48 (FF Enable)	54	DC

Flash Out (Ckt 8)	55	DC
Offset 1 (Ckt 3)	56	DC
Offset 2 (Ckt 4)	57	DC
Offset 3 (Ckt 5)	58	DC
Cycle 2 (Ckt 1)	59	DC
Cycle 3 (Ckt 2)	60	DC
Split 2 (Ckt 6)	61	DC
Split 3 (Ckt 7)	62	DC
Fast Flash Image	63	DC

LMD40 Communication Connector (15 pin sub-D)	PIN	Voltage
System Detector 11	1	DC
System Detector 12	2	DC
System Detector 13	3	DC
System Detector 14	4	DC
System Detector 15	5	DC
System Detector 16	6	DC
System Detector 17	7	DC
System Detector 18	8	DC
Monitor Status bit B	9	DC
Monitor Status bit A	10	DC
Monitor Status bit C	11	DC
DC User Defined in #1	12	DC
Logic Ground	13	DC
DC User Defined in #2	14	DC
DC User Defined in #3	15	DC

- (4) The Setcon I/O connector will be resident on the HMC1000 version of the ASTC I/O.

Setcon Clock Connector	PIN	Voltage
Output 1	1	DC
Output 2 (Dial 2)	2	DC
Output 3 (Dial 3)	3	DC
Output 4 (Dial 4)	4	DC
Output 5 (Offset 1)	5	DC
Output 6 (Offset 2)	6	DC
Output 7 (Offset 3)	7	DC
Output 8 (Flash)	8	DC
Sync Output	9	DC
Sync Input	10	DC
Not used	11	N/A
Logic Ground	12	DC
Not Used	13	N/A
Not Used	14	N/A
Not Used	15	N/A
Not Used	16	N/A

2.16 Communication.

- (1) NTCIP (National Transportation Communications for ITS Protocol).
 - a. The controller shall be compliant with NTCIP Standards as outlined in NEMA TS2 – 2003 and must be tested and documented for compliance.
 - b. Global objects shall be compliant to NTCIP 1201 v2.26 or later.
 - c. Actuated Signal Controller objects shall be compliant to NTCIP 1202 v2.19f or later.
- (2) Serial ports, one of which must be set as either RS-232 or RS-485.
- (3) Ability to add an internal GPS module.
- (4) Ethernet. The controller must be equipped with a minimum of two front panel mounted 10/100Mb Ethernet ports.
- (5) A single port USB interface must be provided to facilitate database transfers, re-flashing of operation software and log transfer.
- (6) The unit must be fully compatible with, and fully functional within, the City's existing traffic signal management system. All available functions and capabilities that exist within existing controllers must be available within this unit, as well as compatible with the ATC LMD40 unit and the ATC NEMA unit. Any additional software or hardware necessary to fully integrate the controller into the City's traffic signal management system must be provided by the bidder/contractor and will be considered as part of the requirements of this specification.
- (7) Windows based laptop utility software must be provided for data transfers and monitoring of controller operation.
- (8) A fiber-optic modem shall be provided, if required. The modem must be compatible with existing City fiber interconnect systems. The modem may be internal or external to the controller.

2.17 Software operation.

- (1) The controller shall have the ability to re-synch a minimum of 8 cycle lengths to an "absolute zero" reference point. It must be possible to set absolute zero by either global command or individual cycle length.
- (2) In addition to hardwire input, it shall be possible to set Absolute Zero via keyboard command or fiber optic communication.
- (3) The controller shall have the ability to operate in two modes of operation, selectable by time of day:

- a. Actuated control per NEMA TS2 – 2003.
- b. Pre-timed Interval based control per NEMA TS2 – 2003.
- (4) The controller shall have the ability to transfer between actuated control and interval based control by time of day schedule.
- (5) The controller will have 32 Pre-timed plans
 - a. Each plan will allow for up to 32 timing intervals
 - b. Each plan will allow for 64 circuit outputs. Each output must be individually programmable per interval.
- (6) The controller shall have 100 coordination plans.
- (7) The controller shall provide 6 preempts per NEMA TS2-2003.
- (8) The controller shall offer security as follows:
 - a. Two 4 digit security codes can be programmed (one for timing data, one for signal plan data), which when activated, allow data changes. These codes must automatically de-activate 10 minutes after the last user keystroke. It will be possible to re-program the security codes if the previous security code is known or has been defeated.
 - b. It must not be possible to read the security code from the controller's display.
 - c. It must be possible to access the controller in the case of a lost security code through a "back door" which is provided only by the controller manufacturer. This "back door" security code must change based upon the controller's internal calendar.

3. CONFLICT MONITOR

- 3.1 General. Each controller shall be furnished with a NEMA conflict monitor unit for checking for conflicts in the signal output circuits. The conflict monitor shall be capable of monitoring a minimum of twelve (12) distinct channels. It must be a self-contained unit with its own power supply and not be located within the timer housing.
- 3.2 Programming Board. A removable programming board shall be supplied with the monitor for programming signal compatibility. The circuits for programming must be composed of soldered jumper wires. Diode or dip switch type programming will not be acceptable. The programming board must contain no circuitry or components other than the wire jumpers and the wire jumper soldering devices.
- 3.3 Flashing Circuit Energizing. The conflict monitor shall be programmed to put the controller in a flashing sequence upon detection of a failure or conflicting signal display.

The controller must also be programmed to energize the flash circuit if the conflict monitor is removed or loses its supply voltage. The conflict monitor must have a manual reset button to return the controller to normal operation after conflict circuit operation is no longer necessary.

- 3.4 Stop Time Circuit. A stop-time control circuit shall be supplied from the conflict monitor to force the timer unit to stop timing upon detection of a conflict.
- 3.5 Indicator. The front panel of the conflict monitor housing shall have an indicator which will be activated when a conflict or failure occurs as per Section 6 of NEMA Spec. TS1-1983.
- 3.6 Latch Circuit. The conflict monitor shall have a latch circuit, insuring that if a voltage monitor failure occurs, the intersection remains in conflict until reset.
- 3.7 Memory. The conflict monitor shall have the ability to store, in memory, a minimum of ninety-nine (99) conflict events, including date of conflict and channels conflicting.
- 3.8 Conflict Monitor Assignments

- (1) Conflict monitor channels shall be assigned as follows:

Channel 1	Load Switch 1	Phase 1 Vehicle
Channel 2	Load Switch 2	Phase 2 Vehicle
Channel 3	Load Switch 3	Phase 3 Vehicle
Channel 4	Load Switch 4	Phase 4 Vehicle
Channel 5	Load Switch 5	Phase 5 Vehicle
Channel 6	Load Switch 6	Phase 6 Vehicle
Channel 7	Load Switch 7	Phase 7 Vehicle
Channel 8	Load Switch 8	Phase 8 Vehicle
Channel 2W	Load Switch 9	Phase 2 Ped
Channel 4W	Load Switch 10	Phase 4 Ped
Channel 6W	Load Switch 11	Phase 6 Ped
Channel 8W	Load Switch 12	Phase 8 Ped
Channel 9	Load Switch 13	Overlap A
Channel 10	Load Switch 14	Overlap B
Channel 11	Load Switch 15	Overlap C
Channel 12	Load Switch 16	Overlap D

- (2) It shall be possible for the user to change conflict assignments without unsoldering any connections.
- (3) All unused channels - vehicle or pedestrian - must be neatly tied or terminal mounted in such a manner that they are readily available in front of the panel. If tied, the harness wires must be labeled. If terminal mounted, the terminations must be labeled.
- (4) A terminal shall be provided for the red enable feature.

(5) A terminal shall be provided for the hook up of any unused red channels to AC.

(6) Controller monitoring shall consist of; voltage monitor, 24VDC- I, 24VDC- II.

(7) The output relay shall operate a sixty (60) ampere, normally open, "A" type contactor without the use of an external or "cabinet interface" relay.

4. SUPER P CABINET

4.1 Housing. Each controller shall be furnished completely housed in a Type 5052-H32 aluminum housing of 0.125 inch thickness. The exterior dimensions of the cabinet shall be approximately 57 inches high, 58 inches wide, and 27 inches deep. The top of the cabinet shall be approximately 58 inches wide and 29 inches deep. The top of the cabinet must have a front to rear slope that will direct rain away from the front cabinet door. Door openings must be double-flanged. The interior of the cabinet will be divided into two compartments. The interior of the main cabinet shall be equipped with four (4) "C" mounting channels on both side walls and two (2) "C" mounting channels on the rear wall. The UPS portion of the cabinet shall be equipped with two (2) "C" mounting channels on each of the two side walls. All shelves, panels and individual equipment items must be mounted to these channels using 1.0" channel nuts with 1/4-20 bolts. All items mounted on panels must be securely fastened by bolting into drilled and tapped holes. No pop rivet or similar fastening methods will be accepted.

4.2 Doors. The cabinet shall have a main door hinged with one-quarter inch (1/4") minimum, continuous, removable stainless steel pins. The hinges themselves will be aluminum secured to the cabinet with stainless steel bolts. The battery compartment door on the side of the cabinet must be similarly hinged. The main cabinet door will be hinged on the right side. The battery compartment door will be hinged on the left side. The doors must be closely fitted to a neoprene gasket making the doors dust, water and weather resistant. The doors must be interchangeable with any other doors from any other controller.

Opening of the main door must provide complete access to the cabinet interior. The door shall be embossed, subject to approval, with the legend "CITY OF CHICAGO-TRAFFIC CONTROL" in letters at least one (1) inch high. The main door and the battery compartment door must have stops at 90, 150 and 180 degrees, from the closed position. The door latches must have three (3) point locking with rollers at the ends of the latch rods. The latch handle must be capable of being padlocked. The key lock for the latch mechanism must be a Corbin cylinder lock with keys to match existing City of Chicago controller cabinets. Two (2) keys must be furnished with each cabinet. Both the main door and the battery compartment door will have stainless steel handles with an 8" shank. The handles must be able to be padlocked. The padlocking arrangement must clear the lock and key.

Police Panel Door. The police panel door on the main door shall be furnished with a lock for a modified Chicago police key per sample to be furnished to the supplier. This key must have a shaft of at least one and three quarter inches (1-3/4") in length. Two

keys must be furnished with each cabinet. The door will have a stainless steel piano hinge and be sealed with a neoprene gasket.

Generator Door. This door will be on the rear of the cabinet. This door will have a stainless steel piano hinge and be sealed with a neoprene gasket. Two keys will be furnished for this door.

- 4.3 Cabinet Ventilation. The main cabinet compartment shall be provided with a mounting assembly to hold the forced air fan system. A fan, having a minimum air movement capacity of 100 CFM, shall be mounted in the air baffle in the top of the cabinet with an air outlet built into the roof overhang. The main door must be louvered and equipped with a removable, standard, commercially available aluminum dust filter. The battery compartment shall have a similar fan system. The battery compartment door must also have a louvered section with a removable dust filter. The ventilation openings must be equipped with removable covers for summer operation. No external fan housings or air outlets will be allowed. Any other method must be approved.
- 4.4 Shelves. The cabinet shall contain a vertically adjustable shelf large enough to accept the solid state controller and all other shelf mounted devices. The battery compartment shall have a minimum of three shelves.
- 4.5 Bolt Pattern. The bolt pattern shall be a four (4) point rectangular pattern matching the corresponding foundation. The dimensions will be 40.75" center-to-center and 18.5" center-to-center.
- 4.6 Finish. The exterior surfaces of the cabinet must be smooth. All drilled, tapped, or punched holes on the outer surface must be filled with liquid metal and ground smooth, and slotted screw heads must be ground smooth flush with surface. Bolts extending through cabinet wall must be round head, carriage, square shoulder type and fastened on the inside of the cabinet with an Esna nut and necessary gaskets to insure the weatherproofing integrity of the cabinet. The finished cabinet must be thoroughly degreased in a wash process and dried in a heated chamber. A thermosetting, ultra violet resistant, polyester powder coat must be electrostatically applied to all cleaned and treated surfaces and cured to a hard, mar resistant finish in a heated chamber at a temperature recommended by the powder coat paint manufacturer. Exterior color must conform to Federal Standard 595 #17038 for gloss black. Cabinet interior must be glossy white and may be either baked enamel or thermosetting, polyester powder coat. For either process, the interior must be prepared as described above. If the baked enamel finish is used, it must be preceded by one (1) coat of primer.

5. POWER SUPPLY

- 5.1 A sixty (60) ampere main breaker shall be inserted in series with the line.
- 5.2 An un-fused terminal bus shall be provided for ground side of the power supply and signal conductor commons.
- 5.3 Individual circuit breakers shall be supplied for: (a) AC+ lights, 50 amperes; (b) AC+ control, 10 amperes; (c) duplex outlet supply, 15 amperes.

- 5.4 The incoming line shall contain lightning protection devices consisting of, but not limited to, a metal oxide varistor and gas type arrester. The gas type arrester must be on the line side of the radio interference filter.
- 5.5 Contactor. A sixty (60) ampere, normally open, "A" type contactor shall be supplied for opening and closing the AC supply to the signal bus. The contactor must be mounted in such a manner on the power supply panel that accidental contact does not produce a safety hazard.
- 5.6 R.I.S. Filter. A radio interference suppression filter rated at sixty (60) amperes minimum shall be installed in line with the main power supply, after the sixty (60) ampere circuit breaker.
- 5.7 Ground. The grounded side of the power supply must be continuous throughout the controller and must be grounded to the controller cabinet in an approved manner meeting OSHA requirements.
- 5.8 Polarity. The phase conductors of the signal circuits shall have the same polarity as the phase side of the power supply, and the common conductor(s) shall be of the same polarity as the grounded side of the power supply.

6. UNINTERRUPTIBLE POWER SUPPLY

6.1 General. The uninterruptible power supply (UPS) will consist of batteries which will recharge through the 120 volt electric service line. In the event of a power disruption, the unit will automatically activate. The transfer from utility power to battery power will not interfere with the normal operations of the traffic controller, conflict monitor, or any other part of the traffic system. A generator port will be provided to accept input from an external generator that can operate the traffic signals. The UPS must be the product of an established manufacturer, and suitable for the service required. The UPS must be manufactured by an established manufacturer who has been in the business for a minimum of five (5) years.

6.2 General Operation

- (1) The line power provided by ComEd is nominally 120 volt, single phase, 60 Hertz. The UPS system must take the line power, regulate it, and provide continuous 120 volt, single phase, 60 hertz power to the traffic system. The UPS must regulate the input line voltage within the limits specified herein. The input line voltage must also be transformed and rectified to charge the batteries. Under battery operation, the output from the batteries will go through an inverter to provide the proper A.C. current to provide continuous 120 volt, single cycle, 60 Hertz power to the traffic system. In the event of a power loss, the system must automatically switch to battery operation, without adversely affecting the traffic system. When power is restored, the system must automatically switch back without adversely affecting the traffic system. In the event the UPS system fails, an automatic switch must bypass the UPS and connect unconditioned power from ComEd directly to the traffic system. A manual bypass switch shall also be provided. The system shall be capable of running off a generator. The UPS will allow the generator to be put in or out of the system without adversely affecting the traffic system.
- (2) The system will be capable of providing power for normal full timing mode, flash mode, or a combination of both. The operation will be field programmable to activate at various times, to change operation due to changing battery capacities, and to track alarm conditions, using the touch pad or remotely using the RS-232 interface. Programmability shall be in ASCII formats and shall not require any external or proprietary software. The DB-9 connector for the RS-232 interface shall be located on the front panel of the UPS. The UPS must provide a minimum of 4 hours of full normal timing for a full LED controlled intersection.
- (3) In the event ComEd line voltage falls outside the high and low limits (95VAC and 130VAC should be the default values) the UPS must transfer the load to battery power. The high and low limits shall be programmable.
- (4) The UPS must return to line mode when the ComEd power is restored within the proper limits for a specified period of time. The limits shall be programmable. The default values should be 105VAC and 125VAC. This time shall be programmable and should range from 3 to 30 seconds.

- (5) The transfer time allowed, from disruption of normal utility line voltage to batteries or from batteries back to line voltage, must be such that the traffic signal system is not disrupted. The maximum transfer time allowed will be 60 milliseconds.

6.3 Specifications

- (1) The UPS capacity will be a minimum of 2000VoltAmps/ 1500 watts.
- (2) The inverter shall have a minimum efficiency of 80%.
- (3) The UPS will have an operating range of between -37°C. to +74°C.
- (4) The manual bypass switch shall be rated at 240 volts, 40 amps.
- (5) The UPS shall have a temperature compensated battery charging system. The charging system must compensate over a range of 2.5mV to 4 mV per degree centigrade per cell. Batteries must not be charged when temperatures exceed 50°C. The temperature sensor shall be located in the cabinet near the batteries.
- (6) The charger shall be rated at 10 amps at 48 VDC.
- (7) When under battery operation the UPS output voltage must be between 110 VAC and 125VAC, with a sine wave with THD less than 3% at 60 Hertz (± 3 Hz).
- (8) The UPS shall be equipped to prevent a malfunction feedback to the utility service or to the cabinet per UL 1778, Section 48 "Back-Feed Protection Test". The upstream back-feed voltage from the UPS must be less than 1 volt AC.
- (9) The UPS shall have a lightning surge protection in compliance with IEEE/ ANSI C.62.41 for 2000 volts AC.
- (10) The UPS shall not weigh more than 50 pounds.
- (11) The UPS shall have a minimum efficiency of 95%.
- (12) The generator bypass switch shall be supplied with a 30 amp, weather-proof locking receptacle and cover plate.

6.4 Computer Control and Display

- (1) The UPS shall include an LCD display with programmable keypad, a red LED and a green LED, and an RS-232 interface.
- (2) The UPS processor shall be capable of indicating, through the LCD display or the RS-232 interface, the current battery charge status, various input/output voltages, power output, battery temperature, date, time, settings of

programmable relays, events, and various other functions.

- (3) The UPS shall provide a temperature control for the cabinet fan.
- (4) The UPS shall be provided with a resettable inverter event counter and a cumulative inverter timer.
- (5) The UPS shall be equipped with an event log for a minimum of 100 events. Each event must have a date and time.
- (6) The UPS shall be capable of performing a self-test.
- (7) Password protection shall be provided.
- (8) The following LED conditions shall be used to indicate current status:
 - RED FLASHING - Alarm
 - RED STEADY - Fault
 - GREEN FLASHING - Battery Mode
 - GREEN STEADY - Line Mode
- (9) The manual UPS bypass switch will allow the UPS to be maintained or replaced.

6.5 Battery System

- (1) Individual batteries shall be 12 volt, and must be commercially available and easily replaced.
- (2) Four 79ah batteries shall be supplied.
- (3) The batteries will be connected in series. The wiring harness must be color coded and have quick disconnects.
- (4) Batteries must be certified to operate over a temperature range of -25° C. to +74° C.
- (5) The batteries shall be extreme temperature, deep cycle, sealed prismatic lead-calcium based AGM/VRLA (absorbed glass mat/valve regulated lead acid) .
- (6) Maximum recharge time from protective low cut-off to 80% of full capacity must not exceed 20 hours.
- (7) Thermostat controlled heater strips or pads shall be supplied to keep battery operation efficient.

6.6 Relay Contacts

- (1) The UPS shall provide 6 sets of panel-mounted, potential free, fully

programmable relay contact rated at 1 amp, 120 volt. The relays shall be numbered from C1 to C6.

- (2) Each relay shall be programmable to activate under any number of the following conditions:

ON BATTERY, relay activates when UPS switches to battery power.
LOW BATTERY, relay activates when batteries have reached a certain level of remaining capacity. This is adjustable from 0 to 100%.
TIMER, relay activates after battery power is on for a certain amount of time. This is adjustable from 0 to 8 hours.
ALARM, relay activates after a specific alarm is detected. Alarm conditions include line frequency, low output voltage, no temperature reading, overload, batteries not connected, high temperature, and low temperature.
FAULT, relay activates after a specific fault is detected. Fault conditions include short circuitry, low battery voltage, high battery voltage, high internal temperature, and excessive overload.
OFF, relay is not active.

7. LOAD SWITCH BAY

- 7.1 General. A panel shall be provided for mounting the load switch jacks, flash transfer relay jacks, flasher jack, auxiliary relays, time clock jacks, switches, flash change combination terminals, and terminals for field signal connections under non-interconnected operation. See Electrical Standard Drawings 964 and 965.
- 7.2 Wiring. Panel wiring must be neatly laced and properly terminated individual conductors. They must be insulated and properly sized for their application.
- 7.3 Load Circuits. Each load circuit shall be capable of carrying fifteen (15) amperes continuously at a temperature of 165° F. (74° C.).
- 7.4 Bus Feeds. Bus feeds shall be capable of carrying fifty (50) amperes continuously at a temperature of 165° F. (74° C.).
- 7.5 Equipment. In addition to the items listed in 2(a), the wiring panel shall include, but not be limited to, the following:
- (1) Ten (10) ampere fuses with barrier type fuse holders shall be installed between the load switch signal output circuits and field terminals for signal light conductors. Each terminal shall be the barrier type with sufficiently long screws to accept four (4) #12 AWG solid conductors. The terminals must be located at least two inches (2") above the bottom of the cabinet.
- (2) Switching Device. The signal load switching device shall be a three (3) circuit, solid state, jack mounted load switch which meets the N.E.M.A. Publication TS-1, Part 5 requirements. Each load switch shall be rated for a minimum fifteen (15) ampere continuous resistive load and must mate with an

S-2412-SB panel socket. A minimum of twelve (12) and a maximum of sixteen (16) load switches to be provided with each cabinet, as defined in the contract.

- (3) User Programmable Interface. Two (2) sets of terminal blocks shall be provided between the machine logic output and the input side of the load switches. By terminating all machine logic output on one set of terminals and all load switch input to the other set, an interface is thus created by which the machine logic can be readily connected to any of the load switches by means of a jumper wire. The two (2) sets of terminal blocks must be conveniently located in close proximity to each other and must be arranged such that, initially, each function will be factory wired directly from one set of terminals to the other without the need to criss-cross wires between blocks.
- (4) Number of Signal Circuits:
 - a. Sixteen (16) load bay panel. Each panel shall be equipped with sixteen (16) load switch jacks for a minimum of forty-eight (48) signal circuits.
 - b. All unused signal circuits must be neatly tied or terminated. If tied, the harness wire must be labeled. If terminated, each termination must be identified.

7.6 Identification. All field terminals must be suitably identified, subject to approval.

8. FLASHING FEATURE

8.1 General. The flasher must be a solid state device, with no contact points or moving parts, producing between 50 and 60 flashes per minute with a 40 to 50 percent duty cycle. The flasher mechanism shall be mounted on a type P-406-SB plug which will mate with an S-406-SB socket on the controller panel. The flasher must utilize zero-point switching, with turn-on at the zero voltage point (± 5 degrees) of the power line sinusoid.

8.2 Flasher Panel. A panel must be provided with one (1) terminal wired to the flasher and marked "FL". The panel must be equipped with terminals to provide or omit flashing of all red and yellow outputs.

8.3 Flasher Circuits. Flashers shall provide two (2) output circuits to permit alternate flashing of signal phases and must be capable of carrying a minimum of twenty (20) amperes per circuit at 120 volts. The flasher must operate continuously so that flashing power will be available at the field terminal marked "FL". The flasher wiring must divide the loads imposed on the two (2) circuit flasher alternately on each phase.

8.4 Manual Flash. A manual flash switch shall provide flashing indication for all circuits. The flash change combination terminals must allow the selection of flashing either yellow or red on the main and/or cross streets, or complete omission of the flashing feature if required.

9. POLICE PANEL

- 9.1 Auto-Off Flash Switch. Each controller must be provided with an auto-off-flash switch. In the "AUTO" position the signals will be on and the controller timing unit will run normally. In the "OFF" position the signals will be OFF and the controller timing unit will continue to run. In the "FLASH" position the signals will flash and the controller timing unit will continue to run. The auto-off flash switch must be located on the side of the police switch panel that faces outward when the police door is open.
- 9.2 Auto-Hand Switch. Each controller will have an auto-hand switch on the back side of the police switch panel. This switch must be so arranged that the switch can be physically rotated 180 degrees to provide usage after opening the police panel door. It must be so mounted that the act of rotation does not affect the police switch panel. Switch terminals must not be exposed on either position. The auto-hand switch must provide a means of manually timing the signals by use of a separate, momentary contact, hand switch. Operation of the timer by manual control must provide the same color sequence as an automatic operation with no momentary undesirable indications appearing. Manual control must be possible with the door of the cabinet closed. The hand switch required for manual control must only be supplied when specified in the contract. It must be of an approved weatherproof construction with a six (6) foot, retractable, flexible, extension cord to allow connection to the appropriate terminals on the panel of the controller. It must be possible to manually step through a vehicle clearance interval.
- 9.3 Terminal Block. A two point terminal block must be mounted on the back side of the police switch panel and the hand control circuit terminated on this block. This will be for installation of a hand control cord by others, as required.
- 9.4 Space Requirement. Adequate room must be provided in the police panel section to store the manual switch and retractable cord.
- 10. RELAYS**
- 10.1 Transfer Relays. Eight (8) double pole, double throw, flash transfer relays shall be furnished with each controller. These relays must be jack mounted into an S-408-SB, or equivalent, socket mounted on the controller panel.
- 10.2 Contact Arm. Each contact arm must have over travel on the front and back contacts and be independent of any other contact arms. No adjustment of contact pressure or wipe must be necessary. Load capability must be a minimum of fifteen (15) amperes per contact continuously and thirty (30) amperes for one (1) minute. Contacts must be of coin or fine silver or an approved alternate.
- 10.3 Dust Cover. A suitable dust cover must be furnished for each relay.
- 10.4 Relay Mounting and Endurance. All relays supplied must meet their approved specified requirements and must have contacts which cannot be opened by unusual vibrations, shock, or momentary voltage excursions of up to 30%. All relays other than the flash and bus relay must be mounted on a molded base with eleven (11) or eight (8) pins for jack mounting to their respective panel or sub-base, and must be electrically interchangeable with those presently used by the City of Chicago.

11. COMMUNICATIONS INTERFACE PANEL

11.1 Where a communications interface has been specified to allow a controller to function as a Master or Secondary controller, then one of the specified options must be provided:

- (1) Fiber Optic Communications Interfaces must meet the following requirements:
 - a. General. The fiber optic communications components must consist of, but not be limited to, an internal fiber optic modem within the controller or an external fiber optic modem, a fiber optic patch panel to interface the modem to field fiber optic cables, and fiber optic jumpers between the modem and patch panel.
 - b. The modem must either be a multi-directional "star" type or a bi-directional type, as specified in the contract. All modems must be Electronic Industries Association (EIA) compatible for RS-232 data communications via fiber optic link. Modems must be multi-mode, operate at 850nm wavelength, and provide full-duplex, frequency modulated, asynchronous transmission at data rates of up to 38.4 kbps.
 - c. The fiber optic patch panel must consist of a 14" long by 5-3/4" wide by 3-1/4" high rack constructed in accordance with City of Chicago Electrical Standard Drawing #909. The rack must be designed to mount on the controller cabinet rails. "ST" type terminals, suitably labeled, must be provided for the connection of field fibers and Modem.
 - d. The fiber optic jumpers (i.e., optical patch cords) must consist of a single multi-mode fiber in 900 micron orange jacket, with "ST" type connectors factory installed on each end. The jumpers must be 3' long in Secondary (i.e., local) controller cabinets and 6' long in Master controller cabinets. The jumpers must be connected to the patch panel and supported in such a manner that the minimum bending radius is ten (10) times the diameter of the cable, and the cables exert no strain on the connectors. Each jumper must have a minimum tensile strength of 50 lbs.
- (2) Copper Wire Interconnect Panels (Seven Wire, VAC) must meet the following requirements:
 - a. General. The interconnect panel must serve to isolate interconnect VAC from the controller. The panel must consist of, but not be limited to, seven (7) relays. Each relay interconnect circuit must include an M.O.V. properly rated for protection against lightning and switching surges injurious to the controller and a barrier type 3AG fuse receptacle and fuse not to exceed five (5) amperes. Each panel must provide a seven (7) wire interface with the T.B.C. functions described below and must provide barrier type terminals suitably labeled for these functions.

- b. The secondary interconnect panel must be wired in such a manner that a VAC input activates a relay sending an input from that relay to the controller. It must have a minimum of seven (7) relays for the following functions; Dial 2, Dial 3, Dial 4, Offset 1, Offset 2, Offset 3, M.U.T.C.D. flash.
- c. The master interconnect panel must provide a means to establish outgoing VAC for a seven (7) wire interconnect system using eight (8) relays. The relays must have 24 VDC coils and be designated as, Dial 2, Dial 3, Dial 4, Sync, Offset 1, Offset 2, Offset 3, M.U.T.C.D. flash. The sync relay must be wired in such a manner that it provides the offset pulse to the contacts of the three (3) Offset relays.
- d. Each relay must be a double pole type, with one pole designated as field interconnect output, and the other designated as controller input. Relay coils must be rated for continuous duty. Relay contacts must be rated for a continuous fifteen (15) AMP resistive load.
- e. A terminal strip must be mounted on the top of the master interconnect panel for controller interface.
- f. The master panel must interface with the T.B.C. terminals as described above.
- g. Each output must be fused as outlined above.

12. RAILROAD INTERCONNECT REQUIREMENTS

- 12.1 General. The railroad preemption will meet the requirements of the ICC (Illinois Commerce Commission) and the requirements of IDOT (Illinois Department of Transportation).
- 12.2 IDOT. The railroad preemption will meet all the requirements of the Illinois Department of Transportation's Standard Specifications for Road and Bridge Construction, adopted January 1, 2012. It must meet all the requirements of Article 1073.01 (c) (2) and Article 1074.03 (a) (5) e.
- 12.3 ICC. The railroad preemption will meet all the requirements of the Illinois Commerce Commission, as stated herein.
 - (1) The railroad preempt relays and the City traffic cabinet in general must be able to be wired as indicated in IDOT's Standard 857006-01 "SUPERVISED RAILROAD INTERCONNECT CIRCUIT". A failure in the interconnection circuit will result in activation of a supervisory failure alarm.
 - (2) Remote Flash. The Remote Flash input to the controller must be inverted from normal NEMA logic. Instead of grounding the input to Logic Ground (0 volts DC) to activate, the Remote Flash will be normally grounded and will be activated when the input is in the Logic 1 (+24 volts DC) state. This will preclude the installation of a controller without the proper railroad software

and a normal controller with standard (non-railroad) software will not be able to run the traffic signals.

- (3) Critical Components Series Loop. All critical components to railroad preemption such as relays and harnesses must utilize the 24 VOLT DC monitor voltage to form a series loop. Removal of any component will result in the traffic signals entering a flashing red condition. The 24 VOLT latch in the Management Malfunction Unit will be programmed, requiring manual reset if a failure in the series loop occurs.
- (4) Controller Preempt Input Verification. Like the supervisory interconnection circuit monitors the integrity of the interconnect cable, this feature monitors the integrity of the controller railroad preemption input and associated wiring within the traffic controller cabinet. This will utilize a secondary railroad preemption input that is normally active (on) when no demand for railroad preemption is present. When a demand for railroad preemption is received, the normal railroad preemptor input is applied and the secondary input is dropped. If both inputs are either simultaneously on or simultaneously off for a time period of more than one (1) second, the controller will recognize this as an input failure. When a failure occurs, the traffic controller will be configured to provide a track clearance interval followed by a flashing red condition. This occurrence will set a preempt input alarm and also will require a manual reset of the controller.
- (5) Track Clearance Green Re-service. Any demand for railroad preemption received at any point in the normal sequence, the emergency vehicle preemption sequence, a bus preemption sequence, or any other form of low priority preemption, or a previously called for railroad preemption sequence will result in the traffic controller providing a track clearance green indication within a "maximum time to track clearance green " (usually 8 seconds depending upon site specific criteria) and will provide a full track clearance green time interval after the preemption demand was received. The controller software must have the capability to restart the railroad preemption sequence providing a full track clearance green interval from any point within the railroad preemption sequence from the start of track clear green through the entire dwell/hold interval(s) including any exit yellow and red clearance intervals, if the demand for preemption drops and is reapplied. The number of times the controller is able to react to successive demands for railroad preemption must not be limited. This will be a software based routine that does not require any user programming and must be designed into the software.
- (6) Preemption Priority. Preemptor number 1 is typically assigned to a supervisory failure in the interconnection circuit and preemptor 2 is typically assigned to a normal railroad preemption demand. Preemptor 1 must have priority over preemptor 2. Preemptor 2 must have priority over all other forms of preemption.
- (7) Delay Time. In order to compensate for noisy or intermittent calls, the controller must have a programmable delay timing parameter for railroad

preemptors, programmed at 1 second. Any demands for railroad preemption lasting less than this time will be ignored. This will apply to any subsequent demands for railroad preemption that may occur while the controller is still within the railroad preemption sequence from a prior demand.

- (8) Non-Locking Preemption. The controller must have the capability to configure the railroad preemptors as non-locking calls. If a demand for preemption is placed for a duration of less than 1second (as programmed in the delay timer), the call will not lock and the controller will not initiate the preemption sequence. Furthermore, if an initial demand for preemption is dropped prematurely while the preemption sequence is still timing, the non-locking feature will allow the controller to re-service another demand for preemption.
- (9) Minimum Green before Preemption. The controller must have a separate minimum green timing parameter, programmed at 1 second, that replaces normal controller phase minimum green times when entering railroad preemption. When a demand for preemption is applied, any active phase(s) must terminate immediately or after they have been active for 1 second if the demand occurs at the start of the phase(s). If any indications that are part of the track clearance green are active when the demand for railroad preemption is placed, those indications will not terminate until after the track clearance green interval is completed.
- (10) Railroad Hold/Dwell Interval. The controller must have the capability to display a programmable phase(s) and rest in that phase(s) until the demand for railroad preemption is released. The controller must also have the option to cycle between a set of programmable phases that don't conflict with the railroad crossing, or rest in an all-red steady state until the demand is released. The necessity for cycling during the hold interval or the use of an all-red steady state is determined by an assessment of the specific site. The controller must have a timing parameter that will provide a minimum hold/dwell time, even if the demand for preemption is dropped prematurely. The controller must be able to re-service any subsequent demands for preemption during this minimum hold/dwell time.
- (11) Railroad Hold/Dwell Extension. The controller must have a timing parameter that will extend the hold/dwell interval for a programmed time after the demand for railroad preemption has been released. The controller must be able to re-service any subsequent demands for preemption during this extension time.
- (12) Pre-signal Timing. When pre-signals are present in advance of a railroad crossing, during normal operation the pre-signal green indications terminate a programmable time (timed overlap) prior to the indications at the intersection. The duration of the timed overlap should not be reduced when leaving normal operation to service other forms of preemption, such as emergency vehicle or bus preemption. If a demand for railroad preemption occurs during the timed overlap portion of the normal

sequence, the overlap timer must terminate and the track clear green interval must begin immediately, after the pre-signal yellow and red vehicle clearance intervals are completed.

- (13) Remote Monitoring and Alarms. Capabilities to remotely monitor the traffic controller must be provided, including the capability to monitor the operation of the controller, upload logs/events, and to verify the integrity of the database. In addition, the controller must have the ability to automatically report alarms, such as preempt 1 activation, preemptor input failure, automatic flash, CRC failure, 24 volt failure, and other defined alarms. The controller must have the ability to prevent the remote download of changes to the critical data protected by the railroad preemption security feature.
- (14) Blank-out Signs. If these signs are used for railroad preemption, they should activate immediately with the activation of the railroad interconnect circuit. They should deactivate immediately with the deactivation of the interconnect circuit, not after the controller exits the railroad preemption sequence. Whenever the traffic signals are in flashing red operation, cabinet circuitry must be such that the signs will remain operational if the interconnect circuit activates due to railroad warning device activation.

12.4 CRC. A CRC module with all connections, a USB memory device, software, and any other firmware necessary to make the CRC fully functional will be provided if so designated.

- (1) Hardware. A 16 bit CRC (cyclical redundancy check) module must be provided. The module will connect to the ATC controller using unused I/O pins. Reassignment of unused inputs on the NEMA 'A', 'B', and 'C' connector I/O pins or connection to a proprietary 'D' module's input pins will be acceptable. The final CRC value for the specific intersection requirements will be set on the module for that intersection. Removing the CRC module during normal operation of the intersection, or mismatching the values in the database and the CRC, will result in a fault condition and put the intersection in flash mode.
- (2) Software. The controller software/firmware will provide the logic and control facilities to fully implement CRC error detection. All the data elements (objects) required for the implementation will be contained in a proprietary data block. The software will provide a mechanism to "display" the final CRC value to be set on the CRC module.

A USB memory device will be utilized to 'lock' or 'unlock' the database. When the USB device is inserted into the controller, the controller will display a menu that will include a utility to 'unlock' the database. The USB device will contain a file structure that will allow access to the protected areas of the database. Once 'unlocked', the database can be edited through normal user interfaces. While the database is 'unlocked', the controller will drop the voltage/fault monitor signal to the conflict monitor to

keep the intersection in flash. The CRC comparison check will be disabled during this period.

After all the changes to the database are completed, the user will use a utility on the USB to 'lock' the database. After the database is 'locked', another utility will allow the calculated CRC to be displayed. This can be used to configure the CRC module. After the CRC is connected and the USB is removed from the controller, the voltage/fault monitor signal to the conflict monitor will be enabled. A restart will be required to restart the controller.

Once the CRC module has been set (programmed), and the database has been locked, the controller can resume normal operation. The controller firmware will validate the stored CRC against the CRC module's value at least once per second.

13. WIRING

- 13.1 General. All electrical conductors must be stranded copper, with a minimum of nineteen (19) strands per conductor, and a concentrically applied 90° C. insulation with a 600 VAC rating. Wiring from the fuse block to the first distribution point, and to the controller bus, must be No. 10 AWG. Signal circuit wire must be No. 14 AWG. The wires must be provided with lugs or other approved terminal fittings for attachment to binding posts. All wiring between various parts of the controller must be neatly cabled. All wiring and terminal blocks must be tested for possible short circuits and resistance to ground by a high voltage dielectric test at 1,200 VAC. A wiring harness of adequate length must be provided to the timing device to allow the timer to be placed on top of the cabinet when required.
- 13.2 All VAC connections to load switches, flasher, and flash transfer relays must be soldered. All VAC connections on back of terminals must be soldered.
- 13.3 All VDC connections on back of terminals, and load switches must be soldered or connected with pre-approved terminations. All VDC connections to load switches are to be soldered or connected in a manner pre-approved by the City of Chicago's Division of Electrical Operations.

14. TESTING REQUIREMENTS

- 14.1 General. The testing on the controllers must be done as described herein. Environmental testing must be done at the manufacturer's facilities or at an independent laboratory, and must be certified by the manufacturer or the independent laboratory. Functional testing will be done at the City's facilities. All controllers provided under the contract must be tested as stipulated under "Functional Burn-In Testing" and "Physical Inspection" at the manufacturer's facilities. If a controller is ordered for a specific location, the manufacturer shall program and test the controller at the factory and certify the test results.
- 14.2 N.E.M.A. Environmental Test. One controller, unless approved previously, must be tested, at the manufacturer's expense, in accordance with Part 2 of NEMA Standards

Publication TS1-1983. All of the tests listed must be performed with all data properly recorded and certified. If the manufacturer changes the design, fabrication or components of a previously tested and approved controller, then a sample of the controller containing the new design, fabrication or components must be retested at the manufacturer's expense. Any N.E.M.A. environmental test references to minimum recall must include but not be limited to: All sixty-four (64) output circuits must be programmed in a sequence to simulate the normal functioning of the entire controller cabinet assembly; the conflict monitor must have a test board with the allowable channel jumpers installed to simulate normal operation; All thirty-two (32) intervals must be programmed with a minimum of two (2) seconds per interval.

- 14.3 Functional "Burn In" Testing. The manufacturer of the controller must perform, at his manufacturing facilities, a one hundred (100) hour burn-in test on every controller, conflict monitor, and appurtenant devices. This test period must be certified by the manufacturer with supportive documentation and must include the device serial number, dates and times of test periods, and results. Any failed, or nonconforming components, must be replaced at this time. After each of the components has passed the burn-in test, they may be used in the assembly of the complete controller unit. Each completed unit must be subjected to the seventy-two (72) hour function test as described in this specification. The "burn in" requirement must include a test that uses all sixty-four (64) output circuits in "solid" burn as well as 1 pps and 5 pps for each circuit. All thirty-two (32) intervals must be programmed with a minimum of two (2) seconds per interval. The documentation for a test program to simulate the normal functioning of the controller phasing must be supplied. A copy of the test program must be approved by the City of Chicago, Division of Electrical Operations prior to testing. Certification of these tests must be attached to the outside of the shipping container. This certification is in addition to any other documentation and/or testing required by these specifications.
- 14.4 Testing Requirements. In addition to the NEMA environmental test and the "burn-in" requirements stated above, satisfactory performance of the traffic signal cabinet and its equipment must be demonstrated. The manufacturer must submit five (5) copies of his proposed "Test Procedure Document" for approval with the sample requested above. The test procedure must consist of two (2) sections; physical inspection and functional testing. If the test procedure is judged by the Commissioner or his duly authorized representative to be incomplete, inadequate or otherwise deficient, the contractor must revise and resubmit his "test procedure document" until it is approved. No controller will be accepted until the "test procedure document" has been approved. Functional testing must include, but not be limited to, phasing for multiple legged intersections, bridge and railroad pre-empts, flash operation, actuation, and any combinations of these features. Controllers designed to function without railroad pre-empts must be shown to function without the presence of a railroad interconnect. Options for downward compatibility when replacing either HMC1000 controllers or LMD40 controllers must also be demonstrated. In addition, it should be demonstrated that the controller functions within the MIST system. Any failure must be addressed by the manufacturer within the time frame allotted.
- 14.5 UPS. Testing of the equipment must verify that the operation meets the requirements of this specification. All equipment must be shown to operate correctly, including the rectifier, charger, inverter, batteries, and control unit. The UPS must be connected to

a dummy load at the factory and tested for performance under various conditions of line voltage and frequency, varying loads, temperature range, and humidity range. The automatic switching must be successfully demonstrated; losing line power and restoration of line power must not adversely affect the operation of the traffic signals. Use of the manual bypass switch must be successfully demonstrated. A generator must be connected to the unit and successfully operate the system without interruption. The batteries must be shown to be able to operate the traffic signals for the specified time. The batteries must be shown to be able to be recharged in the specified time between failures. The control unit, including the LCD display and the RS-232 interface, must be shown to function according to this specification. All reports and event monitoring must be successfully demonstrated. Programming functions must also be shown to work properly.

14.6 Physical Inspection. The "physical inspection" portion of the test procedure document must require the manufacturer to perform a physical inspection of workmanship and specification compliance for each traffic signal controller assembly. The inspection must be done using a detailed check list defining items to be inspected and criteria for acceptance. The inspection must include, but not be limited to, the following items:

- (1) Hardware installation.
- (2) Assembly mounting.
- (3) Dimensions.
- (4) Presence of specified devices and materials.
- (5) Presence of required documents.
- (6) Labeling and required serial numbers.
- (7) Wiring including routing, covering, gauge, length, and soldering of terminations.
- (8) Arrangement of equipment for safety and ease of calibration reprogramming troubleshooting and maintenance.
- (9) Condition of cabinet body and finish.
- (10) Condition and installation of doors, panels, gaskets and ventilation.
- (11) High voltage test of insulation resistance to ground, with wires installed in cabinet and equipment disconnected.

14.7 Functional Testing. The "functional testing" portion of the Test Procedure must require the manufacturer to perform a complete room-temperature functional test of each complete traffic signal controller assembly for a minimum of seventy-two (72) hours. This test must be designed to concurrently check integrated hardware systems e.g., from simulated input to load switch output including conflict monitor and time base coordinator. All interface/controller interconnections must be tested. All load switch and interconnect relay positions must be tested, regardless of the number of load switches and interconnect relays being purchased. The functions tested must include, but not be limited to, the following:

- (1) Flash logic and operation (color, phases).
- (2) Conflict monitor logic and operation.
- (3) Police panel switch operation.
- (4) Auxiliary panel switches (including fans).
- (5) Interface panel.
- (6) Time switch operation.

- (7) Load switches (with a continuous ten (10) ampere load on each signal circuit).
- (8) Outputs.
- (9) Power interruptions of less than 500 ms.
- (10) Power interruptions of more than 1.0 sec.
- (11) Generator Hook-up.

15. SHIPMENT AND DELIVERY (Only applies to City commodity contracts)

- 15.1 Packaging. The cabinets must be shipped on individual pallets. Each cabinet must be individually wrapped and protected so that it can be handled without damage to the cabinet or its finish. The UPS and cabinet must be wrapped to give protection from the elements, as well as from shipping. If subassemblies or parts are ordered they must be suitably packaged to prevent damage during shipping and handling. All packages should be clearly labeled indicating the contents.
- 15.2 Delivery. The assembled cabinets, or subassemblies and parts, must be delivered to the Division of Electrical Operations at 2451 S. Ashland Avenue, unless otherwise directed. Assembled cabinets, or subassemblies and parts, must be available for testing and shipping within six weeks of the placement of an order.

CHICAGO ATC MATRIX - TABLE A

(ATC Standard Version 5-2b June 26, 2000)

Since the ATC standard specifies a “family” of controllers, the following options have been selected from the ATC standard to meet the City’s needs.

Functional Requirement	ATC Clause #	Status	Details
Shelf Mounted	2.2.1 4.3.2.1	Required	(Shelf mount only)
Use of ATC Engine Board	2.2.2 4.3.2.2 5.1.1 5.1.2 5.3.2 5.3.4 5.3.5 5.3.5.1 5.4.2 5.4.3 5.4.4 5.4.5	Required	

Use of ATC Engine Board	5.2.1	Required	<ul style="list-style-type: none"> Allowed component height below Engine Board PCB provided that the overall envelope remains unchanged, the clearance between the Host Board and Engine Board remains as specified, and the Engine Board still fits into a compliant Host Board
Use of ATC Engine Board	5.2.2 5.4.5	Required	<p>In order to show the Ethernet communications to the Engine Board, the following "Reserved" pins can assume the following legacy functions:</p> <ul style="list-style-type: none"> P1-34: ENET2 Speed P1-35: ENET2 Link/Activity P1-36: ENET1 Speed P1-37: ENET1 Link/Activity
Use of ATC Engine Board	5.3.1	Required	Minimum CPU capability of 500 MIPS
Use of ATC Engine Board	5.3.3	Required	Additionally, must provide a minimum of 16 MB of Flash total to accommodate future applications.
Use of ATC Engine Board	5.4.1	Required	<ul style="list-style-type: none"> Engine Board shall not draw more than 4W of power from VPRIMARY (due to battery backup in Chicago) Engine may supplement VSTANDBY_5 with on-board storage for its standby power.
Use of ATC Engine Board	5.4.3	Required	<ul style="list-style-type: none"> All optional baud rates shall be supported
Parallel I/O	2.2.4	Required	<ul style="list-style-type: none"> No support required for TS2 Type 1 or ITS cabinets Must provide parallel I/O for TS2 Type 2 cabinets and legacy parallel I/O interfaces via interchangeable modules
Linux O/S and ATC BSP	2.2.5 4.3.1 4.3.3	Required	
Linux O/S and ATC BSP	2.2.5 4.3.1 4.3.3	Required	
Linux Kernel	Annex A	Required	

Parallel I/O	3.4	Required	Not required to support ITS Cabinet standard (NEMA cabinets are used)
Manage Clock/Calendar functions and synchronize with external source	3.5.1.3	Required	Must also support synchronization with absolute zero.
Manage Clock / Calendar functions and synchronize with External Source	4.1.3	Required	<ul style="list-style-type: none"> BSP RTC driver shall automatically update the RTC with the OST time once per second with an accuracy of 0.1 seconds Successive interruptions (e.g. on for 5 minutes, off for 3 minutes over a period of 8 hours) shall not introduce cumulative error
Configure and Verify Parameters	3.5.1.4 4.1.4	Required	
Upload/Download blocks of data	3.5.1.5 4.1.5	Required	
Monitor & Verify Application Status	3.5.1.6 4.1.6	Required	
Operator Control of Application Execution	3.5.1.7	Required	<u>Only</u> a local operator is allowed to manage the starting, stopping and scheduling of one or more applications on the ATC.
Operator Control of Application Execution	4.1.7	Required	
Long Term Storage of Log Data, etc	3.5.1.8 4.1.8	Required	
Support Diagnostics	3.5.3.3 4.3.4	Required	
Modes of Operation	3.7	Required	(Must support Standalone, Direct, and Distributed modes of operation)
Manage/Control a Variety of External Devices	4.2.1	Required	<ul style="list-style-type: none"> Fixed Ports on the front panel shall be specified by the City Only SP1 and SP2 are required to be supported on the modem slot The dedicated synchronous serial port (SP5) is to be used exclusively for supporting a parallel I/O module (NEMA TS2 or legacy interface)

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
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Monitor the Status of External Devices	4.2.2	Required	<ul style="list-style-type: none"> Fixed Ports on the front panel shall be specified by the City Only SP1 and SP2 and required to be supported on the modem slot The dedicated synchronous serial port (SP5) is to be used exclusively for supporting a parallel I/O module (NEMA TS2 or legacy interface)
Support future Hardware Upgrades	4.3.2	Required	
Environmental Requirements	5.2.3	Required	
Front Panel Serial Ports	6.2.3.1 6.1.3 6.3.2.1	Required	One serial port on the front panel shall satisfy this section as an EIA-574 (25-pin) and be labeled "Port 2".
Front Panel Serial Ports	6.2.3.1 6.3.2.1	Required	One serial port shall satisfy this section as an EIA-574 (9-pin) with a reduced pin-out (TXD, RXD, and DC Reference at a minimum) and be labeled "Port 4". C50_ENABLE shall not be supported. A second serial port shall fully satisfy this section as an EIA-574 (25-pin) and be labeled "Port 5."
Front Panel Serial Ports	6.2.3.2 6.1.3 6.3.2.2	Required	One serial port shall satisfy this section as an EIA-485 (15-pin) with the TS2 Type 1 Port 1 pin-out and be labeled "Port 1".
Front Panel Ethernet Ports	6.2.3.9 6.3.2.9 7.1.4.4	Required	There shall be a minimum of two Ethernet ports on the Front Panel (one for ENET1, one for ENET2)
User Interface	7.1 7.1.1.2 7.1.4.4 7.1.4.5 7.1.4.7	Required	
User Interface	7.1.1	Required	Must meet City's Minimum requirements

User Interface	7.1.1.1 7.1.2.1 7.1.3 7.1.4.1 7.1.5	Required	<ul style="list-style-type: none"> • Data key is not required • Front Panel Interface is to be integral to the controller (i.e. not removable, no SP6 connector) • "Option 1" to be selected but AUX switch is optional • Keypad shall have a minimum of 24 keys • LCD Display shall be graphical with a minimum resolution of 128 rows x 240 columns (up to 16 lines x 40 characters). • LCD pixel size shall be a minimum of 0.32mm x 0.32mm with a minimum pitch of 0.325mm with character size defined as 6 pixels wide x 8 pixels high • Refresh rate is a minimum of 10 times per second (due to larger display requirements) • LCD heater is mandatory to ensure sub-second LCD display response over full temperature range. Heater shall only be active when needed and User is interacting with the controller locally (due to battery backup requirements). • Heater Power shall be up to 15W at 1A current maximum
Power Supply	7.2 7.2.2 7.2.3 7.2.4 7.2.5 7.2.5.1 7.2.5.2 7.2.6.1 7.2.6.2 7.2.6.3 7.2.6.4 7.2.6.6	Required	<p>12 V not required</p> <p>As applicable for NEMA cabinets only</p>

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Mechanical/Chassis	7.3.1.3 7.3.1.4	Required	<ul style="list-style-type: none"> Only Shelf mounted units are acceptable Only components / connectors specified by the City shall be located on either the Front or Rear panels. No C1 Type Connectors allowed.
I/O Interfaces	8.1.1 8.2.2 8.2.2.1 8.2.2.2 8.2.2.3	Required	<ul style="list-style-type: none"> Support for TS2 Type 2 and TS1 Interfaces
I/O Interfaces	8.1.2 8.2.2.5	Required	<ul style="list-style-type: none"> Support is only required for NEMA TS2 Type 2, TS1, and other similar legacy interfaces NEMA TS2 Port 1 shall also be provided (for detectors only)
I/O Interfaces	8.2.3	Required	Port 1 Connector shall be provided as specified within this section (only used for detectors)
I/O Interfaces	8.2.1.13	Required	Legacy I/O interfaces shall respond as required.
I/O Interfaces not required	8.2.1	Required	<ul style="list-style-type: none"> No support for Model 332 Cabinets or ITS Cabinets & devices is to be provided
Environmental & Test Procedures	9	Required	All subsections are required
Performance & Material Requirements	10	Required	All subsections are required
Performance & Material Requirements	10.1.15	Required	All PCBs and similar construction mechanisms shall be mounted vertically (i.e. no horizontal PCBs are allowed).
Quality Control	11	Required	All subsections are required

ACCESSIBLE PEDESTRIAN SIGNAL

ELECTRICAL SPECIFICATION 1617
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED NOVEMBER 26, 2023

SUBJECT

1. This specification states the requirements for an integrated accessible pedestrian signal assembly which will include a vibrotactile push-button with arrow, a speaker, a sign, and housing. This assembly will provide non-visual walk information for persons without sight or with low vision. The walk indications shall be both audible and vibrotactile. This assembly can be used for either actuated pedestrian signal crossings or for non-actuated pedestrian signal crossings.

GENERAL

2.
 - (a) Specifications. The accessible pedestrian signal (APS) assembly must conform in detail to the requirements herein stated, and to the latest requirements of the Manual on Uniform Traffic Control Devices (MUTCD). The APS must also meet the most recent requirements of the Americans with Disabilities Act (ADA).
 - (b) Acceptance. APS assemblies not conforming to this specification will not be accepted.
 - (c) Bidders Submittal. Bidders must submit with their bids detailed specifications and any shop drawings that describe the physical appearance and the functionality of the APS.
 - (d) Sample. One complete APS assembly of the manufacture intended to be furnished must be submitted within fifteen (15) business days upon request of the Chief Procurement Officer.
 - (e) Warranty. The contractor shall warrant the APS against defects due to design, workmanship, and material, for a period of five years from the date of acceptance by the City. If any assembly fails to properly function within this period, the contractor shall replace the assembly, free of charge to the city, including shipping.

HOUSING

3.
 - (a) The housing shall be cast aluminum. It shall be vandal resistant. The housing shall contain a speaker, a push-button, and be able to accommodate a 9 inch by 12 inch sign. The housing shall have a hole in the back to accommodate cable. The housing shall be mounted to a bracket with stainless steel screws.

(b) A cast aluminum mounting bracket shall be supplied. The mounting bracket will be manufactured to be mounted onto a tubular shaped pole or post using two 3/4 inch steel bands (0.03 inches thick) or two stainless steel screws. The mounting bracket shall accept the APS housing.

(c) The housing and bracket shall be powder coated Gloss Black with an enamel.

EXTENSION BRACKET

4. (a) Extension brackets shall be available that allow for extensions of 4, 6, or 12 inches. The bracket components shall be aluminum alloy (6061 tempered to T6, or equivalent).

(b) The extension shall consist of a pole bracket that allows for banding to a pole or post, a tube of the proper length to support the APS and to serve as a wire-way, and a bracket for mounting the APS. These parts shall be welded together and shall provide a secure and structurally sound support. The assembly shall be powder coated to match the APS.

(c) The extension bracket may consist of one or two tubes. The tubes may be of fixed lengths or be telescoping.

ELECTRICAL

5. (a) The APS shall operate on 12 volt DC.

(b) A separate power supply shall be provided. The power supply will have its own housing and be able to be mounted in the WALK/DONT WALK signal compartment.

(c) The power supply shall accept 120 volt ac input. There will be two inputs (WALK, DONT WALK) that will accept 89VAC to 250VAC at 120 VAC nominal, 27 watt maximum per input. Output shall be a maximum of 16VDC and 1.6 amps.

(d) An MOV rated at 5 joules shall provide electrical protection. Each input shall be fused at 1.5 amps.

(e) There shall be four input wires and four output wires. These wires shall be identified on the power supply: WALK, DONT WALK, NEUTRAL, and GROUND. The input wires shall be long enough to be terminated in the signal compartment. The output wires on the power supply shall have a single quick disconnect plug.

(f) The push-button switch contacts must be normally open and must be closed when the push-button is pressed, restoring immediately to a normal open position when released. The switch must be electrically insulated from the housing. The push-button output rating shall be 36VAC/DC, 100mA.

(g) For push-button actuation, the APS shall require 2 wires to connect the APS to the controller cabinet. An AC low voltage isolation package shall be provided in the cabinet. Isolation shall be provided by optical means.

(h) The wires for connection to the WALK signal and any wiring for connection to the cabinet are not part of this specification.

PUSH-BUTTON

6. (a) The push-button must meet ADA requirements and the requirements of the MUTCD (Chapter 4E.11-4E.12).

(b) The push-button must have a raised tactile arrow on its surface. The arrow must be adjustable, so that it can face in the direction of the associated crosswalk. The arrow will vibrate during the associated WALK interval.

(c) If there is an actuated walk, pushing the button will send a request to the controller.

SOUND

7. (a) All sounds generated by the APS must meet the requirements of the MUTCD (Chapter 4E.11-4E.12).

(b) Sound levels must be manually adjustable. Sound levels must be in the 30dB to 90dB range.

(c) The APS must include an option for automatic sound adjustment due to ambient sound levels.

(d) The APS shall have a push-button locator "click" tone during DONT WALK and flashing DONT WALK intervals.

(e) The APS shall have two options for the WALK interval. The APS shall generate a rapid "click" tone during the WALK interval or shall have a programmable voice message during the WALK interval.

(f) When the push-button is pushed during the DONT WALK or flashing DONT WALK, the APS will respond with a voice message, either "wait" or another programmed voice message.

SIGN

8. (a) A 9" by 12" reflective sign that can be mounted to the housing back-plate shall be supplied.

(b) The sign shall be an R10-2, "CROSS ONLY ON WALK (symbol) SIGNAL", an R10-3, "PUSH BUTTON FOR WALK (symbol)", or another sign as specified in the order or contract. Each sign shall contain a message in Braille meeting the requirements of the ADA (Americans with Disabilities Act).

ENVIRONMENT

9. (a) The APS shall function correctly within the temperature range of -34°C and +74°C.
- (b) The APS shall function correctly up to 100% non-condensing humidity.
- (c) The APS shall function correctly under the power conditions from Commonwealth Edison.

PACKAGING

10. (a) General. The APS must be shipped fully assembled and ready for installation. Each assembly must be individually wrapped and boxed so that the assembly is not damaged in shipment. Extension brackets shall be boxed and shipped separately.
- (b) Labeling. Each box must be labeled in 3/8 inch high letters "ACCESSIBLE PEDESTRIAN SIGNAL" or "APS EXTENSION BRACKET SIZE X". The City Commodity Code, contract number, manufacturer, and date of manufacture must be clearly labeled on the box.

CABLE: FLEXIBLE CORD FOR ACCESSIBLE PEDESTRIAN SIGNAL

ELECTRICAL SPECIFICATION 1618
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED OCTOBER 1, 2024

SUBJECT

1. This specification states the requirements for an electrical cable to be used to connect a power supply located in the compartment of a WALK signal to an accessible pedestrian signal located either on the same pole or to another pole nearby.

GENERAL

2. (a) Specifications. The cable must conform in detail to the requirements herein stated, and to the applicable portions of the latest revisions of the specifications and methods of test of the following agencies:
 - (1) ASTM – American Society for Testing and Materials
 - (2) ICEA – Insulated Cable Engineers Association
 - (3) IEEE – Institute of Electrical and Electronics Engineers
 - (4) UL – Underwriters

- (b) Acceptance. Cable not conforming to this specification will not be accepted.
- (c) Bidder Submittal. Bidders must submit with their bids detailed specifications.
- (d) Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be provided under this specification must be sent to the attention of the Division of Electrical Operations within fifteen (15) days of receipt of such request.
- (e) Warranty. If the cables are installed within twelve (12) months of date of shipment, the manufacturer must replace any cable failing during normal and proper use within one year of the date of installation. All replacements under this warranty must be made free of charge F.O.B. delivery point of the original contract.

CABLE

- 3. (a) The cable shall be rated at 600 Volts.
- (b) The cable shall be classified as SOOW cord.
- (c) The conductors shall consist of uncoated annealed multiple strand copper meeting the requirements of ASTM B-174. The size of each conductor shall be No. 18AWG.
- (d) The cable shall contain six (6) insulated conductors within a single jacket. The insulation for each conductor shall be ethylene propylene rubber (EPR), 30mils thick. The jacket shall be chlorinated polyethylene (CPE), 60 mils thick. Insulation and jacket shall be thermoset.
- (e) The insulation for the individual conductors shall be colored as follows: red, black, white, green, orange, blue. The jacket shall be black.
- (f) The cable shall meet the requirements of UL 62 for flexible cord.
- (g) The cable shall be UL listed for outdoor use and for water resistance. It shall be rated to operate in temperatures from -40°C to +90°C.

PACKAGING

- 4. (a) General. The cable must be delivered on sound substantial, non-returnable reels. Each reel must be marked with the manufacturer's name, footage, and any other pertinent information.

FIELD CABINET INTEGRATION EQUIPMENT AND DETECTION PROCESSOR WITH VIDEO CAMERA

ELECTRICAL SPECIFICATION 1620
DIVISION OF ELECTRICAL OPERATIONS
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
MARCH 14, 2023

1. SUBJECT

This specification states the requirements for video camera and integration equipment to enhance the technological functions at a signalized intersection that does not have a City fiber network connection. The set of equipment shall include a hemispherical video detection camera and integration device for video detection processing, video streaming, Ethernet networking, and cellular communications. The technology enhancement equipment shall collectively interface with the existing or proposed traffic signal controllers and cabinets, enable remote monitoring and control of the signal operations, support continuous data collection and signal performance monitoring, provide vehicle actuation, enable Web-based real-time and recorded video from the intersection, provide local area network connectivity for equipment in the cabinet, interface with the City's central signal system, and provide forward compatibility with future systems. The integration device and video detection processor shall be physically located in a traffic signal control cabinet. The camera shall be mounted to a City pole or extension arm with a mounting bracket specifically manufactured for that purpose.

2. GENERAL

- 2.1 Specifications. The intersection technology equipment shall conform in detail to the requirements herein stated and to the latest referenced specifications of the following:

Electronic Industries Alliance (EIA)
Federal Communications Commission (FCC)
National Electrical Manufacturers Association (NEMA)
National Transportation Communications for ITS Protocol (NTCIP)
Restriction of Hazardous Substances (RoHS)
Telecommunications Industry Association (TIA)
Underwriters Laboratories (UL)

- 2.2 Acceptance. Intersection enhancement equipment not conforming to this specification will not be accepted. The equipment shall be approved by the selected wireless carrier for use on their network.
- 2.3 Sample. If requested by the Chief Procurement Officer, a sample of the technology enhancement equipment intended to be provided under this specification, shall be

submitted to the Division of Electrical Operations within fifteen (15) business days after receipt of the request. The samples shall be delivered to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois 60608.

2.4 Compatibility

1. Traffic signal controller. The technology enhancement equipment must be compatible with the City's traffic control equipment and the City's communications equipment.
2. Future technology. The technology enhancement equipment shall be forward compatible to support technologies through the integrated standard Layer 2 network interface including adaptive traffic signal controls.

2.5 Documentation. All equipment shall include the manufacturer's installation and operations manuals in hardcopy and electronic PDF formats. Contractor shall provide documentation of exact equipment model and serial numbers in hardcopy and electronic PDF formats.

2.6 Warranty. The manufacturer shall warrant the technology enhancement equipment against defects in material and workmanship for a period of three (3) years from the date of the City's final acceptance. The manufacturer's local distributor shall provide a replacement of any failed technology enhancement equipment at no cost to the City.

2.7 Support

1. Support shall include software updates and phone support, Monday through Friday, 7:00 AM to 7:00 PM, for the duration of the warranty period.
2. Equipment shall have ability to receive Over-The-Air (OTA) upgrades for enhancements to capabilities and security.

2.8 License

1. The technology enhancement equipment shall include licensed software use and full maintenance and support services for the software for a minimum period of 10 years from the date of the City's official receipt for commodity contracts or from the date of City's final written acceptance for construction contracts. The maintenance and support services should include:
 - a. API and web portal access to historical and live data
 - b. Phone and email access to a customer service person
 - c. Troubleshooting of connectivity issues
 - d. Remote configuration help
 - e. Troubleshoot traffic count and detection issues.
 - f. Assistance to traffic controller connectivity
 - g. Access to live signal telemetry

2. The software license shall be fully transferable to CDOT. Transfer shall be completed upon purchase for commodity contracts and prior to City's final written acceptance for construction contracts.
3. The software license provided by the vendor shall be subject to the City of Chicago Data Protection Requirements for Contractors, Vendors and Third Parties. A copy of this document is provided in the Appendix.
4. In no event shall the software license include an obligation by the licensee to indemnify the licensor.
5. Software license shall allow a minimum of 100 concurrent users for any client based or cloud services.
6. Written evidence of the transfer shall be certified by an authorized representative of the software vendor and provided to the City.
7. The software license shall grant the City full use of the Web-based software including access to the data collected by the technology enhancement equipment and all data analytic tools of the Web portal software. The capabilities of the software may be enhanced but shall not be reduced.
8. Regardless of the full license and maintenance support period, City will have perpetual access to the following features directly from the device:
 - a. Presence and Pulse Detection
 - b. Remote detection configuration via the cloud platform
 - c. Roadside /local Configuration
 - d. Real-time device (detector events) via API on the cloud platform
 - e. Real Time Streaming Protocol (RTSP) Video API on device accessed via agency network or added LTE comms
 - f. Over-the-Air (OTA) Updates automatically deployed to all devices for security and algorithm performance improvements
 - g. Rolling 365-Day Count Data (vehicle, bicycle, pedestrian) available via the API on the cloud platform.
 - h. Detection Metrics
 - i. Occupancy ratio
 - ii. Arrival on Red
 - iii. Arrival on Green
 - iv. Phase Interval

3. ENVIRONMENTAL

- 3.1 All technology enhancement equipment inside the traffic signal cabinet, including the SIM card, shall be manufacturer-hardened to withstand the elements and fully operate in the field without a dependency on external environmental conditioning equipment.
- 3.2 The technology enhancement equipment shall meet the environmental and operating requirements of the NEMA TS2 standard for controller units, including temperature, humidity, shock, vibration, and voltage. Testing shall comply with NEMA TS2 2.2.7 through 2.2.11.
 1. Operating temperature: -30° F to 165° F.
 2. Storage temperature: -50° F to 185° F.
 3. Operating relative humidity: 5% to 95% non-condensing.

4. INTEGRATION DEVICE

4.1 General.

1. Provide multiple backhaul communications options including hardwired Ethernet and cellular communications backhaul.
2. Provide local network Ethernet, serial, or I/O connectivity for field devices at the signalized intersection.
3. Provide an “always-on” connection, without dialing.
4. Support local and remote management access
5. Support Virtual Private Network (VPN) connections
6. Communicate with an NTCIP compliant controller over Ethernet providing auto-negotiation to 10/100 Mbps, half or full duplex.
7. SIM card shall have a static IP address assigned by City, and shall be provisioned on the City’s cellular provider’s (currently Verizon) private network for City of Chicago.
8. Support direct communication between City systems and the devices connected to the integration device (and through an interface hardware adapter for legacy controllers) for remote monitoring and control.
9. Provide all required components, including power supply, cables, mounting hardware, and all accessories required to make the system fully operational in accordance with these specifications.
10. Provide data buffering of all telemetry and alert data for at least 12 minutes of communications loss at least 5 seconds of power loss.
11. Support vertical and horizontal installation.
12. Shall support the collection and transmission of telemetry data, video data, alert data, and vehicle identification data to the server via the communications network.
13. Include light-emitting diode (LED) indicators for health heartbeat, network connectivity, and device status.

4.2 Integrated Layer 2 Ethernet switch.

1. Minimum six Gigabit Ethernet ports (RJ-45) including one WAN port and minimum three PoE+ ports (802.3af and 802.3at compliant).
2. Support Transmission Control Protocol (TCP)/IP and User Datagram Protocol (UDP).
3. For each RJ-45 port, include a 6-foot Category 6 network cable that is Electronic Industries Alliance (EIA) / Telecommunications Industry Association (TIA)-568-A complaint.
4. One non-PoE+ port shall be used for the traffic signal controller, one PoE+ port shall be used for the video camera, and the remaining ports shall remain unused and reserved for the City's approved other uses.
5. Each port shall have auto-resetting in-line surge protection, compliant with IEC 61000-4-5 Class 4.

4.3 Connection ports

1. Minimum two serial ports (EIA RS-232).

2. Minimum one Universal Serial Bus (USB-A) port (USB 2.0 or higher).
3. Minimum one general purpose input/output (I/O) port with four signal pins, 0~30V, 200 mA, sinking, digital input.
4. Minimum one NEMA-rated I/O port for detector actuation (24)
5. Minimum one NEMA-rated I/O port for signal priority control (8)
6. Minimum one SDLC port

4.4 Data storage

1. Solid State Drive (SSD)
2. Minimum 240 GB
3. SATA III compliant
4. Support Self-Monitoring, Analysis, and Reporting Technology (SMART) command feature set
5. Rugged. 1500 G/0.5ms shock-resistance, 5~800 Hz at 5G peak vibration-resistance

4.5 Wireless communications

1. Frequency band and cellular network interface shall be fully compatible with the City's cellular data service provider.
2. Shall support 5G or 4G LTE cellular connectivity with MiMo and diversity (Bands 2, 4, 5, 12, 13, 14, 66, 71), UMTS/HSPA+ and GSM/GPRS/EDGE with peak downlink of 150 Mbps and peak uplink of 50Mbps.
3. Shall support 802.11 a/b/g/n with MiMo and Diversity antennas with security of at least 64/128 bits WEP, WPA, WPA2.
4. Shall support GPS for location service.

4.6 NTCIP controller interface

1. Communicate with an NTCIP compliant controller over Ethernet providing auto-negotiation to 10/100 Mbps, half or full duplex
2. Provide an ethernet cable for interfacing with NEMA TS2 type A1N, A2N, P1N, or P2N controllers.
3. Ethernet cable shall be Category 6 and shall meet NEMA operating temperature specification -30 °F to 165 °F and be shielded with a UL-certified jacket.
4. Communicate to the controller over TCP/IP
5. Communicate over SNMP v1, v2c, and v3 protocols
6. Communicate over STMP NTCIP protocols reading all objects defined in NTCIP 1201 and 1202 supported by the controller
7. Acquire and record phase, channel, detector, pedestrian detector, pre-emption, alarm and overlap statuses at a frequency of no less than 10 times per second including whether a phase is next or has a call for service on it
8. Read and distinguish information from all detector, pedestrian detection and pre-emption devices wired into the cabinet
9. Detect failure of a detector, pedestrian detector or pre-emption device in either always high or always low mode based on user configuration.

10. Detect all controller-defined failures of a detector defined in NTCIP-1202::ASC.vehicleDetectorAlarms
11. Detect all detector-defined failures of a detector defined in NTCIP-1202::ASC.vehicleDetectorReportedAlarms
12. Detect the free mode status of the controller
13. Read coordination information including cycle and sync status and current and future coordination plan parameters when provided by controller
14. Distinguish between minimum green, extension, maximum, green rest, yellow change, red clearance and red rest intervals of a phase.
15. Identify flash status, stop time, external start, power restart, low battery, a serviceable call exists and has not been serviced for two cycles, or SDLC response fault.
16. Measure the existing sequence selected
17. Read the phase table, sequence table, channel table, and overlap table
18. Re-synch controller clock
19. Place a call on a phase if a detector is in fault
20. Run the traffic controller in free mode through force, hold, and omit directions
21. Set the current timing plan dial, split, or offset
22. Set coordination plan parameters including splits, offsets, and cycle length
23. Set phase table parameters.
24. Capture and report controller faults based on controller reported flash status reasons of 'other', 'automatic', 'localManual', 'faultMonitor', 'mmu', 'startup', and 'preempt'

4.7 SDLC controller interface

1. Provide all necessary cabling to connect to a cabinet's existing Port 1/SDLC bus
2. Read terminal and facility input & outputs at a frequency of at least 10 times per second
3. Read channel state at a frequency of at least 10 times per second
4. Acquire MMU fault status including conflict, red failure and clearance failure
5. Read information from all detectors wired into the cabinet supporting up to 100 millisecond resolution between detection events
6. Detect detector failure in either always high or always low mode
7. Support capturing and reporting controller faults based on MMU status bits of 'in conflict', 'red failure', 'diagnostic failure', 'in failure state', and 'local flash'
8. Function as multiple SDLC detector racks for actuation

4.8 Video processor

1. The integration device shall include real-time multimodal (vehicle, cyclist, pedestrian) video detection.
2. Support Real Time Streaming Protocol (RTSP).

3. Support live video streaming through remote network access. The live video shall be accessible from a browser and/or through third-party software used by the operating agency.
4. The cameras system at each intersection should Shall locally record camera video streams continuously at the intersection and store recordings for at least 14 days.
5. Shall allow the locally stored video recordings at the intersection to be recalled, downloaded, and viewed remotely for up to 14 days.
6. Detection accuracy shall be 90% for each lane at the intersection in clear weather conditions for any 1-hour period and 95% for any 24-hour period of real-time video processing.
7. Shall include a display showing status information of signal phases, detection channels, cameras, SDLC bus, and operational state.
8. Shall support multimodal detection and counting
 - a. Process simultaneous feeds from multiple intersection video cameras
 - b. Process at least 150 detection zones at intersection
 - c. Allow irregular polygon shaped zones
 - d. Support conditional detection based on directional movement of object
 - e. Differentiate between vehicle and cyclist at stop bar detection
 - f. Support conditional stop bar detection based on object type
 - g. Auto adjust settings in response to viewing conditions for improved detection accuracy
 - h. Support approach and departure detection zones
 - i. Support automated OTA software updates
 - j. Process turning movement count data for all visible movements at the intersection at all times
 - k. Classify in real-time all detected objects passing through an intersection including bicycles, light vehicles, single-unit trucks, articulated trucks, and buses
 - l. Process crosswalk movement count data for pedestrians
 - m. Track objects for video analytics applications
 - n. Auto-validate detection accuracy for each configured presence zone in the intersection
 - o. Distinguish between lanes for all vehicle movements
 - p. Count large groups of pedestrians
 - q. Adjustable enhanced detection sensitivity per detection zone
9. Actuation.
 - a. Support the option of actuation via SDLC or direct wiring into traffic cabinet
 - b. Support 64 actuation outputs over SDLC
 - c. Support 16 actuation outputs over general purpose I/O direct wiring with constant-call fail active capability
 - d. Support 8 actuation outputs over general purpose I/O without constant-call fail active capability
 - e. Support multiple detection zones per lane in any combination of pulse or presence configuration
 - f. Support constant detection channel call output when no video signal detected (1 second response time).

- g. Support automatically switching individual detection zones into alternate detection mode when poor visibility is detected
- h. Support delay and extend functionality per detection zone
- i. Support local and remote manual override of detection to force actuated channels into constant call
- j. Support virtual actuation channels for signal performance measures without actuating controller channels

4.9 Device data access

- 1. Support data access by third-party devices via TCP/IP
- 2. Provide local live data access via an open standard interface for third-party device integration
 - a. Signal indication status change on each channel
 - b. Detector status change on each channel
 - c. Preemption status change on each channel
 - d. Active timing plan change for NTCIP controllers
 - e. Video detection object presence
 - f. Movement data for detected objects
- 3. Provide updated status with 100 millisecond resolution.

5. **VIDEO CAMERA**

- 5.1 Hemispherical (fisheye) lens shall provide an ultra-wide, 360-degree, fixed field of view of all approaches of a signalized intersection at a maximum of 125 feet from the farthest stop bar. Additional cameras shall be provided at no additional cost to the City if the maximum distance is exceeded.
 - 1. 180-degrees horizontal
 - 2. 180-degrees vertical
- 5.2 4K video resolution.
- 5.3 Shall support at least 9 megapixel (MP) capture
- 5.4 Shall support configuration in both spherical “fisheye” configuration, and rectangular “quad view”
- 5.5 Support a minimum of 10 concurrent video streams
- 5.6 Shall support pan, tilt, and zoom of the video feed by users
- 5.7 Camera shall be powered over Ethernet cable (PoE) in compliance with IEEE 802.3af
- 5.8 Shall provide H.264 and MJPEG image compression
- 5.9 Shall support RTSP streaming and H.265 compression
- 5.10 Shall support integration of RTSP video streams into third-party video

management systems

- 5.11 Shall be rated to IP66 (NEMA 4X compliant)
- 5.12 Shall include an electronic de-humidification device for use in various weather conditions
- 5.13 Shall include a lens defrost function
- 5.14 The camera shall be fully compatible with the video processor and be provided by the same manufacturer. The camera quality and performance shall support the detection requirements of the video processor.
- 5.15 The camera shall provide full visibility of the intersection as required to achieve the performance requirements of this special provision.
- 5.16 Shall include Cat-6 surge protection capable of being mounted to the sidewall mounting channel of the traffic signal controller cabinet for protection of the camera and video processor. The surge must not interfere or degrade the quality of the video signals on the line.
- 5.17 Shall include a compatible in-line Ethernet repeater or other solution recommended by the manufacturer for cable runs exceeding standard Ethernet distance at no additional cost to the City.
- 5.18 Mounting hardware.
 - 1. Support vertical and horizontal mounting
 - 2. Constructed of aluminum or stainless steel
 - 3. Compatible for securing a minimum 6-foot extension mast, 1.5-inch diameter
 - 4. Shall be shipped fully assembled within secured package and ready to attach to pole or extension arm
 - 5. A hole for cable of a minimum of 1" shall be located where the bracket shall be attached to City infrastructure. Bracket shall allow for banding to City mast arm.
 - 6. Shall meet the structural requirements of ASSTHO's Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, First Edition. The entire assembly shall be able to withstand wind gusts up to 150 MPH.
- 5.19 Camera cable.
 - 1. Shall be Category 6 network cable that is EIA/TIA-568-A complaint
 - 2. Shall meet NEMA TS2 operating temperature requirements and have a UL-certified jacket
 - 3. Shall include innerduct within raceways between the traffic signal controller cabinet and the camera to protect the cable at no additional cost to the City. Innerduct shall meet Section 1088.01(c) of IDOT's

Standard Specifications for Road and Bridge Construction (Standard Specifications).

6. ANTENNA

- 6.1 The low-profile, omnidirectional external antenna rated for outdoor use shall be fully compatible with the integration device and shall provide optimal signal reception at each site as recommended by the equipment manufacturer.
- 6.2 Antenna shall be able to be easily mounted to cabinet. Antenna housing shall require only one penetration in the mounting surface to route all internal antenna cabling. The antenna shall be an environmentally hardened, vandal-resistant antenna that protrudes no more than 1-1/2 inch from the cabinet. A watertight sealing bushing shall be included to prevent cable fraying and the ingress of water into the cabinet. All mounting hardware shall be included.
- 6.3 For the cellular modem integrated in the integration device, the antenna housing shall have multiple antennas inside with one threaded opening for all cabling and shall support:
1. Global Positioning System (GPS) (qty: 1)
 2. 4G/LTE MIMO (qty: 2)
 3. 2.4GHz/5GHz WiFi MIMO (qty: 2)
- 6.4 Shall include antenna cables with required manufacturer-terminated connectors for full compatibility with corresponding ports on the integration device.
- 6.5 Shall have labeled antenna terminations for easy installation.
- 6.6 Shall not exceed 1-1/2 inch in height.
- 6.7 Shall be IP67 rated and include a water-tight seal made of a closed cell rubber type foam and medium-firm acrylic adhesive with bonding features including a high initial adhesion and excellent high/low temperature holding power with excellent peel strength.

7. POWER

Technology enhancement equipment inside the traffic signal controller cabinet shall meet the following power requirements.

- 7.1 Include compatible UL-certified power supply and connections as recommended by the technology enhancement equipment manufacturer.
- 7.2 Provide galvanic isolation between earth ground and logical ground.
- 7.3 Shall support power source via NEMA 5-15R or direct-wire terminal block.
- 7.4 Shall include power backup to maintain device operation for at least 5 seconds of brownout. System shall shutdown safely with power loss.

- 7.5 Shall include a separate circuit breaker of sufficient amperage rating (minimum 10 amperes) for powering the technology enhancement equipment inside the cabinet. Breaker shall be at a minimum, a thermal magnetic type, UL listed with a minimum of 10,000 amp interrupting capacity.

8. SOFTWARE

- 8.1 Web-based management client software shall be included by the equipment manufacturer with Graphical User Interface (GUI) and secured through Secure Sockets Layer (SSL) encryption.

- 8.2 Shall provide access to field data from the integration device and provide all functions from a single software platform with a single sign-on.

- 8.3 Shall support an unlimited number of concurrent logins by authenticated users.

- 8.4 Shall be fully accessible via desktop, tablet, and mobile products on Chrome, Edge and Safari.

- 8.5 Shall support the full set of software features without interfering with the traffic signal controller to communicate with third-party software used by the operating agency.

8.6 System server

1. Professional cloud server hosting facility with fault-tolerant redundancy, automated load-balancing, and scalability to meet the service levels specified herein.
2. Storage of all telemetry, alert, and vehicle data with no age limit.
3. Polling, storage, and support for at least 3,000 signal controllers
4. Performance of at least 95% uptime

8.7 Security features

1. User login through credentials and OAuth protocol
2. Authentication and encryption with public key infrastructure (PKI) and Datagram/Transport Layer Security (DTLS/TLS1.0+) protocol
3. Account verification through email
4. Secure password reset
5. Administrative management of user profiles and customizable privileges for users internal and external to operating agency
6. Secure Virtual Private Network (VPN) connection
7. HTTPS/SSL communication to the server from the public Internet

8.8 Signal equipment monitoring

1. Shall support live display of all telemetry data with latency not to exceed 1 second with at least LTE connectivity.

2. Shall support historical display for at least 3 months of all telemetry data on-demand with load latency not to exceed 1 second.
 3. Shall support a viewing mode in which all telemetry data is displayed overlaid onto a diagram of the intersection.
 4. Shall support a viewing mode in which all telemetry data is displayed in a timing diagram format in which interval length is displayed in seconds for each signal phase.
 5. Shall support display of environmental weather conditions, including precipitation and temperature, as part of live and historical viewing of telemetry data.
 6. Shall provide networking support for secure monitoring by operating agency's third-party software of equipment connected via serial communications
 7. Shall provide reporting on signal telemetry, detector, and alert data.
- 8.9 Data reports and Automated Traffic Signal Performance Measures (ATSPM) on metrics enabled by monitored detection implemented at intersection
1. Secure remote access to dashboard for traffic count data
 2. Available data in at least 15-minute intervals
 3. Summary charts and trend reporting with user-selectable parameters
 - a. Turning movement counts
 - b. Vehicle type
 - c. Percentiles
 - d. Historical date range selection
 - e. Delay per vehicle and per approach
 - f. Approach volume and speed
 - g. Red light runners
 - h. Arrivals on green
 - i. Arrivals on red
 - j. Split failures
 - k. Platoon ratios
 - l. Pedestrian actuations
 - m. Pedestrian delay
 - n. Detector count and duration
 - o. Queue length
 - p. Queue spillback
 - q. Intersection level of service
 - r. Total cost
 - s. CO₂ emissions
 - t. Travel time
 - u. Purdue phase termination, split failures, adjustment optimization, and predicted coordination diagrams
 4. Exportable data summary formats, including Portable Document Format (pdf), MS Excel (xlsx), and Comma-Separated Values (csv)
- 8.10 Video viewing
1. Access from Web browsers to live video streams without third-party plugins and additional software installation

2. Access from tablet Web browsers with HTTP Live Streaming (HLS)
3. Support a minimum of 300 total simultaneous video streams among all cameras
4. Allows users to virtually pan, tilt, and zoom the video feed
5. Support live video streaming of any third-party camera connected over Ethernet to the integration device that supports non-proprietary codecs and RTSP streaming
6. Support live video streaming with an initial load time of no more than 10 seconds
7. Support live video streaming with a latency of no more than 10 seconds at a frame rate of at least 15 fps
8. Support at least 10 concurrent video streams from a single camera to be viewed in multiple browsers
9. Allow users to recall, download, and view intersection video recorded and stored at the intersection for up to 14 days

8.11 Video detection configuration

1. Web-based user interface for configuration of detection zones
2. Configuration of rectangular and irregular polygon shaped zones and pulse or presence detection zones
3. Secure remote configuration of system without additional connectivity costs
4. Full configuration history for remote changes
5. Local roadside configuration of all detection zones

8.12 External data interface

1. Support external data access by shared open protocol or documented network-based Application Programming Interface (API)
 - a. Turning movement count data in minimum 15-minute intervals
 - b. Crosswalk bi-directional pedestrian counts in minimum 15-minute intervals
 - c. Intersection information including geographic coordinates
 - d. Active alert data
2. Open data interface format including JSON and XML
3. Support administrative management and security of data interface
4. Provide API documentation and testing support
5. Secure access to all stored multimodal count data

8.13 Management functions

1. Signal assessment information on signal operations and maintenance, signal configuration, signal performance, input data quality, and alert volume
2. Condition detection and alerting
 - a. Power outage
 - b. Signal flash operation
 - c. Digital I/O signals
 - d. Detector failure

- e. Preemption failure
- f. Controller failure
- g. Support
- 3. Issue reporting with prioritization, acknowledgement, comment, assignment, resolution, recipients, and user-customizable SMS text and email alerting functions
- 4. Viewing of information in tabular and geographic format with user-selectable filtering
- 5. Data query by date and time
- 6. Record retention for at least 5 years
- 7. Exportable data formats, including MS Excel (xlsx) and Comma-Separated Values (csv)
- 8. Asset management features
 - a. Create geographical placeholders for intersections without a device installed
 - b. Record cabinet equipment inventory information for each intersection
 - c. Upload and store reference files (up to 1 GB) with each node in the network
 - d. View all asset information and uploaded reference files

8.14 Cellular communications

- 1. Fully communicate with the integration device over 4G LTE cellular data service and local network of the operating agency
- 2. Provide at least 1 GB per month per location for 4G LTE cellular data usage, pooled collectively among all monitored intersections of the operating agency
- 3. Provide cellular signal strength readings with field unit to support integration device deployment CDMA/UTMS/, and RSRP for Reference Signal Received Power for 4G LTE)
- 4. Provide cellular signal quality readings with field unit to support integration device deployment CDMA/UMTS/, RSRQ or Reference Signal Received Quality for 4G LTE, and SINR or Signal to Interference-plus-Noise-Ratio for 4G LTE)

9. SHIPPING

All technology enhancement equipment and hardware shall be packed to provide protection during shipping. Instructions must be included in each package. Packages shall be labeled indicating contents and shall include the manufacturer and model numbers.

CABLE: THREE COPPER CONDUCTORS IN JACKET, SHIELDED 600 VOLT

ELECTRICAL SPECIFICATION 1641
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
APRIL 1, 2023

SUBJECT

1. This specification states the requirements for a three-conductor cable intended to be used in railroad preemption circuits, pedestrian actuated push-button circuits, and other traffic signal related circuits. The cable shall be rated 600 volts.

GENERAL

2. (a) Specifications. The cable shall conform in detail to the requirements herein stated, and to the applicable portions of the specifications and methods of test of the following agencies:
 - (1) ASTM - American Society for Testing and Materials
 - (2) ICEA - Insulated Cable Engineers Association
 - (3) IEEE - Institute of Electrical and Electronics Engineers
 - (4) UL - Underwriters Laboratories
- (b) Acceptance. Cable not conforming to this specification will not be accepted.
- (c) Sample. If so requested, a sample of the cable intended to be provided under this contract shall be submitted to the Engineer of Electricity within fifteen (15) business days after receipt of such a request from the Chief Procurement Officer.
- (d) Warranty. The contractor shall warrant the cable to be first class material throughout. The contractor will be responsible for any cable failing during normal use within one (1) year after the date of acceptance by the City. The contractor shall provide material replacement for any failed cable. There shall be no cost to the City. All replacements must be made free of charge F.O.B. delivery point of original contract.

CABLE

3. (a) Construction. The cable shall consist of three (3) copper conductors separately insulated and color coded. The three conductors shall be covered by an overall shield. The entire assembly shall be jacketed.
- (b) Cable shall be UL approved for direct burial.
- (c) Cable shall meet IEEE 383 and UL 1581 70,000 BTUs per hour flame test requirements.

- (d) The cable shall be rated for continuous duty at 90°C operating temperature, wet or dry.

CONDUCTOR

- 4. (a) Material. The conductor shall be annealed bare round copper wire.
- (b) Specifications. The conductor shall meet the requirements of ASTM B3.
- (c) Size. The conductor shall be 14 AWG solid copper.

INSULATION

- 5. (a) Type. The insulation shall be Ethylene Propylene compound (EPR) meeting the physical and electrical requirements specified herein.
- (b) Thickness. The insulation must be circular in cross-section, concentric to the conductor, and must have an average thickness not less than 45 mils (.045") and a spot thickness not less than ninety percent (90%) of the average thickness.
- (c) The insulation shall meet the requirements of ICEA S-95-658 and UL 44 for RHW-2 cable and UL 854 for USE-2 cable.
- (d) The conductors shall be red, black, and white.

SHIELD

- 6. (a) The conductors shall be overall shielded with a tinned copper braid with an 85% overlap.

JACKET

- 7. (a) Type. The jacket shall be either polyethylene (PE) or polyvinylchloride (PVC).
- (b) Thickness. The jacket must be circular in cross-section, concentric with the insulation, must have an average thickness not less than 80 mils (.080") and a spot thickness not less than ninety percent (90%) of the average thickness.
- (c) The jacket shall be black.
- (d) Markings. The cable shall be identified with a permanent legend approximately every 18 inches with wording similar to the following:

3/C #14AWG-600V-90°C-EPR-PVC-RHW-2

PACKAGING

- 8. (a) Reels. The completed cable shall be delivered on sound substantial, non-returnable reels. Both ends of each length of cable must be properly sealed

against the entrance of moisture and other foreign matter by the use of clamp-on cable caps. The ends must be securely fastened so as not to become loose in transit. Before shipment, all reels must be wrapped with cardboard or other approved wrapping.

- (b) Reel Marking. A metal tag must be securely attached to each reel indicating the reel number, contract number, date of shipment, gross and tare weights, description of the cable and the total footage.

GENERAL ELECTRICAL REQUIREMENTS

Effective: January 1, 2025

This special provision replaces Articles 801.01 – 801.07 and 801.09 – 801-16 of the Standard Specifications.

Definition. Codes, standards, and industry specifications cited for electrical work shall be by definition the latest adopted version thereof, unless indicated otherwise.

Materials by definition shall include electrical equipment, fittings, devices, motors, appliances, fixtures, apparatus, all hardware and appurtenances, and the like, used as part of, or in connection with, electrical installation.

Standards of Installation. Materials shall be installed according to the manufacturer's recommendations, the NEC, OSHA, the NESC, and AASHTO's Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals.

All like materials shall be from the same manufacturer. Listed and labeled materials shall be used whenever possible. The listing shall be according to UL or an approved equivalent.

Safety and Protection. Safety and protection requirements shall be as follows.

Safety. Electrical systems shall not be left in an exposed or otherwise hazardous condition. All electrical boxes, cabinets, pole handholes, etc. which contain wiring, either energized or non-energized, shall be closed or shall have covers in place and be locked when possible, during nonworking hours.

Protection. Electrical raceway or duct openings shall be capped or otherwise sealed from the entrance of water and dirt. Wiring shall be protected from mechanical injury.

Equipment Grounding Conductor. All electrical systems, materials, and appurtenances shall be grounded. Good ground continuity throughout the electrical system shall be assured, even though every detail of the requirements is not specified or shown. Electrical circuits shall have a continuous insulated equipment grounding conductor. When metallic conduit is used, it shall be bonded to the equipment grounding conductor but shall not be used as the equipment grounding conductor.

Detector loop lead-in circuits, circuits under 50 volts, and runs of fiber optic cable will not require an equipment grounding conductor.

Where connections are made to painted surfaces, the paint shall be scraped to fully expose metal at the connection point. After the connection is completed, the paint system shall be repaired to the satisfaction of the Engineer.

Bonding of all boxes and other metallic enclosures throughout the wiring system to the equipment grounding conductor shall be made using a splice and pigtail connection. Mechanical connectors shall have a serrated washer at the contact surface.

All connections to structural steel or fencing shall be made with exothermic welds. Care shall be taken not to weaken load carrying members. Where connections are made to epoxy coated reinforcing steel, the epoxy coating shall be sufficiently removed to facilitate a mechanical connection. The epoxy coating shall be repaired to the satisfaction of the Engineer. Where connections are made to insulated conductors, the connection shall be wrapped with at least four layers of electrical tape extended 6 in. onto the conductor insulation.

Submittals. At the preconstruction meeting, the Contractor shall submit a written listing of manufacturers for all major electrical and mechanical items. The list of manufacturers shall be binding, except by written request from the Contractor and approval by the Engineer. The request shall include acceptable reasons and documentation for the change.

Within 30 calendar days after contract execution, the Contractor shall submit, for approval, through the method as directed in the pre-construction meeting. Submittals for the materials for each individual pay item shall be complete in every respect. Submittals which include multiple pay items shall have all submittal material for each item or group of items covered by a particular specification, grouped together and the applicable pay item identified. Various submittals shall, when taken together, form a complete coordinated package. A partial submittal will be returned without review unless prior written permission is obtained from the Engineer.

Submittals shall be provided for all items used, temporary and permanent, for review and approval.

Equipment which will be owned and maintained by a local agency other than the State shall be reviewed and approved by that agency prior to submittal to the State. The submittal to the State shall include any comments made by the local agency.

Each PDF document must be a vector format PDF from the originating supplier or program and not scanned images. The submittal must clearly identify the specific model number or catalog number of the item being proposed. The submittal shall be properly identified by pay item number, contractor, route, section, county, and contract number.

The Contractor shall have reviewed the submittal material and affixed the Contractor's stamp of approval, with date and signature, for each individual item prior to submittal. The Contractor's approval stamp shall be the first page of the submittal.

Illegible print, incompleteness, inaccuracy, or lack of coordination will be grounds for rejection.

Items from multiple disciplines shall not be combined on a single submittal and transmittal. Items for lighting, signals, surveillance, and CCTV must be in separate submittals since they may be reviewed by various personnel in various locations.

The Department may provide a list of pay items broken out by discipline upon request for a particular contract. In general, the disciplines are as follows:

Discipline	Typical Items
ITS	CCTV CCTV structures Communication vaults Fiber optic Fiber optic duct Network equipment
Lighting	Breakaway devices Light poles Light tower Lighting cables Lighting controllers Luminaires Unit duct
Pump Station	All pump station equipment
Signing	Signing
Surveillance	Loop cables Detector equipment & associated structures Ramp metering & associated structures Wireless pavement sensors and assoc. structures Radar detection Data Probing Handholes Dynamic Message Signs (DMS)
Traffic Signal	Controllers/Cabinets Handholes Illuminated signs Pedestrian Push Buttons Signal Cable Signal Detectors Signal Heads Signal Loop Cable Signal Modules Signal Structures
Local Roads Lighting	Same as lighting
Local Roads Traffic Signal	Same as traffic signal
Discipline with the predominate amount of work in contract or ask Engineer.	Raceways Electric cables Junction boxes

The Engineer will review the submittals for conformance with the design concept of the project according to Article 105.04 and the following. The Engineer will stamp the drawings indicating their status as "Approved", "Approved as Noted", "Disapproved", "Incomplete", or "Information Only". Since the Engineer's review is for conformance with the design concept only, it shall be the Contractor's responsibility to coordinate the various items into a working system as specified. The Contractor shall not be relieved from responsibility for errors or omissions in the shop, working, or layout drawings by the Engineer's approval thereof. The Contractor shall still be in full compliance with contract and specification requirements.

All submitted items reviewed and marked "Disapproved", "Incomplete" or "Approved as Noted" shall be resubmitted by the Contractor in their entirety, unless otherwise indicated within the submittal comments. Work shall not begin until the Engineer has approved the submittal. Material installed prior to approval by the Engineer, will be subject to removal and replacement at no additional cost to the Department.

Certifications. When certifications are specified and are available prior to material manufacture, the certification shall be included in the submittal information. When specified and only available after manufacture, the submittal shall include a statement of intent to furnish certification. All certificates shall be complete with all appropriate test dates and data.

Authorized Project Delay. See Article 801.08

Maintenance transfer and Preconstruction Inspection: Before performing any excavation, removal, or installation work (electrical or otherwise) at the site, the Contractor shall request a maintenance transfer and preconstruction site inspection, to be held in the presence of the Engineer and a representative of the party or parties responsible for maintenance of any electrical systems (lighting, ITS, pump station, surveillance, and traffic control systems) which may be affected by the work. The request for the maintenance transfer and preconstruction inspection shall be made no less than 14 calendar days prior to the desired inspection date. The maintenance transfer and preconstruction inspection shall establish the procedures for formal transfer of maintenance responsibility required for the construction period and establish the approximate location and operating condition of the electrical systems which may be affected by the work.

Marking of Existing Cable Systems. The party responsible for maintenance of any existing electrical systems at the project site will, at the Contractor's request, mark ,and/or stake once per location all underground cable routes owned or maintained by the State. A project may involve multiple "locations" where separated electrical systems are involved (i.e. different controllers). The markings shall be taken to have a horizontal tolerance of at least 1 foot to either side. The request for the cable locations and marking shall be made at the same time the request for the maintenance transfer and preconstruction inspection is made. The Contractor shall exercise extreme caution where existing buried cable runs are involved. The markings of existing systems are made strictly for assistance to the Contractor, and this does not relieve the Contractor of responsibility for the repair or replacement of any cable run damaged in the course of his work, as specified elsewhere herein. Note that the Contractor shall be entitled to only one request for location marking of existing systems and that multiple requests may only be honored at the contractor's expense. No locates will be made after maintenance is transferred unless it is at the Contractor's expense.

Condition of Existing Systems. The Contractor shall conduct an inventory of all existing electrical system equipment within the project limits, which may be affected by the work, making note of any parts which are found broken or missing, defective or malfunctioning. Megger and load readings shall be taken for all existing circuits which will remain in place or be modified. If a circuit is to be taken out in its entirety, then readings do not have to be taken. The inventory and test data shall be reviewed with and approved by the Engineer, and a record of the inventory shall be submitted to the Engineer for the record. Without such a record, all systems transferred to the Contractor for maintenance during construction shall be returned at the end of construction in complete, fully operating condition.

Maintenance and Responsibility During Construction.

Lighting Operation and Maintenance Responsibility. The scope of work shall include the assumption of responsibility for the continuing operation and maintenance of the existing, proposed, temporary, sign and navigation lighting, or other lighting systems and all appurtenances affected by the work as specified elsewhere herein. Maintenance of lighting systems is specified elsewhere and will be paid for separately.

The proposed lighting system must be operational prior to opening the roadway to traffic unless temporary lighting exists which is designed and installed to properly illuminate the roadway.

Electrical Infrastructure During Construction. The scope of work shall include locating and marking the proposed underground infrastructure installed in this contract.

Energy and Demand Charges. The payment of basic energy and demand charges by the electric utility for existing lighting which remains in service will continue as a responsibility of the owner, unless otherwise indicated. Unless otherwise indicated or required by the Engineer duplicate lighting systems (such as temporary lighting and proposed new lighting) shall not be operated simultaneously at the owner's expense and lighting systems shall not be kept in operation during long daytime periods at the owner's expense. Upon written authorization from the Engineer to place a proposed new lighting system in service, whether the system has passed final acceptance or not, (such as to allow temporary lighting to be removed), the owner will accept responsibility for energy and demand charges for such lighting, effective the date of authorization. All other energy and demand payments to the utility shall be the responsibility of the Contractor until final acceptance.

Damage to Electrical Systems. Should damage occur to any existing electrical systems through the Contractor's operations, the Engineer will designate the repairs as emergency or non-emergency in nature.

Emergency repairs shall be made by the Contractor, or as determined by the Engineer, the Department, or its agent. Non-emergency repairs shall be performed by the Contractor within six working days following discovery or notification. All repairs shall be performed in an expeditious manner to assure all electrical systems are operational as soon as possible. The repairs shall be performed at no additional cost to the Department.

Lighting. An outage will be considered an emergency when three or more lights on a circuit or three successive lights are not operational. Knocked down materials, which result in a danger to the motoring public, will be considered an emergency repair.

Temporary aerial multi-conductor cable, with grounded messenger cable, will be permitted if it does not interfere with traffic or other operations and if the Engineer determines it does not require unacceptable modification to existing installations.

Marking Proposed Locations. The Contractor shall mark or stake the proposed locations of all poles, cabinets, junction boxes, pull boxes, handholes, cable routes, pavement crossings, and other items pertinent to the work. A proposed location inspection by the Engineer shall be requested prior to any excavation, construction, or installation work after all proposed installation locations are marked. Any work installed without location approval is subject to corrective action at no additional cost to the Department.

Inspection of Electrical Work. Inspection of electrical work shall be according to Article 105.12 and the following. Before any splice, tap, or electrical connection is covered in handholes, junction boxes, light poles, or other enclosures, the Contractor shall notify and make available such wiring for the Engineer's inspection.

Testing. Before final inspection, the electrical work shall be tested. Tests may be made progressively as parts of the work are completed or may be made when the work is complete. Tests shall be made in the presence of the Engineer. Items which fail to test satisfactorily shall be repaired or replaced. Tests shall include checks of control operation, system voltages, cable insulation, and ground resistance and continuity.

The forms for recording test readings will be available from the Engineer in electronic format. The Contractor shall provide the Engineer with a written report of all test data including the following:

- Voltage Tests
- Amperage Tests
- Insulation Resistance Tests
- Continuity tests
- Resistance of Grounding Electrodes
- Detector Loop Tests

Lighting systems. The following tests shall be made.

Voltage Measurements. Voltages in the cabinet from phase to phase and phase to neutral, at no load and at full load, shall be measured and recorded. Voltage readings at the last termination of each circuit shall be measured and recorded.

Insulation Resistance. Insulation resistance to ground of each circuit at the cabinet shall be measured and recorded with all loads disconnected. Prior to performance of the insulation resistance test, the Contractor shall remove all fuses within all light pole bases on a circuit to segregate the luminaire loads.

On tests of new cable runs, the readings shall exceed 50 megohms for phase and neutral conductors with a connected load over 20A and shall exceed 100 megohms for conductors with a connected load of 20A or less.

On tests of cable runs which include cables which were existing in service prior to this contract, the resistance readings shall be the same or better than the readings recorded at the maintenance transfer at the beginning of the contract. Measurements shall be taken with a megohm meter approved by the Engineer.

Loads. The current of each circuit, phase main, and neutral shall be measured and recorded. The Engineer may direct reasonable circuit rearrangement. The current readings shall be within 10% of the connected load based on material ratings.

Ground Continuity. Resistance of the system ground as taken from the farthest extension of each circuit run from the controller (i.e. check of equipment ground continuity for each circuit) shall be measured and recorded. Readings shall not exceed 2.0 ohms, regardless of the length of the circuit.

Resistance of Grounding Electrodes. Resistance to ground of all grounding electrodes shall be measured and recorded. Measurements shall be made with a ground tester during dry soil conditions as approved by the Engineer. Resistance to ground shall not exceed 10 ohms.

Surveillance. The following tests shall be made in addition to the lighting system test above.

Detector Loops. Before and after permanently securing the loop in the pavement, the resistance, inductance, resistance to ground, and quality factor for each loop and lead-in circuit shall be tested.

The loop and lead-in circuit shall have an inductance between 20 and 2500 microhenries. The resistance to ground shall be a minimum of 50 megohms under any conditions of weather or moisture. The continuity test of the loop and loop lead-in shall not have a resistance greater than 2 ohms. The quality factor (Q) shall be 5 or greater.

Loops which fail to test satisfactorily shall be repaired or replaced.

Telecommunication Cable. Once the telecommunication cable is installed complete with all cable terminations complete the Contractor shall request an end-to-end test. The Contractor shall request the end-to-end test at least seven days in advance to the TSC Engineer. Any lane closures and/or any other safety measures that need to be taken shall be provided for by the Contractor and shall be considered included in the cost of this item. The type of test performed shall be an end-to-end test with Halcyon type equipment transmitting and receiving at each end of the cable. Each pair shall be tested, and the results shall be recorded and submitted to the Engineer. If any results don't fall within the requirements set forth in (REA) PE-39, the Contractor shall correct and re-test that cable pair. Traffic Systems will tolerate only one pair out of every 100 pair of cable that doesn't meet or exceed specifications set forth in (REA) PE-39.

Wireless in-pavement detection systems shall be tested in accordance with the approved testing procedures provided in the catalog cut submittal.

Fiber Optic Systems. The Contractor shall submit detailed test procedures for approval by the Engineer. All fibers (terminated and un-terminated) shall be tested bi-directionally at both 1310 nm and 1550 nm with both an OTDR and a power meter with an optical source. For testing, intermediate breakout fibers may be concatenated and tested end-to-end. Any discrepancies between the measured results and these specifications will be resolved to the satisfaction of the Engineer.

Fibers which are not to be terminated shall be tested with a temporary fusion spliced pigtail fiber.
Mechanical splice or bare fiber adapters are not acceptable.

The Contractor shall provide the date, time and location of any tests required by this specification to the Engineer at least five working (seven calendar) days before performing the test. Included with the notification shall be a record drawing of the installed fiber optic cable system. The drawings shall indicate actual installed routing of the cable, the locations of splices, and locations of cable slack with slack quantities identified.

Upon completion of the cable installation, splicing, and termination, the Contractor shall test all fibers for continuity, events above 0.1 dB, and total attenuation of the cable. The test procedure shall be as follows:

A certified technician utilizing an OTDR and optical source/power meter shall conduct the installation test. The test equipment used shall have been calibrated within the last two years. Documentation shall be provided. The technician is directed to conduct the test using the standard operating procedures defined by the manufacturer of the test equipment. All fibers installed shall be tested in both directions.

A fiber ring or fiber box shall be used to connect the OTDR to the fiber optic cable under test at both the launch and receive ends. The tests shall be conducted at 1310 and 1550 nm for all fibers.

All testing shall be witnessed by the Engineer, and a copy of the test results (CD ROM or USB Drive) shall be submitted on the same day of the test. Hardcopies shall be submitted as described herein with copies on CD ROM or USB Drive.

At the completion of the test, the Contractor shall provide copies of the documentation of the test results to the Engineer. The test documentation shall be submitted as two bound copies and three CD ROM, or USB drive copies, and shall include the following:

Cable & Fiber Identification:

- Cable ID
- Cable Location - beginning and end point
- Fiber ID, including tube and fiber color
- Wavelength
- Pulse width (OTDR)
- Refractory index (OTDR)
- Operator Name
- Date & Time
- Setup Parameters
- Range (OTDR)
- Scale (OTDR)
- Setup Option chosen to pass OTDR "dead zone"

Test Results shall include:

- OTDR Test results
- Total Fiber Trace
- Splice Loss/Gain
- Events > 0.10 dB
- Measured Length (Cable Marking)
- Total Length (OTDR)
- Optical Source/Power Meter Total Attenuation (dB/km)

Sample Power Meter Tabulation:

Power Meter Measurements (dB)									
Location		Fiber No.	Cable Length (km)	A to B		B to A		Bidirectional Average	
A	B			1310 nm	1550 nm	1310 nm	1550 nm	1310 nm	1550 nm
		1							
		2							
Maximum Loss									
Minimum Loss									

The OTDR test results file format must be Bellcore/Telcordia compliant according to GR-196-CORE Issue 2, OTDR Data Standard, GR 196, Revision 1.0, GR 196, Revision 1.1, GR 196, Revision 2.0 (SR-4731) in a ".SOR" file format. A copy of the test equipment manufacturer's software to read the test files, OTDR and power, shall be provided to the Department. These results shall also be provided in tabular form, see sample below:

Sample OTDR Summary				
Cable Designation:	<i>TCF-IK-03</i>	OTDR Location:	<i>Pump Sta. 67</i>	Date: <i>1/1/00</i>
Fiber Number	Event Type	Event Location	Event Loss (dB)	
			1310 nm	1550 nm
<i>1</i>	<i>Splice</i>	<i>23500 Ft.</i>	<i>.082</i>	<i>.078</i>
<i>1</i>	<i>Splice</i>	<i>29000 Ft.</i>	<i>.075</i>	<i>.063</i>
<i>2</i>	<i>Splice</i>	<i>29000 Ft.</i>	<i>.091</i>	<i>.082</i>
<i>3</i>	<i>Splice</i>	<i>26000 Ft.</i>	<i>.072</i>	<i>.061</i>
<i>3</i>	<i>Bend</i>	<i>27000 Ft.</i>	<i>.010</i>	<i>.009</i>

The following shall be the criteria for the acceptance of the cable:

Upon completing all splicing operations for a cable span, the Contractor shall measure the mean bi-directional loss at each splice using an Optical Time Domain Reflectometer.

When splices are made between identical cables (same manufacturer and cable type) the average optical loss of each splice shall not exceed 0.10 dB. The average is determined by measuring the splice loss in both directions with an OTDR, adding the two readings, and dividing by two. Testing should be performed for both the 1310 and 1550 nm wavelengths. No individual splice loss measured in a single direction shall exceed 0.15 dB.

When splices are made between cables containing fibers of different mode field diameters, the average optical loss of each splice shall not exceed 0.50 dB. The average is determined by measuring the splice loss in both directions with an OTDR, adding the two readings, and dividing by two. Testing should be performed for both the 1310 and 1550 nm wavelengths. No individual splice loss measured in a single direction shall exceed 0.6 dB.

The Contractor shall measure the end-to-end attenuation of each fiber, from connector to connector, using an optical power meter and source. This loss shall be measured at from both directions and shall not exceed 0.5 dB per installed kilometer of single mode cable. Measurements shall be made at both 1300 and 1550 nm for single mode cable.

For multimode cable, power meter measurements shall be made at 850 and 1300 nm. The end-to-end attenuation shall not exceed 3.8 dB/installed kilometers at 850nm or 1.8 dB per installed kilometer at 1300nm for multimode fibers.

If the total loss exceeds these specifications, the Contractor shall replace or repair the cable run at the no additional cost to the state, both labor and materials. Elevated attenuation due to exceeding the pulling tension, or any other installation operation, during installation shall require the replacement of the cable run at no additional cost to the State, including labor and materials.

All test results shall be furnished to the Engineer seven working days before the date the inspection is scheduled.

Contract Guarantee. The Contractor shall provide a written guarantee for all electrical work provided under the contract for a period of six months after the date of acceptance with the following warranties and guarantees.

- (a) The manufacturer's standard written warranty for each piece of electrical material or apparatus furnished under the contract. The warranty for LED modules, including the maintained minimum luminance, shall cover a minimum of 120 months from the date of delivery.
- (b) The Contractor's written guarantee that, for a period of six months after the date of final acceptance of the work, all necessary repairs to or replacement of said warranted material or apparatus for reasons not proven to have been caused by negligence on the part of the user or acts of a third party shall be made by the Contractor at no additional cost to the Department.
- (c) The Contractor's written guarantee for satisfactory operation of all electrical systems furnished and constructed under the contract for a period of six months after final acceptance of the work.

The warranty for an UPS shall cover a minimum of two years from date the equipment is placed in operation; however, the batteries of the UPS shall be warranted for full replacement for a minimum of five years.

Record Drawings. Alterations and additions to the electrical installation made during the execution of the work shall be made on the PDF copy of the as-let documents using a PDF editor. Hand drawn notations or markups and scanned plans are not acceptable. These drawings shall be updated daily and shall be available for inspection by the Engineer during the work. The record drawings shall include the following:

- Cover Sheet
- The Electrical Maintenance Contract Management System (EMCMS) location designation, i.e. "L" number
- Summary of Quantities, electrical items only
- Legends, Schedules, and Notes
- Plan Sheets

- Pertinent Details
- Single Line Diagrams
- Other useful information useful to locate and maintain the systems.

Any modifications to the details shall be indicated. Final quantities used shall be indicated on the Summary of Quantities. Foundation depths used shall also be listed.

As part of the record drawings, the Contractor shall inventory all materials, new or existing, on the project and record information on inventory sheets provided by the Engineer.

The inventory shall include:

- Location of Equipment, including rack, chassis, slot as applicable.
- Designation of Equipment
- Equipment manufacturer
- Equipment model number
- Equipment Version Number
- Equipment Configuration
 - Addressing, IP or other
 - Settings, hardware or programmed
- Equipment Serial Number

The following electronic inventory forms are available from the Engineer:

- Lighting Controller Inventory
- Lighting Inventory
- Light Tower Inspection Checklist
- ITS Location Inventory

The information shall be entered in the forms; handwritten entries will not be acceptable; except for signatures. Electronic file shall also be included in the documentation.

When the work is complete, and seven days before the request for a final inspection, the set of contract drawings, marked "RECORD DRAWINGS", shall be submitted to the Engineer for review and approval and shall be stamped with the date and the signature of the Contractor's supervising Engineer or Electrician. The record drawings shall be submitted in PDF format via a mutually agreed to electronic format for review and approval.

In addition to the record drawings, PDF copies of the final catalog cuts which have been Approved and Approved as Noted with applicable follow-up shall be submitted along with the record drawings. The PDF files shall clearly indicate either by filename or PDF table of contents (bookmarks) the respective pay item number. Specific part or model numbers of items which have been selected shall be clearly visible.

During the final inspection, the Contractor shall provide three sets of electronically produced drawings in a moisture proof pouch to be kept on the inside door of the controller cabinet or other location approved by the Engineer. These drawings shall show the final as-built circuit orientation(s) of the project in the form of a single line diagram with all equipment designations and clearly identified.

Final documentation shall be submitted as a complete submittal package, i.e. record drawings, test results, inventory, etc. shall be submitted at the same time. Partial piecemeal submittals will be rejected without review.

A total of three hardcopies and two CD-ROMs or USB Drives of the final documentation shall be submitted. The identical material shall also be submitted in the same manner as the catalog cut submittals utilizing the following final documentation pay item numbers:

Pay Code	Description	Discipline
FDLRD000	Record Drawings - Lighting	Lighting
FDSRD000	Record Drawings - Surveillance	Surveillance
FDTRD000	Record Drawings - Traffic Signal	Traffic Signal
FDIRD000	Record Drawings - ITS	ITS
FDLCC000	Catalog Cuts - Lighting	Lighting
FDSCC000	Catalog Cuts – Surveillance	Surveillance
FDTCC000	Catalog Cuts – Traffic Signal	Traffic Signal
FDICC000	Catalog Cuts - ITS	ITS
FDLWL000	Warranty - Lighting	Lighting
FDSWL000	Warranty - Surveillance	Surveillance
FDTWL000	Warranty - Traffic Signal	Traffic Signal
FDIWL000	Warranty - ITS	ITS
FDLTR000	Test Results - Lighting	Lighting
FDSTR000	Test Results - Surveillance	Surveillance
FDTTR000	Test Results - Traffic Signal	Traffic Signal
FDITR000	Test Results - ITS	ITS
FDLINV00	Inventory - Lighting	Lighting
FDSINV00	Inventory - Surveillance	Surveillance
FDTINV00	Inventory - Traffic Signal	Traffic Signal
FDIINV00	Inventory - ITS	ITS
FDLGPS00	GPS - Lighting	Lighting
FDSGPS00	GPS - Surveillance	Surveillance
FDTGPS00	GPS - Traffic Signal	Traffic Signal
FDIGPS00	GPS - ITS	ITS

Record drawings shall include marked up plans, controller info, service info, equipment settings, manuals, wiring diagrams for each discipline.

Test results shall be all electrical test results, fiber optic OTDR, and fiber optic power meter as applicable for each discipline.

GPS Documentation. In addition to the specified record drawings, the Contactor shall record GPS coordinates of the following electrical components being installed, modified or being affected in other ways by this contract:

- All light poles and light towers.
- Handholes and vaults.
- Junction Boxes
- Conduit roadway crossings.
- Controllers.
- Control Buildings.
- Structures with electrical connections, i.e. DMS, lighted signs.
- Electric Service locations.
- CCTV Camera installations.
- Roadway Surveillance installations.
- Fiber Optic Splice Locations.
- Fiber Optic Cables. Coordinates shall be recorded along each fiber optic cable route every 200 feet.
- Fiber Optic Utility Markers
- All fiber optic slack locations shall be identified with quantity of slack cable included. When sequential cable markings are available, those markings shall be documented as cable marking into enclosure and marking out of enclosure.
- All wireless in-pavement vehicle detectors

Datum to be used shall be North American 1983.

Data shall be provided electronically. The electronic format shall be compatible with MS Excel. Latitude and Longitude shall be in decimal degrees with a minimum of six decimal places. Each coordinate shall have the following information:

1. District
2. Description of item
3. Designation
4. Use
5. Approximate station
6. Contract Number
7. Date
8. Owner
9. Latitude
10. Longitude
11. Comments

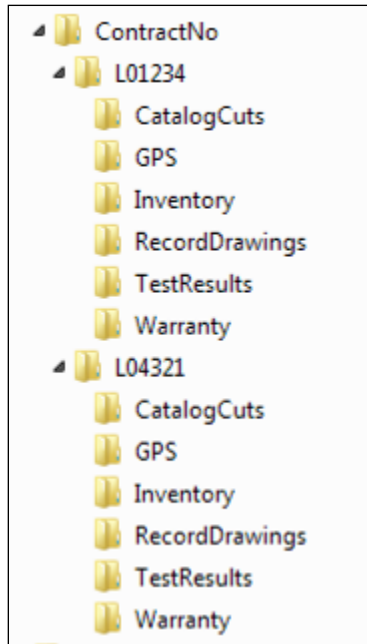
A spreadsheet template will be available from the Engineer for use by the Contractor.

Accuracy. Data collected is to be mapping grade. A handheld mapping grade GPS device shall be used for the data collection. The receiver shall support differential correction, and data shall have minimum 5 meter accuracy after post processing.

GPS receivers integrated into cellular communication devices; recreational and automotive GPS devices are not acceptable.

The GPS shall be the product of an established major GPS manufacturer having been in the business for a minimum of six years.

The documents on the CD ROM, or USB drive shall be organized by the electrical maintenance contract management system (EMCMS) location designation. If multiple EMCMS locations are within the contract, separate folders shall be utilized for each location as follows:



Extraneous information not pertaining to the specific EMCMS location shall not be included in that particular folder and sub-folder.

The inspection will not be made until after the delivery of acceptable record drawings, specified certifications, and the required guarantees.

The final acceptance documentation checklist shall be completed and is contained elsewhere herein.

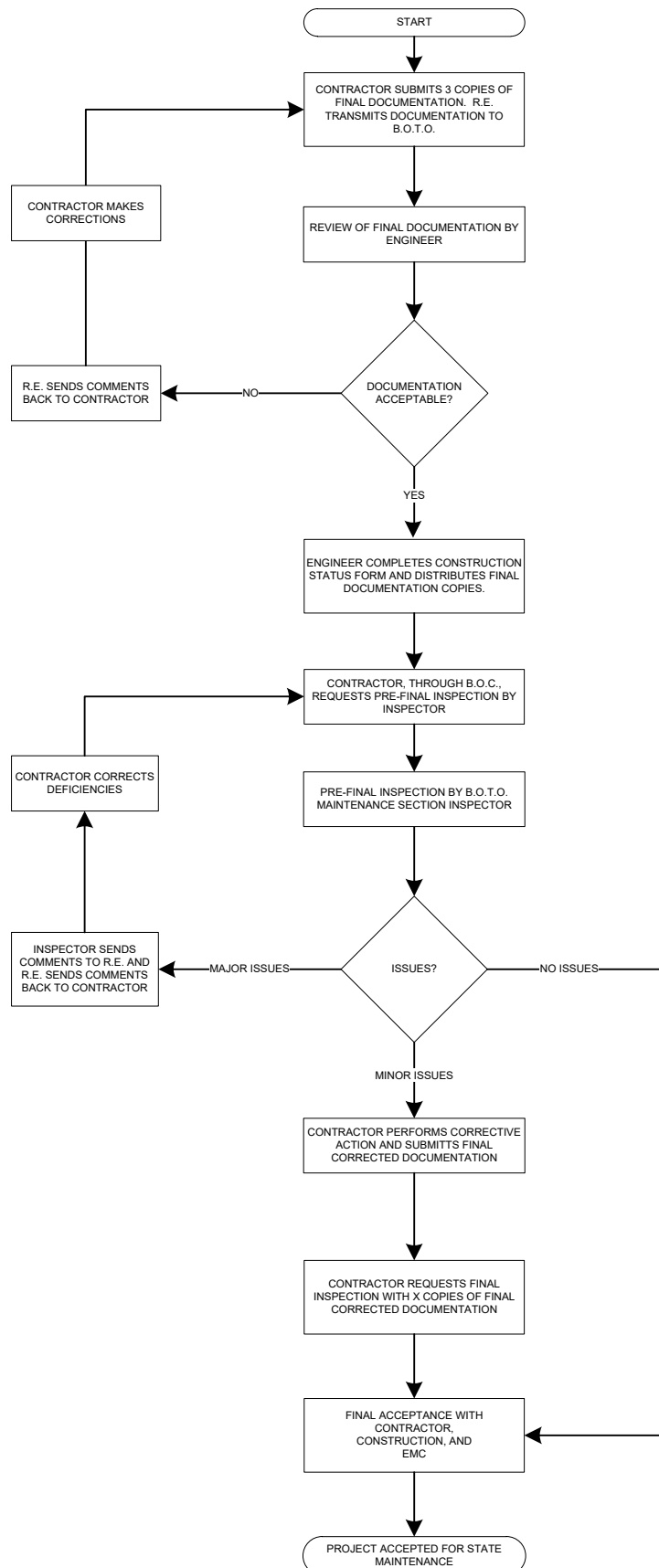
If CD's are used they shall be labeled as illustrated in the CD label template contained herein.

Acceptance. Acceptance of electrical work will be given at the time when the Department assumes the responsibility to protect and maintain the work according to Article 107.30 or at the time of final inspection.

When the electrical work is complete, tested, and fully operational, the Contractor shall schedule an inspection for acceptance with the Engineer no less than seven working days prior to the desired inspection date. The Contractor shall furnish the necessary labor and equipment to make the inspection.

A written record of the test readings taken by the Contractor according to Article 801.13 shall be furnished to the Engineer seven working days before the date the inspection is scheduled. Inspection will not be made until after the delivery of acceptable record drawings, specified certifications, and the required guarantees.

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
COOK COUNTY
CONTRACT NO. 62U41



Final Acceptance Documentation Checklist

LOCATION	
Route	Common Name
Limits	Section
Contract #	County
Controller Designation(s)	EMC Database Location Number(s)

ITEM	Contractor (Verify)	Resident Engineer (Verify)
Record Drawings -Three hardcopies (11" x 17") -Scanned to two CD-ROMs or USB	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
Field Inspection Tests -Voltage -Amperage -Cable Insulation Resistance -Continuity -Controller Ground Rod Resistance (Three Hardcopies & scanned to two CD's or USB)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
GPS Coordinates -Excel file (Check Special Provisions, Excel file scanned to two CD's or USB)	<input type="checkbox"/>	<input type="checkbox"/>
Job Warranty Letter (Three Hardcopies & scanned to two CD's or USB)	<input type="checkbox"/>	<input type="checkbox"/>
Catalog Cut Submittals -Approved & Approved as Noted (Scanned to two CD's or USB)	<input type="checkbox"/>	<input type="checkbox"/>
Lighting Inventory Form (Three Hardcopies & scanned to two CD's or USB)	<input type="checkbox"/>	<input type="checkbox"/>
Lighting Controller Inventory Form (Three Hardcopies & scanned to two CD's or USB)	<input type="checkbox"/>	<input type="checkbox"/>
Light Tower Inspection Form (If applicable, Three Hardcopies & scanned to two CD's or USB)	<input type="checkbox"/>	<input type="checkbox"/>

Three hardcopies & scanned to two CD's or USB Drives shall be submitted for all items above. The CD ROM shall be labeled as shown in the example contained herein.

Record Drawings – The record drawings should contain contract cover sheet, summary of quantities showing all lighting pay item sheets, proposed lighting plans and lighting detail sheets. Submit hardcopies shall be 11" x 17" size. Temporary lighting plans and removal lighting plans should not be part of the set.

Field Inspection Tests – Testing should be done for proposed cables. Testing shall be per standard specifications. Forms shall be neatly filled out.

GPS Coordinates – Check within this special provision. Submit electronic "EXCEL" file.

Job Warranty Letter – See standard specifications.

Cutsheet Submittal – See within this special provision. Scan Approved and Approved as Noted cutsheets.

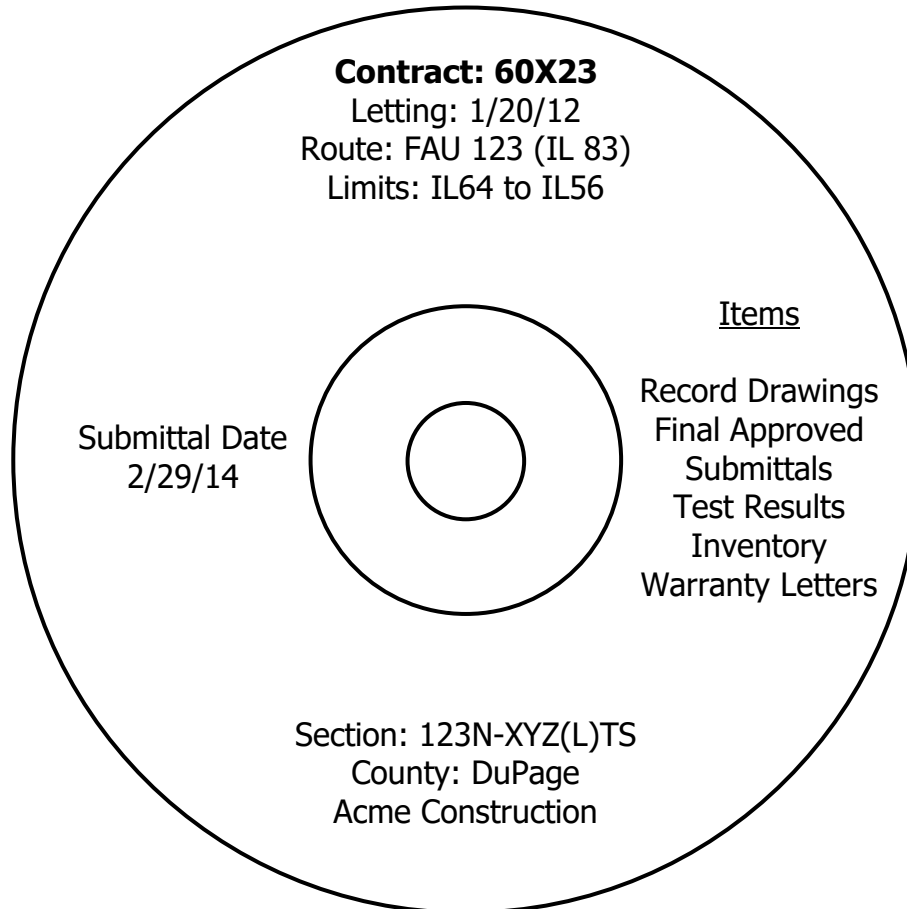
Lighting Inventory Form – Inventory form should include only proposed light poles, proposed light towers, proposed combination (traffic/light pole) lighting and proposed underpass luminaires.

Lighting Controller Inventory Form – Form should be filled out for only proposed lighting controllers.

Light Tower Safety Inspection Form – Form should be filled out for each proposed light tower.

CD LABEL FORMAT TEMPLATE.

Label must be printed; hand written labels are unacceptable and will be rejected.



LIGHT POLE, ALUMINUM, WITH MAST ARM, INSTALL ONLY

Description. This item will consist of installing and setting plumb an aluminum anchor base pole with aluminum davit arm and LED street light luminaire with node. The pole will be set on a foundation and affixed with anchor rods or bolts. The pole, davit arm, luminaire, and node will be furnished by the Chicago Department of Transportation (CDOT).

Material Specifications: 1351
Standard Drawings: 837, 949, 971

This item will consist of furnishing and installing a light pole complete with an arm of the length specified, and all hardware and accessories required for the permanent use of the pole.

Materials. Pole wire shall meet the requirements of Material Specification 1351

Material Pickup. The Contractor shall be responsible to pick up these materials from a location designated by CDOT. The Contractor shall be responsible for any damage to these materials once loaded onto the Contractor's transport vehicle.

Installation. The pole must be installed on a foundation. Double nut construction shall be utilized as shown on Standard Drawing 837. Double nut construction provides proper ventilation, as well as provides a way to plumb the pole. Exposed portions of anchor rods extending above the nuts which interfere with the installation of the bolt covers must be cut off to provide the necessary clearance. The excess must not be burned off. The pole must be set secure and plumb using the nuts and washer provided with the foundation pay item. The bolt covers and handhole cover must be securely attached. The pole must be properly orientated in relation to the street, so that the davit arm will be perpendicular to the direction of the roadway.

The luminaire shall be installed with the pole so that the pole is not left unloaded. Pole wiring shall be provided with the luminaire. The luminaire shall be adjusted as needed to ensure the optics are set perpendicular to the traveled roadway.

The Contractor shall provide #12 AWG pole wire between the luminaire and the pole base, which will be spliced to the feeder cable

Basis of Payment. This work will be paid for at the contract unit price per EACH for LIGHT POLE, ALUMINUM, WITH MAST ARM, INSTALL ONLY which will be payment in full for installing the pole with davit arm and LED luminaire complete in place. Bolt covers and the handhole door will be included as incidentals. The light standard foundation (including nuts and washers) will not be included in this pay item but will be paid for separately.

MAINTENANCE OF LIGHTING SYSTEMS

Effective: December 1, 2024

Replace Article 801.11 and 801.12 of the Standard Specifications with the following:

Effective the date the Contractor's activities (electrical or otherwise) at the jobsite begin, the Contractor shall be responsible for the proper operation and maintenance of all existing and proposed lighting systems which are part of, or which may be affected by the work until final acceptance or as otherwise determined by the Engineer.

Before performing any excavation, removal, or installation work (electrical or otherwise) at the site, the Contractor shall initiate a request for a maintenance transfer and preconstruction inspection, as specified elsewhere herein, to be held in the presence of the Engineer and a representative of the party or parties responsible for maintenance of any lighting systems which may be affected by the work. During the maintenance preconstruction inspection, the party responsible for existing maintenance shall perform testing of the existing system in accordance with Article 801.13a. The Contractor shall request a date for the preconstruction inspection no less than 14 days prior to the desired date of the inspection.

The Engineer will document all test results and note deficiencies. All substandard equipment will be repaired or replaced by the existing maintenance contractor, or the Engineer can direct the Contractor to make the necessary repairs under Section 109.04.

Existing lighting systems, when depicted on the plans, are intended only to indicate the general equipment installation of the systems involved and shall not be construed as an exact representation of the field conditions. It remains the Contractor's responsibility to visit the site to confirm and ascertain the exact condition of the electrical equipment and systems to be maintained. Contract documents shall indicate the circuit limits.

Maintenance of Existing Lighting Systems

Existing Lighting Systems. Existing lighting systems shall be defined as any lighting system or part of a lighting system in service at the time of contract Letting. The contract drawings indicate the general extent of any existing lighting, but whether indicated or not, it remains the Contractor's responsibility to ascertain the extent of effort required for compliance with these specifications and failure to do so will not be justification for extra payment or reduced responsibilities.

Extent of Maintenance.

Partial Maintenance. Unless otherwise indicated, if the number of circuits affected by the contract is equal to or less than 40% of the total number of circuits in a given controller and the controller is not part of the contract work, the Contractor needs only to maintain the affected circuits within the project limits. The project limits are defined as those limits indicated in the contract plans. Equipment outside of the project limits, on the affected circuits shall be maintained and paid for under Article 109.04. The affected circuits shall be isolated by means of in-line waterproof fuse holders as specified elsewhere and as approved by the Engineer. The unaffected circuits and the controller will remain under the maintenance of the State.

Full Maintenance. If the number of circuits affected by the contract is greater than 40% of the total number of circuits in a given controller, or if the controller is modified in any way under the contract work, the Contractor shall maintain the entire controller and all associated circuits within the project limits. Equipment outside of the project limits shall be maintained and paid for under Article 109.04.

If the existing equipment is damaged by normal vehicular traffic, not contractor operations, is beyond repair and cannot be re-set, the Contractor shall replace the equipment in kind with payment made for such equipment under Article 109.04. If the equipment damaged by any construction operations, not normal vehicular traffic, is beyond repair and cannot be re-set, the Contractor shall replace the equipment in kind, and the cost of the equipment shall be included in the cost of this pay item and shall not be paid for separately.

Maintenance of Proposed Lighting Systems

Proposed Lighting Systems. Proposed lighting systems shall be defined as any lighting system or part of a lighting system, temporary or permanent, which is to be constructed under this contract regardless of the project limits indicated in the plans.

The Contractor shall be fully responsible for maintenance of all items installed under this contract. Maintenance shall include, but not be limited to, any equipment failures or malfunctions as well

as equipment damage either by the motoring public, Contractor operations, vandalism, or other means. The potential cost of replacing or repairing any malfunctioning, damaged, or vandalized equipment shall be included in the bid price of this item and will not be paid for separately.

Lighting System Maintenance Operations- IDOT. The Contractor's responsibility shall include all applicable responsibilities of District 1's electrical maintenance contract. These responsibilities shall include the maintenance of lighting units (including sign lighting), cable runs and lighting controls. In the case of a pole knockdown or sign light damage, the Contractor shall promptly clear the lighting unit and circuit discontinuity and restore the system to service. The equipment shall then be re-set by the contractor within the time limits specified herein.

If the existing equipment is damaged by normal vehicular traffic, not contractor operations, is beyond repair and cannot be re-set, the Contractor shall replace the equipment in kind with payment made for such equipment under Article 109.04. If the equipment damaged by any construction operations, not normal vehicular traffic, is beyond repair and cannot be re-set, the Contractor shall replace the equipment in kind and the cost of the equipment shall be included in the cost of this pay item and shall not be paid for separately.

Responsibilities shall also include weekly night-time patrol of the lighting system, with patrol reports filed immediately with the Engineer and with deficiencies corrected within 24 hours of the patrol. Patrol reports shall be presented on standard forms as designated by the Engineer. Uncorrected deficiencies may be designated by the Engineer as necessitating emergency repairs as described elsewhere herein.

The following chart lists the maximum response, service restoration, and permanent repair time the Contractor will be allowed to perform corrective action on specific lighting system equipment.

INCIDENT OR PROBLEM	SERVICE RESPONSE TIME	SERVICE RESTORATION TIME	PERMANENT REPAIR TIME
Control cabinet out	1 hour	4 hours	7 Calendar days
Hanging mast arm	1 hour to clear	na	7 Calendar days
Radio problem	1 hour	4 hours	7 Calendar days
Motorist caused damage or leaning light pole 10 degrees or more	1 hour to clear	4 hours	7 Calendar days
Circuit out – Needs to reset breaker	1 hour	4 hours	na
Circuit out – Cable trouble	1 hour	24 hours	21 Calendar days
Outage of 3 or more successive lights	1 hour	4 hours	na
Outage of 75% of lights on one tower	1 hour	4 hours	na
Outage of light nearest RR crossing approach, Islands and gores	1 hour	4 hours	na
Outage (single or multiple) found on night outage survey or reported to EMC	na	na	7 Calendar days
Navigation light outage	na	na	24 hours

- Service Response Time -- amount of time from the initial notification to the Contractor until a patrolman physically arrives at the location.
- Service Restoration Time – amount of time from the initial notification to the Contractor until the time the system is fully operational again (In cases of motorist caused damage the undamaged portions of the system are operational.)
- Permanent Repair Time – amount of time from initial notification to the Contractor until the time permanent repairs are made if the Contractor was required to make temporary repairs to meet the service restoration requirement.

Failure to provide this service will result in liquidated damages of \$500 per day per occurrence. In addition, the Department reserves the right to assign any work not completed within this timeframe to the electrical maintenance contractor. All costs associated to repair this uncompleted work shall be the responsibility of the Contractor. Failure to pay these costs to the electrical maintenance contractor within one month after the incident will result in additional liquidated damages of \$500 per month per occurrence. Unpaid bills will be deducted from any monies owed to the Contractor. Repeated failures and/or a gross failure of maintenance shall result in the State's electrical maintenance contractor being directed to correct all deficiencies and the resulting costs deducted from any monies owed the Contractor.

Damage caused by the Contractor's operations shall be repaired at no additional cost to the Contract.

Lighting System Maintenance Operations- CDOT. The Contractor's responsibility shall include the maintenance of CDOT lighting units, cable runs, and lighting controls as determined during the maintenance transfer. In the case of a pole knockdown, the Contractor shall promptly clear the lighting unit and circuit discontinuity and restore the system to service. The equipment shall then be re-set by the Contractor.

If the existing equipment is damaged by normal vehicular traffic, not contractor operations, is beyond repair and cannot be re-set, the Contractor shall replace the equipment in kind with payment made for such equipment under Article 109.04. If the equipment damaged by any construction operations, not normal vehicular traffic, is beyond repair and cannot be re-set, the Contractor shall replace the equipment in kind and the cost of the equipment shall be included in the cost of this pay item and shall not be paid for separately.

Responsibilities shall also include weekly night-time patrol of the lighting system, with patrol reports filed immediately with the Engineer and with deficiencies corrected within 24 hours of the patrol. Patrol reports shall be presented on standard forms as designated by the Engineer. Uncorrected deficiencies may be designated by the Engineer as necessitating emergency repairs as described elsewhere herein.

Lighting System Maintenance Operations- Lincolnwood. The Contractor's responsibility shall include the maintenance of Village of Lincolnwood lighting units, cable runs, and lighting controls as determined during the maintenance transfer. In the case of a pole knockdown, the Contractor shall promptly clear the lighting unit and circuit discontinuity and restore the system to service. The equipment shall then be re-set by the contractor.

If the existing equipment is damaged by normal vehicular traffic, not contractor operations, is beyond repair and cannot be re-set, the Contractor shall replace the equipment in kind with payment made

for such equipment under Article 109.04. If the equipment damaged by any construction operations, not normal vehicular traffic, is beyond repair and cannot be re-set, the Contractor shall replace the equipment in kind and the cost of the equipment shall be included in the cost of this pay item and shall not be paid for separately.

Responsibilities shall also include weekly night-time patrol of the lighting system, with patrol reports filed immediately with the Engineer and with deficiencies corrected within 24 hours of the patrol. Patrol reports shall be presented on standard forms as designated by the Engineer. Uncorrected deficiencies may be designated by the Engineer as necessitating emergency repairs as described elsewhere herein.

Operation of Lighting. The lighting shall be operational every night, dusk to dawn. Duplicate lighting systems (such as temporary lighting and proposed new lighting) shall not be operated simultaneously. Lighting systems shall not be kept in operation during long daytime periods.

Method of Measurement. The Contractor shall demonstrate to the satisfaction of the Engineer that the lighting system is fully operational prior to submitting a pay request. Failure to do so will be grounds for denying the pay request. Months in which the lighting systems are not maintained and not operational will not be paid. Payment shall not be made retroactively for months in which lighting systems were not operational.

Basis of Payment. Maintenance of lighting systems will be paid for at the contract unit price per CALENDAR MONTH for MAINTENANCE OF LIGHTING SYSTEM.

JUNCTION BOX EMBEDDED IN STRUCTURE

Effective: January 1, 2012

Description. This work shall consist of furnishing and installing an embedded composite concrete junction box in concrete.

Materials. The box and cover shall be constructed of a polymer concrete and reinforced with a heavy-weave fiberglass cloth. The material shall have the following properties:

Mechanical Property	Value	Physical Property	Value
Compressive Strength	9,000 – 15,000 psi	Density	85-150 lbs/ft ²
Flexural Strength	3,000 – 6,000 psi	Barcol Hardness	45
Impact Energy	30 – 72 ft.-lbs	Water Absorption	Less Than 1%
Tensile Strength	800 – 1,100 psi		

The resulting enclosure shall have a Tier 8 Load Rating in accordance with ANSI/SCTE 77 2002. The material shall have light gray color to match the surrounding concrete. The cover shall be made of the same material. The junction box and cover shall be arranged to fit flush with the structure surface. The cover shall be gasketed and attached with a minimum of four stainless steel hex-head bolts factory coated with anti-seize compound. The enclosure shall be UL Listed.

Installation. The embedded junction box shall be set flush with the adjoining surface and shall be properly supported during concrete placement. Concrete cover shall not be less than 3 in. all

around the embedded junction box. The junction box shall not be installed in areas where vehicular traffic may drive over the junction box.

Field cut conduit openings shall be uniform and smooth. All burrs and rough edges shall be filed smooth to the satisfaction of the Engineer prior to the installation of conduit(s) into the junction box. Field cut conduit openings shall be fitted with the appropriate conduit fittings and accessories. Conduit fittings and accessories shall be provided according to Article 1088.01 and as shown on the plans.

Conduit openings may be factory cut and pre-assembled with conduit fittings. Conduit fittings and accessories shall be manufactured from polyvinyl chloride complying with ASTM D 1784 and shall comply with all the applicable requirements of NEMA Publication No. TC2, U.L. Standard 651 for EPC-40-PVC and NEC Article 347.

Slight deviations to a larger size than the specified sizes may be allowed to conform to a standard manufacturer's production size with the approval of the Engineer.

Basis of Payment. This work will be paid for at the contract unit price per EACH for JUNCTION BOX, EMBEDDED IN STRUCTURE, of the type and size when specified. The Contractor may, with the approval of the Engineer, use box sizes larger than indicated, at no additional cost to the Department.

EXPOSED RACEWAYS

Effective: January 1, 2012

Revise the first paragraph of Article 811.03(a) of the Standard Specifications to read:

“General. Rigid metal conduit installation shall be according to Article 810.05(a). Conduits terminating in junction and pull boxes shall be terminated with insulated and gasketed watertight threaded NEMA 4X conduit hubs. The hubs shall be Listed under UL 514B. The insulated throat shall be rated up to 105° C. When PVC coated conduit is utilized, the aforementioned hubs shall also be PVC coated.”

Add the following to Article 811.03(b) of the Standard Specifications:

“Where PVC coated conduit is utilized, all conduit fittings, couplings and clamps shall be PVC coated. All other mounting hardware and appurtenances shall be stainless steel.”

“The personnel installing the PVC coated conduit must be trained and certified by the PVC coated conduit manufacturer or manufacturer's representative to install PVC coated conduit. Documentation demonstrating this requirement must be submitted for review and approval.”

Add the following to Article 1088.01(a) of the Standard Specifications:

All iron and steel products, which are to be incorporated into the work, including conduit and all conduit fittings, shall be domestically manufactured or produced and fabricated as specified in Article 106.”

Revise Article 1088.01(a)(3) of the Standard Specifications to read:

"a. PVC Coated Steel Conduit. The PVC coated rigid metal conduit shall be UL Listed (UL 6). The PVC coating must have been investigated by UL as providing the primary corrosion protection for the rigid metal conduit. Ferrous fittings for general service locations shall be UL Listed with PVC as the primary corrosion protection. Hazardous location fittings, prior to plastic coating shall be UL listed.

b. The PVC coating shall have the following characteristics:

Hardness:	85+ Shore A Durometer
Dielectric Strength:	400V/mil @ 60 Hz
Aging:	1,000 Hours Atlas Weatherometer
Temperature	The PVC compound shall conform at 0° F. to Federal Specifications PL-406b, Method 2051, Amendment 1 of 25 September 1952 (ASTM D 746)
Elongation:	200%

c. The exterior and interior galvanized conduit surface shall be chemically treated to enhance PVC coating adhesion and shall also be coated with a primer before the PVC coating to ensure a bond between the zinc substrate and the PVC coating. The bond strength created shall be greater than the tensile strength of the plastic coating.

d. The nominal thickness of the PVC coating shall be 40 mils. The PVC exterior and urethane interior coatings applied to the conduit shall afford sufficient flexibility to permit field bending without cracking or flaking at temperatures above 30°F.

e. An interior urethane coating shall be uniformly and consistently applied to the interior of all conduit and fittings. This internal coating shall be a nominal 2 mil thickness. The interior coating shall be applied in a manner so there are no runs, drips, or pinholes at any point. The coating shall not peel, flake, or chip off after a cut is made in the conduit or a scratch is made in the coating.

f. Conduit bodies shall have a tongue-in-groove gasket for maximum sealing capability. The design shall incorporate a positive placement feature to assure proper installation. Certified test results confirming seal performance at 15 psig (positive) and 25 in. of mercury (vacuum) for 72 hours shall be submitted for review when requested by the Engineer.

g. The PVC conduit shall pass the following tests:

Exterior PVC Bond test RN1: Two parallel cuts 1/2 inch apart and 1 1/2 inches in length shall be made with a sharp knife along the longitudinal axis. A third cut shall be made perpendicular to and crossing the longitudinal cuts at one end. The knife shall then be worked under the PVC coating for 1/2 inch to free the coating from the metal.

Using pliers, the freed PVC tab shall be pulled with a force applied vertically and away from the conduit. The PVC tab shall tear rather than cause any additional PVC coating to separate from the substrate.

Boil Test: Acceptable conduit coating bonds (exterior and interior) shall be confirmed if there is no disbondment after a minimum average of 200 hours in boiling water or exposure to steam vapor at one atmosphere. Certified test results from a national recognized independent testing laboratory shall be submitted for review and approval. The RN1 Bond Test and the Standard Method for Measuring Adhesion by Tape Test shall be utilized.

Exterior Adhesion. In accordance with ASTM D870, a 6" length of conduit test specimen shall be placed in boiling water. The specimen shall be periodically removed, cooled to ambient temperature and immediately tested according to the bond test (RN1). When the PVC coating separates from the substrate, the boil time to failure in hours shall be recorded.

Interior Adhesion. In accordance with ASTM D3359, a 6" conduit test specimen shall be cut in half longitudinally and placed in boiling water or directly above boiling water with the urethane surface facing down. The specimen shall be periodically removed, cooled to ambient temperature and tested in accordance with the Standard Method of Adhesion by Tape Test (ASTM D3359). When the coating disbonds, the time to failure in hours shall be recorded.

Heat/Humidity Test: Acceptable conduit coating bonds shall be confirmed by a minimum average of 30 days in the Heat and Humidity Test. The RN1 Bond Test and the Standard Method for Measuring Adhesion by Tape Test shall be utilized.

Exterior Adhesion. In accordance with ASTM D1151, D1735, D2247 and D4585, conduit specimens shall be placed in a heat and humidity environment where the temperature is maintained at 150°F and 95% relative humidity. The specimens shall be periodically removed and a bond test (RN1) performed. When the PVC coating separates from the substrate, the exposure time to failure in days shall be recorded.

Interior Adhesion. In accordance with ASTM D3359, conduit specimens shall be placed in a heat and humidity environment where the temperature is maintained at 150°F and 95% relative humidity. When the coating disbonds, the time to failure in hours shall be recorded.

Add the following to Article 1088.01(a)(4) of the Standard Specifications:

"All liquid tight flexible metal conduit fittings shall have an insulated throat to prevent abrasion of the conductors and shall have a captive sealing O-ring gasket. The fittings shall be Listed under UL 514B. The insulated throat shall be rated up to 105° C."

Revise the second paragraph of Article 811.04 of the Standard Specifications to read:

"Expansion fittings and LFNC will not be measured for payment."

Revise Article 811.05 of the Standard Specifications to read:

"811.05 Basis of Payment. This work will be paid for at the contract unit price per FOOT for CONDUIT ATTACHED TO STRUCTURE, of the diameter specified, RIGID GALVANIZED STEEL or CONDUIT ATTACHED TO STRUCTURE, of the diameter specified, RIGID GALVANIZED STEEL, PVC COATED."

UNDERPASS LUMINAIRE, LED

Effective: April 1, 2024

Description. This work shall consist of furnishing and installing an underpass LED luminaire as shown on the plans, as specified herein.

The luminaire including the housing, driver and optical assembly shall be assembled in the U.S.A. The luminaire shall be assembled by and manufactured by the same manufacturer. The luminaire shall be mechanically strong and easy to maintain. All electrical and electronic components of the luminaire shall comply with the requirements of Restriction of Hazardous Materials (RoHS) regulations. The luminaire shall be listed for wet locations by an NRTL and shall meet the requirements of UL 1598 and UL 8750

Submittal Requirements. The Contractor shall also the following manufacturer's product data for each type of luminaire:

1. Descriptive literature and catalogue cuts for luminaire, LED driver, and surge protection device. Completed manufacturer's luminaire ordering form with the full catalog number provided
2. LED drive current, total luminaire input wattage and total luminaire current at the system operating voltage or voltage range and ambient temperature of 25 C.
3. LED efficacy per luminaire expressed in lumens per watt (l/w).
4. Initial delivered lumens at the specified color temperature, drive current, and ambient temperature.
5. IES file associated with each submitted luminaire in the IES LM-63 format.
6. Computer photometric calculation reports as specified and in the luminaire performance table.
7. TM-15 BUG rating report.
8. Isofootcandle chart with max candela point and half candela trace indicated.
9. Documentation of manufacturers experience and verification that luminaires were assembled in the U.S.A. as specified.
10. Written warranty.

Upon request by the Engineer, submittals shall also include any or all the following:

- a. TM-21 calculator spreadsheet (XLSX or PDF format) and if available, TM-28 report for the specified luminaire or luminaire family. Both reports shall be for 50,000 hours at an ambient temperature of 77 °F.
- b. LM-79 report with NVLAP current at the time of testing in PDF format inclusive of the following: isofootcandle diagram with half candela contour and maximum candela point; polar plots through maximum plane and maximum cone; coefficient of utilization graph; candela table; and spectral distribution graph and chromaticity diagram.
- c. LM-80 report for the specified LED package in PDF format and if available, LM-84 report for the specified luminaire or luminaire family in PDF format. Both reports shall be conducted by a laboratory with NVLAP certification current at the time of testing.
- d. AGi32 calculation file matching the submittal package.
- e. In situ temperature measurement test (ISTMT) report for the specified luminaire or luminaire family in PDF format.

- f. Vibration test report in accordance with ANSI C136.31 in PDF format.
- g. ASTM B117/ASTM D1654 (neutral salt spray) test and sample evaluation report in PDF format.
- h. ASTM G154 (ASTM D523) gloss test report in PDF format.
- i. LED drive current, total luminaire input wattage, and current over the operating voltage range at an ambient temperature of 77 °F.
- j. Power factor (pf) and total harmonic distortion (THD) at maximum and minimum supply and at nominal voltage for the dimmed states of 70%, 50%, and 30% full power.
- k. Ingress protection (IP) test reports, conducted according to ANSI C136.25 requirements, for the driver and optical assembly in PDF format.
- l. Installation, maintenance, and cleaning instructions in PDF format, including recommendations on periodic cleaning methods.
- m. Documentation in PDF format that the reporting laboratory is certified to perform the required tests.

A sample luminaire shall also be provided upon request of the Engineer. The sample shall be as proposed for the contract and shall be delivered by the Contractor to the District Headquarters. After review, the Contractor shall retrieve the luminaire.

Manufacturer Experience. The luminaire shall be designed to be incorporated into a lighting system with an expected 20 year lifetime. The luminaire manufacturer shall have a minimum of 15 years of experience manufacturing LED roadway luminaires; parking lot, architectural, or residential luminaires are not applicable to this requirement. The manufacturer shall have a minimum of 100,000 total LED roadway luminaires installed on a minimum of 100 separate installations, all within the U.S.A.

Housing Material. The luminaire shall be a single device not requiring on-site assembly for installation. The power supply for the luminaire shall be integral to the unit. The housing shall be either stainless-steel or cast aluminum.

Aluminum Housing. The housing shall be extruded or cast aluminum; or a combination of both and shall have a copper content of less than 1.0%. The housing shall be painted grey or silver unless specified otherwise. A epoxy base coat shall applied to the aluminum after the aluminum is properly treated with a conversion coating. The finish coat shall be polyester powder coat with a minimum thickness of 2.0 mil.

The luminaire surfaces exposed to the environment shall exceed a rating of six, according to ASTM D1654, after 1000 hours of ASTM B117 testing. The coating shall exhibit no greater than 30% reduction of gloss, according to ASTM D523, after 500 hours of ASTM G154 Cycle 6 QUV® accelerated weathering testing.

Stainless-Steel Housing. The housing shall be constructed from 16-gauge minimum, 304 stainless steel. The stainless-steel housing does not need to be painted. The manufacturer may paint the luminaire at no additional cost.

The luminaire shall be optically sealed, mechanically strong and easy to maintain. The luminaire shall be designed for wall mounting to a pier or abutment. It shall be provided with a suitable mounting bracket which allows for +90° adjustment from horizontal in 5° increments.

The luminaire shall be gasketed and sealed and shall be UL listed for wet locations. The luminaire optical assembly shall have a minimum IEC ingress penetration rating of IP66. When furnished with a lens and frame, the lens shall be made of crystal clear, impact and heat resistant flat glass. The lens and frame shall be securely attached to the main housing and be readily removable for servicing the LED optical assembly.

All external surfaces shall be cleaned in accordance with the manufacturer's recommendations and be constructed in such a way as to discourage the accumulation of water, ice, and debris.

The total weight including accessories, shall not exceed 75 lbs.

A passive cooling method with no moving, rotating parts, or liquids shall be employed for heat management.

Vibration Testing. All luminaires shall be subjected to and pass vibration testing requirements at "3G" minimum zero to peak acceleration in accordance with ANSI C136.31 requirements using the same luminaire. To be accepted, the luminaire housing, hardware, and each individual component shall pass this test with no noticeable damage and the luminaire must remain fully operational after testing.

Labels. An internal label shall be provided indicating the luminaire is suitable for wet locations and indicating the luminaire is an NRTL listed product to UL1598 and UL8750. The internal label shall also comply with the requirements of ANSI C136.22.

An external label consisting of two black characters on a white background with the dimensions of the label and the characters as specified in ANSI C136.15 for HPS luminaires. The first character shall be the alphabetical character representing the initial lumen output as specified in Table 1 of Article 1067.06(c). The second character shall be the numerical character representing the transverse light distribution type as specified in IES RP-8 (i.e. types 1, 2, 3, 4, or 5).

Hardware. All hardware shall be stainless steel or of other corrosion resistant material approved by the Engineer.

Luminaires shall be designed to be easily serviced, having fasteners such as quarter-turn clips of the heavy spring-loaded type with large, deep straight slot heads, complete with a receptacle and shall be according to military specification MIL-f-5591.

All hardware shall be captive and not susceptible to falling from the luminaire during maintenance operations. This shall include lens/lens frame fasteners as well hardware holding the removable driver and electronic components in place.

Circuiting shall be designed to minimize the impact of individual LED failures on the operation of the other LED's.

Wiring. Wiring within the electrical enclosure shall be rated at 600v, 105°C or higher. The power connection to the luminaire shall be via liquid tight metallic conduit or an armored flexible cable assembly. The power connection, including any external shielding, must be secured to the luminaire and connected source. The location of the opening shall be coordinated with the installation to minimize the length of flexible conduit required. The length of the cable or flexible conduit shall not exceed 6 feet.

Mounting Brackets. The brackets shall be properly sized to accommodate the weight of the luminaire with calculations or other suitable reference documentation submitted to support the material choice. The brackets shall be constructed of 304 stainless steel. The mounting brackets shall be fully coordinated with the luminaire mounting method indicated in plans.

Driver. The driver shall be integral to the luminaire shall be capable of receiving an indefinite open and short circuit output conditions without damage.

The driver shall incorporate the use of thermal foldback circuitry to reduce output current under abnormal driver case temperature conditions and shall be rated for a lifetime of 100,000 hours at an ambient temperature exposure of 77 °F to the luminaire. If the driver has a thermal shut down feature, it shall not turn off the LEDs when operated at 104 °F or less.

The driver shall have an input voltage range of 120 to 277 volts ($\pm 10\%$) or 347 to 480 volts ($\pm 10\%$) according to the contract documents. When the driver is operating within the rated input voltage range and in an un-dimmed state, the power factor measurement shall be not less than 0.9 and the THD measurement shall be no greater than 20%.

The driver shall meet the requirements of the FCC Rules and Regulations, Title 47, Part 15 for Class A devices with regard to electromagnetic compatibility. This shall be confirmed through the testing methods in accordance with ANSI C63.4 for electromagnetic interference.

The driver shall be dimmable using the protocol listed in the Luminaire Performance Table shown in the contract.

Surge Protection. The luminaire shall comply the requirements of ANSI C136.2 for electrical transient immunity at the "Extreme" level (20KV/10KA) and shall be equipped with a surge protective device (SPD) that is UL1449 compliant with indicator light. An SPD failure shall open the circuit to protect the driver.

LED Optical Assembly. The optical assembly shall have an IP66 or higher rating in accordance with ANSI C136.25. The circuiting of the LED array shall be designed to minimize the effect of individual LED failures on the operation of other LEDs. All optical components shall be made of glass or a UV stabilized, non-yellowing material.

The optical assembly shall utilize high brightness, long life, minimum 70 CRI, 4,000K color temperature (+/-300K) LEDs binned in accordance with ANSI C78.377. Lenses shall be UV-stabilized acrylic or glass.

Lumen depreciation at 50,000 hours of operation shall not exceed 15% of initial lumen output at the specified LED drive current and an ambient temperature of 25° C.

The luminaire may or may not have a glass lens over the LED modules. If a glass lens is used, it must be a flat lens. Material other than glass will not be acceptable. If a glass lens is not used, the LED modules may not protrude lower than the luminaire housing.

The assembly shall have individual serial numbers or other means for manufacturer tracking.

Photometric Performance. Luminaires shall be tested according to IESNA LM-79. This testing shall be performed by a test laboratory holding accreditation from the National Institute of Standards and Technology (NIST) NVLAP for the IESNA LM-79 test procedure.

Data reports as a minimum shall yield an isofootcandle chart, with max candela point and half candela trace indicated, maximum plane and maximum cone plots of candela, a candlepower table (house and street side), a coefficient of utilization chart, a luminous flux distribution table, spectral distribution plots, chromaticity plots, and other standard report outputs of the above-mentioned tests.

The luminaire shall have a BUG rating of back light B3 or less, Up light rating of U0, and a glare rating of G3 or less unless otherwise indicated in the luminaire performance table.

Photometric Calculations. Submitted report shall include a luminaire classification system graph with both the recorded lumen value and percent lumens by zone along with the BUG rating according to IESNA TM-15.

Complete point-by-point luminance and veiling luminance calculations as well as listings of all indicated averages and ratios as applicable shall be provided in accordance with IESNA RP-8 recommendations. Lighting calculations shall be performed using AGi32 software with all luminance calculations performed to one decimal place (i.e. x.x cd/m²). Uniformity ratios shall also be calculated to one decimal place (i.e. x.x:1). Calculation results shall demonstrate that the submitted luminaire meets the lighting metrics specified in the project Luminaire Performance Table(s). Values shall be rounded to the number of significant digits indicated in the luminaire performance table(s).

All photometry must be photopic. Scotopic or mesopic factors will not be allowed. The AGi32 file shall be submitted at the request of the Engineer.

The luminaire may have an initial lumen value lower than the specified lumen range in the performance tables provided that the resulting calculations demonstrate that the performance requirements are being met.

IDOT DISTRICT 1 LUMINAIRE PERFORMANCE TABLE 1
ROADWAY UNDERPASS LIGHTING
4 LANE

GIVEN CONDITIONS			
ROADWAY DATA	Pavement Width	48	(ft)
	Number of Lanes	4	
	I.E.S. Surface Classification	R3	
	Q-Zero Value	.07	
MOUNTING DATA	Mounting Height	15.5	(ft)
	Tilt	0-30	(degrees)
	Orientation	Perpendicular to roadway	
	Set-Back from Edge Of Pavement	3	(ft)
LUMINAIRE DATA	Lumens	10,517 – 14,022	
	Total Light Loss Factor	0.65	
LAYOUT DATA	Spacing	50	(ft)
	Configuration	Opposite	
	Luminaire Overhang over EOP	-3	(ft)

NOTE: Variations from the above specified I.E.S. distribution pattern may be requested and acceptance of variations will be subject to review by the Engineer based on how well the performance requirements are met.

PERFORMANCE REQUIREMENTS

NOTE: These performance requirements shall be the minimum acceptable standards of photometric performance for the luminaire, based on the given conditions listed above.

ROADWAY	Average Luminance, L_{AVE}	1.6	Cd/m ² (Max)
		1.2	Cd/m ² (Min)
LUMINANCE	Uniformity Ratio, L_{AVE}/L_{MIN}	3:1	(Max)
	Uniformity Ratio, L_{MAX}/L_{MIN}	5:1	(Max)
	Veiling Luminance Ratio, L_v/L_{AVE}	0.30:1	(Max)

The diagram illustrates a cross-section of a four-lane highway. The top half shows the left side of the road with four travel lanes, each marked with a left-pointing arrow. Above these lanes are two rectangular boxes representing overhead structures. The bottom half shows the right side of the road with four travel lanes, each marked with a right-pointing arrow. Above these lanes are two rectangular boxes representing overhead structures. A central area between the two sides of the road is shaded with diagonal lines and labeled "CALCULATION AREA". To the left of this area, a vertical dimension line indicates a "12' LANE WIDTH (TYP.)". To the right, a horizontal dimension line indicates a "45' (TYP.)" width. A vertical dimension line on the right side of the calculation area indicates a "6'" height. An arrow points to the right edge of the calculation area, labeled "EOP". A label "SUSPENDED MOUNTED (TYP.)" points to one of the rectangular boxes above the right side of the road.



Independent Testing. When a contract has 30 or more luminaires of the same type (distribution type and lumen output/wattage), that luminaire type shall be independently tested, unless otherwise noted. The quantity of luminaires to be tested shall be as specified in the following table.

Contract Quantity	Luminaires to be Tested
1-49	0 (unless otherwise noted)
50-100	2
101-150	3
151-200	4
201-250	5
251-300	6
301-350	7

Testing is not required for temporary lighting luminaires.

The Contractor shall coordinate the testing with the contract schedule considering submittal, manufacturing, testing, and installation lead-times and deadlines.

The electrical engineer shall select from all the project luminaires at the Contractor's or distributor's storage facility, within District 1, the luminaires for testing. In all cases, the selection of luminaires shall be a random selection from the entire completed lot of luminaires required for the contract. Selections from partial lots will not be allowed. An additional luminaire shall also be selected for physical inspection by the Engineer at the district headquarters. This luminaire will be available for the Contractor to pick up at a later date to be installed under this contract. This luminaire is in addition to the luminaire required as a part of the submittal process specified elsewhere.

Alternative Selection Process. With the Engineer's prior approval, the Contractor shall provide a list of luminaire serial numbers for all the luminaires. The Engineer shall make a random selection of the required number of luminaires for testing from the serial numbers. That luminaire must then be photographed clearly showing the serial number prior to shipment to the selected and approved testing laboratory. The testing laboratory shall include a photograph of the luminaire along with the test results directly to the Engineer.

Luminaires shall be tested at a NVLAP accredited laboratory approved for each of the required tests. The testing facility shall not be associated in any way, subsidiary or otherwise, with the luminaire manufacturer. All costs associated with luminaire testing shall be included in the bid price of the luminaire.

The selection of the proposed independent laboratory shall be presented with the information submitted for review and approval.

The testing performed shall include photometric and electrical testing. All tests shall be conducted at the luminaire system operating voltage of 240 volts unless specified differently in the contract plans.

Photometric testing shall be according to IES recommendations, performed with a goniophotometer and as a minimum, shall yield an isofootcandle chart, with max candela point

and half candela trace indicated, an isocandela diagram, maximum planned and maximum cone plots of candela, a candlepower table (house and street side), a coefficient of utilization chart, a luminous flux distribution table, BUG rating report, and complete calculations based on specified requirements and test results.

Electrical testing shall conform to NEMA and ANSI standards and, as a minimum shall include a complete check of wiring connections and a table of characteristics showing input amperes, watts, power factor, total harmonic distortion, and LED drive current.

The summary report and the test results including IES photometric files shall be sent directly to the Engineer, the electrical engineer, and the Contractor via email or other mutually agreeable means.

Photometric performance shall meet or exceed that of the specified values. If the luminaire does not meet the specified photometric values, the luminaire has failed regardless of whether the test results meet the submitted factory data.

Should any of the tested luminaires of a given type, and distribution fail to satisfy the specifications and perform according to approved submittal information, the luminaire type of that distribution type and wattage shall be unacceptable and be replaced by alternate equipment meeting the specifications with the submittal and testing process repeated in their entirety; or corrections made to achieve required performance.

In the case of corrections, the Contractor shall advise the Engineer of the proposed corrections and shall request a repeat of the specified testing and, if the corrections are deemed reasonable by the Engineer, the testing process shall be repeated in its entirety. The number of luminaires to be tested shall be the same quantity as originally tested as required in the above table.

Retesting, should it become necessary, shall not be grounds for additional compensation or extension of time.

Submittal information shall include a statement of intent to provide the testing as well as a request for approval of the chosen laboratory.

Installation. Each luminaire shall be installed according to the luminaire manufacturer's recommendations.

Underpass luminaires shall be either attached to structures (such as piers, etc.) or suspended from structures (such as bridge decks) as indicated or implied by the configuration on the plans. Mounting, including all hardware and appurtenant items, shall be included as part of this item. Luminaires shall be configured with the luminaire tilt as identified in the submitted documents.

Unless otherwise indicated, suspended underpass luminaires shall be installed 1-inch above the lowest underpass beam and shall be mounted using vibration dampening assemblies. All mounting hardware shall be corrosion resistant and shall be stainless steel unless otherwise indicated.

No luminaire shall be installed prior to approval. Where independent testing is required, full approval will not be given until complete test results, demonstrating compliance with the specifications, have been reviewed and accepted by the Engineer.

Luminaire wiring shall be provided with the luminaire. The wiring shall run from the junction box to the luminaire.

Luminaire wire shall be sized No. 10, rated 600 V, RHW/USE-2, and have copper conductors, stranded in conformance with ASTM B 8. Luminaire wire shall be insulated with cross-linked polyethylene (XLP) insulation. The wire shall include a phase, neutral, and green ground wire. Wires shall be trained within any raceways to avoid abrasion or damage to the insulation.

Included with the luminaire wiring shall be fusing located in the handhole or primary junction box. Fusing shall be according to Article 1065.01 with the exception that fuses shall be 6 amperes.

Each luminaire and optical assembly shall be free of all dirt, smudges, etc. Should the optical assembly require cleaning, a luminaire manufacturer approved cleaning procedure shall be used.

Warranty. The entire luminaire shall be covered by a ten-year warranty. Failure is when one or more of the following occur:

- 1) Negligible light output from more than 10% of the discrete LEDs.
- 2) Significant moisture that deteriorates performance of the luminaire.
- 3) Driver that continues to operate at a reduced output due to overheating.

The warranty period shall begin on the date of luminaire delivery. The Contractor shall verify that the Engineer has noted the delivery date in the daily diary. Copy of the shipment and delivery documentation shall be submitted.

The replacement luminaire shall be of the same manufacturer, model, and photometric distribution as the original.

The rated initial minimum luminous flux (lumen output) of the light source, as installed in the luminaire, shall be according to the following table for each specified output designation.

Designation Type	Minimum Initial Luminous Flux	Designation Type	Minimum Initial Luminous Flux
A	2,200	E	9,450
B	3,150	F	12,500
C	4,400	G	15,500
D	6,300	H	25,200

Where delivered lumens is defined as the minimum initial delivered lumens at the specified color temperature. Luminaires with an initial luminous flux less than the values listed in the above table will not be acceptable even if they meet the requirements given in the Luminaire Performance table shown in the contract.

Basis of Payment. This work will be paid for at the contract unit price per EACH for LUMINAIRE, LED, UNDERPASS, of the mount type and output designation specified.

UNIT DUCT

Effective: January 1, 2012

Revise the first paragraph of Article 810.04 to read:

“The unit duct shall be installed at a minimum depth of 30-inches unless otherwise directed by the Engineer.”

Revise Article 1088.01(c) to read:

“(c) Coilable Nonmetallic Conduit.

General: The duct shall be a plastic duct which is intended for underground use and which can be manufactured and coiled or reeled in continuous transportable lengths and uncoiled for further processing and/or installation without adversely affecting its properties of performance.

The duct shall be made of high-density polyethylene which shall meet the requirements of ASTM D 2447, for schedule 40. The duct shall be composed of black high-density polyethylene meeting the requirements of ASTM D 3350, class C, grade P33. The wall thickness shall be in accordance with Table 2 for ASTM D 2447.

The duct shall be UL Listed per 651-B for continuous length HDPE coiled conduit. The duct shall also comply with NEC Article 354.100 and 354.120.

Submittal information shall demonstrate compliance with the details of these requirements.

Dimensions: Duct dimensions shall conform to the standards listed in ASTM D2447. Submittal information shall demonstrate compliance with these requirements.

Nominal Size		Nominal I.D.		Nominal O.D.		Minimum Wall	
mm	in	mm	in	mm	in	mm	in
31.75	1.25	35.05	1.380	42.16	1.660	3.556 +0.51	0.140 +0.020
38.1	1.50	40.89	1.610	48.26	1.900	3.683 +0.51	0.145 +0.020

Nominal Size		Pulled Tensile	
mm	in	N	lbs
31.75	1.25	3322	747
38.1	1.50	3972	893

Marking: As specified in NEMA Standard Publication No. TC-7, the duct shall be clearly and durably marked at least every 10 feet with the material designation (HDPE for high density polyethylene), nominal size of the duct and the name and/or trademark of the manufacturer.

Performance Tests: Polyethylene duct testing procedures and test results shall meet the requirements of UL 651. Certified copies of the test report shall be submitted to the Engineer prior to the installation of the duct. Duct crush test results shall meet or exceed the following requirements:

Duct Diameter		Min. force required to deform sample 50%	
mm	in	N	lbs

35	1.25	4937	1110
41	1.5	4559	1025

WIRE AND CABLE

Effective: January 1, 2012

Add the following to the first paragraph of Article 1066.02(a):

“The cable shall be rated at a minimum of 90 °C dry and 75 °C wet and shall be suitable for installation in wet and dry locations and shall be resistant to oils and chemicals.”

Revise the Aerial Electric Cable Properties table of Article 1066.03(a)(3) to read:

Aerial Electric Cable Properties					
Phase Conductor			Messenger wire		
Size AWG	Stranding	Average Insulation Thickness		Minimum Size AWG	Stranding
		mm	mils		
6	7	1.1	(45)	6	6/1
4	7	1.1	(45)	4	6/1
2	7	1.1	(45)	2	6/1
1/0	19	1.5	(60)	1/0	6/1
2/0	19	1.5	(60)	2/0	6/1
3/0	19	1.5	(60)	3/0	6/1
4/0	19	1.5	(60)	4/0	6/1

Add the following to Article 1066.03(b) of the Standard Specifications:

“Cable sized No. 2 AWG and smaller shall be U.L. listed type RHH/RHW and may be type RHH/RHW/USE. Cable sized larger than No. 2 AWG shall be U.L. listed type RHH/RHW/USE.”

Revise Article 1066.04 to read:

“Aerial Cable Assembly. The aerial cable shall be an assembly of insulated aluminum conductors according to Section 1066.02 and 1066.03. Unless otherwise indicated, the cable assembly shall be composed of three insulated conductors and a steel reinforced bare aluminum conductor (ACSR) to be used as the ground conductor. Unless otherwise indicated, the code word designation of this cable assembly is “Palomino”. The steel reinforced aluminum conductor shall conform to ASTM B-232. The cable shall be assembled according to ANSI/ICEA S-76-474.”

Revise the second paragraph of Article 1066.05 to read:

“The tape shall have reinforced metallic detection capabilities consisting of a woven reinforced polyethylene tape with a metallic core or backing.”

ROD AND CLEAN EXISTING CONDUIT

Description. This work shall consist of inserting a duct rod or electrical fish rod or tape of sufficient length and rigidity into an electrical conduit opening in one electrical handhole and pushing the said rod through the conduit to emerge at the next or subsequent handhole in the conduit system at the location(s) shown on the plans. The duct rod may be inserted and removed by any standard construction method which causes no damage to the conduit. The size of the conduit may vary, but there shall be no differentiation in cost for the size of the conduit. The conduit which is to be rodded and cleaned may exist with various amounts of standing water in the handholes to drain the conduit and to afford compatible working conditions for the installation of the duct rods and/or cables. Pumping of handholes shall be included with the work of rodding and cleaning of the conduit.

Any handhole which, in the opinion of the Engineer contains excessive debris, dirt or other materials to the extent that conduit rodding and cleaning is not feasible, shall be cleaned at the Engineer's order and payment approval as a separate pay item.

Prior to removal of the duct rod, a duct cleaning attachment such as a properly sized wire brush or cleaning mandrel shall be attached to the duct rod, which by removal of the duct rod shall be pulled through the conduit to remove sand, grit, or other light obstructions from the duct to provide a clean, clear passage for the installation of cable. Whenever the installation of cables is not performed as an adjunct to or immediately following the cleaning of the duct, a light weight pulling line such as a 1/8" polyethylene line or conduit measuring tape shall be placed and shall remain in the conduit to facilitate future work. When great difficulty of either inserting the duct rod or removal of the cleaning mandrel is encountered, the duct may require further cleaning by use of a compressed air gun, or a low pressure water hose. In the case of a broken conduit, the conduit must be excavated and repaired. The existence and location of breaks in the conduit may be determined by rodding, but the excavation and repair work required will be paid for separately

This work shall be measured per lineal foot for each conduit cleaned. Measurements shall be made from point to point horizontally. No vertical rises shall count in the measurement.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for ROD AND CLEAN EXISTING CONDUIT for the installation of new electric cables in existing conduits. Such price shall include the furnishing of all necessary tools, equipment, and materials required to prepare a conduit for the installation of cable.

REMOVE TEMPORARY WOOD POLE

Description. This item shall consist of the disconnection and removal of the temporary wood poles and aerial cable installed to provide temporary connections for the existing lighting system and all associated apparatus and connections in accordance with Section 841 of the Standard Specifications, except as specified within.

CONSTRUCTION REQUIREMENTS

Removal. Removal of the temporary wood pole shall be performed in accordance with the applicable sections of Article 841.02 of the Standard Specifications. All equipment and material removed as part of this item shall be removed and become the property of the Contractor and shall be removed from the site.

Method of Measurement. Units measured for payment will be counted on a per-pole basis, regardless of pole material, pole dimensions and installation depth.

Basis Of Payment. This work will be paid for at the contract unit price per EACH for REMOVE TEMPORARY WOOD POLE, which shall be payment in full for the work specified herein.

TEMPORARY WOOD POLE, 50 FT, CLASS 4

Description. This item shall consist of furnishing and installing temporary wood pole, hardware and accessories required for temporary installation. The materials shall in accordance with Article 1069.04 of Standard Specifications.

Installation. This work shall be performed according to Section 830 of Standard Specifications, as applicable. The wood pole shall be installed such that minimum required buried depth shall be maintained.

Basis of Payment. This work will be paid for at the contract unit price per EACH for TEMPORARY WOOD POLE, 50 FT, CLASS 4

REMOVAL OF UNDERPASS LIGHTING UNIT, NO SALVAGE

Description. This work shall consist of the removal and disposal of existing underpass luminaires and appurtenances. The removal of underpass lighting units shall include luminaires, lamps, mounting rings, conduits, junction boxes, and all associated hardware and appurtenances. Underpass lighting units shall become the property of the Contractor and shall be disposed of according to Article 202.03. Unprotected bridge steel which is exposed by the removal of the conduit system shall be touched up using a paint and procedure approved by the Engineer.

Method of Measurement. Each underpass lighting unit which is removed and disposed of as indicated will be counted as a unit for payment.

Basis of Payment. This work will be paid for at the contract unit price per EACH for REMOVAL OF UNDERPASS LIGHTING UNIT, NO SALVAGE.

MAINTENANCE OF STREET LIGHTING SYSTEM

Effective: February 27, 2024

Revised: August 27, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1351, 1428, 1447, 1609, 1640
Standard Drawings: None Applicable

This work shall consist of furnishing all labor, equipment, and incidental materials for maintaining existing street lighting systems owned by the Chicago Department of Transportation (CDOT) until the proposed equipment is installed, energized, tested, and accepted for operation by CDOT.

The work shall include any necessary temporary equipment to maintain existing illumination. The location and protection of equipment necessary to comply with these requirements shall be subject to the approval of the Engineer. The Engineer will be the sole judge of satisfying existing illumination levels.

Any temporary wire or cable required to be installed overhead between existing poles or temporary devices shall be furnished, installed, terminated, and maintained in service until the proposed lighting equipment is installed, tested, and accepted for operation by the Engineer.

Materials. Materials shall be according to the following CDOT Division of Electrical Operations (DEO) Specifications and applicable Articles of Section 1000 of the IDOT Standard Specifications for Road and Bridge Construction (SSRBC):

<u>Item</u>	<u>Requirement</u>
(a) Aerial Cable Assembly	Material Specification 1640
(b) Cable Splicing and Termination	SSRBC Article 1066.06
(c) Luminaires	Material Specification 1609
(d) Metal Light Poles	Material Specification 1319
(e) Pole Wire	Material Specification 1351
(f) Thermal Magnetic Circuit Breaker	Material Specification 1428
(g) Wood Poles	SSRBC Article 1069.04

General Requirements. General requirements shall be in accordance with Section 801 of the Standard Specifications, and in accordance with Division of Electrical Operations Standards and the City of Chicago Electrical Code, except as herein modified.

Effective the day the Contractor starts work (including non-electrical work), the Contractor shall maintain the existing lighting equipment located within the project limits as it then exists. The Contractor shall also maintain any street lighting equipment outside of the project limits but connected to a controller situated within the project limits.

The Scope of Work shall include the assumption of responsibility for the continuing operation of existing, temporary, or other lighting-systems affected by the work as may be specified elsewhere herein. Existing lighting systems, when depicted on the Plans, are intended only to indicate the general nature of the systems involved and shall not be construed as an exact representation of the field conditions. It remains the Contractor's responsibility to visit the site to confirm and ascertain the exact nature of systems to be maintained.

Preconstruction Inspection. Before performing any excavation, removal, or installation work (electrical or otherwise) at the site, the Contractor shall initiate a request for preconstruction inspection, to be held in the presence of the Engineer and a representative of the Chicago Department of Transportation Division of Electrical Operations. The request for the maintenance preconstruction shall be made no less than seven (7) calendar days prior to the desired inspection date. The maintenance preconstruction inspection shall:

- Establish details of any formal transfers of maintenance responsibility required for the construction period.
- Establish approximate locations of known lighting and/or traffic control systems, which may be affected by the work.
- Establish the condition of lighting and/or traffic control systems which may be affected by the Work.

Lighting System Maintenance Operations. The Contractor's responsibility shall include all applicable responsibilities of the City of Chicago Division of Electrical Operations. These responsibilities shall include the maintenance of lighting units, cable runs, and lighting controls. In the case of a pole knockdown or damage caused by normal vehicular traffic, the Contractor shall promptly clear the lighting unit and circuit discontinuity and restore the system to service.

The following chart lists the maximum response, service restoration, and permanent repair time the Contractor shall be allowed to perform corrective action on specific lighting system equipment.

INCIDENT OR PROBLEM	SERVICE RESPONSE TIME	SERVICE RESTORATION TIME	PERMANENT REPAIR TIME
Control cabinet out	1 hour	4 hours	7 calendar days
Hanging mast arm	1 hour to clear	n/a	7 calendar days
Motorist caused damage or leaning light pole 10 degrees or more	1 hour to clear	4 hours	7 calendar days
Circuit out – reset breaker	1 hour	2 hours	n/a
Circuit out – cable trouble	1 hour	24 hours	21 calendar days
Outage of 3 or more successive luminaires	1 hour	4 hours	n/a

Service Response Time – amount of time from the initial notification to the Contractor until a patrolman physically arrives at the location.

Service Restoration Time – amount of time from the initial notification to the Contractor until the time the system is fully operational again. (In case of motorist caused damage the undamaged portions of the system are operational.)

Permanent Repair Time – amount of time from initial notification to the Contractor until the time permanent repairs are made if the Contractor was required to make temporary repairs to meet service restoration requirement.

If at any time the Contractor fails to perform all work as specified herein to keep the traffic signal and street lighting in proper operating condition or if the Commissioner cannot contact the Contractor's designated personnel within one (1) hour, the City will perform the maintenance work required. The Contractor will be charged the total cost of the work with a 500% mark-up. The cost of such work will be deducted from the amount due to the Contractor.

Installation Requirements for Temporary Lighting Units. The Contractor shall furnish and install a temporary lighting unit to replace any existing lighting unit that is removed prior to the new lighting system being operational.

Temporary lighting unit shall include pole, mast arm, luminaire, and temporary wiring connections. The Contractor shall furnish and install temporary lighting units and all associated electrical equipment to ensure compliance with the applicable codes, standards, and Specifications.

The Contractor shall coordinate temporary lighting with the sequence of construction and maintenance of traffic for this Project.

The wiring on the pole shall consist of aerial electric cables and waterproof splices at each light pole.

All equipment furnished shall be functional and shall be maintained. The Contractor shall own all the temporary lighting equipment furnished and installed.

The Contractor shall disconnect and remove temporary lighting and all associated electrical equipment upon energizing and acceptance of the permanent lighting system.

Temporary Wiring. The Contractor shall furnish and install aerial electric cable meeting the requirements of Material Specification 1640.

Temporary Poles. Temporary lighting poles may be used steel or wood poles in accordance with Article 1069.04 of the Standard Specifications for wood poles and Material Specification 1319 for embedded steel poles.

Temporary Luminaires. Each luminaire shall be a LED unit that meets the requirements of Material Specification 1609. Each luminaire shall be mast arm or bracket arm mounted on the top of the pole. Existing removed luminaires may be used as temporary luminaires.

Installation. Location of cables and fixtures for temporary lighting shall be adjusted and supported to accommodate field conditions encountered, including any potential interferences with other construction or equipment to be installed.

The Contractor shall determine the exact route and location of each temporary lighting fixture and associated wiring, prior to installation.

Temporary lighting shall be installed to permit removal (without damage to other parts) of parts requiring periodic replacement or maintenance.

Temporary wiring/lighting shall be removed immediately upon acceptance of permanent lighting.

QC/QA Requirements. The Contractor shall provide a Manufacturer's written certification that the materials comply with these specifications.

Method of Measurement. MAINTENANCE OF STREET LIGHTING SYSTEM will not be measured for payment but will be paid on a lump sum basis.

Basis of Payment. This work will be paid at the contract unit price per LUMP SUM for MAINTENANCE OF STREET LIGHTING SYSTEM (CITY OF CHICAGO).

ELECTRIC UTILITY SERVICE CONNECTION

Effective: March 9, 2024

Description. This work shall consist of providing a service connection from city cable to a Commonwealth Edison secondary cable. For an aerial service, this will be on a wood pole. For an underground service, this will be in a Commonwealth Edison manhole or ground mounted transformer enclosure.

General Requirements. This work shall consist of splicing or terminating city service cable to a Commonwealth Edison secondary cable, as directed by the Engineer. The Contractor must obtain permission from Edison for the service at the required location. The Contractor will inform Edison of the load required. Edison will make the connections unless Edison gives the Contractor permission to make the connections. All costs associated with the connection will be borne by the contractor.

Basis of Payment. This work will be paid for at the contract unit price per EACH for ELECTRIC UTILITY SERVICE CONNECTION.

CLEANING EXISTING MANHOLE OR HANDHOLE

Effective: March 16, 2024

Description. This work shall consist of the removal and disposal of all foreign debris and liquids from the manhole or handhole. Manholes or handholes to be cleaned will be identified on the plans or by the Engineer.

General Requirements. The inside dimensions of handholes are typically 30" or 36" in diameter and 36" in depth. The inside dimensions of a manhole are typically 3' x 4' x 4' or 4' x 6' x 6'. Handholes and manholes of other dimensions may be encountered.

Cleaning shall include opening the cover and placing the cover back in place after cleaning. Cables shall not be damaged or disturbed during the cleaning process. All debris removed from the hole shall be properly disposed of in an approved manner and not be left in the public way or dumped into the city sewer system. Guidelines outlined in Article 202.03 of the Standard Specifications for Road and Bridge Construction shall be followed.

Basis of Payment. This work will be paid at the contract unit price per EACH for CLEANING EXISTING MANHOLE OR HANDHOLE.

DRILL EXISTING MANHOLE, HEAVY DUTY HANDHOLE, OR MEDIAN WALL JUNCTION BOX

Effective: March 16, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: None Applicable
Standard Drawings: 814

This work will consist of drilling a hole in an existing handhole or manhole for the installation of a new conduit. This work shall meet the requirements of Section 879 of the IDOT Standard Specifications for Road and Bridge Construction.

Construction Requirements. The size of the hole shall be as close as possible to the size of the conduit to be installed. The conduit shall be installed in the drilled hole with a bushing before the hole is grouted. Conduit will be paid for separately. The space between the conduit and the handhole or manhole wall must be caulked with a waterproof grout. See Standard Drawing 814 for additional details.

Method of Measurement. This work will be measured per each conduit installed into an existing handhole or manhole.

Basis of Payment. This work will be paid for at the contract unit price per EACH for DRILL EXISTING MANHOLE, HEAVY DUTY HANDHOLE, OR MEDIAN WALL JUNCTION BOX.

ELECTRIC CABLE IN CONDUIT, TRIPLEX, 2-1/C NO. 6, 1-1/C NO. 8 GROUND

Effective: March 16, 2024
Revised: August 2, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1534
Standard Drawings: None Applicable

This work will consist of furnishing and installing triplex electric cable in raceways, complete with all splicing, identifications, and terminations.

Materials. Cable shall conform to the requirements of Material Specification 1534.

Construction Requirements. All cables shall be installed with care to prevent damage to the cable. Any defects found in the cable shall be reported to the Resident Engineer. Damaged cable must be replaced.

Cable shall be pulled into the conduit with a minimum of dragging on the ground or pavement. This will be accomplished by means of reels mounted on jacks or other suitable devices located for unreeling cable directly into duct. Lubricants must be used to facilitate installation if deemed necessary by the contractor.

Bends in the cable shall conform to the recommended minimum radii as outlined in the National Electric Code.

Cable passing through manholes shall be trained and racked around the sides of the manhole into a permanent position. If racks are non-existent or in poor condition, the contractor shall install racks. Installation of racks will be paid for as INSTALL RACK IN HANDHOLE OR MANHOLE.

Where cable runs continue from manhole to manhole without tapping within a light pole, they shall be continuous without splices unless authorized by the Resident Engineer.

Cable installation shall be color coded so that each lead of all circuits may be easily identified, and lighting units connected to the proper leg as indicated on the plans. The equipment grounding conductor (no. 8) must be color coded green.

All cable within the distribution panels and control cabinets shall be properly trained and have sufficient slack provided for any rearrangement of equipment or future additions.

There shall be at least three feet of slack in a street light pole base or street light controller base. A handhole shall have at least five feet of slack and a manhole at least ten feet of slack.

Method of Measurement. The length of triplex cable furnished and installed will be measured as the length of conduit plus three feet for cable entering and leaving a light pole or street light control cabinet, plus any slack in manholes or handholes.

Basis of Payment. This work shall be paid for at the contract unit price per FOOT for CABLE IN CONDUIT, TRIPLEX, 2-1/C NO. 6 AND 1-1/C NO. 8 GROUND.

LUMINAIRE, LED, COBRA HEAD

Effective: March 9, 2024

Revised: August 13, 2025

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1351, 1608, 1609

Standard Drawings: None Applicable

This item shall consist of furnishing and installing an LED street light luminaire with external smart node of the output noted on the plans.

Materials. Luminaires shall meet the requirements of Material Specification 1609. Smart lighting nodes shall meet the requirements of Material Specification 1608. Pole wire shall meet the requirements of Material Specification 1351.

Construction Requirements. This work shall meet the applicable requirements of Section 801 and Article 821.03 of the IDOT Standard Specifications for Road and Bridge Construction. Each luminaire shall be installed per the manufacturer's instructions. Luminaires shall be securely attached to the end of a two-inch diameter pipe arm and leveled to provide proper illumination.

Pole wiring shall be connected to the luminaire terminal block, or quick disconnect, in accordance with the Material Specifications and the manufacturer's recommendation. Pole wires shall be spliced to the field wires at the base of the pole using splicing methods approved by the Engineer, and as detailed under related special provisions. The pole wires shall be of sufficient length to connect the luminaire to the field wires at the base of the pole.

Basis of Payment. This work will be paid for at the contract unit price per EACH for LUMINAIRE (SPECIAL), of the type and wattage specified on the plans.

LUMINAIRE NODE, EXTERNAL

Effective: March 9, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1608
Standard Drawings: 989, 990

This work shall consist of furnishing and installing an external twist-lock smart lighting control module.

Materials. The material of the smart lighting control module shall meet the requirements of Material Specification 1608.

General Requirements. The node shall be installed on top of a roadway luminaire. The luminaire housing will have a 5 or 7-pin receptacle to receive the node. Proper operation of the node, including communication with the Chicago Smart Lighting Network, shall be verified before the installation is considered complete.

Basis of Payment. This work will be paid for at the contract unit price per EACH for LUMINAIRE NODE, EXTERNAL.

MAST ARM, STREET LIGHTING, STEEL

Effective: March 9, 2024

Description. The following CDOT Division of Electrical Operations (DEO) material specifications and standard drawings are applicable to this work.

Material Specifications: 1450

Standard Drawings: 620, 659, 661, 724, 839, 840

This item shall consist of furnishing and installing a steel pipe mast arm of a specified length to support a street light luminaire, or other electrical equipment as required

Materials. Mast arms shall conform to the requirements of Material Specification 1450. The 4-foot arm shall conform to Standard Drawing 661. The 8-foot mast arm shall conform to Standard Drawing 620. The 12-foot mast arm shall conform to Standard Drawing 839. The 15-foot mast arm shall conform to Standard Drawing 840. The two-bolt arm attachment shall be as shown on Standard Drawing 724. The 1-foot mast arm shall be a 4-foot arm cut to the desired length.

General Requirements. The 1-foot, 4-foot, and 8-foot mast arms shall be installed with two bolts to the mast arm attachment on the pole. The pole shall have a mast arm attachment as shown in Standard Drawing 659 in order to properly mount the arm. The truss arms require 2 such mounts. The 12-foot and 15-foot truss arms will be attached with 4 bolts. Bolts will be supplied with the arm per Material Specification 1450.

The contractor shall use non-abrasive slinging materials and exercise care in erecting the pole to minimize damage to the finish. When necessary, and approved by the Engineer, the contractor will utilize, at his own expense, factory approved touch-up materials and methods to restore the finish to a like new appearance and durability.

Basis of Payment. This work will be paid for at the contract unit price per EACH for MAST ARM, STREET LIGHTING, STEEL, of the length specified.

REMOVE EXISTING STREET LIGHTING EQUIPMENT

Effective: March 9, 2024

Revised: August 13, 2025

Description. This work shall consist of removing all obsolete street lighting equipment at various locations shown on the plans.

General Requirements. Street lighting poles (anchor base or embedded), ballast housing bases, mast arms, luminaires, controllers, secondary racks, cable and all related equipment shall be removed as indicated on the plans. Embedded poles shall be removed by means other than burning where possible. Embedded CTA poles shall be burned off at a minimum of eighteen inches below ground level.

All equipment, with the exception of cable, shall remain the property of the City of Chicago. The Contractor shall deliver the equipment to the Division of Electrical Operations facility at 2451

South Ashland Avenue. Cable shall become the property of the Contractor and be disposed of outside the right-of-way. Twenty-four hours advance notice shall be provided before delivery. Street lighting cable shall be removed as indicated on the plans and become the property of the Contractor to be disposed of by them, outside the right of way, at their sole expense.

The Contractor shall provide three copies of a list of equipment that is to remain the property of the City, including model and serial numbers where applicable. The Contractor shall also provide a copy of the contract plan or special provisions showing the quantities and type of equipment. The Contractor shall be responsible for the condition of the street lighting equipment from the time of removal until the acceptance of a receipt drawn by the city indicating that the items have been returned.

Basis of Payment. This work will be paid for at the contract unit price per EACH for REMOVE EXISTING STREET LIGHTING EQUIPMENT at the various locations shown on the plans. This price will be payment in full for removing the equipment and disposing of it as required. The salvage value of the cable retained by the Contractor must be reflected in this contract unit price. Removal of manholes, foundations, and conduit will not be part of this item.

WIRE: SINGLE CONDUCTOR NO. 12 COPPER WITH CROSS LINKED POLYETHYLENE INSULATION

ELECTRICAL SPECIFICATION 1351
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED MAY 30, 2023

SUBJECT

1. This specification states the requirements for insulated wire intended for use as a conductor to connect street light luminaires to aerial distribution wires or underground distribution cables in a street lighting circuit. This wire is also known as pole wire. The wire shall be UL classified as Type USE-2.

GENERAL

2. (a) Specifications. The cable shall conform in detail to the requirements herein stated and to the latest referenced specifications of the following organizations:

American Society for Testing and Materials (ASTM)
Insulated Cable Engineers Association (ICEA)
National Electric Code (NEC)
National Electrical Manufacturers Association (NEMA)
Underwriters Laboratories (UL)

- (b) Acceptance. Cable not conforming to this specification will not be accepted.

(c) Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be provided under this specification, shall be submitted to the Engineer of Electricity within fifteen (15) business days after receipt of the request.

(d) Warranty. The contractor shall warrant the cable to be first class material throughout. The contractor will be responsible for any cable failing during normal and proper use within one (1) year after the date of acceptance by the City. The contractor shall provide material replacement of any failed cable. There shall be no cost to the City. All replacements must be made free of charge F.O.B. delivery point of original contract.

CABLE

3. (a) Construction. The cable shall consist of an uncoated copper conductor concentrically encased in a moisture resistant thermosetting plastic of cross linked polyethylene (XLPE). The cable shall be listed with UL as Type RHW-2 or Type USE-2, and shall meet the NEC's requirements for this type of cable up to 90° C in wet or dry locations. The cable shall meet the requirements of UL44 and UL83 for thermosetting cable.

(b) Color. Cable shall black, or another available color, as per order.

(c) Marking. The cable shall be identified by a permanently inscribed legend in white lettering. The legend shall have the following information at a minimum: 1/C #12AWG, 600V, RHW-2 or USE-2, and manufacturer's name. The legend shall be repeated at approximately eighteen inch (18") intervals parallel to the longitudinal axis of the cable.

(d) Overall cable diameter shall be approximately 0.19 inches.

CONDUCTOR

4. (a) Material. Conductor shall be Number 12 AWG consisting of seven (7) strands of uncoated hard drawn copper wires per ASTM-B1.

(b) Stranding. Wire stranding shall be in accordance with ASTM B-8.

INSULATION

5. (a) Type. The insulation shall be a cross linked polyethylene (XLPE) meeting the physical and electrical requirements herein specified and the requirements of NEMA WC-70 (ICEA S-95-658).

(b) Thickness. The insulation shall be circular in cross section and have an average thickness of 45 mils.

PACKING

6. (a) Sealing. Both ends of each length of cable must be thoroughly sealed to

prevent the entrance of moisture and other foreign matter.

(b) The cable must be delivered in coils containing five hundred (500) feet each. Each coil must be packed in individual dispenser cartons. Each carton must be labeled, identifying the cable type and size, manufacturer, and date of manufacture.

THERMAL MAGNETIC CIRCUIT BREAKER

ELECTRICAL SPECIFICATION 1428
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
SEPTEMBER 11, 1989

SUBJECT

1. This specification covers the requirements for thermal-magnetic circuit breakers capable of providing complete over-current protection for street lighting branch-load and service circuits.

GENERAL REQUIREMENTS

2.
 - (a) Sample. One complete circuit breaker of each type and size, and of the manufacture intended to be furnished must be submitted upon request of the Chief Procurement Officer within fifteen (15) business days after receipt of such request. The sample(s) shall be delivered to the Division of Electrical Operations, 2451 South Ashland Avenue, Chicago, Illinois 60608.
 - (b) U.L. Approval. Circuit breakers furnished under this specification shall be listed and approved by Underwriter's Laboratories, Inc.
 - (c) Applicable Specifications. Where reference is made to applicable requirements of Underwriter's Laboratories, Inc., Bulletin #489, entitled "Standard for Branch Circuit and Service Circuit Breakers," hereinafter cited as the U.L. Standards, the most recently published revision will govern.
 - (d) Assembly. Each circuit breaker must have the thermal-magnetic trip installed, calibrated and sealed within its insulated housing.
 - (e) Instructions. Complete installation instructions, details on wiring, and information on operation shall be furnished with each circuit breaker, except as otherwise indicated.
 - (f) Packing. Each circuit breaker shall be packed in a suitable manner so that it will not be damaged in shipping or handling.

TYPES AND SIZES

3. (a) EHD Frame Circuit Breakers. For use on A-C Systems with a 100-ampere frame; minimum interrupting rating of 18,000 R.M.S. symmetrical amperes at 240 volts A.C.
 1. Single pole, 240 or 480 volts A.C., ampere rating from 15 to 100.
 2. Double pole, 240 or 480 volts A.C., ampere rating from 15 to 100.
- (b) FDB Frame Circuit Breakers. For use on A-C Systems with a 150 ampere frame; minimum interrupting capacity of 18,000 R.M.S. symmetrical amperes at 240 volts A-C.
 1. Double pole, 240, 480 or 600 volts A-C, ampere rating from 15 to 150.
 2. Triple pole, 240, 480 or 600 volts A-C, ampere rating from 15 to 150.
- (c) JDB Frame Circuit Breakers. For use on A-C Systems with a 250 ampere frame; minimum interrupting current of 65,000 R.M.S. symmetrical amperes at 240 volts A-C.
 1. Double pole, 240, 480 or 600 volts A-C, ampere ratings from 70 to 250.
 2. Triple pole, 240, 480 or 600 volts A-C, ampere ratings from 70 to 250.

DESIGN AND CONSTRUCTION

4. Circuit breakers furnished under this specification must include the following design and construction features: (1) molded insulated housing, (2) thermal-magnetic trip mechanism, (3) silver alloy contacts, (4) corrosion-resistant internal parts, (5) trip-free, indicating handle, and (6) pressure-type terminals.

DETAIL REQUIREMENTS

5. (a) Thermal-Magnetic Trip Mechanism. The breaker must be activated on current overload by means of a thermal-magnetic trip mechanism. This mechanism must be non-adjustable, non-interchangeable, and factory calibrated and sealed. Instantaneous tripping as controlled by the magnetic trip setting, and time delay tripping accomplished by thermal action must be in accordance with the manufacturer's published characteristic curves for these breakers or with calibration requirements of the U. L. Standards, as applicable.
- (b) Contact Mechanism. The contacts must be spring loaded and provide a quick-make, quick-break non-teasing action. The contact mechanism must be such that the breaker will trip open even if the handle is held or locked in the ON position.

- (c) Calibration. Rating and performance of these breakers must be based on calibration at an ambient temperature of 40° C. (104°F.).
- (d) Rated Current. Each breaker must be capable of carrying 100% rated current continuously in its calibrated ambient temperature without tripping and without exceeding the temperature limits specified in the U. L. Standards.
- (e) Contacts. The contacts must be made of a non-welding silver alloy or equivalent, subject to approval.
- (f) Internal Parts. All internal parts of these circuit breakers shall be corrosion resistant material.
- (g) Terminals. Solderless, pressure type terminals of copper construction must be provided for both line and load connections.
- (h) Handle Indication. The handle must indicate clearly whether the circuit breaker is on the ON, OFF, or TRIPPED position.
- (i) Mounting. Breakers furnished under this specification must have drilled and counterbored holes for front mounting which must conform to spacings shown on Department of Transportation Drawings numbered 883, 884, 886, and 887.
- (j) Test Requirements. These breakers must be capable of meeting the following sequence of test requirements as specified in the U. L. Standards.
 - 1. Endurance test.
 - 2. Calibration test at 200% and 125% of rated current.
 - 3. Short circuit tests
 - 4. Calibration test at 500% rated current.
 - 5. Dielectric strength test.

WARRANTY

- 6. Circuit breakers furnished under this specification shall be warranted by the manufacturer against defects in materials or workmanship for a period of one year after installation. During this period, should a failure occur, repair or replacement must be made without cost to the City.

MAST ARMS: 4-, 8-, 12-, AND 15-FOOT: STEEL

ELECTRICAL SPECIFICATION 1450
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED APRIL 20, 2007

SUBJECT

1. This specification covers the requirements for 4-, 8-, 12-, and 15-foot steel mast arms for supporting street light luminaires.

GENERAL

2. (a) Specifications. The mast arms shall conform in detail to the requirements herein stated, and to the requirements of the following organizations cited herein, of which the most recently published revision will govern:

American National Standards Institute (ANSI)
American Society for Testing and Materials (ASTM)
American Welding Society (AWS)
Society for Protective Coatings (SSPC)

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- (b) Acceptance. Mast arms not conforming to this specification will not be accepted.

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- (c) Drawings. The drawings mentioned herein are drawings of the Department of Transportation. They are integral parts of this specification cooperating to state necessary requirements.

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- (d) Bidders Drawings. Bidders shall submit with their bids detailed scale drawings of the mast arms and attachments showing actual dimensions, details, and welds. Shop drawings must be original engineering drawings created by the manufacturer. The drawings must give every dimension necessary to show how the parts will fit each other and be properly held in assembly. These drawings shall be submitted in electronic format, preferably Microstation 95, if so requested by the City.

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- (e) Sample. One complete mast arm of each size and of the manufacture intended to be furnished must be submitted within fifteen (15) business days upon request of the Chief Procurement Officer.

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- (f) Warranty. The manufacturer shall warrant the performance and construction of the mast arms to meet the requirements of this specification and must warrant all parts, components, and appurtenances against defects due to design, workmanship, or material developing within a period of five years after the mast arms have been delivered. This will be interpreted particularly to mean

structural or mechanical failure of any element or weld, or failure of any portion of the painting system. The warranty must be furnished in writing guaranteeing material replacement including shipment, free of charge to the City. The Commissioner will be the sole judge in determining which replacements are to be made and the Commissioner's decision will be final.

DESIGN

3. (a) 4-Foot Mast Arm. Each 4-foot mast arm must be fabricated from a continuous, single piece, two (2) inch "extra strong" steel pipe conforming to the requirements of ASTM A53, Table X2. It must conform in detail with the mast arm shown on Drawing Number 661.
- (b) 8-Foot Mast Arm. Each 8-foot mast arm must be fabricated from a continuous, single piece, two (2) inch "extra strong" steel pipe conforming to the requirements of ASTM A53, Table X2. It must conform in detail with the mast arm shown on Drawing Number 620.
- (c) 12-Foot Mast Arm. Each 12-foot mast arm must be fabricated from two (2) continuous, single piece, two (2) inch "standard" steel pipes conforming to the requirements of ASTM A53, Table X2. It must conform in detail with the mast arm shown on Drawing Number 839.
- (d) 15-Foot Mast Arm. Each 15-foot mast arm must be fabricated from two (2) continuous, single piece, two (2) inch "standard" steel pipes conforming to the requirements of ASTM A53, Table X2. It must conform in detail with the mast arm shown on Drawing Number 840.
- (e) Mast Arm Attachment. The mast arm attachment to be welded to all mast arms will be a steel forging per ASTM A668, Class D, or cast steel conforming to the requirements for Grade 65-35 cast steel of ASTM A27, or can be fabricated from corrosion resistant steel plate such as "Cor-Ten" or approved equal. It shall be so designed that it may be fitted over the mast arm supports on the pole and be held by the mast arm supports in proper position without other support. The attachment must conform to the details shown on Standard Drawing 724. Provision must be made for fastening the attachment to each mast arm support by two special screws and washers as noted in Section 6.
- (f) Entryway for Wires. A drilled opening lined with a neoprene grommet having inserted therein a neoprene plug must be provided on the underside of the upper member of all arms approximately three (3) inches from the point of attachment. The clear opening must not be less than five-eighths (5/8) inch in diameter. Its design must be submitted for approval by the Commissioner or his authorized representative.
- (g) Mast Arm Members. All mast arm members shall conform with the type of steel required for the arm specified. The members must be continuous lengths of pipe cut to the proper size to fabricate the mast arm lengths requested. No butt welded, swaged and welded or other pieced together configurations of pipe lengths

will be accepted. The outer and inner surfaces of the pipes shall be smooth and even without protrusions, nicks, holes or other imperfections.

PAINTING

4. (a) Oil and Grease Removal. All metal surfaces shall be washed with an alkaline detergent to remove any oils or grease.
- (b) Metal Cleaning. All exterior metal surfaces shall be cleaned by blasting with a combination of shot and grit to remove all dirt, mill scale, rust, corrosion, oxides and foreign matter and provide a "near white" surface in accordance with SSPC-SP10. Included in this process shall be one to two inches of the interior section of the mast arm.
- (c) Chemical Pretreatment. The cleaned metal surfaces shall be treated with a hot, pressurized iron phosphate wash and shall be dried by convection heat.
- (d) Exterior Coat. A Thermosetting, polyester powder coat must be applied electrostatically to all cleaned and treated surfaces to a uniform eight (8) mil thickness in a one coat application. This powder coat must be cured in a convection oven at a minimum temperature of 400°F to form a high molecular weight fusion bonded finish.
- (e) Alternate Methods. Alternate powder coat methods may be reviewed and tested on a case by case basis. However, no coating method will be accepted unless the Commissioner judges such alternate to be equal to the coating herein specified.
- (f) Interior Coat. The interior metal surfaces must be powder coated with a thermoplastic hydrocarbon resin containing corrosion inhibitors. The resin shall be formulated for application over untreated metal surfaces. The resin must be applied at a temperature of approximately 200°F to a minimum thickness of three (3) mils. The interior thermoplastic coat must overlap the interior, thermosetting base coat by approximately one (1) inch. Alternate interior coatings may be used subject to prior approval of the Commissioner.
- (g) Durability. Both the exterior and interior coats must be capable of passing 1,000 hours of salt spray exposure as per ASTM B117 in a 5% NaCl solution at 95°F and 95% relative humidity without blistering.
- (h) Coating Measurement. Measurement of coating thickness must be done in accordance with SSPC-PA 2-73T, "Measurement of Dry Paint Thickness with Magnetic Gauges," except that the lowest "Single spot measurement" in an area of two square inches must be not less than 7.0 mils.
- (i) Color. Color must be gloss black, unless otherwise specified in the order. A color chip sample must be submitted for approval prior to fabrication.

WELDING

5. (a) Standards. Every weld shall be made in conformity with the proper interpretation of the standard welding symbols of the American Welding Society as indicated on the drawings; however, each bidder must submit with his proposal a drawing showing the sizes and types of welds, must state the type of electrode, and must describe the welding methods he proposes to employ in fabricating the mast arm.
- (b) Testing. The welds shall be inspected for penetration and soundness by the magnetic particle inspection method or by radiography. If the magnetic inspection process is used, the dry method with direct current must be employed.

SCREWS

6. Two (2) special 1/2" - 13 NC x 1-1/2" long stainless steel cap screws, and two (2) stainless steel flat washers, must be provided for each mast arm attachment.

MAST ARM TESTS

7. (a) General. Tests must be made upon three (3) of the first fifty (50) arms in any order. An additional one (1) arm must be tested for each additional fifty (50) arms in the order.
- (b) 4-Foot Mast Arm. The 4-foot mast arm, when securely attached to a suitable and proper supporting structure, must withstand a side pull of not less than three hundred (300) pounds applied at a point three feet six inches (3'-6") from the connection to the supporting structure without failure of welds.
- (c) 8-Foot Mast Arms. The 8-foot mast arm, when securely attached to a suitable and proper supporting structure, must withstand a side pull of not less than three hundred (300) pounds applied at a point seven (7) feet from the connection to the supporting structure without failure of the welds.
- (d) 12-Foot and 15-Foot Mast Arms. The 12-foot mast arm and the 15-foot mast arm, when securely attached to a suitable and proper supporting structure, must withstand a side pull of 300 pounds applied at a point seven (7) feet from the connection to the supporting structure without failure of the welds.
- (e) Rejection. If any of the mast arms in any lot fail to meet the test, an additional three (3) arms in the same lot must be tested. If any of these mast arms fail to meet the test requirements the entire lot will be subject to rejection, except that the manufacturer may subject each mast arm in the lot to the test, and those which meet the requirements will be accepted.
- (f) All test results must be certified by the manufacturer. Documentation must be available for the City to approve.

PACKAGING

8. (a) General. The arms shall be shipped in bundles. Each arm must be individually wrapped so that the arm can be bundled for shipping and unbundled

for delivery without damage to the arm or its finish. Materials such as lumber (2"x4" min.), non-marring banding, and other appropriate bundling materials must be used to make a rigid, long lasting, bundle capable of being handled , shipped and stored without shifting or breaking of the contents. Any bundles, in which either the mast arms or packaging is received broken, damaged or with contents shifted, will not be accepted and it will be the responsibility of the supplier to return the bundle at no cost to the City. Each bundle must be capable of being lifted by a fork lift truck or crane and the bundles must be shipped in a flat bed truck to facilitate unloading. Each arm wrapping must be clearly labeled indicating the arm size, i.e. "8' STEEL LUMINAIRE MAST ARM".

(b) The hardware must be shipped with each bundle. The package must be labeled and placed in a prominent position to facilitate accessibility, and must be attached to, or within, the bundle in such a manner as to assure safe delivery.

CABLE: SERVICE ENTRANCE, THREE INSULATED CONDUCTORS IN ONE OVERALL JACKET, 600 VOLT

ELECTRICAL SPECIFICATION 1457
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
REVISED JUNE 26, 2023

SUBJECT

1. This specification states the requirements for a three conductor (two power conductors and one neutral conductor). Each conductor shall be insulated with either ethylene propylene rubber (EPR) or irradiated cross-linked polyethylene (XLPE). The overall jacket shall be either chlorinated polyethylene (CPE) or cross-linked polyolefin (XLPO). the cable shall be used on Commonwealth Edison service poles for the purpose of providing secondary power feeds from Commonwealth Edison to a City disconnect mounted on the pole for street lighting or traffic signal circuits.

GENERAL

2. (a) Specifications. The cable shall conform in detail to the requirements herein stated, and to the applicable portions of the specifications and methods of test of the following agencies:
 - (1) ICEA Specification S-95-658
 - (2) IEEE Standard 383
 - (3) ASTM Standard E-662-79
 - (4) ASTM Standard D-470-81
 - (5) UL 44
 - (6) UL 854

- (b) Acceptance. Cable not conforming to this specification will not be accepted.
- (c) Sample. If so requested, a three (3) foot sample of the cable intended to be provided under this contract must be submitted to the Engineer of Electricity within fifteen (15) business days after receipt of such a request from the Chief Procurement Officer.
- (d) Warranty. The contractor shall warrant the cable to be first class material throughout. The contractor will be responsible for any cable failing during normal use within one (1) year after the date of acceptance by the City. The contractor shall provide material replacement for any failed cable. There shall be no cost to the City. All replacements must be made free of charge F.O.B. delivery point of original contract.

CABLE

- 3. (a) Construction. The cable shall consist of three (3) conductors separately insulated and color coded. Suitable fillers must be used to produce essentially a round cross section in the completed cable. The insulated conductors must be cabled with a suitable left hand lay in conformance with the latest revision of ICEA S-95-658. A binder tape must be used over the cabled conductor assembly and a jacket of either CPE or XLPO applied overall.

- (b) Marking. The color of the neutral conductor shall be white; that of the phase conductors shall be black and red, respectively. The jacket shall be black.

The cable shall be identified by a permanently inscribed legend in white lettering as follows:

3/C - No. (conductor size) AWG-600V-90°C-EPR/CSPE or EPR/PVC-manufacturer's name- month/year of manufacture

The legend shall be repeated at approximately eighteen (18) inch intervals on the outside surface of the cable parallel to the longitudinal axis of the conductor. Alternate markings shall be considered.

- (c) Each conductor shall consist of a round copper wire with a tight fitting, free stripping, concentric layer of either EPR or XLPE insulation. The cable shall be rated for continuous duty at 90°C operating temperature, wet or dry, 130°C emergency overload temperature and 250°C short circuit temperature.

CONDUCTOR

- 4. (a) Material. The conductor shall bare soft round copper wire.
- (b) Specifications. The conductor must meet the requirements of ASTM B3 and B8 for stranded Class B copper.
- (c) Size. The conductor size shall be as stated in the proposal or on the plans.

INSULATION

5. (a) Type. The insulation shall be either ethylene propylene rubber (EPR) or irradiated cross-linked polyethylene (XLPE) meeting the physical and electrical requirements specified herein.
- (b) Thickness. The insulation must be circular in cross-section, concentric to the conductor, and must have an average thickness not less than 30 mils (.030") for #14 AWG, 55 mils (.055") for #4 AWG, 65 mils (.065") for #2 AWG, 80 mils (.080") for #1/0 AWG, 80 mils (.080") for #2/0 AWG, and a spot thickness not less than ninety percent (90%) of the average thickness.

JACKET

6. (a) Type. The jacket shall be either chlorinated polyethylene (CPE) or cross-linked polyolefin (XLPO) meeting the physical and electrical requirements specified herein.
- (b) Thickness. The jacket must be circular in cross-section, concentric with the insulation, must have an average thickness not less than 45 mils (.045") for #14 AWG, 80 mils (.080") for #2 and #4 AWG, and not less than 95 mils (.095") for #1/0 and #2/0 AWG, and a spot thickness not less than ninety percent (90%) of the average thickness.

PACKAGING

7. (a) Reels. The completed cable shall be delivered on sound substantial, non-returnable reels. Both ends of each length of cable must be properly sealed against the entrance of moisture and other foreign matter by the use of clamp-on cable caps. The ends must be securely fastened so as not to become loose in transit. Before shipment, all reels must be wrapped with cardboard or other approved wrapping.
- (b) Footage. Each reel must contain 1,000 foot of cable for either #4 AWG or #2 AWG and 500 feet of cable for #1/0 AWG or #2/0 AWG. A tolerance limit of plus or minus ten percent ($\pm 10\%$) shall be adhered to.
- (c) Reel Marking. A metal tag must be securely attached to each reel indicating the reel number, contract number, date of shipment, gross and tare weights, description of the cable and the total footage.

TABLE 1 - THREE CONDUCTOR SERVICE ENTRANCE CABLE

Size (AWG)	Overall Diameter (mils)	No. Of Strands	Test Volts (KV)	Footage per Reel	Insulation (mils)	Jacket (mils)
4	950	7	4.5	1000	55	80
2	1100	7	4.5	1000	65	80
1/0	1400	19	5.5	500	80	95
2/0	1800	19	5.5	500	80	95

ROADWAY LIGHTING CONTROL SMART NODES

ELECTRICAL SPECIFICATION 1608
 DIVISION OF ENGINEERING
 DEPARTMENT OF TRANSPORTATION
 CITY OF CHICAGO
 REVISED APRIL 2, 2021

1. SUBJECT

This specification states the requirements for smart lighting control nodes. Each external or internal individual node is to be wired to an individual roadway luminaire. A third node will be used for control of a group of luminaires. Each node shall be connected to a wireless mesh network. There are three nodes specified. One node will consist of a standard twist-lock type (external node) which will be mounted to a matching receptacle on the outside of a roadway luminaire. The second type node will be mounted internally to a luminaire (internal node). The third type of node shall control a group of luminaires on a common circuit (circuit node). The nodes shall provide two-way wireless communications between the luminaires and the City's smart lighting system. Functions shall consist of energy monitoring, on/off control, dimming, and outage reporting.

2. GENERAL

2.1 Information Required. Each bidder shall submit with his proposal the following information relative to the nodes he proposes to furnish.

- (1) Manufacturer's catalog description, including manufacturer's name and catalog ordering numbers.
- (2) Specification sheets.

- (3) Any other information as required herein.
- 2.2 Assembly. Each control node shall be delivered completely assembled, wired, and ready for installation.
- 2.3 Warranty. The manufacturer shall warrant every node against any defects due to design or workmanship developing within a period of five (5) years after the nodes have been accepted by the City. This will be interpreted particularly to mean failure of any component impairing the proper operation of the unit. Any node developing defects within this period shall be replaced by the manufacturer at their sole expense and without cost to the City.
- 2.4 Sample. If so requested, a sample of the nodes of the manufacture intended to be furnished under this contract must be submitted to the Division of Electrical Operations within fifteen (15) days upon receipt of a request from the Chief Procurement Officer.
- 2.5 The manufacturer shall be ISO 9001 certified for quality management in the manufacturing field.
- 2.6 Nodes shall be FCC compliant for non-electrical interference.
- 2.7 Compliance. The nodes shall conform in detail to the requirements herein stated, and to the standards herein cited, of which the latest revisions shall govern.

3. HOUSING

- 3.1 Housings shall be molded of a UV stabilized polycarbonate, pigmented to an approved color. The housing is required to be impact resistant.
- 3.2 A weather-proof, permanent label shall be attached to each unit indicating the manufacturer's name, month and year of manufacture, model and serial number, voltage and load ratings, and provision for marking installation and removal dates.
- 3.3 The dimensions of the external twist-lock node shall not exceed 5" high by 3.5" in diameter. The external node shall not weigh more than 10 ounces.
- 3.4 The dimensions of the internal node shall not exceed 2.5" high, 4.25" length, and 3.5" width. The internal node shall not weigh more than 11 ounces.
- 3.5 The internal smart node and the circuit smart node shall have lead wires of approximately 12 inches.
- 3.6 The external node shall have a neoprene or other approved gasket attached to the base to effectively seal the connections against weather and dust.

4. ENVIRONMENTAL

- 4.1 The nodes shall operate within the temperature range of -40° C to +70° C.
- 4.2 The external node shall have an ingress protection rating of IP66.

4.3 The internal node shall have an ingress protection rating of IP65.

4.4 The circuit node shall have an ingress protection rating of IP65.

5. ELECTRICAL

5.1 The nodes must function properly within the existing City lighting circuits and the power distribution system as provided by ComEd. Existing conditions shall not adversely affect the nodes, nor keep them from performing properly.

5.2 Power consumption shall be less than 2watts (at 120 volts).

5.3 The nodes must be stable and reliable over the range of 105 to 305 volts A.C., at 50/60 cycles.

5.4 Surge Arrestor. Over voltage protection shall be provided for the control components and the load circuit by means of a metal oxide varistor (MOV) or other specifically approved type arrestor. It must limit high voltage surges to a value at least 20% below the basic impulse insulation level (BIL in accordance with EEI-NEMA) of the control. The MOV must be rated for a minimum of 320 joules 6KV/3KA. In both external and internal nodes, the MOV must be mounted internally in the control housing.

5.5 Switching Relay. The ON-OFF switching operations shall be accomplished by normally closed contacts which must be opened by means of a rugged, properly rated, magnetic relay, subject to approval. The switching shall be positive and free of chatter and/or sticking of contacts. The contractor must provide test data verifying that contact chatter does not exceed 5 milliseconds when operated under loads as herein specified. The relay must have contacts of silver alloy, tungsten, or other specifically approved material.

5.6 Capacity. Maximum pass-through current shall be 10 amps. Maximum loading shall be 1500VA (960 watts).

5.7 Circuit nodes shall have an external antenna. The antenna shall be capable of being mounted to a cabinet and be weather hardened and vandal resistant. Lead wires for the antenna shall be included with each circuit node. A single antenna shall be capable of being shared by multiple nodes.

5.8 External twist-lock nodes shall be 7-pin. Internal nodes and circuit nodes shall have 7 lead-in wires. The circuit node shall also have wires for the antenna.

6. OPERATION

6.1 The external nodes shall meet the requirements of ANSI C136.10 for twist-lock controls, as well as UL 773. All nodes shall meet the requirements of ANSI C136.41 for dimming control.

6.2 Internal nodes shall be able to communicate with the network even when installed inside the metal housing of a luminaire.

- 6.3 If an external node loses communication, then operation will default to the photocell. If the photo-cell malfunctions, the control will default to the on position.
- 6.4 If an internal node or circuit node loses communication, then the default operation of the node will provide power to the luminaire and the luminaire will remain on or be turned on.
- 6.5 Ability for Light turn-on or turn-off by programmed schedule.
- 6.7 0-10VDC driver control, allowing dimming.
- 6.8 Remote control and reporting (two-way communications).
- 6.9 Metering.
 - (1) Energy metering (0.5% accuracy).
 - (2) Energy metering by hour, day, minute, with record keeping.
 - (3) Metering Range: 105 to 305 VAC, 10A RMS (ANSI C12.20)

7. PHOTO-CONTROL

- 7.1 The internal smart nodes and the circuit smart nodes shall not have a built-in photocell.
- 7.2 The external twist-lock node shall have a built-in photocell.
 - (1) Photoconductive Cell. The photocell shall consist of a suitable substrate, a chemically inert electrode material and a thin layer of photosensitive cadmium sulfide or other acceptable photosensitive material. It must be hermetically sealed in a glass to metal package to prevent moisture and contamination damage. Plastic cased cells are not acceptable. Filtered silicon sensors in clear epoxy cases are also acceptable. The cell must not be subject to overloading due to the demand of the design circuit nor the ambient temperatures surrounding the cell.
 - (2) The external node control must be calibrated at 120V AC for a "turn-on" setting of 1.50 ± 0.30 horizontal foot candles of natural illumination with a 2-5 second turn OFF delay. The "turn-off" setting must be adjusted to one and one half (1.5) times the "turn-on" setting. The external node control must have a 1-2 second turn ON delay.

8. NETWORKING

The control nodes must operate on an open standards secure (WiSun) IEEE 802.15.4g wireless mesh based multi-application network with embedded Itron (formerly Silver Springs Network) communications.

The control nodes shall support Frequency-Hopping Spread Spectrum up to 300kbps mesh networking as well as automatic data routing with self-configuration, auto-healing & redundant uplinks.

The nodes shall operate within the City's Itron network.

9. SECURITY

The control nodes must have full application and link-layer security with full PKI (Public Key Infrastructure), Advanced Encryption Standard AES-128 or AES 256, and embedded firewall which includes integrated multi-layer security with end-to-end encryption and capability to prohibit unauthorized access.

10. PACKAGING

- 10.1 Carton. Each smart lighting control node shall be individually packed in a carton of adequate strength and properly secured and protected to prevent damage to the unit during shipment, handling and storage. A master carton shall contain multiple units, each in individual cartons.
- 10.2 Marking. Each carton shall be clearly marked on the outside with the legend "SMART LIGHTING INTERNAL CONTROL NODE", "SMART LIGHTING EXTERNAL CONTROL NODE", or "SMART LIGHTING CIRCUIT NODE" (or similar as appropriate), with the number of units in the carton: volt-ampere load rating, voltage, manufacturer's name and catalogue number, and shipping or manufacturing date.

OUTDOOR LED LUMINAIRE SPECIFICATIONS: RESIDENTIAL STREETS, ALLEYS, & ARTERIAL STREETS (COBRA HEAD)

ELECTRICAL SPECIFICATION No. 1609
CITY OF CHICAGO
DEPARTMENT OF TRANSPORTATION
DIVISION OF ENGINEERING
OCTOBER 20, 2017

I. SUBJECT

- A. This specification states the requirements for non-ornamental Light Emitting Diode (LED) outdoor lighting luminaires. The LED luminaires will be integrated into a centralized lighting management system.

II. GENERAL

- B. References

American National Standards Institute (ANSI)

- ANSI C78.377-2015, "American National Standard for Electric Lamps—

Specifications for the Chromaticity of Solid State Lighting (SSL) Products”

- ANSI C82.77-10-2014, “American National Standard for Lighting Equipment—Harmonic Emission Limits—Related Power Quality Requirements”
- ANSI C136.2-2015, “American National Standard for Roadway and Area Lighting Equipment—Dielectric Withstand and Electrical Transient Immunity Requirements”
- ANSI C136.10-2010, “American National Standard for Roadway and Area Lighting Equipment—Locking-Type Control Devices and Mating Receptacles—Physical and Electrical Interchangeability and Testing”
- ANSI C136.15-2015, “American National Standard for Roadway and Area Lighting Equipment—Luminaire Field Identification”
- ANSI C136.22-2004 (R2009, R2014), “American National Standard for Roadway and Area Lighting Equipment—Internal Labeling of Luminaires”
- ANSI C136.25-2013, “American National Standard for Roadway and Area Lighting Equipment—Ingress Protection (Resistance to Dust, Solid Objects and Moisture) for Luminaire Enclosures”
- ANSI C136.31-2015, “American National Standard for Roadway and Area Lighting Equipment—Luminaire Vibration”
- ANSI C136.37-2011, “American National Standard for Solid State Light Sources Used in Roadway and Area Lighting”
- ANSI C136.41-2013, “American National Standard for Roadway and Area Lighting Equipment—Dimming Control Between an External Locking Type Control and Ballast or Driver”
- ASTM B85/B85M-14, “Standard Specification for Aluminum-Alloy Die Castings”
- ASTM B117-16, “Standard Practice for Operating Salt Spray (Fog) Apparatus”
- ASTM D523-14, “Standard Test Method for Specular Gloss”
- ASTM D1654-08, “Standard Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments”
- ASTM G154-12a, “Standard Practice for Operating Fluorescent Ultraviolet (UV) Lamp Apparatus for Exposure of Nonmetallic Materials”

Illuminating Engineering Society of North America (IES)

- ANSI/IES LM-63-02, “Standard File Format for Electronic Transfer of Photometric Data”
- IES LM-79-08, “Approved Method: Electrical and Photometric Measurements of Solid-State Lighting Products”
- ANSI/IES LM-80-15, “IES Approved Method: Measuring Luminous Flux and Color Maintenance of LED Packages, Arrays and Modules”
- ANSI/IES RP-8-14, “Roadway Lighting”
- IES TM-21-11 (with Addendum B), “Projecting Long Term Lumen Maintenance of LED Light Sources”

Institute of Electrical and Electronics Engineers (IEEE)

- IEEE Std 1789-2015, “IEEE Recommended Practices for Modulating Current in High-Brightness LEDs for Mitigating Health Risks to Viewers”

International Electrotechnical Commission (IEC)

- IEC 60929:2011 (with Amendment 1), “AC and/or DC-supplied electronic control gear for tubular fluorescent lamps - Performance requirements”

Underwriters Laboratories (UL)

- ANSI/UL 1598 (3rd Edition), "Luminaires"

Submittal Requirements:

The Contractor must submit the following information pertaining to each specified luminaire type within fifteen (15) days of request:

Completed ATTACHMENT B – Submittal Form

Product Data Sheets.

Luminaire data sheets – including summary product description, dimensioned outline drawings, and nominal characteristics including but not limited to: initial luminous flux (lumens), input power (watts), input voltage range (volts), LED drive current (milliamps), correlated color temperature (kelvins), color rendering index, effective projected area (square feet) and weight (pounds).

LED Driver data sheet – including information described in LED Driver Requirements Section III-I-3.

LED light source data sheet

Surge protection device data sheet - if applicable

Photometric Performance Data

The manufacturer must provide photometric calculations, as part of each luminaire's submittal package, that demonstrate the luminaire's photometric performance will meet or exceed the photometric requirements listed in this specification. The submitted lighting calculations must include point-by-point illuminance, luminance and veiling luminance data, as well as listings of all indicated averages and ratios. Photometric reports must include the following information and be in accordance with the standards listed below:

IES LM-79-08 photometric report that includes measured values for initial luminous flux, input power, correlated color temperature, and color rendering index.

ANSI/IES LM-63-02 electronic format photometric file that corresponds to the LM-79 report.

LM-63 photometric calculations that demonstrate compliance with the illumination requirements specified herein using the LM-63 file. Calculation grids and observer locations not specified herein must be in accordance with ANSI/IES RP-8-14.

IES TM-21-11 calculations that derive the lumen maintenance (lamp lumen depreciation or LLD) factor applied to photometric calculations specified herein.

- ANSI/IES LM-80-15 and in-situ temperature measurement testing (ISTMT) reports containing data used in TM-21 calculations must also be submitted.
- TM-21 calculations must apply to the maximum LED case temperature

from ISTMT, shall not extrapolate beyond six times the duration of available LM-80 test data, and must be submitted in the spreadsheet format of the ENERGY STAR TM-21 calculator (https://www.energystar.gov/products/spec/luminaires_specification_version_20.pdf).

LM-79, ISTMT, and LM-80 reports must correspond directly to submitted luminaires, and must be produced by test laboratories that satisfy the Testing Laboratory Requirements of the Design Lights Consortium (www.designlights.org/content/QPL/ProductSubmit/LabTesting).

ISTMT must be conducted in accordance with the Design Lights Consortium Manufacturer's Guide (<https://www.designlights.org/content/qpl/productssubmit>).

ISTMT shall be conducted in an ambient temperature of 25 ± 5 °C. Ambient temperature variations above or below 25 °C shall be respectively subtracted from or added to temperatures recorded at points on the luminaire.

Safety Certification - file number indicating compliance with UL 1598. Applicable testing bodies are determined by the US Occupational Safety Health Administration (OSHA) as Nationally Recognized Testing Laboratories (NRTL) and include: CSA (Canadian Standards Association), ETL (Edison Testing Laboratory), and UL (Underwriters Laboratory).

Vibration Testing - the luminaire must comply with ANSI C136.31 at Vibration Test Level 2 (3.0 G).

Product Samples - at least two samples of each luminaire that the contractor proposes to use must be submitted to the City. All samples must be representative production units and be supplied at no cost to the City.

Assembly.

Each luminaire must be delivered completely assembled, wired, and ready for installation.

Warranty.

The luminaire manufacturer must warrant the performance and construction of luminaires to meet the requirements of this specification, and must warrant all parts, components and appurtenances against defects due to design, workmanship or material developing within a period of ten (10) years from the date of acceptance by the City.

- The inability of a luminaire to be dimmed will constitute a luminaire failure.
- Failure of 10% or more of the LED light sources (packages or arrays/modules) in a luminaire will constitute a luminaire failure.
- The warranty must apply for application on all of the City's existing electrical systems, both grounded and ungrounded.
- During the warranty period the City may, from time to time, test a random sampling of 10-20 luminaires for verification of light output per IES LM-79 and to test dimming functionality for a given luminaire population. The percentage of luminaires not performing as required in the random sampling will be applied to

the total population quantity to determine the number of new luminaire replacements that must be delivered to the City by the manufacturer, without expense to the City.

Manufacturing Experience and Capacity

The manufacturer must demonstrate at least a five year history of manufacturing LED roadway and outside area luminaires by providing a list of prior projects with project description, date, location, quantities and reference contact information. The manufacturer must also demonstrate the capacity to supply the quantities required for the contract in a timely manner.

III. CONSTRUCTION

Weight

The net weight of these luminaires must not be more than 30 pounds.

Housing.

The preferred luminaire housing material is die-cast aluminum alloy meeting ASTM Specification A380. Alternate materials may be considered. The housing must enclose the mounting hardware, LED arrays, control receptacle, terminal board, and electronic driver. The housing must include a surface to facilitate leveling with a spirit level. The housing must have integral heat sink characteristics, such that all enclosed components will operate within their designed operating temperatures under expected service conditions. No external or removable heat shields or heat sinks; are permitted. The housing must be designed to encourage water shedding. The housing must be designed to minimize dirt and bug accumulation on the optic surface.

Mounting Provisions.

The luminaire must include a heavy gauge slip fitter clamping assembly suitable for secure attachment over the end of a two (2) inch 2" IP (2.375" OD) steel pipe with an approved means of clamping it firmly in mounting bracket. The slip fitter mounting clamp must contain an approved shield around the pipe entrance to block the entry of birds.

Access Door-Panel.

An access door panel allowing access to the terminal strip and LED driver must be provided. A die-cast aluminum door-panel composed of aluminum alloy A380 is preferred; alternate materials may be considered. The door-panel must be hinged to the luminaire housing and suitably latched and fastened at the closing end. It must be made to be removed easily. The hinge and fastening devices must be captive parts which will not become disengaged from the door panel.

Hardware.

All machine screws, locknuts, pins and set screws necessary to make a firm assembly, and for its secure attachment to the mast arm, must be furnished in place. All hardware must be of stainless steel, zinc plated steel, copper silicon alloy or other non-corrosive metal, and where necessary must be suitably plated to prevent electrolytic action by contact with dissimilar metals.

Finish.

The luminaire must have a polyester powder coat with a minimum 2.0 mil thickness. Surface texture and paint quality will be subject to approval. Color must be as specified in the order. A paint chip must be submitted as a sample upon request. The finish must exceed a rating of six per ASTM D1654 after 1000 hours of testing per ASTM B117. The coating must exhibit no greater than 30% reduction of gloss per ASTM D523 after 500 hours of QUV testing at ASTM G154 Cycle 6.

Ingress Protection.

The luminaire electric compartment housing must have an ingress protection rating of IP54 or better as described in ANSI C136.25-2013). The optical system must have a minimum rating of IP 66.

The luminaire must be listed for wet locations by a U.S. Occupational Safety Health Administration (OSHA) Nationally Recognized Laboratory (NRTL) and have a safety certification and file number indicating compliance with UL 1598.

General Luminaire Requirements

The luminaire must be rated to operate between -40° to +50° Celsius.

The luminaire must have the option of adding a house side shield. The shield should be designed to be easily installed in the field. The house side shield must be composed of a sturdy material capable of withstanding vibrations and weather conditions. The shield must cut off light trespass at approximately one mounting height behind the pole.

The luminaire must meet the requirements of ANSI C136.22 for internal labeling. A bar code with pertinent information for warranty and maintenance must be attached to the inside of the housing. A separate bar code label must be on the driver

The luminaire must be able to provide pertinent product information, for warranty and maintenance purposes, in a digital format that is compliant with the Digital Addressable Lighting Interface (DALI) protocol. This information will be transmitted through the networked Lighting Management control system.

Electrical Components

LED Optical Arrays

The LED arrays must be properly secured at the factory and must not require field adjustment for optimum photometric performance.

Terminal Block

A terminal block of high grade molded plastic of the barrier or safety type must be mounted within the housing in a readily accessible location.

Terminal block wiring; all necessary terminals, pre-wired to all luminaire components, must be provided.

Terminal block terminals must have copper plated or brass plated, clamp-type pressure connectors of an approved type for "line" connections, to accommodate wire sizes from #12 to #8 A.W.G.

Terminal block terminals for internal component connections must be either the screw-clamp or quick disconnect type.

LED Driver:

Voltage. The electronic driver must operate at an input voltage range of between 120 and 277 volts, 60 Hertz. It must automatically sense the input voltage and adjust the output accordingly. The City uses nominal input voltages of 120, 208, and 240 for street lighting. When operated at any supply voltage between 80 percent and 110 percent of its rated supply voltage and at rated input frequency, a driver shall provide current and/or voltage regulation that equals or exceeds the values specified by the manufacturer.

Electrical Safety. Luminaires must operate at or below the Low-Risk Level, as defined in Figure 18 of IEEE 1789-2015. This requirement must be satisfied across the dimming range.

Power Factor (PF). The power factor of the driver over the design range of input voltages specified above must be in accordance to ANSI C82.77-2014. PF must be ≥ 0.9 .

Total Harmonic Distortion (THD). The driver input current must have specified THD in accordance to ANSI C82.77-2014. THD must be $\leq 32\%$.

Thermal Protection. The driver must be thermally protected to shut off when operating temperatures reach unacceptable levels.

Electromagnetic Interference. Luminaire must comply with the FCC radiation emission limits for Class B digital devices given at 47 CFR 15.109.

Electrical Transient Immunity.

- Dielectric Withstand Testing - luminaire must meet the performance requirements specified in ANSI C136.2-2015 for dielectric withstand, using the DC test level and configuration.
- Electrical Transient Immunity - luminaire must meet the performance requirements specified in ANSI C136.2-2015 for electrical transient immunity, using the Enhanced (10 kV / 5 kA) combination wave test level.
- **Transient Immunity Testing Requirements**
 - During electrical transient immunity testing, the device under test (DUT) must: be connected to the power source through a series coupler/decoupler network (CDN), using a two-wire (hot or hot/neutral) connection between both the power supply and CDN input and the CDN output and DUT.
 - If AC mains is used to power the DUT, the input waveform must be characterized and documented both before and after electrical

transient immunity testing, with the DUT operating at rated full output.

- For Pre-Test DUT Characterization, the diagnostic measurements shall, at a minimum, include the following: real power, input current (RMS; Root-Means-Square), power factor, and current distortion factor (THD-I Total Harmonic Distortion) when operating at rated full output.
- Manufacturer must indicate on submittal form whether failure of the electrical transient immunity system can possibly result in disconnect of power to luminaire.

Dimming Capability. The driver must be capable of dimming. The dimming range must be 10% to 100% of full output. The digital lighting interface used for dimming must be DALI (Digital Addressable Lighting Interface) as per the requirements of IEC 62386. There must be a minimum of 100 dimming steps between the top and bottom of the dimming range.

Wiring.

All components must be completely factory wired with non-fading, color coded leads. These leads must be insulated with an approved class of insulation and must be #16 AWG conductor at a minimum.

All wires within a single circuit path must be of the same size.

No wire-nut splicing will be allowed.

No unnecessary splices will be allowed.

Quick disconnects must be provided for all components.

All wires must be properly terminated.

Control Device Receptacle and Cap.

Twist-lock Receptacle for a control device that meets ANSI C136.41 must be mounted in the top of the housing with provision for proper positioning of the control device.

7-pin Receptacle. The luminaire control receptacle must be fully prewired and compliant with ANSI C136.41.

3-prong Shorting Cap that meets ANSI C136.10 must be provided.

Receptacle Wire Leads must all be properly terminated.

Receptacle repositioning. The receptacle must be able to be repositioned without the use of tools.

Control Devices Not Included in LED Specifications. Whereas specifications for control receptacles are included, specifications for control devices are not. The control device performance requirements are part of the lighting management system specifications in the Smart Lighting Project Technology specifications.

Component Mounting.

All electrical components must be securely mounted in such manner that individual components can be easily maintained or replaced. Permanent straps or tie-wraps will not be permitted. The entire assembly should be easily disconnected and removed for replacement.

IV. PHOTOMETRIC REQUIREMENTS

Light Pollution.

To limit light pollution, the submitted luminaires must not emit any light above the horizon (0 lumens at angles $\geq 90^\circ$ from luminaire nadir).

Lumen Maintenance.

LED arrays must deliver a minimum of 90% of initial lumen output at 36,000 hours of operation.

Light Loss Factor (LLF) < 1.0 . Calculations for maintained values, i.e. $LLF = LLD \times LDD \times LAT$.

Lamp Lumen Depreciation (LLD) calculated at 60,000 hours as per Section II-B-3-d above,

Luminaire Dirt Depreciation (LDD) ≤ 0.90 , and
Luminaire Ambient Temperature (LAT) ≤ 0.96

Luminaires with less than 10,000 hours of available LM-80 test data may be submitted for consideration but must be clearly indicated as such.

Color Attributes

Color Rendering Index (CRI) shall be no less than 65.

Nominal Correlated Color Temperature (CCT) shall be 3000K as defined by ANSI C78.377 and described below:

Manufacturer-Rated Nominal CCT (K)	Allowable IES LM-79 Chromaticity Values	
	Measured CCT (K)	Measured Duv
3000	2870 to 3220	-0.006 to 0.006

City of Chicago Typical Lighting Contexts

ATTACHMENT A (below) lists the photometric performance requirements for luminaires used in the following typical municipal outdoor lighting applications:

- Modern Residential Streets - staggered poles on both sides.
- Arterial Streets – two-sided opposite pole spacing
- Arterial Streets – two-sided staggered pole spacing

ATTACHMENT A – Photometric Performance Requirements

STREET PARAMETERS				
TYPICAL LIGHTING CONTEXT	RESIDENTIAL	ARTERIAL		
POLE CONFIGURATION*	STAGGERED	OPPOSITE	STAGGERED	
RIGHT OF WAY (Width)	66 ft.	100 ft.	80 ft.	66 ft.
IES PAVEMENT CLASS	R3	R3	R3	R3
STREET WIDTH (Curb to Curb)	34 ft.	80 ft.	60 ft.	48 ft.
LANES (Incl Prking &Median)	4	7	6	4
PARKWAY (Width)	10 ft.	4 ft.	4 ft.	N/A
SIDEWALK (Width)	6 ft.	6 ft.	6 ft.	9 ft.
HEIGHT TO LUMINAIRE	18 ft.	33 ft.	33 ft.	33 ft.
MAST ARM LENGTH	8 ft.	12 ft.	12 ft.	8 ft.
POLE SETBACK (From Curb to Center of Pole)	3 ft.	3 ft.	3 ft.	3 ft.
IN-LINE POLE SPACING	220 ft.	210 ft.	210 ft.	210 ft.
MAINTAINED PERFORMANCE REQUIREMENTS				
LUMINAIRE REQUIREMENTS	STAGGERED	OPPOSITE	STAGGERED	
Max Input Power - Default /Normal Luminance (Watts)	120	180	180	180
Default/Normal AVG. Luminance (cd/m ²)	≥1.5	≥1.7	≥1.7	≥1.7
AVG/MIN Uniformity Ratio	≤ 6:1	≤ 3:1	≤ 3:1	≤ 3:1
MAX/MIN Uniformity Ratio	≤10:1	≤ 5:1	≤ 5:1	≤ 5:1
MAX Veiling Luminance Ratio	≤ 0.4	≤ 0.3	≤ 0.3	≤ 0.3
AVG. Boosted Luminance (cd/m ²) [Add-Alternate]	≥2.25	≥2.5	≥2.5	≥2.5

SIDEWALK				
Default AVG. Horizontal Illuminance (fc)	≥ 0.50	≥ 0.50	≥ 0.50	≥ 0.50
AVG.MIN Uniformity Ratio (Horizontal Illuminance)	$\leq 4:1$	$\leq 4:1$	$\leq 4:1$	$\leq 4:1$
LIGHT TRESPASS RESTRICTIONS - (as measured in a vertical plane 10' beyond ROW $\leq 3'$ height)				
MAX Vertical Illuminance	≤ 0.07	≤ 0.3	≤ 0.30	≤ 0.30

ATTACHMENT B - Product Submittal Form

Lighting Context	e.g. Alleys		
<i>Product Information Description</i>	<i>Product Data (Summary)</i>		<i>Submittal Reference Document</i>
Luminaire Designation			
Luminaire Manufacturer			
Luminaire Model Number			
Luminous Flux – initial	lumens		
Luminaire input power—initial	watts		
Luminaire input power—maintained	watts		
Luminaire input voltage-nominal range	volts		
LED drive current - initial	milliamps		
LED drive current - maintained	milliamps		
CCT (correlated color temperature)	kelvin		
CRI (color rendering index)			
EPA (effective projected area) - nominal	sq. ft.		
Luminaire Weight - nominal	lbs.		
Control Interface	<input type="checkbox"/> ANSI C136.41, 7-pin		
LED Driver – dimming capability	<input type="checkbox"/> Dimmable, 0-10V	<input type="checkbox"/> Dimmable, DALI	
LED driver- rated life	years		
Electrical transient immunity ANSI C136.2 combination wave test level	<input type="checkbox"/> Basic (6kV/3kA)	<input type="checkbox"/> Enhanced (10kV / 5kA)	<input type="checkbox"/> Elevated (20kV/10kA)
Vibration Test-ANSI C136.31	<input type="checkbox"/> Level 2		
Luminaire warranty period	years		
IES LM-80 test duration	hours		IES LM-80-15 report
LED lumen maintenance at 36,000 hours	%		TM-21 calculator
Max. LED case temperature	degrees Celsius		ISTMT report

WIRE: DUPLEX 2 - 1/C #8 ALUMINUM

ELECTRICAL SPECIFICATION 1640
DIVISION OF ENGINEERING
DEPARTMENT OF TRANSPORTATION
CITY OF CHICAGO
APRIL 1, 2023

SUBJECT

1. This specification states the requirements for aluminum cable intended to be used in street lighting circuits on a temporary basis. The cable shall be installed aerially.

GENERAL

2. (a) Specifications. The cable shall conform in detail to the requirements herein stated, and to the applicable portions of the specifications and methods of test of the following agencies:
 - (1) ASTM - American Society for Testing and Materials
 - (2) ICEA - Insulated Cable Engineers Association
 - (3) NEMA - National Electrical Manufacturers Association
- (b) Acceptance. Cable not conforming to this specification will not be accepted.
- (c) Sample. If so requested, a sample of the cable intended to be provided under this contract shall be submitted to the Engineer of Electricity within fifteen (15) business days after receipt of such a request from the Chief Procurement Officer.
- (d) Warranty. The manufacturer shall warrant the cable to be first class material throughout. The manufacturer will be responsible for any cable failing within one (1) year after acceptance by the City. The manufacturer shall provide material replacement of any faulty cable. There shall be no cost to the City. All replacements must be made free of charge F.O.B. delivery point of original contract.

CABLE

3. (a) Construction. The cable shall consist of two (2) insulated aluminum wires twisted together with a 17 to 21 inch lay.
- (b) The cable shall be rated as RHH-2/USE-2 for 90°C wet or dry.
- (c) The cable shall be appropriately marked on the insulation as to type of insulation, manufacturer, and temperature rating.

CONDUCTOR

4.
 - (a) Material. The conductor shall be solid aluminum.
 - (b) Specifications. The conductor shall be aluminum 1350-H19 meeting the requirements of ASTM B230.
 - (c) Size. The conductor size shall be 8 AWG.

INSULATION

5.
 - (a) Type. The insulation shall be cross-linked polyethylene (XLPE) meeting the physical and electrical requirements specified herein. The insulation shall be rated as RHW-2/USE-2.
 - (b) Thickness. The insulation must be circular in cross-section, concentric to the conductor, and must have an average thickness not less than 60 mils (.060") and a spot thickness not less than ninety percent (90%) of the average thickness.
 - (c) The insulation shall meet the requirements of ICEA S-95-658 (WC70).
 - (d) The insulation shall be black and shall be UV resistant.

PACKAGING

6.
 - (a) Reels. The completed cable shall be delivered on sound substantial, non-returnable reels. Both ends of each length of cable shall be properly sealed against the entrance of moisture and other foreign matter by the use of clamp-on cable caps. The ends must be securely fastened so as not to become loose in transit. Before shipment, all reels must be wrapped with cardboard or other approved wrapping.
 - (b) Reel Marking. A metal tag must be securely attached to each reel indicating the reel number, contract number, date of shipment, gross and tare weights, description of the cable and the total footage (reels should come in 500 foot reels).

BRIDGE DECK CONSTRUCTION

Effective: October 22, 2013

Revised: December 21, 2016

When Diamond Grinding of Bridge Sections is specified, hand finishing of the deck surface shall be limited to areas not finished by the finishing machine and to address surface corrections according to Article 503.16(a)(2). Hand finishing shall be limited as previously stated solely for the purpose of facilitating a more timely application of the curing protection. In addition the requirements of 503.16(a)(3)a. and 503.16(a)(4) will be waived.

Revise the Second Paragraph of Article 503.06(b) to read as follows.

“When the Contractor uses cantilever forming brackets on exterior beams or girders, additional requirements shall be as follows.”

Revise Article 503.06(b)(1) to read as follows.

- “(1) Bracket Placement. The spacing of brackets shall be per the manufacturer’s published design specifications for the size of the overhang and the construction loads anticipated. The resulting force of the leg brace of the cantilever bracket shall bear on the web within 6 inches (150 mm) of the bottom flange of the beam or girder.”

Revise Article 503.06(b)(2) to read as follows.

- “(2) Beam Ties. The top flange of exterior steel beams or girders supporting the cantilever forming brackets shall be tied to the bottom flange of the next interior beam. The top flange of exterior concrete beams supporting the cantilever forming brackets shall be tied to the top flange of the next interior beam. The ties shall be spaced at 4 ft (1.2 m) centers. Permanent cross frames on steel girders may be considered a tie. Ties shall be a minimum of 1/2 inch (13 mm) diameter threaded rod with an adjusting mechanism for drawing the tie taut. The ties shall utilize hanger brackets or clips which hook onto the flange of steel beams. No welding will be permitted to the structural steel or stud shear connectors, or to reinforcement bars of concrete beams, for the installation of the tie bar system. After installation of the ties and blocking, the tie shall be drawn taut until the tie does not vary from a straight line from beam to beam. The tie system shall be approved by the Engineer.”

Revise Article 503.06(b)(3) to read as follows.

- “(3) Beam Blocks. Suitable beam blocks of 4 in x 4 in (100 x 100 mm) timbers or metal structural shapes of equivalent strength or better, acceptable to the Engineer, shall be wedged between the webs of the two beams tied together, within 6 inches (150 mm) of the bottom flange at each location where they are tied. When it is not feasible to have the resulting force from the leg brace of the cantilever brackets transmitted to the web within 6 inches (150 mm) of the bottom flange, then additional blocking shall be placed at each bracket to transmit the resulting force to within 6 inches (150 mm) of the bottom flange of the next interior beam or girder.”

Delete the last paragraph of Article 503.06(b).

METALLIZING OF STRUCTURAL STEEL

Effective: October 4, 2016

Revised: October 20, 2017

Description: This work consists of furnishing all materials, equipment, labor, and other essentials necessary to accomplish the surface preparation and application of thermal spray metallizing to all new structural steel, or portions thereof as detailed in the plans, in the shop. Also included in this work, when specified on the Contract plans, is the application of a paint system over the metallizing in the shop and/or in the field.

Materials: Materials shall be according to the following.

Metallizing Wire: All thermal spray feedstock (metallizing wire) shall be the products of a single manufacturer, meet the requirements below, and meet the thermal spray equipment manufacturer's specifications.

- a. The metallizing wire shall consist of 99.9% zinc or 85/15 zinc/aluminum complying with ASTM B-833 and ANSI/AWS C2.25/C2.25M
- b. The Contractor shall provide a certificate of chemical composition of the proposed metallizing wire from the metallizing wire manufacturer.

Paint: All materials to be used on an individual structure shall be produced by the same manufacturer.

The Bureau of Materials and Physical Research has established a list of all paint products that have met preliminary requirements. Each batch of material, except for the clear aliphatic urethane and the penetrating sealer shall be tested and approved for use. The specified colors shall be produced in the coating manufacturer's facility. Tinting of coating after it leaves the manufacturing facility is not allowed.

The paint materials shall meet the following requirements of the Standard Specification and as noted below:

<u>Item</u>	<u>Article</u>
(a) Waterborne Acrylic	1008.04
(b) Aluminum Epoxy Mastic (Note 1)	1008.03
(c) Epoxy/ Aliphatic Urethane (Note 1)	1008.05
(d) Penetrating Sealer (Note 2)	
(e) Clear Aliphatic Urethane (Note 3)	

Note 1: If the finish coats are being applied in the field over a shop applied epoxy, select an epoxy intermediate for shop application with a recoat window that is long enough to support the construction schedule.

Note 2: The Epoxy Penetrating Sealer shall be a cross-linked multi component sealer. The sealer shall have the following properties:

- (a) The volume solids shall be 98 percent (plus or minus 2 percent).
- (b) Shall be clear or slightly tinted color.

Note 3: The Clear Aliphatic Urethane material shall be one of the following products:

- (a) Carbothane Clear Coat by Carboline Company
- (b) Pitthane Ultra Clear 95-8000 by Pittsburgh Paints (PPG)
- (c) ArmorSeal Rexthane I MCU by Sherwin-Williams

Shop Prequalification: The Contractor performing the shop work shall have either an SSPC-QP 3 Certification or an AISC Sophisticated Paint Endorsement certification. The certification(s) shall remain current throughout the duration of the contract.

The Contractor performing the shop work shall have satisfactorily performed a minimum of three (3) previous projects involving abrasive blast cleaning, metallizing, and paint application. At least one project within the past two (2) years shall have involved a bridge or similar industrial type application. The suitability of the Contractor's qualifications and prior experience will be considered by the Department before granting approval to proceed.

Submittals: The Contractor performing the shop work shall submit the following plans and information for Engineer review and acceptance within 30 days of contract execution (unless written permission from the Engineer states otherwise). When full coats are being applied in the field, the field painting contractor shall comply with the submittal requirements of Article 506.03. Work in the shop or field shall not proceed until submittals are accepted by the Engineer.

- (a) **Contractor Personnel Qualifications:** Evidence of experience and the names and qualifications/experience/training of the personnel managing and implementing the Quality Control program, and for those performing the quality control tests. QC personnel qualification requirements are found under "Quality Control (QC) Inspection."

All metallizing applicators shall be qualified in accordance with AWS C2.16/C2.16M.

- (b) **Quality Control (QC) Plan:** A Quality Control Plan that identifies: test instruments to be used, a schedule of required measurements and observations, procedures for correcting unacceptable work, and procedures for improving surface preparation and metallizing/painting quality as a result of quality control findings. The program shall incorporate the IDOT Quality Control Daily Report Forms as supplied by the Engineer, or equivalent information on Engineer-approved Shop Contractor-designed forms.
- (c) **Surface Preparation Plan:** The surface preparation plan shall include the methods of surface preparation and types of equipment that will be used to prepare the surfaces as specified herein. Also any solvents proposed for solvent cleaning shall be identified and MSDS provided.
- (d) **Abrasives:** Identify the type and brand name of the abrasive proposed for use, provide MSDS and manufacturer's data indicating that the abrasive meets requirements of the SSPC-AB 1 or AB 3 standards as specified herein.

- (e) Metallizing Plan: Written procedures for the shop application of metallizing, including the brand name and type of metallizing wire and application equipment to be used. Proof that the metallizing wire complies with ASTM B-833 and ANSI/AWS C2.25/C2.25M shall also be provided. Provide written documentation verifying that all metallizing applicators are qualified in accordance with ANSI/AWS C2.16/C2.16M.
- (f) Painting Plan: If shop painting is specified to be applied over the metallizing or if galvanizing is used in lieu of metallizing on minor bridge members, procedures for the application of the coating system shall be provided along with MSDS and product data sheets. A description of the application equipment to be used shall be included. The plan shall include the requirements to be followed by the field contractor for field touch up.
- (g) Shipping and Handling Plan: A written plan outlining the precautions that shall be taken for the protection of the finished surface during shipping and handling. The plan shall address the steps to be taken, such as insulating padding, wood dunnage, load securing strapping, binding apparatus, etc.
- (h) Galvanizing Option: At the Contractor's option, hot dip galvanizing may be proposed as a substitute for shop metallizing of bearings, typical cross frames, or diaphragms on non-curved structures; expansion joint assemblies; and other elements not carrying calculated stress. Submittal requirements are found under "Hot Dip Galvanizing Option." Include the proposed cleaning and painting plan.

The Engineer will provide written notification to the Contractor when submittals are complete and acceptable. No surface preparation work shall begin until that notification is received. This acceptance shall not be construed to imply approval of any particular method or sequence for conducting the work, or for addressing health and safety concerns. Acceptance does not relieve the Contractor from the responsibility to conduct the work according to the requirements of Federal, State, or Local regulations and this specification, or to adequately protect the health and safety of all workers involved in the project and any members of the public who may be affected by the project. The Contractor remains solely responsible for the adequacy and completeness of the programs and work practices, and adherence to them.

Quality Control (QC) Inspections: The Contractor performing the shop work shall perform first line, in process QC inspections. The Contractor shall implement the accepted QC Program to insure that the work complies with these specifications. The designated Quality Control inspector shall be onsite full time during any operations that affect the quality of the system (e.g., surface preparation, metallizing application, paint application, and final inspection at project completion). The Contractor shall use the IDOT Contractor Daily (QC) Metallizing & Painting Report form (supplied by the Engineer, or Engineer-approved Contractor-designed forms that contain the same information, to record the results of quality control tests and inspections. The completed reports shall be given to the Engineer before work resumes the following day.

QC inspections shall include, but are not limited to the following:

- Ambient conditions.
- Surface preparation (solvent cleaning, abrasive blast cleanliness, surface profile depth, etc.).

- Metallizing application (specified materials used, bend test, continuity and coverage, adhesion, dry film thickness).
- Verification that the MISTIC test ID number for the paint system has been issued when painting is specified.
- Paint Application (when specified)(specified materials used, continuity and coverage, dry film thickness, freedom from overspray, dry spray, pinholes, skips, misses, etc.).

The personnel managing the QC Program shall possess a minimum classification as a NACE CIP Level 2, or shall provide evidence of successful inspection of three projects of similar or greater complexity and scope completed in the last two years. References shall include the name, address, and telephone number of a contact person employed by the facility owner.

The personnel performing the QC tests shall be trained in all tests, inspections, and instrument use required for the inspection of surface preparation, metallizing and paint application. Documentation of training shall be provided. The QC personnel shall be solely dedicated to quality control activities and shall not perform any production work. QC personnel shall take the lead in all inspections, but applicators shall perform wet film thickness measurements during application of the coatings, with QC personnel conducting random spot checks. The Contractor shall not replace the QC personnel assigned to the project without advance notice to the Engineer, and acceptance of the replacement(s), by the Engineer.

The Contractor performing the shop work shall supply all necessary equipment to perform the QC tests and inspections as specified. Equipment shall include the following at a minimum:

- Psychrometer or comparable equipment for measurement of dew point and relative humidity, including weather bureau tables or psychrometric charts
- Surface temperature thermometer
- SSPC Visual Standard VIS 1
- Surface profile replica tape and spring micrometer or electronic micrometer designed for use with replica tape; or electronic profilometer designed for measuring blast profile.
- Blotter paper for compressed air cleanliness checks
- Type 2 Electronic Dry Film Thickness Gage
- Calibration standards for dry film thickness gage
- Bend test coupons and bend test mandrel
- Adhesion testing instrument
- Companion panels for adhesion testing (if that option is selected)

- All applicable ASTM, ANSI, AWS, and SSPC Standards used for the work (reference list attached)

The instruments shall be verified for accuracy and adjusted by the Contractor's personnel in accordance with the equipment manufacturer's recommendations and the Contractor's QC Program. All inspection equipment shall be made available to the Engineer for QA observations as needed.

Hold Point Notification: Specific inspection and testing requirements within this specification are designated as Hold Points. Unless other arrangements are made, the Contractor shall provide the Engineer with a minimum four-hour notification in advance of the Hold Point. If four-hour notification is provided and the work is ready for inspection at that time, the Engineer will conduct the necessary observations. If the work is not ready at the appointed time, unless other arrangements are made, an additional four-hour notification is required. Permission to proceed beyond a Hold Point without a QA inspection will be at the sole discretion of the Engineer and will only be granted on a case-by-case basis.

Quality Assurance (QA) Observations: The Engineer will conduct QA observations of any or all phases of the work. The presence or activity of Engineer observations in no way relieves the Contractor of the responsibility to perform all necessary daily QC inspections of their own and to comply with all requirements of this Specification.

The Engineer has the right to reject any work that was performed without adequate provision for QA observations.

CONSTRUCTION REQUIREMENTS

The surface preparation and metallizing shall be according to the SSPC Specification for the Application of Thermal Spray Coatings (Metallizing) of Aluminum, Zinc and their Alloys and Composites for the Corrosion Protection of Steel, SSPC-CS 23.00/AWS C2.23M/NACE No. 12 except as modified herein. In the event of a conflict, the requirements of this specification shall prevail.

Hot Dip Galvanizing Option: At the Contractor's option, hot dip galvanizing may be substituted for shop metallizing of bearings, typical cross frames, or diaphragms on non-curved structures; expansion joint assemblies; and other elements not carrying calculated stress. Galvanized surfaces which shall have concrete poured against them shall be chemically passivated or otherwise protected by a method approved by the Engineer. Galvanized bearings for exterior members and elements readily visible after erection shall be prepared for field painting, but galvanized items obscured from public view will not require field painting. The Contractor shall submit a proposal for substituting galvanizing to the Engineer, showing items to be field painted, applicable provisions of AASHTO M 111 (ASTM A 123), drain/vent holes and any other necessary modifications.

Notification: The Contractor shall notify the Engineer 24-hours in advance of beginning surface preparation operations.

Surface Preparation, Metallizing and Painting Equipment: The Contractor shall provide surface preparation, metallizing, and painting equipment as needed to perform the work as specified herein.

Metallizing application equipment shall be portable electric arc thermal spray units that are set-up, adjusted and operated in accordance with the manufacturer's written instructions.

All cleaning and painting equipment shall include gages capable of accurately measuring fluid and air pressures and shall have valves capable of regulating the flow of air, water or paint as recommended by the equipment manufacturer. The equipment shall be maintained in proper working order.

Diesel or gasoline powered equipment shall be positioned or vented in a manner to prevent deposition of combustion contaminants on any part of the structure.

Hand tools, power tools, pressure washing, water jetting, abrasive blast cleaning equipment, brushes, rollers, and spray equipment shall be of suitable size and capacity to perform the work required by this specification. Appropriate filters, traps and dryers shall be provided for the compressed air used for abrasive blast cleaning and conventional spray application. Paint pots shall be equipped with air operated continuous mixing devices unless prohibited by the coating manufacturer.

Test Areas (Sections): Prior to proceeding with production work on the project, the Contractor shall prepare test sections of at least 10 square feet (0.93 sq. m). More than one test section may be needed to represent the various design configurations of the structure. The test section(s) shall be blast cleaned, metallized and painted (if specified) in accordance with the requirements specified herein using the same equipment, materials and procedures that will be used for the production.

During the blast cleaning, metallizing, and painting of the test section(s), in the presence of the Engineer, the Contractor shall perform all quality control tests and inspections required by this specification including complete documentation. In addition, the Contractor shall allow sufficient time for the Engineer to perform any or all quality assurance tests and inspections desired.

Production work shall not proceed until the Engineer agrees that the blast cleaning, metallizing, and painting work, along with the quality control testing, inspection, and documentation are acceptable.

No additional compensation will be paid for the preparation of the test section(s).

Protective Coverings and Damage: The Contractor shall apply protective coverings to all surfaces of the structural steel that are not scheduled for surface preparation, metallizing, and painting. The coverings shall be maintained and remain in place until the work is completed and then shall be removed prior to shipping.

Metallized or painted surfaces damaged by any Contractor's operation shall be repaired, and re-metallized and/or re-painted, as directed by the Engineer, at no additional cost to the Department.

Ambient Conditions: Surfaces prepared for metallizing or painting shall be free of moisture and other contaminants. The Contractor shall control operations to insure that dust, dirt, or moisture

do not come in contact with surfaces on which work will take place. The surface temperature shall be at least 5°F (3°C) above the dew point during final surface preparation operations, and the application of metallizing. Metallizing shall only be applied when the surface and air temperatures are above 32°F (0°C). The manufacturers' published literature shall be followed for specific temperature, dew point, and humidity restrictions during the application of each paint coat. Metallizing or paint shall not be applied in rain, wind, snow, fog or mist. Ambient conditions shall be maintained during the drying period specified by the manufacturer.

Compressed Air Cleanliness: Prior to using compressed air for abrasive blast cleaning, blowing down surfaces, and metallizing or painting application, the Contractor shall verify that the compressed air is free of moisture and oil contamination according to the requirements of ASTM D 4285. The tests shall be conducted at least one time per shift for each compressor system in operation. If air contamination is evident, the Contractor shall change filters, clean traps, add moisture separators or filters, or make other adjustments as necessary to achieve clean, dry air. The Contractor shall also examine the work performed since the last acceptable test for evidence of defects or contamination caused by the contaminated compressed air. Contaminated work shall be repaired at no additional cost to the Department.

Solvent Cleaning (HOLD POINT): All traces of oil, grease, and other detrimental contaminants on the steel surfaces to be metallized shall be removed by solvent cleaning in accordance with SSPC-SP 1. The brand name of proposed cleaning solvent(s) and/or proprietary chemical cleaners including manufacturers' product data sheet and MSDS shall be submitted for Engineer acceptance prior to use.

Under no circumstances shall blast cleaning be performed in areas containing surface contaminants or in areas where the Engineer has not accepted the solvent cleaning. Rejected surfaces shall be re-cleaned to the specified requirements at no additional cost to the Department.

Abrasives: Abrasive blast cleaning shall be performed using either expendable abrasives or recyclable steel grit abrasives. Expendable abrasives shall be used one time and discarded. The abrasive shall be angular in shape. Acceptable angular shaped abrasives include, but are not limited to, aluminum oxide, steel grit, and crushed slag. Silica sand shall not be used. Steel shot and other abrasives producing a rounded surface profile are not acceptable, even if mixed with angular grit abrasives.

Abrasive suppliers shall provide written certification that expendable abrasives and recyclable steel grit abrasives meet the requirements of SSPC-AB 1 and AB 3, respectively. Abrasive suppliers shall certify that abrasives are not oil contaminated and shall have a water extract pH value within the range of 6 to 8. On a daily basis, the Contractor shall verify that recycled abrasives are free of oil and contamination by performing a vial test in accordance with SSPC-AB 2.

All surfaces that are found to have been prepared using abrasives not meeting the SSPC-AB 1, AB 2, or AB 3 requirements, as applicable, are oil contaminated, or have a pH outside the specified range, shall be solvent cleaned or low pressure water cleaned, and re-blast cleaned at no cost to the Department.

Surface Preparation (HOLD POINT): The following method of surface preparation shall be used:

- (a) Flame Cut Steel: Prior to blast cleaning, all flame cut edges shall be ground to remove hardened steel and any sharp or irregular shapes.
- (b) Near-White Metal Blast Cleaning: All steel surfaces to be metallized shall be near white metal blast cleaned in accordance with SSPC-SP 10 using dry abrasive blast cleaning methods.
- (c) Galvanized Minor Bridge Members: If galvanizing of minor bridge members is selected in lieu of metallizing, prepare all galvanized surfaces for painting by brush-off blast cleaning in accordance with SSPC-SP 16 or by using proprietary solutions that are specifically designed to clean and etch (superficially roughed) galvanized steel for painting. If cleaning and etching solutions are selected, submit manufacturer's technical product literature and MSDS for Engineer's review and written acceptance prior to use.
- (d) Base Metal Irregularities: If hackles, burrs, or slivers in the base metal are visible on the steel surface after cleaning, the Contractor shall remove them by grinding followed by re-blast cleaning.

Surface Profile (HOLD POINT): Blast cleaning abrasives shall be of the size and grade that will produce a uniform angular surface profile depth of 3.5 to 4.5 mils (89 to 114 microns). If the metallizing wire manufacturer's profile requirements are more restrictive, the Contractor shall advise the Engineer and comply with those requirements. For recycled abrasives, an appropriate operating mix shall be maintained in order to control the profile within these limits.

The average surface profile shall be determined each work day with a minimum frequency of one location per every 200 sq ft (18.6 sq m) per piece of equipment. All surfaces, including flame cut edges, shall be tested in accordance with SSPC-PA 17. Surface profile replica tape or electronic profilometer shall be used. The tape shall be retained and included with the daily QC report. Single measurements less than 3.5 mils (89 microns) are unacceptable. In that event, additional testing shall be done to determine the limits of the deficient area and, if it is not isolated, work will be suspended. The Contractor shall submit a plan for making the necessary adjustments to insure that the specified surface profile is achieved on all surfaces. Work shall not resume until the Engineer provides written acceptance.

Surface Condition Prior to Metallizing (HOLD POINT): Prepared surfaces shall meet the requirements of SSPC-SP 10 immediately prior to metallizing, and shall be metallized within six hours of blast cleaning. If rust appears or bare steel has been exposed for more than six hours, the affected area shall be re-blasted at no additional cost to the Department.

All dust and surface preparation residue on steel surfaces shall be removed prior to metallizing.

The quality of surface preparation and cleaning of surface dust and debris shall be accepted by the Engineer prior to metallizing.

The Engineer has the right to reject any work that was performed without adequate provision for QA observations to accept the degree of cleaning. Rejected metallizing work shall be removed and replaced at no additional cost to the Department.

Daily Metallizing Operator-Equipment Qualification – Bend Tests: Unless directed otherwise by the Engineer, each day that metallizing will be applied, the Contractor shall perform bend

testing prior to beginning production work. For each metallizing applicator, five carbon steel coupons measuring 2 inch wide x 8 inch long x 0.05 inch (50mm x400 mm x 1.3 mm) thick shall be blast cleaned using the same equipment and abrasive used for the production work. Each applicator shall apply the metallizing to five coupons in accordance with the requirements of this Specification to a dry film thickness of 8.0 to 12.0 mils (200 to 300µm). 180 degree bend testing shall be performed on all five coupons using a 13mm (1/2") mandrel in accordance with the requirements and acceptance criteria of SSPC-CS 23/AWS C2.23M/NACE 12. Minor cracks that cannot be lifted from the substrate with knife blade are acceptable. If lifting occurs on any coupon, the surface preparation and/or metallizing process shall be modified until acceptable results are achieved before proceeding with production work.

Application of Metallizing: Application shall be done in overlapping passes in a cross-hatch pattern (i.e., a second set of overlapping passes shall be applied at right angles to the first set of overlapping passes) to ensure uniform coverage. The gun shall be held at such a distance from the work surfaces that the metal is still molten on impact. The metallizing shall be applied as a continuous film of uniform thickness, firmly adherent, and free from thin spots, misses, lumps or blisters, and have a fine sprayed texture. Thin spots and misses shall be re-metallized. If touch up metallizing or the application of additional metallizing to previously applied metallizing does not occur within 24 hours, the surface of the metallizing shall be brush off blast cleaned according to SSPC-SP7 to remove oxidation and surface contaminates prior to the application of additional metallizing. The final appearance of the metallizing when left un-top coated or top coated with System 1 shall be uniform without excessive blotchiness or contrast in color. If the surface does not have a uniform appearance, remove and replace the metallizing at no cost to the Department. If the configuration of the surface being metallized does not allow for a proper gun-to-work piece standoff distance, the Contractor shall notify the Engineer.

Unless required by the contract plans, the top of the top flanges shall not be metallized or painted. If the contract plans indicate that the top flange is to be metallized, only the first coat of the paint system shall be applied to the top flange.

Metallizing Thickness: The thickness of the metallizing shall be 8.0 to 12.0 mils (200-300 microns). Thickness shall be measured as specified by SSPC-PA 2 (use a Type 2 Electronic Gauge only).

Metallizing Adhesion: Adhesion testing of metallizing applied each day shall be determined with a self-adjusting adhesion tester in accordance with ASTM D 4541. Unless otherwise directed by the Engineer, a minimum of one test shall be conducted for every 500 sq ft (46sq m) of metallized surface. The tests shall be conducted prior to application of any coating. If any of the tests exhibit less than 700 psi (4.83 MPa) for 85/15 or less than 500 psi (3.45 MPa) for zinc, additional tests shall be conducted to determine the extent of the deficient material. All deficient metallizing shall be removed by blast cleaning and re-applied at no additional cost to the Department.

At the discretion of the Engineer, a representative blast cleaned test panel (or steel companion panel approximately 12 inch x 12 inch x ¼ inch thick) can be metallized at the same time each 500 sq ft (46sq m) of surface area, or portion thereof, is metallized. Adhesion testing can be performed on the companion panel rather than on the structure. If the adhesion tests on the panels are acceptable, the metallizing on the structure is considered acceptable and testing on the structure is not required. If adhesion testing of the panels fails, testing shall be conducted on the structure. If adhesion testing on the structure is acceptable, the metallizing on the structure is considered to be acceptable. If tests on the structure are unacceptable, complete removal of

the failing metallizing and re-metallizing in accordance with this Specification shall be performed at no additional cost to the Department.

Application of Paint Systems Over Metallizing:

When painting over the metallizing is specified, three painting system options exist for application over the metallizing as shown below. Systems, or components of systems, specified to be shop applied shall not be applied to the faying surfaces of bolted connections. The system to be applied shall be as designated on the plans.

- (a) **System 1** is a single coat system consisting of a full clear aliphatic urethane coat shop applied to all metallized surfaces except as noted above.

The thickness of the clear coat to be applied is dependent on the product selected and shall be as follows:

TABLE 1

CLEAR URETHANE COAT (SINGLE COAT SYSTEM)

MANUFACTURER	SEALER COAT ONLY (DFT)
Carboline Company	Carbothane Clear Coat (3.0 to 5.0 mils) (75 to 125 microns)
Pittsburgh Paints (PPG)	Pitthane Ultra Clear 95-8000 (2.0 to 3.0 mils) (50 to 75 microns)
Sherwin-Williams	ArmorSeal Rexthane I MCU (3.0 to 5.0 mils) (75 to 125 microns)

The clear urethane shall be applied in a 2 step process. The first step shall be to apply a “mist coat” that is thinned at the maximum allowable thinning rate as listed on the manufacturer’s product data sheet that is compliant with VOC regulations. The intent of the mist coat is to saturate the porous metallizing surface and displace entrapped air within the porosity of the metallizing. After allowing the mist coat to flash off for 20 minutes, the full coat of clear urethane shall be applied to achieve the manufacturer’s recommended dry film thickness.

- (b) **System 2** is a four coat system consisting of a full shop coat of epoxy penetrating sealer coat, a full shop coat of an extended recoat epoxy and two full field applied coats of waterborne acrylic.

The epoxy penetrating sealer shall be applied in accordance with the coating manufacturer’s instructions at a coverage rate designed to achieve a theoretical dry film

thickness of 1.5 mils (38 microns). The intent of the epoxy penetrating sealer coat is to saturate the metallizing and cover the surface rather than to build a film thickness; therefore, dry film thickness measurement of the epoxy penetrating sealer coat is not required. The top of top flanges that are specified to be metallized and embedded in concrete shall receive the epoxy penetrating sealer only.

The thicknesses of the epoxy and waterborne acrylic coats shall be according to Article 506.09(f)(1).

- (c) **System 3** is a three coat system consisting of a full epoxy penetrating sealer coat, a full epoxy intermediate coat, and a full urethane finish coat. All coats shall be shop-applied unless specified otherwise. If the urethane is field-applied, an extended recoat epoxy shall be applied in the shop.

The epoxy penetrating sealer shall be applied in accordance with the coating manufacturer's instructions at a coverage rate designed to achieve a theoretical dry film thickness of 1.5 mils (38 microns). The intent of the epoxy penetrating sealer coat is to saturate the metallizing and cover the surface rather than to build a film thickness; therefore, dry film thickness measurement of the epoxy penetrating sealer coat is not required. The top of top flanges that are specified to be metallized and embedded in concrete shall receive the epoxy penetrating sealer only.

The thicknesses of the epoxy and urethane coats shall be according to Article 506.09(f)(2).

The single clear urethane coat or the epoxy penetrating sealer coat shall be applied within 24 hours of metallizing providing that the immediate work environment is controlled. If temperature and humidity cannot be controlled, that time frame shall be reduced to within 8 hours. The metallizing shall be dry and free of any visible debris or oxidation (zinc oxide) at the time of application. Visible oxidation shall be removed by mechanical methods such as stiff bristle or wire brushing. Contact surfaces for bolted connections shall consist of bare, uncoated metallizing only and shall be masked off prior to the application of any shop applied coatings.

The clear urethane coat or the epoxy penetrating sealer shall be applied in accordance with the manufacturer's instructions and in such a manner to assure thorough wetting and sealing of the metallizing.

For systems 2 and 3, prior to application of any subsequent coat, the surface of the previous coat shall be dry in accordance with the manufacturer's instructions and free of any visible contamination. If the manufacturer's specified recoat times are exceeded, the effected coat(s) shall be completely roughened or removed and replaced, according to the manufacturer's instructions, at no cost to the Department. The same restrictions regarding film appearance and continuity for the seal coat apply to the intermediate coat and topcoat.

All coats shall be applied to achieve a smooth, uniform appearance that is free of dryspray, overspray, and orange peel. Shadow-through, pinholes, bubbles, skips, misses, lap marks between applications, runs, sags, or other visible discontinuities are unacceptable.

Masked off areas around field connections shall be coated in the field after the steel is fully erected according to the touch-up procedure for the completed system.

When the application of field coat(s) is required, the existing shop applied coats shall be prepared and field painting performed according to the applicable provisions of Article 506.10. If any coat has exceeded its recoat time, the surface shall be completely roughened or removed and replaced according to the manufacturer's instructions, prior to the application of the topcoat.

All coatings shall be applied by spray, supplemented with brushing or rolling, if needed. Special attention shall be given to obtaining complete coverage and proper coating thickness in crevices, on welds and edges, and in hard to reach areas.

Application of Paint System over Galvanizing: If galvanizing is used in lieu of metallizing and Paint System 1, no further painting is required. If galvanizing is used in lieu of metallizing and Paint System 2, apply a two-coat system consisting of a full waterborne acrylic intermediate coat and a full waterborne acrylic finish coat from System 2. If galvanizing is used in lieu of metallizing and Paint System 3, apply a full epoxy intermediate coat and a full urethane coat from System 3. To minimize handling and erection damage the acrylic coats of System 2 shall be applied in the field. Except as noted on the plans, the epoxy and urethane coats of System 3 can be applied in the shop or field.

Touch-Up of Completed Coating System: The Contractor shall repair all damaged and/or unacceptable areas of the completed coating system (all metallizing, galvanizing, and paint layers) prior to shipment as defined below. The same process shall be followed for the repair of shipping, handling, and erection damage.

Damage to the metallizing, galvanizing, and/or paint that does not expose the substrate shall be prepared by solvent cleaning in accordance with SSPC-SP 1 followed by power tool cleaning in accordance with SSPC-SP 3 to remove loose material. For the repair of damaged metallizing or galvanizing that exposes the substrate, the surface shall be spot blast cleaned in accordance with SSPC-SP 10. If blast cleaning cannot be performed, as authorized by the Engineer, the damage shall be spot power tool cleaned to SSPC-SP11.

The metallizing, galvanizing and/or paint surrounding each repair area shall be feathered for a distance of 1 to 2 inches (25 to 50 mm) to provide a smooth, tapered transition into the existing intact material. The surrounding intact paint shall be roughened to promote adhesion of the repair coats.

Damage to metallizing or galvanizing extends to the substrate shall be repaired. For metallizing it is critical that all remnants of sealer or paint have been removed from the porosity of the metallizing before applying new metallizing or an adhesion failure can occur. If it is no longer feasible to apply metallizing, spot-apply an organic zinc primer meeting the requirements of Section 1008. For galvanizing, spot apply organic zinc. After priming, for both the metallizing and galvanizing, apply the same intermediate and finish coats used on the surrounding steel. If the damage does not expose the substrate, only the effected paint coat(s) shall be applied.

Surface Preparation and Painting of Galvanized Fasteners: All ASTM A 325 or ASTM F 3125 high strength steel bolts, nuts and washers shall be hot dip galvanized according to AASHTO M232, except in areas where the metallized surfaces are to be top coated, in which case they shall be mechanically galvanized according to Article 1006.08(a) of the Standard Specifications.

The Contractor shall prepare all fasteners (i.e., galvanized nuts, bolts, etc.) by power tool cleaning in accordance with SSPC-SP 3. Following power tool cleaning and prior to painting, the surfaces

shall be solvent cleaned according to SSPC-SP 1. Slight stains of torqueing compound dye may remain after cleaning provided the dye is not transferred to a cloth after vigorous rubbing. If any dye is transferred to a cloth after vigorous rubbing, additional cleaning is required.

Spot paint the fasteners with one coat of an aluminum epoxy mastic coating meeting the requirements of Article 1008.03 of the Standard Specifications.

Shipping and Handling: The Contractor shall take special care in handling the steel in the shop and when loading for shipment. Painted, metallized, or galvanized steel shall not be moved or handled until sufficient cure time has elapsed to prevent handling damage. During shipping, the steel shall be insulated from the moving apparatus (i.e., chains, cables, hooks, clamps, etc.) by softeners approved by the Engineer. Apparatus used to hoist the steel shall be padded. Steel shall be placed on wood dunnage and spaced in such a manner that no rubbing will occur during shipment that could damage the paint, metallizing or galvanizing.

Special Instructions: At the completion of the work, the Contractor shall stencil on the bridge, using a contrasting colored paint, the date of metallizing and painting. The letters shall be capitals, not less than 2 inches (50 mm) and not more than 3 inches (75 mm) in height. The information defined below shall be stenciled on the exterior face of the first girders at the bridge abutments (approximately 1 or 2 feet outward from the abutment end of the girders). The Engineer will identify the bridge member(s) to be stenciled.

When all coats are applied in the shop with the exception of touch-up, the shop Contractor shall do the stenciling. The stencil shall contain the following words on four lines: "METALLIZED BY" on the first line; name of the Contractor on the second line; and the month and year in which the coating was completed on the third line; and the applicable system Code on the fourth line.

When the finish coat is applied in the field, the Contractor shall do the stenciling as described above, but insert "PAINTED BY" and the Contractor's name after the fourth line.

Basis of Payment: This work shall not be paid for separately but shall be included in the unit price bid for furnishing and/or erecting structural steel according to Article 505.13.

Appendix 1 – Reference List

The Shop and Field Contractor(s) shall maintain the following regulations and references on site for the duration of the project:

Illinois Environmental Protection Act

American Society of Testing Material

- ASTM D 4285, Standard Test Method for Indicating Oil or Water in Compressed Air
- ASTM B833, Standard Specifications for Zinc Wire for Thermal Spraying (Metallizing)
- ASTM D4541, Standard Test Method for Pull-Off Strength of Coatings Using Portable Adhesion Testers

Society of Protective Coatings

- SSPC-AB 1, Mineral and Slag Abrasives
- SSPC-AB 2, Specification for Cleanliness of Recycled Ferrous Metallic Abrasives
- SSPC-AB 3, Newly Manufactured or Re-Manufactured Steel Abrasives
- SSPC-PA 2, Measurement of Dry Coating Thickness with Magnetic Gages
- SSPC-QP 1, Standard Procedure for Evaluating Painting Shop Contractors (Field Application to Complex Structures)
- SSPC-QP 2, Standard Procedure for Evaluating the Qualifications of Painting Shop Contractors to Remove Hazardous Paint
- SSPC-SP 1, Solvent Cleaning
- SSPC-SP 5/NACE No. 1, White Metal Blast Cleaning
- SSPC-SP 11, Power Tool Cleaning to Bare Metal
- SSPC-SP 12/NACE No. 5, Surface Preparation and Cleaning of Metals by Water Jetting Prior to Recoating
- SSPC-SP 16, Brush-Off Blast Cleaning of Coated and Uncoated Galvanized Steel, Stainless Steels, and Non-Ferrous Metals
- SSPC-PA 17, Procedure for Determining Conformance to Steel Profile/Surface Roughness/Peak Count Requirements.
- SSPC-VIS 1, Guide and Reference Photographs for Steel Surfaces Prepared by Dry Abrasive Blast Cleaning

- SSPC-VIS 5, Guide and Reference Photographs for Steel Prepared by Wet Abrasive Blast Cleaning
- SSPC-Guide 15, Field Methods for Retrieval and Analysis of Soluble Salts on Steel and Other Nonporous Surfaces
- SSPC-CS 23.00/AWS C2.23M/NACE No. 12, Specification for the Application of Thermal Spray Coatings (Metallizing) of Aluminum, Zinc, and Their Alloys and Composites for the Corrosion Protection of Steel

American National Standards Institute/American Welding Society

- ANSI/AWS C2.25/C2.25M, Specification for Solid and Composite Wires, and Ceramic Rods for Thermal Spraying
- AWS C2.6/C2.6M, Guide for Thermal-Spray Operator Qualification

Metallizing wire and coating manufacturer's application instructions, MSDS and product data sheets

DRILLED SHAFTS

Effective: October 5, 2015

Revised: October 27, 2023

Revise Section 516 of the Standard Specifications to read:

"SECTION 516. DRILLED SHAFTS

516.01 Description. This work shall consist of constructing drilled shaft foundations.

516.02 Materials. Materials shall be according to the following.

Item	Article/Section
(a) Portland Cement Concrete (Note 1)	1020
(b) Reinforcement Bars.....	1006.10
(c) Grout (Note 2).....	1024.01
(d) Permanent Steel Casing.....	1006.05(d)
(e) Slurry (Note 3)	

Note 1. When the soil contains sulfate contaminates, ASTM C 1580 testing will be performed to assess the severity of sulfate exposure to the concrete. If the sulfate contaminate is >0.10 to < 0.20 percent by mass, a Type II (MH) cement shall be used. If the sulfate contaminate is >0.20 to < 2.0 percent by mass, a Type V cement shall be used. If the sulfate contaminate is \geq 2.0 percent by mass, refer to ACI 201.2R for guidance.

Note 2. The sand-cement grout mix shall be according to Section 1020 and shall be two to five parts sand and one part Type I or II cement. The maximum water cement ratio shall be sufficient to provide a flowable mixture with a typical slump of 10 in. (250 mm).

Note 3. Slurry shall be bentonite, emulsified polymer, or dry polymer, and shall be approved by the Engineer.

516.03 Equipment. Equipment shall be according to the following.

Item	Article/Section
(a) Concrete Equipment	1020.03
(b) Drilling Equipment (Note 1)	
(c) Hand Vibrator	1103.17(a)
(d) Underwater Concrete Placement Equipment	1103.18

Note 1. The drilling equipment shall have adequate capacity, including power, torque and down thrust, to create a shaft excavation of the maximum diameter specified to a depth of 20 percent beyond the depths shown on the plans.

516.04 Submittals. The following information shall be submitted on form BBS 133.

(a) Qualifications. At the time of the preconstruction conference, the Contractor shall provide the following documentation.

(1) References. A list containing at least three projects completed within the three years prior to this project's bid date which the Contractor performing this work has installed drilled shafts of similar diameter, length, and site conditions to those shown in the plans. The list of projects shall contain names and phone numbers of owner's representatives who can verify the Contractor's participation on those projects.

(2) Experience. Name and experience record of the drilled shaft supervisor, responsible for all facets of the shaft installation, and the drill operator(s) who will be assigned to this project. The supervisor and operator(s) shall each have a minimum of three years experience in the construction of drilled shafts.

(b) Installation Procedure. A detailed installation procedure shall be submitted to the Engineer for acceptance at least 28 days prior to drilled shaft construction and shall address each of the following items unless otherwise directed by the Engineer in writing.

(1) Equipment List. List of proposed equipment to be used including cranes, drill rigs, augers, belling tools, casing, vibratory hammers, core barrels, bailing buckets, final cleaning equipment, slurry equipment, tremies, or concrete pumps, etc.

(2) General Sequence. Details of the overall construction operation sequence, equipment access, and the sequence of individual shaft construction within each substructure bent or footing group. The submittal shall address the Contractor's proposed time delay and/or the minimum concrete strength necessary before initiating a shaft excavation adjacent to a recently installed drilled shaft.

(3) Shaft Excavation. A site specific step by step description of how the Contractor

anticipates the shaft excavation to be advanced based on their evaluation of the subsurface data and conditions expected to be encountered. This sequence shall note the method of casing advancement, anticipated casing lengths, tip elevations and diameters, the excavation tools used and drilled diameters created. The Contractor shall indicate whether wet or dry drilling conditions are expected and if groundwater will be sealed from the excavation.

- (4) Slurry. When the use of slurry is proposed, details on the types of additives to be used and their manufacturers shall be provided. In addition, details covering the measurement and control of the hardness of the mixing water, agitation, circulation, de-sanding, sampling, testing, and chemical properties of the slurry shall be submitted.
- (5) Shaft Cleaning. Method(s) and sequence proposed for the shaft cleaning operation.
- (6) Reinforcement Cage and Permanent Casing. Details of reinforcement placement including rolling spacers to be used and method to maintain proper elevation and location of the reinforcement cage within the shaft excavation during concrete placement. The method(s) of adjusting the reinforcement cage length and permanent casing if rock is encountered at an elevation other than as shown on the plans. As an option, the Contractor may perform soil borings and rock cores at the drilled shaft locations to determine the required reinforcement cage and permanent casing lengths.
- (7) Concrete Placement. Details of concrete placement including proposed operational procedures for free fall, tremie or pumping methods. The sequence and method of casing removal shall also be stated along with the top of pour elevation, and method of forming through water above streambed.
- (8) Mix Design. The proposed concrete mix design(s).
- (9) Disposal Plan. Containment and disposal plan for slurry and displaced water. Containment and disposal plan for contaminated concrete pushed out of the top of the shaft by uncontaminated concrete during concrete placement.
- (10) Access and Site Protection Plan. Details of access to the drilled shafts and safety measures proposed. This shall include a list of casing, scaffolding, work platforms, temporary walkways, railings, and other items needed to provide safe access to the drilled shafts. Provisions to protect open excavations during non-working hours shall be included.

The Engineer will evaluate the drilled shaft installation procedure and notify the Contractor of acceptance, need for additional information, or concerns with the installation's effect on the existing or proposed structure(s).

CONSTRUCTION REQUIREMENTS

516.05 General. Excavation for drilled shaft(s) shall not proceed until written authorization is received from the Engineer. The Contractor shall be responsible for verification of the dimensions and alignment of each shaft excavation as directed by the Engineer.

Unless otherwise approved in the Contractor's installation procedure, no shaft excavation, casing installation, or casing removal with a vibratory hammer shall be made within four shaft diameters center to center of a shaft with concrete that has a compressive strength less than 1500 psi (10,300 kPa). The site-specific soil strengths and installation methods selected will determine the actual required minimum spacing, if any, to address vibration and blow out concerns.

Lost tools shall not remain in the shaft excavation without the approval of the Engineer.

Blasting shall not be used as a method of shaft excavation.

516.06 Shaft Excavation Protection Methods. The construction of drilled shafts may involve the use of one or more of the following methods to support the excavation during the various phases of shaft excavation, cleaning, and concrete placement dependent on the site conditions encountered. Surface water shall not flow uncontrolled into the shaft excavation, however water may be placed into the shaft excavation in order to meet head pressure requirements according to Articles 516.06(c) and 516.13.

The following are general descriptions indicating the conditions when these methods may be used.

- (a) Dry Method. The dry construction method shall only be used at sites where the groundwater and soil conditions are suitable to permit the drilling and dewatering of the excavation without causing subsidence of adjacent ground, boiling of the base soils, squeezing, or caving of the shaft side walls. The dry method shall consist of drilling the shaft excavation, removing accumulated water, cleaning the shaft base, and placing the reinforcement cage and concrete in a predominately dry excavation.

Slurry Method. The slurry construction method may be used at sites where dewatering the excavation would cause collapse of the shaft sidewalls or when the volume and head of water flowing into the shaft is likely to contaminate the concrete during placement resulting in a shaft defect. This method uses slurry, or in rare cases water, to maintain stability of the shaft sidewall while advancing the shaft excavation. After the shaft excavation is completed, the slurry level in the shaft shall be kept at an elevation to maintain stability of the shaft sidewall, maintain stability of the shaft base, and prevent additional groundwater from entering the shaft. The shaft base shall be cleaned, the reinforcement cage shall be set, and the concrete shall be discharged at the bottom of the shaft excavation, displacing the slurry upwards.

- (b) Temporary Casing Method. Temporary casing shall be used when either the dry or slurry methods provide inadequate support to prevent sidewall caving or excessive deformation of the shaft excavation. Temporary casing may be used with slurry or be used to reduce the flow of water into the excavation to allow dewatering and concrete placement in a dry shaft excavation. Temporary casing shall not be allowed to remain permanently without the approval of the Engineer.

During removal of the temporary casing, the level of concrete in the casing shall be maintained at a level such that the head pressure inside the casing is a minimum of 1.25 times the head pressure outside the casing, but in no case is less than 5 ft (1.5 m) above

the bottom of the casing. Casing removal shall be at a slow, uniform rate with the pull in line with the shaft axis. Excessive rotation of the casing shall be avoided to limit deformation of the reinforcement cage. In addition, the slump requirements during casing removal shall be according to Article 516.12.

When called for on the plans, the Contractor shall install a permanent casing as specified. Permanent casing may be used as a shaft excavation support method or may be installed after shaft excavation is completed using one of the above methods. After construction, if voids are present between the permanent casing and the drilled excavation, the voids shall be filled with grout by means of tremie(s) or concrete pump which shall be lowered to the bottom of the excavation. The contractor's means and methods for grout placement shall fill the annular void(s) between the permanent casing and the surrounding earth material to restore and provide lateral earth resistance to the shaft. Grout yield checks shall be performed by the contractor for submittal to the Engineer. Permanent casing shall not remain in place beyond the limits shown on the plans without the specific approval of the Engineer.

When the shaft extends above the streambed through a body of water and permanent casing is not shown, the portion above the streambed shall be formed with removable casings, column forms, or other forming systems as approved by the Engineer. The forming system shall not scar or spall the finished concrete or leave in place any forms or casing within the removable form limits as shown on the plans unless approved as part of the installation procedure. The forming system shall not be removed until the concrete has attained a minimum compressive strength of 2500 psi (17,200 kPa) and cured for a minimum of 72 hours. For shafts extending through water, the concrete shall be protected from water action after placement for a minimum of seven days.

516.07 Slurry. When slurry is used, the Contractor shall provide a technical representative of the slurry additive manufacturer at the site prior to introduction of the slurry into the first shaft where slurry will be used, and during drilling and completion of a minimum of one shaft to adjust the slurry mix to the specific site conditions. During construction, the level of the slurry shall be maintained a minimum of 5 feet (1.5 m) above the height required to prevent caving of the shaft excavation. In the event of a sudden or significant loss of slurry in the shaft excavation, the construction of that foundation shall be stopped and the shaft excavation backfilled or supported by temporary casing, until a method to stop slurry loss, or an alternate construction procedure, has been approved by the Engineer.

- (a) General Properties. The material used to make the slurry shall not be detrimental to the concrete or surrounding ground. Mineral slurries shall have both a mineral grain size that remains in suspension and sufficient viscosity and gel characteristics to transport excavated material to a suitable screening system. Polymer slurries shall have sufficient viscosity and gel characteristics to transport excavated material to suitable screening systems or settling tanks. The percentage and specific gravity of the material used to make the slurry shall be sufficient to maintain the stability of the excavation and to allow proper concrete placement.

If approved by the Engineer, the Contractor may use water and excavated soils as drilling slurry. In this case, the range of acceptable values for density, viscosity and pH, as shown in the following table for bentonite slurry shall be met.

When water is used as the slurry to construct rock sockets in limestone, dolomite,

sandstone or other formations that are not erodible, the requirements for slurry testing shall not apply if the entire fluid column is replaced with fresh water after drilling. To do so, fresh water shall be introduced at the top of the shaft excavation and existing water used during drilling shall be pumped out of the shaft excavation from the bottom of the shaft excavation until the entire volume of fluid has been replaced.

- (b) Preparation. Prior to introduction into the shaft excavation, the manufactured slurry admixture shall be pre-mixed thoroughly with clean, fresh water and for adequate time in accordance with the slurry admixture manufacturer's recommendations. Slurry tanks of adequate capacity shall be used for slurry mixing, circulation, storage and treatment. No excavated slurry pits will be allowed in lieu of slurry tanks without approval from the Engineer. Adequate desanding equipment shall be provided to control slurry properties during the drilled shaft excavation in accordance with the values provided in Table 1.
- (c) Quality Control. Quality control tests shall be performed on the slurry to determine density, viscosity, sand content and pH of freshly mixed slurry, recycled slurry and slurry in the shaft excavation. Tests of slurry samples from within two feet of the bottom and at mid-height of the shaft excavation shall be conducted in each shaft excavation during the excavation process to measure the consistency of the slurry. A minimum of four sets of tests shall be conducted during the first eight hours of slurry use on the project. When a series of four test results do not change more than 1% from the initial test, the testing frequency may be decreased to one set every four hours of slurry use. Reports of all tests, signed by an authorized representative of the Contractor, shall be furnished to the Engineer upon completion of each drilled shaft. The physical properties of the slurry shall be as shown in Table 1.

The slurry shall be sampled and tested less than 1 hour before concrete placement. Any heavily contaminated slurry that has accumulated at the bottom of the shaft shall be removed. The contractor shall perform final shaft bottom cleaning after suspended solids have settled from the slurry. Concrete shall not be placed if the slurry does not have the required physical properties.

Table 1 – SLURRY PROPERTIES				
	Bentonite	Emulsified Polymer	Dry Polymer	Test Method
Density, lb/cu ft (kg/cu m) (at introduction)	65.2 ± 1.6 ¹ (1043.5 ± 25.6)	63 (1009.0) max.	63 (1009.0) max.	ASTM D 4380
Density, lb/cu ft (kg/cu m) (prior to concrete placement)	67.0 ± 3.5 ¹ (1073.0 ± 56.0)	63 (1009.0) max.	63 (1009.0) max.	ASTM D 4380
Viscosity ² , sec/qt (sec/L)	46 ± 14 (48 ± 14)	38 ± 5 (40 ± 5)	65 ± 15 (69 ± 16)	ASTM D 6910
pH	9.0 ± 1.0	9.5 ± 1.5	9.0 ± 2.0	ASTM D 4972
Sand Content, percent by volume (at introduction)	4 max.	1 max.	1 max.	ASTM D 4381
Sand Content, percent by volume (prior to concrete placement)	10 max.	1 max.	1 max.	ASTM D 4381
Contact Time ³ , hours	4 max.	72 max.	72 max	

Note 1. When the slurry consists of only water and excavated soils, the density shall not exceed 70 lb/cu ft (1121 kg/cu m).

Note 2. Higher viscosities may be required in loose or gravelly sand deposits.

Note 3. Contact time is the time without agitation and sidewall cleaning.

516.08 Obstructions. An obstruction is an unknown isolated object that causes the shaft excavation method to experience a significant decrease in the actual production rate and requires the Contractor to core, break up, push aside, or use other means to mitigate the obstruction. Subsurface conditions such as boulders, cobbles, or logs and buried infrastructure such as footings, piling, or abandoned utilities, when shown on the plans, shall not constitute an obstruction. When an obstruction is encountered, the Contractor shall notify the Engineer immediately and upon concurrence of the Engineer, the Contractor shall mitigate the obstruction with an approved method.

516.09 Top of Rock. The top of rock will be considered as the point where rock, defined as bedded deposits and conglomerate deposits exhibiting the physical characteristics and difficulty of rock removal as determined by the Engineer, is encountered which cannot be drilled with augers and/or underreaming tools configured to be effective in the soils indicated in the contract documents.

516.10 Design Modifications. If the top of rock elevation differs from that shown on the plans by more than 10 percent of the length of the drilled shaft above the rock, the Engineer shall be contacted to determine if any drilled shaft design changes may be required. In addition, if the type of soil or rock encountered is not similar to that shown in the subsurface exploration data, the Contractor may be required to extend the drilled shaft length(s) beyond those specified in the plans. In either case, the Engineer will determine if revisions are necessary and the extent of the modifications required.

516.11 Excavation Cleaning and Inspection. Materials removed or generated from the shaft excavations shall be disposed of according to Article 202.03.

After excavation, each shaft shall be cleaned. For a drilled shaft terminating in soil, the depth of sediment or debris shall be a maximum of 1 1/2 in. (38 mm). For a drilled shaft terminating in rock, the depth of sediment or debris shall be a maximum of 1/2 in. (13 mm).

A shaft excavation shall be overreamed when, in the opinion of the Engineer, the sidewall has softened, swelled, or has a buildup of slurry cake. Overreaming may also be required to correct a shaft excavation which has been drilled out of tolerance. Overreaming may be accomplished with a grooving tool, overreaming bucket, or other approved equipment. Overreaming thickness shall be a minimum of 1/2 in. (13 mm) and a maximum of 3 in. (75 mm).

516.12 Reinforcement. This work shall be according to Section 508 and the following.

The shaft excavation shall be cleaned and inspected prior to placing the reinforcement cage. The reinforcement cage shall be completely assembled prior to drilling and be ready for adjustment in length as required by the conditions encountered. The reinforcement cage shall be lifted using multiple point sling straps or other approved methods to avoid reinforcement cage distortion or stress. Cross frame stiffeners may be required for lifting or to keep the reinforcement cage in proper position during lifting and concrete placement.

The Contractor shall attach rolling spacers to keep the reinforcement cage centered within the shaft excavation during concrete placement and to ensure that at no point will the finished shaft have less than the minimum concrete cover(s) shown on the plans. The rolling spacers or other approved non-corrosive spacing devices shall be installed within 2 ft (0.6 m) of both the top and bottom of the drilled shaft and at intervals not exceeding 10 ft (3 m) throughout the length of the shaft to ensure proper reinforcement cage alignment and clearance for the entire shaft. The number of rolling spacers at each level shall be one for each 1.0 ft (300 mm) of shaft diameter, with a minimum of four rolling spacers at each level. For shafts with different shaft diameters throughout the length of the excavation, different sized rolling spacers shall be provided to ensure the reinforcement cage is properly positioned throughout the entire length of the shaft.

When a specific concrete cover between the base of the drilled shaft and the reinforcement cage is shown on the plans, the bottom of the reinforcement cage shall be supported so that the proper concrete cover is maintained.

If the conditions differ such that the length of the shaft is increased, additional longitudinal bars shall be either mechanically spliced or lap spliced to the lower end of the reinforcement cage and confined with either hoop ties or spirals. The Contractor shall have additional reinforcement available or fabricate the reinforcement cages with additional length as

necessary to make the required adjustments in a timely manner as dictated by the encountered conditions. The additional reinforcement may be non-epoxy coated.

516.13 Concrete Placement. Concrete work shall be performed according to the following.

Throughout concrete placement the head pressure inside the drilled shaft shall be at least
1.1 times the head pressure outside the drilled shaft.

Concrete placement shall begin within 1 hour of shaft cleaning and inspection. The pour shall be made in a continuous manner from the bottom to the top elevation of the shaft as shown on the contract plan or as approved in the Contractor's installation procedure. Concrete placement shall continue after the shaft excavation is full and until 18 in. (450 mm) of good quality, uncontaminated concrete is expelled at the top of shaft. Vibration of the concrete will not be allowed when the concrete is displacing slurry or water. In dry excavations, the concrete in the top 10 ft (3 m) of the shaft shall be vibrated.

When using temporary casing or placing concrete under water or slurry, a minimum of seven days prior to concrete placement, a 4 cu yd (3 cu m) trial batch of the concrete mixture shall be performed to evaluate slump retention. Temporary casing shall be withdrawn before the slump of the concrete drops below 6 in. (150 mm). For concrete placed using the slurry method of construction, the slump of all concrete placed shall be a minimum of 6 in. (150 mm) at the end of concrete placement.

Devices used to place concrete shall have no aluminum parts in contact with concrete.

When the top of the shaft is at the finished elevation and no further concrete placement above the finished elevation is specified, the top of the shaft shall be level and finished according to Article 503.15(a).

Concrete shall be placed by free fall, tremie, or concrete pump subject to the following conditions.

- (a) Free Fall Placement. Concrete shall only be placed by free fall when the rate of water infiltration into the shaft excavation is less than 12 in. (300 mm) per hour and the depth of water in the shaft excavation is less than 3 in. (75 mm) at the time of concrete placement.

Concrete placed by free fall shall fall directly to the base without contacting the reinforcement cage, cross frame stiffeners, or shaft sidewall. Drop chutes may be used to direct concrete to the base during free fall placement.

Drop chutes used to direct placement of free fall concrete shall consist of a smooth tube. Concrete may be placed through either a hopper at the top of the tube or side openings as the drop chute is retrieved during concrete placement. The drop chute shall be supported so that free fall does not exceed 60 ft (18.3 m) for conventional concrete or 30 ft (9.1 m) for self-consolidating concrete. If placement cannot be satisfactorily accomplished by free fall in the opinion of the Engineer, either a tremie or pump shall be used to accomplish the pour.

- (b) Tremie and Concrete Pump Placement. Concrete placement shall be according to Article 503.08, except the discharge end of the steel pipe shall remain embedded in the concrete a minimum of 10 ft (3.0 m) throughout concrete placement when displacing slurry or water.

516.14 Construction Tolerances. The following construction tolerances shall apply to all drilled shafts.

- (a) Center of Shaft. The center of the drilled shaft shall be within 3 in. (75 mm) of the plan station and offset at the top of the shaft.
- (b) Center of Reinforcement Cage. The center of the reinforcement cage shall be within 1 1/2 in. (40 mm) of plan station and offset at the top of the shaft.
- (c) Vertical Plumbness of Shaft. The out of vertical plumbness of the shaft shall not exceed 1.5 percent.
- (d) Vertical Plumbness of Reinforcement Cage. The out of vertical plumbness of the shaft reinforcement cage shall not exceed 0.83 percent.
- (e) Top of Shaft. The top of the shaft shall be no more than 1 in. (25 mm) above and no more than 3 in. (75 mm) below the plan elevation.
- (f) Top of Reinforcement Cage. The top of the reinforcement cage shall be no more than 1 in. (25 mm) above and no more than 3 in. (75 mm) below the plan elevation.
- (g) Bottom of shaft. Excavation equipment and methods used to complete the shaft excavation shall have a nearly planar bottom. The cutting edges of excavation equipment used to create the bottom of shafts in rock shall be normal to the vertical axis of the shaft within a tolerance of 6.25 percent.

516.15 Method of Measurement. This work will be measured for payment in place and the volume computed in cubic yards (cubic meters). The volume will be computed using the plan diameter of the shaft multiplied by the measured length of the shaft. The length of shaft in soil will be computed as the difference in elevation between the top of the drilled shaft shown on the plans, or as installed as part of the Contractor's installation procedure, and the bottom of the shaft or the top of rock (when present) whichever is higher. The length of shaft in rock will be computed as the difference in elevation between the measured top of rock and the bottom of the shaft.

When permanent casing is specified, it will be measured for payment in place, in feet (meters). Permanent casing installed at the Contractor's option will not be measured for payment.

Reinforcement furnished and installed will be measured for payment according to Article 508.07.

516.16 Basis of Payment. This work will be paid for at the contract unit price per cubic yard (cubic meter) for DRILLED SHAFT IN SOIL, and/or DRILLED SHAFT IN ROCK.

Permanent casing will be paid for at the contract unit price per foot (meter) for PERMANENT CASING.

Reinforcement furnished and installed will be paid for according to Article 508.08.

Obstruction mitigation will be paid for according to Article 109.04.”

PREFORMED PAVEMENT JOINT SEAL

Effective: October 4, 2016

Revised: March 24, 2023

Description. This work shall consist of furnishing all labor, equipment and materials necessary to prepare the joint opening and install pavement joint seal(s) at the locations specified. Unless otherwise detailed on the plans, the joint shall be sized for a rated movement of 2 inches (50 mm).

Materials: Unless otherwise specified, one of the following prefabricated joint seals will be permitted.

- (a) Preformed Elastomeric Joint Seal. This material shall be according to Section 1053.01.
- (b) Preformed Pre-compressed, Silicone Coated, Self-Expanding Sealant System. This Sealant system shall be comprised of three components: 1) cellular polyurethane foam impregnated with hydrophobic 100% acrylic, water-based emulsion, factory coated with highway-grade, fuel resistant silicone; 2) field-applied epoxy adhesive primer, 3) field-injected silicone sealant bands.

The preformed, pre-compressed silicone joint seal shall, as a minimum, be according to the following:

- The joint seal shall be held in place by a non-sag, high modulus silicone adhesive.
- The joint seal shall be compatible with the epoxy and header material.
- The joint seal shall withstand the effects of vertical and lateral movements, skew movements and rotational movement without adhesive or cohesive failure.
- The joint seal shall be designed so that, the material is capable of movement of +50%, -50% (100% total) of nominal material size.
- The gland shall not contain any open, unsealed joints along its length in its final condition.
- Changes in plane and direction shall be executed using factory fabricated 90 degree transition assemblies. The transitions shall be watertight at the inside and outside corners through the full movement of the product.
- The depth of the joint shall be recessed 3/4 in. (19 mm) below the riding surface throughout the normal limits of joint movement.
- The joint seal shall be resistant to ultraviolet rays.
- The joint seal shall be resistant to abrasion, oxidation, oils, gasoline, salt, and other materials that may be spilled on or applied to the surface.
- The manufacturer shall certify that the joint composition shall be free of any waxes or wax compounds; asphalts or asphalt compounds.

The joint material shall meet the following physical properties:

Property	Requirement	Test Method
Tensile Strength of Silicone Coating (min)	140 psi	ASTM D 412
UV Resistance of Joint System	No Changes--2000 Hours	ASTM C793
Density of Cellular Polyurethane Foam	4.0 lb/ cu ft (200kg/cu m)	ASTM D545
Heat Aging Effects (Silicone Coating)	No cracking, chalking	ASTM C 792
Joint System Operating temp range (min)	-40° F to 185° F	ASTM C 711

The adhesive shall be a two-component, 100% solid, modified epoxy meeting the requirements of ASTM C881, Type I, Grade 3, Class B & C. The adhesive shall also have the following properties:

Property	Requirement	Test method
Tensile Strength	2,500 psi (24 MPa) min.	ASTM D638
Compressive Strength	7000 psi (48 MPa) min.	ASTM D695
Bond Strength (Dry Cure)	2000 psi (28MPa) min	ASTM C882
Water Absorption	0.1% by weight	ASTM D570

The silicone band adhesive shall have the following properties:

Property	Requirement	Test Method
Movement Capability	+50/-50%	ASTM C 719
Elongation at Break	>600%	ASTM D 5893
Slump	≤0.3"	ASTM D 2202
Hardness (Shore A) max.	20	ASTM C 661
Tack free time (max)	60 minutes	ASTM C 679
Heat Aging Effects	No cracking, chalking	ASTM C 792
Resilience	≥ 75%	ASTM D5329
Bond	0% Adhesive or Cohesive Failure after 5 cycles @100%extension	ASTM D 5329

- (c) Performed Silicone Joint Seal. The preformed silicone joint seal used for this item shall conform to the following specifications:

Table 1
Physical Properties of Preformed Silicone Gland

Property	Requirement	Test Method
Rated Movement Capability	+2 ¼ inch total	N/A
Tensile Strength, psi.	1000 min	ASTM D 412
Elongation	400% min	ASTM D 412
Tear (die B)	100 ppi. min	ASTM D 624
Hardness Durometer (Shore A).	55 +/- 5 max	ASTM D 2240
Compression set at 212°F, 70 hrs	30% max	ASTM D 395
Heat Aged Properties	5pt max loss on Durometer	ASTM D 573
Tensile and Elongation % Loss	10 % max	

The color of the preformed silicone seal shall be black, made by the addition of Carbon Black fillers which increases UV resistance, tensile strength, and abrasion wear properties.

The locking adhesive shall be non-sag, high modulus silicone adhesive conforming to the following specifications:

Table 2
Physical Properties of the Silicone Locking Adhesive

Property	Requirement	Test Method
Tensile Strength, psi.	200 min	ASTM D 412
Elongation, %	450 min	ASTM D 412
Tack Free Time, minutes.	20 max.	ASTM C 679
Cure Time ¼" bead, hrs	24 max	ASTM C 679
Resistance to U.V.	No cracking, chalking, or degradation	ASTM C793
VOC (g/L)	0	ASTM D 3960

Any rips, tears, or bond failure will be cause for rejection.

The two part epoxy primer shall be supplied for application to the vertical faces of the joint opening. The supplied primer shall be equally as effective when bonded to concrete or steel. This primer shall meet the following criteria:

Table 3
Physical Properties of Preformed Silicone Joint System Primer

Property	Requirement	Test Method
Viscosity (cps)	44	ASTM D 2196
Color	Light Amber	Visual
Solids (%)	41	ASTM D 4209
Specific Gravity	0.92	ASTM D 1217
Product Flash Point (°F, T.C.C.)	48	ASTM D 56
Package Stability	N/A	One year in tightly sealed containers
Cleaning	N/A	Mineral Spirits
VOC (g/L)	520	ASTM D 3960

- (d) Preformed Inverted EPDM Joint Seal. The preformed inverted EPDM joint seal used for this item shall conform to the following specifications:

Table 1
Physical Properties of Preformed Silicone Gland

Property	Requirement	Test Method
Rated Movement Capability	Up To 5 inch total	N/A
Tensile Strength, psi.	1200 psi min	ASTM D 412
Elongation	400 % min	ASTM D 412
Tear (Die C)	150 pli. min	ASTM D 624
Durometer Content	50 +/- 5 max	ASTM D 2240
Water Resistance (70 hrs @ 100c)	10% max	ASTM D 471
Ozone Resistance	100 min	ASTM D 1171

Table 2
Physical Properties of the V-Epoxy-R

V-Epoxy-R adhesive meets the requirements of ASTM C881 Type III, Grade 2. The adhesive shall also have the following properties:

Property	Requirement	Test Method
Color	Gray	Visual
Viscosity	45,000 CP (typ.)	N/A
Gel Time (minutes)	30 min.	ASTM C 881
Shelf Life (Separate Sealed Containers)	12 Months	N/A
Resistance to U.V.	No cracking, chalking, or degradation	ASTM C793
VOC (g/L)	0	ASTM D 3960

Any rips, tears, or bond failure will be cause for rejection.

- (e) Bonded Preformed Joint Seal. This joint system shall consist of preformed elastomeric seal bonded to the side walls of the joint opening using an adhesive as specified by the Manufacturer of the joint seal.

The bonded preformed joint seal shall be according to Table 1 of ASTM D2628 with the following exceptions: Compression set shall not be over 40 percent when tested according to Method B (Modified) of ASTM D 395 after 70 hours at 212 °F (100 °C). The Compression-Deflection requirement will not apply to the bonded preformed joint seal.

The adhesive shall be epoxy base, dual component, which resists salt, diluted acids, alkalis, solvents, greases, oils, moisture, sunlight and weathering. Temperatures up to 200 °F (93 °C) shall not reduce bond strength. At 68 °F (20 °C), the bond strength shall be a minimum of 1000 psi (6.9 MPa) within 24 hours.

Any primers or cleaning solutions used on the faces of the joint or on the profile of the sides of the bonded preformed joint seal shall be supplied by the manufacturer of the bonded preformed joint seal.

Any additional installation materials and adhesive for splicing joint sections shall be as supplied by the manufacturer of the preformed joint seal.

The Contractor shall submit the Manufacturer's material certification documentation stating that their materials meet the applicable requirements of this specification for the joint seal(s) installed.

CONSTRUCTION REQUIREMENTS

General. The Contractor shall furnish the Engineer with the manufacturer's product information and installation procedures at least two weeks prior to installation.

The minimum ambient air temperature in which the joint seal can be installed is 40° F (4.4° C) and rising, except for bonded preformed joint seals which shall not be installed when temperatures below 50 °F (10 °C) are predicted within a 48 hour period.

The joint surface shall be completely dry before installing the Joint Seal. For newly placed concrete, the concrete shall be fully cured and allowed to dry out a minimum of seven additional days prior to placement of the seal. Cold, wet, inclement weather will require an extended drying time.

The Joint Seal shall not be installed immediately after precipitation or if precipitation is forecasted for the day. Joint preparation and installation of Joint Seal shall be done during the same day.

Surface Preparation. Surface preparation shall be according to the joint seal manufacturer's written instructions.

After surface preparation is completed, the joint shall be cleaned of debris using compressed air with a minimum pressure of 90 psi (620 kPa). The air compressor shall be equipped with traps to prevent the inclusion of water and/or oil in the air line. The compressed air shall be according to the cleanliness requirements of ASTM D 4285.

When priming is required per the manufacturer's instruction, this operation shall immediately follow cleaning.

Joint Installation. The Joint installation shall be per the manufacturer's instructions; special attention shall be given to ensure the joint seal is properly recessed below the top of the riding surface as recommended by the manufacturer.

For bonded joint seals the seal shall be inserted into the joint and held tightly against both sides of the joint until sufficient bond strength has been developed to resist the expected expansion forces.

Opening to traffic. As these joint systems are supposed to be recessed below the top of the riding surface, there should be no restriction, based on the joint seal installation, on when these joints can be reopened to traffic.

Method of Measurement. The installed prefabricated joint seal will not be measured for payment.

Basis of Payment. The prefabricated joint seal will not be paid for separately but shall be considered included in the cost of the adjacent concrete work involved.

CROSSHOLE SONIC LOGGING TESTING OF DRILLED SHAFTS

Effective: April 20, 2016

Revised: March 24, 2023

Description. This work shall consist of furnishing and installing materials and equipment necessary to install access ducts in all drilled shafts of structures identified on the plans, and to perform Crosshole Sonic Logging (CSL) testing, analysis, and reports only on selected drilled shafts where specified and as directed by the Engineer. This work shall be according to Illinois Modified ASTM D6760. This work includes investigating anomalies identified in the CSL data and grouting of all access ducts after testing and analysis.

Materials. Materials shall be according to the following.

- (a) Nonshrink Grout (Note 1)1024.02
(b)

Note 1. Grout shall attain a minimum strength equal to the required strength of the drilled shaft concrete at 14 days.

Qualifications. A consulting firm experienced in CSL testing shall conduct this work. The CSL consulting firm shall be a company independent from the Contractor with a minimum of 3 years of experience in performing CSL testing of drilled shafts. The individual evaluating the CSL data and preparing the report shall be an Illinois Licensed Professional Engineer and have experience on a minimum of 5 CSL testing projects.

The name, contact information, and qualifications of the CSL consulting firm, including the names and experience of the individual employees performing and analyzing the test results and preparing the report, shall be submitted to the Engineer at least 30 days prior to drilled shaft construction.

Construction. Access ducts shall be placed in all drilled shafts identified on the plans according to Illinois Modified ASTM D6760. The completed rebar cage with the required access ducts shall be lifted to prevent cage bending and damage to the access ducts and/or joints. Joints of the access ducts shall be watertight.

The Engineer will determine which drilled shafts shall have CSL testing performed after the concrete has been placed, and may direct additional tests, if necessary, due to problems encountered or observed during drilled shaft construction.

After permission is given by the Engineer, the access ducts shall be grouted. The grout shall be placed with a pump, starting at the bottom of each access duct.

Superimposed loads, either dead or live, shall not be applied to a drilled shaft until CSL testing is completed, CSL reports have been submitted, any necessary testing and repairs have been completed, access ducts have been grouted, and permission has been granted by the Engineer.

Reports. Reports shall be according to Illinois Modified ASTM D6760. Reports shall identify, label, and discuss anomalies, potential flaws, or defects. If none are identified, that shall be stated in the report. An anomalous zone shall be defined as an area where the First Arrival Time (FAT) increase exceeds 20 percent of the local average FAT value of the shaft concrete at the time of

testing. Reports shall discuss recommendations for additional investigation or testing of anomalous zones identified. Reports shall give an overall assessment of the constructed shaft quality based on the data and information analyzed. Reports shall be submitted to the Bureau of Bridges and Structures, or the local agency owner, for review and acceptance.

Anomalies. If anomalies are identified, they shall be investigated by coring or other methods approved by the Engineer. If coring is to be performed, the Engineer will determine the location of the core(s).

Remediation of Drilled Shaft Defects. When the Engineer determines a defect is present, the Engineer will direct the Contractor to repair the defect. The Contractor shall submit a plan to repair the defect to the Engineer for approval. No compensation will be made for remedial work, or losses, or damage, due to remedial work of drilled shafts found defective or not in accordance with the drilled shaft specifications or plans. Modifications to the structure shall be designed, detailed, and sealed by an Illinois Licensed Structural Engineer.

Method of Measurement. Installation and grouting of access ducts will be measured for payment by the linear foot of drilled shafts with access ducts. Each individual access duct will not be measured for payment.

CSL testing, analysis, and reporting will be measured for payment by each drilled shaft foundation tested.

Investigation of anomalies will not be measured for payment.

Basis of Payment. Installation and grouting of access ducts will be paid for at the contract unit price per foot for CROSSHOLE SONIC LOGGING ACCESS DUCTS. CSL testing, analysis, and reporting will be paid for at the contract unit price per each for CROSSHOLE SONIC LOGGING TESTING.

ILLINOIS MODIFIED ASTM D6760
 Effective Date: April 20, 2016
 Revised Date: August 4, 2023

Standard Test Method for
Integrity Testing of Concrete Deep Foundations by Ultrasonic Crosshole Testing
 Reference ASTM D6760-16

ASTM SECTION	Illinois Modification								
1.7	Revise this section as follows: Units—The values stated in either English units or SI units are to be regarded separately as standard. The values stated in each system may not be exact equivalents; therefore, each system shall be used independently of the other. Combining values from the two systems may result in nonconformance with the standard. Reporting of test results in units other than English shall not be regarded as nonconformance with this standard.								
3.1.1	Revise this section as follows: <i>access ducts, n</i> – preformed steel tubes or drilled boreholes, placed in the concrete to allow probe entry in pairs to measure pulse transmission in the concrete between the probes.								
5.2.1	Revise the first sentence of this section as follows: For crosshole tests, the access ducts shall be made of steel to prevent debonding of the access duct from the concrete resulting in an anomaly.								
5.2.2	Delete this section.								
6.1	Revise the second sentence of this section as follows: The access ducts shall be mild steel with internal diameter of 38 mm (1.5 in.). Delete the third, fourth, and fifth sentences of this section.								
7.1.1	Revise this section as follows: The access ducts shall be installed during construction of the drilled shaft. For drilled shafts foundations, access ducts shall be provided according to the following table. <table border="1" data-bbox="609 1224 1349 1381"> <thead> <tr> <th>Reinforcing Cage Diameter (feet)</th><th>Number of Access Ducts</th></tr> </thead> <tbody> <tr> <td>≤ 5.0</td><td>4</td></tr> <tr> <td>5.1 to 7.0</td><td>6</td></tr> <tr> <td>> 7.0</td><td>8</td></tr> </tbody> </table> Access ducts shall be spread equally around the perimeter and spaced at an equal distance from the axis. Delete Fig. 4. In Section 7.1.1.	Reinforcing Cage Diameter (feet)	Number of Access Ducts	≤ 5.0	4	5.1 to 7.0	6	> 7.0	8
Reinforcing Cage Diameter (feet)	Number of Access Ducts								
≤ 5.0	4								
5.1 to 7.0	6								
> 7.0	8								

ILLINOIS MODIFIED ASTM D6760
Effective Date: April 20, 2016
Revised Date: August 4, 2023

Standard Test Method for
Integrity Testing of Concrete Deep Foundations by Ultrasonic Crosshole Testing
Reference ASTM D6760-16

7.1.2	Revise the second sentence of this section as follows: The exterior duct surface shall be free from contamination (for example, oil, dirt, loose rust, mill scale, etc.) to ensure a good bond between the duct surface and the surrounding concrete.
7.1.3	Delete the third sentence of this section.
7.2	Revise the first sentence of this section as follows: The access ducts shall be installed such that the bottom of the access ducts are at the bottom of the concrete deep foundation element so that the bottom of the drilled shaft can be tested. Revise the sixth sentence of this section as follows: Access ducts shall be filled with water prior to concrete placement to assure good bonding of the concrete to the duct after the concrete cools. The access ducts shall be kept full of water until the ducts are grouted.
7.3	Revise the first sentence of this section as follows: In cases where drilled shafts to be tested have access ducts that do not permit passage of the probes, do not retain water, are not plumb, are debonded from the concrete, or cannot be used for testing for other reasons, drilled boreholes shall be used to provide probe access.
7.4.2	Revise the second sentence of this section as follows: The tests shall be performed no later than 21 days after concrete casting.
7.6	Delete this section.
7.8.1	Revise the first sentence of this section as follows: If the ultrasonic profile indicates an anomaly, then the suspect anomaly zone shall be further investigated by special test procedures such as fan shaped tests, tests with the probes raised at a fixed offset distance, or other tomographical techniques.
7.8.2	Delete Note 4 of this section.
8.1.1 (New Section)	Add as follows: Test data and results shall be reported in US Customary units.

ERECTION OF BRIDGE GIRDERS OVER OR ADJACENT TO RAILROADS

Effective: August 9, 2019

Description: In addition to the requirements of Article 504.06(d) and 505.08(e), the following shall apply.

The Contractor or sub-Contractor performing the erection of steel or concrete beams or girders over, or adjacent to (within 25 ft. of), active railroad tracks shall submit an erection plan to the Engineer for approval prior to starting the work.

Erection Plan: The Erection Contractor shall retain the services of an Illinois Licensed Structural Engineer for the completion of a project-specific erection plan. The structural engineer, herein referred to as the Erection Engineer, shall sign and seal the erection plan, drawings, and calculations for the proposed erection of the structural beams or girders.

The erection plan shall be complete in detail for all phases, stages, and conditions anticipated during erection. The erection plan shall include structural calculations and supporting documentation necessary to completely describe and document the means, methods, temporary support positions, and loads necessary to safely erect the structural members in conformance with the contract documents and as outlined herein. The erection plans shall address and account for all items pertinent to the erection including such items as sequencing, falsework, temporary shoring and/or bracing, girder stability, crane positioning and movement, means of access, pick points, girder shape, permissible deformations and roll, interim/final plumbness, cross frame/diaphragm placement and connections, bolting and anchor bolt installation sequences and procedures, and blocking and anchoring of bearings. The Erection Contractor shall be responsible for the stability of the partially erected structure during all phases of erection.

The erection plans and procedures shall be submitted to the Engineer for review and acceptance prior to starting the work. Review, acceptance and/or comments by the Department shall not be construed to guarantee the safety or final acceptability of the work or compliance with all applicable specifications, codes, or contract requirements, and shall neither relieve the Contractor of the responsibility and liability to comply with these requirements, nor create liability for the Department. Significant changes to the erection plan in the field must be approved by the Erection Engineer and accepted by the Engineer for the Department.

Basis of Payment: This work shall not be paid for separately but shall be included in the applicable pay items according to Article 504.08 or 505.13 of the Standard Specifications.

BAR SPLICERS, HEADED REINFORCEMENT

Effective: September 2, 2022

Revised: October 27, 2023

Add the following to Article 508.08(b):

When bar splicers are epoxy-coated, all damaged or uncoated areas near the threaded ends shall be coated with a two-part epoxy according to ASTM D 3963 (D 3963M). All threaded ends of Stage II construction threaded splicer bars shall be coated according to ASTM D 3963 or dipped in an epoxy-mastic primer prior to joining the Stage II construction threaded splicer bar to the threaded coupler.

Add the following Article 508.02 (d)

Bar Terminators 1006.10(a)(1)h

Add the following paragraph after Article 508.08 (c):

Bar terminators are threaded, headed attachments to reinforcement to form headed reinforcement. When specified on the plans, a bar terminator shall be attached to the designated reinforcement for development.

Add the following 4th paragraph to Article 508.11:

Bar Terminators will be paid for at the contract unit price per each for BAR TERMINATORS.

Add the following to Article 1006.10(a)(1)g:

For bar splicers with welded connections between the threaded coupler and threaded rod, the Stage I construction threaded splicer bar shall be welded to the threaded coupler using an all-around fillet weld.

Add the following Article 1006.10(a)(1)h:

Bar Terminators. Designated bars shall use a bar terminator to form headed reinforcement. Headed reinforcement shall conform to ASTM A970 with threaded attachment; Class HA; and reinforcement bars conforming to ASTM A706, except the connection strength of the bar terminator to the reinforcement bar shall meet, in tension, at least 125 percent of the specified yield strength of the reinforcement bar. The bar terminator shall be on the Department's qualified product list.

When the reinforcement bar to receive the bar terminator is epoxy coated, the bar terminator shall also be epoxy coated according to ASTM A 775 (A 775M)

AGGREGATE SUBGRADE IMPROVEMENT (BDE)

Effective: April 1, 2012

Revised: April 1, 2022

Add the following Section to the Standard Specifications:

“SECTION 303. AGGREGATE SUBGRADE IMPROVEMENT

303.01 Description. This work shall consist of constructing an aggregate subgrade improvement (ASI).

303.02 Materials. Materials shall be according to the following.

Item	Article/Section
(a) Coarse Aggregate	1004.07
(b) Reclaimed Asphalt Pavement (RAP)	1031.09

303.03 Equipment. The vibratory roller shall be according to Article 1101.01, or as approved by the Engineer. Vibratory machines, such as tampers, shall be used in areas where rollers do not fit.

303.04 Soil Preparation. The minimum immediate bearing value (IBV) of the soil below the improved subgrade shall be according to the Department’s “Subgrade Stability Manual” for the aggregate thickness specified.

303.05 Placing and Compacting. The maximum nominal lift thickness of aggregate gradations CA 2, CA 6, and CA 10 when compacted shall be 9 in. (225 mm). The maximum nominal lift thickness of aggregate gradations CS 1, CS 2, and RR 1 when compacted shall be 24 in. (600 mm).

The top surface of the aggregate subgrade improvement shall consist of a layer of capping aggregate gradations CA 6 or CA 10 that is 3 in. (75 mm) thick after compaction. Capping aggregate will not be required when aggregate subgrade improvement is used as a cubic yard pay item for undercut applications.

Each lift of aggregate shall be compacted to the satisfaction of the Engineer. If the moisture content of the material is such that compaction cannot be obtained, sufficient water shall be added so that satisfactory compaction can be obtained.

303.06 Finishing and Maintenance. The aggregate subgrade improvement shall be finished to the lines, grades, and cross sections shown on the plans, or as directed by the Engineer. The aggregate subgrade improvement shall be maintained in a smooth and compacted condition.

303.07 Method of Measurement. This work will be measured for payment according to Article 311.08.

303.08 Basis of Payment. This work will be paid for at the contract unit price per cubic yard (cubic meter) or ton (metric ton) for AGGREGATE SUBGRADE IMPROVEMENT or at the contract unit price per square yard (square meter) for AGGREGATE SUBGRADE IMPROVEMENT, of the thickness specified.”

Add the following to Section 1004 of the Standard Specifications:

“1004.07 Coarse Aggregate for Aggregate Subgrade Improvement (ASI). The aggregate shall be according to Article 1004.01 and the following.

- (a) Description. The coarse aggregate shall be crushed gravel, crushed stone, or crushed concrete. In applications where greater than 24 in. (600 mm) of ASI material is required, gravel may be used below the top 12 in (300 mm) of ASI.
- (b) Quality. The coarse aggregate shall consist of sound durable particles reasonably free of deleterious materials.
- (c) Gradation.
 - (1) The coarse aggregate gradation for total ASI thickness less than or equal to 12 in. (300 mm) shall be CA 2, CA 6, CA 10, or CS 1.

The coarse aggregate gradation for total ASI thickness greater than 12 in. (300 mm) shall be CS 1 or CS 2 as shown below or RR 1 according to Article 1005.01(c).

COARSE AGGREGATE SUBGRADE GRADATIONS					
Grad No.	Sieve Size and Percent Passing				
	8"	6"	4"	2"	#4
CS 1	100	97 ± 3	90 ± 10	45 ± 25	20 ± 20
CS 2		100	80 ± 10	25 ± 15	

COARSE AGGREGATE SUBGRADE GRADATIONS (Metric)					
Grad No.	Sieve Size and Percent Passing				
	200 mm	150 mm	100 mm	50 mm	4.75 mm
CS 1	100	97 ± 3	90 ± 10	45 ± 25	20 ± 20
CS 2		100	80 ± 10	25 ± 15	

- (2) Capping aggregate shall be gradation CA 6 or CA 10.”

Add the following to Article 1031.09 of the Standard Specifications:

“(b) RAP in Aggregate Subgrade Improvement (ASI). RAP in ASI shall be according to Articles 1031.01(a), 1031.02(a), 1031.06(a)(1), and 1031.06(a)(2), and the following.

- (1) The testing requirements of Article 1031.03 shall not apply.
- (2) Crushed RAP used for the lower lift may be mechanically blended with aggregate gradations CS 1, CS 2, and RR 1 but it shall be no greater than 40 percent of the total product volume. RAP agglomerations shall be no greater than 4 in. (100 mm).

- (3) For capping aggregate, well graded RAP having 100 percent passing the 1 1/2 in. (38 mm) sieve may be used when aggregate gradations CS 1, CS 2, CA 2, or RR 1 are used in the lower lift. FRAP will not be permitted as capping material.

Blending shall be through calibrated interlocked feeders or a calibrated blending plant such that the prescribed blending percentage is maintained throughout the blending process. The calibration shall have an accuracy of ± 2.0 percent of the actual quantity of material delivered.”

CEMENT, FINELY DIVIDED MINERALS, ADMIXTURES; CONCRETE, AND MORTAR (BDE)

Effective: January 1, 2025

Revise the first paragraph of Article 285.05 of the Standard Specifications to read:

“285.05 Fabric Formed Concrete Revetment Mat. The grout shall consist of a mixture of cement, fine aggregate, and water so proportioned and mixed as to provide a pumpable slurry. Fly ash or ground granulated blast furnace (GGBF) slag, and concrete admixtures may be used at the option of the Contractor. The grout shall have an air content of not less than 6.0 percent nor more than 9.0 percent of the volume of the grout. The mix shall obtain a compressive strength of 2500 psi (17,000 kPa) at 28 days according to Article 1020.09.”

Revise Article 302.02 of the Standard Specifications to read:

“302.02 Materials. Materials shall be according to the following.

Item	Article/Section
(a) Cement	1001
(b) Water	1002
(c) Hydrated Lime	1012.01
(d) By-Product, Hydrated Lime	1012.02
(e) By-Product, Non-Hydrated Lime	1012.03
(f) Lime Slurry	1012.04
(g) Fly Ash	1010
(h) Soil for Soil Modification (Note 1)	1009.01
(i) Bituminous Materials (Note 2)	1032

Note 1. This soil requirement only applies when modifying with lime (slurry or dry).

Note 2. The bituminous materials used for curing shall be emulsified asphalt RS-2, CRS-2, HFE 90, or HFE 150; rapid curing liquid asphalt RC-70; or medium curing liquid asphalt MC-70 or MC-250.”

Revise Article 312.07(c) of the Standard Specifications to read:

“(c) Cement1001”

Add Article 312.07(i) of the Standard Specifications to read:

“(i) Ground Granulated Blast Furnace (GGBF) Slag1010”

Revise the first paragraph of Article 312.09 of the Standard Specifications to read:

“312.09 Proportioning and Mix Design. At least 60 days prior to start of placing CAM II, the Contractor shall submit samples of materials to be used in the work for proportioning and testing. The mixture shall contain a minimum of 200 lb (120 kg) of cement per cubic yard (cubic meter). Cement may be replaced with fly ash or ground granulated blast furnace (GGBF) slag according to Article 1020.05(c)(1) or 1020.05(c)(2), respectively, however the minimum cement content in the mixture shall be 170 lbs/cu yd (101 kg/cu m). Blends of coarse and fine aggregates will be permitted, provided the volume of fine aggregate does not exceed the volume of coarse aggregate. The Engineer will determine the proportions of materials for the mixture according to the “Portland Cement Concrete Level III Technician Course” manual. However, the Contractor may substitute their own mix design. Article 1020.05(a) shall apply, and a Level III PCC Technician shall develop the mix design.”

Revise Article 352.02 of the Standard Specifications to read:

“352.02 Materials. Materials shall be according to the following.

Item	Article/Section
(a) Cement (Note 1)	1001
(b) Soil for Soil-Cement Base Course	1009.03
(c) Water	1002
(d) Bituminous Materials (Note 2)	1032

Note 1. Bulk cement may be used for the traveling mixing plant method if the equipment for handling, weighing, and spreading the cement is approved by the Engineer.

Note 2. The bituminous materials used for curing shall be emulsified asphalt RS-2, CRS-2, HFE 90, or HFE 150; rapid curing liquid asphalt RC-70; or medium curing liquid asphalt MC-70 or MC-250.”

Revise Article 404.02 of the Standard Specifications to read:

“404.02 Materials. Materials shall be according to the following.

Item	Article/Section
(a) Cement	1001
(b) Water	1002
(c) Fine Aggregate	1003.08
(d) Bituminous Material (Tack Coat)	1032.06
(e) Emulsified Asphalts (Note 1) (Note 2)	1032.06
(f) Fiber Modified Joint Sealer	1050.05
(g) Additives (Note 3)	

Note 1. When used for slurry seal, the emulsified asphalt shall be CQS-1h according to Article 1032.06(b).

Note 2. When used for micro-surfacing, the emulsified asphalt shall be CQS-1hP according to Article 1032.06(e).

Note 3. Additives may be added to the emulsion mix or any of the component materials to provide the control of the quick-traffic properties. They shall be included as part of the mix design and be compatible with the other components of the mix.

Revise the last sentence of the fourth paragraph of Article 404.08 of the Standard Specifications to read:

“When approved by the Engineer, the sealant may be dusted with fine sand, cement, or mineral filler to prevent tracking.”

Revise Note 2 of Article 516.02 of the Standard Specifications to read:

“Note 2. The sand-cement grout mix shall be according to Section 1020 and shall be a 1:1 blend of sand and cement comprised of a Type I, IL, or II cement at 185 lb/cu yd (110 kg/cu m). The maximum water cement ratio shall be sufficient to provide a flowable mixture with a typical slump of 10 in. (250 mm).”

Revise Note 2 of Article 543.02 of the Standard Specifications to read:

“ Note 2. The grout mixture shall be 6.50 hundredweight/cu yd (385 kg/cu m) of cement plus fine aggregate and water. Fly ash or ground granulated blast furnace (GGBF) slag may replace a maximum of 5.25 hundredweight/cu yd (310 kg/cu m) of the cement. The water/cement ratio, according to Article 1020.06, shall not exceed 0.60. An air-entraining admixture shall be used to produce an air content, according to Article 1020.08, of not less than 6.0 percent nor more than 9.0 percent of the volume of the grout. The Contractor shall have the option to use a water-reducing or high range water-reducing admixture.”

Revise Article 583.01 of the Standard Specifications to read:

“**583.01 Description.** This work shall consist of placing cement mortar along precast, prestressed concrete bridge deck beams as required for fairing out any unevenness between adjacent deck beams prior to placing of waterproofing membrane and surfacing.”

Revise Article 583.02(a) of the Standard Specifications to read:

“(a) Cement1001”

Revise the first paragraph of Article 583.03 of the Standard Specifications to read:

“ **583.03 General.** This work shall only be performed when the air temperature is 45 °F (7 °C) and rising. The mixture for cement mortar shall consist of three parts sand to one part cement by volume. The amount of water shall be no more than that necessary to produce a workable, plastic mortar.”

Revise Note 2/ in Article 1003.01(b) of the Standard Specifications to read:

“2/ Applies only to sand. Sand exceeding the colorimetric test standard of 11 (Illinois Modified AASHTO T 21) will be checked for mortar making properties according to Illinois Modified ASTM C 87 and shall develop a compressive strength at the age of 14 days when using Type I, IL, or II cement of not less than 95 percent of the comparable standard.

Revise the second sentence of Article 1003.02(e)(1) of the Standard Specifications to read:

“The test will be performed with Type I, IL, or II portland cement having a total equivalent alkali content ($\text{Na}_2\text{O} + 0.658\text{K}_2\text{O}$) of 0.90 percent or greater.”

Revise the first sentence of the second paragraph of Article 1003.02(e)(3) of the Standard Specifications to read:

“The ASTM C 1293 test shall be performed with Type I, IL, or II portland cement having a total equivalent alkali content ($\text{Na}_2\text{O} + 0.658\text{K}_2\text{O}$) of 0.80 percent or greater.”

Revise the second sentence of Article 1004.02(g)(1) of the Standard Specifications to read:

“The test will be performed with Type I, IL, or II portland cement having a total equivalent alkali content ($\text{Na}_2\text{O} + 0.658\text{K}_2\text{O}$) of 0.90 percent or greater.”

Revise Article 1017.01 of the Standard Specifications to read:

“1017.01 Requirements. The mortar shall be high-strength according to ASTM C 387 and shall have a minimum 80.0 percent relative dynamic modulus of elasticity when tested by the Department according to Illinois Modified AASHTO T 161 or AASHTO T 161 when tested by an independent lab. The high-strength mortar shall have a water-soluble chloride ion content of less than 0.40 lb/cu yd (0.24 kg/cu m). The test shall be performed according to ASTM C 1218, and the high-strength mortar shall have an age of 28 to 42 days at the time of test. The ASTM C 1218 test shall be performed by an independent lab a minimum of once every five years, and the test results shall be provided to the Department. Mixing of the high-strength mortar shall be according to the manufacturer’s specifications. The Department will maintain a qualified product list.”

Revise the fourth sentence of Article 1018.01 of the Standard Specifications to read:

“The ASTM C 1218 test shall be performed by an independent lab a minimum of once every five years, and the test results shall be provided to the Department.”

Revise Article 1019.02 of the Standard Specifications to read:

“1019.02 Materials. Materials shall be according to the following.

Item	Article/Section
(a) Cement	1001
(b) Water	1002
(c) Fine Aggregate for Controlled Low-Strength Material (CLSM)	1003.06

(d) Fly Ash	1010
(e) Ground Granulated Blast Furnace (GGBF) Slag.....	1010
(f) Admixtures (Note 1)	

Note 1. The air-entraining admixture may be in powder or liquid form. Prior to approval, a CLSM air-entraining admixture will be evaluated by the Department. The admixture shall be able to meet the air content requirements of Mix 2. The Department will maintain a qualified product list.”

Revise Article 1019.05 of the Standard Specifications to read:

“1019.05 Department Mix Design. The Department mix design shall be Mix 1, 2, or 3 and shall be proportioned to yield approximately one cubic yard (cubic meter).

Mix 1	
Cement	50 lb (30 kg)
Fly Ash – Class C or F, and/or GGBF Slag	125 lb (74 kg)
Fine Aggregate – Saturated Surface Dry	2900 lb (1720 kg)
Water	50-65 gal (248-322 L)
Air Content	No air is entrained

Mix 2	
Cement	125 lb (74 kg)
Fine Aggregate – Saturated Surface Dry	2500 lb (1483 kg)
Water	35-50 gal (173-248 L)
Air Content	15-25 %

Mix 3	
Cement	40 lb (24 kg)
Fly Ash – Class C or F, and/or GGBF Slag	125 lb (74 kg)
Fine Aggregate – Saturated Surface Dry	2500 lb (1483 kg)
Water	35-50 gal (179-248 L)
Air Content	15-25 %”

Revise Article 1020.04, Table 1, Note (8) of the Standard Specifications to read:

“(8) In addition to the Type III portland cement, 100 lb/cu yd of ground granulated blast-furnace slag and 50 lb/cu yd of microsilica (silica fume) shall be used. For an air temperature greater than 85 °F, the Type III portland cement may be replaced with Type I, IL, or II portland cement.”

Revise Article 1020.04, Table 1 (Metric), Note (8) of the Standard Specifications to read:

“(8) In addition to the Type III portland cement, 60 kg/cu m of ground granulated blast-furnace slag and 30 kg/cu m of microsilica (silica fume) shall be used. For an air temperature greater than 30 °C, the Type III portland cement may be replaced with Type I, IL, or II portland cement.”

Revise the second paragraph of Article 1020.05(a) of the Standard Specifications to read:

“For a mix design using a portland-pozzolan cement, portland blast-furnace slag cement, portland-limestone cement, or replacing portland cement with finely divided minerals per Articles 1020.05(c) and 1020.05(d), the Contractor may submit a mix design with a minimum portland cement content less than 400 lbs/cu yd (237 kg/cu m), but not less than 375 lbs/cu yd (222 kg/cu m), if the mix design is shown to have a minimum relative dynamic modulus of elasticity of 80 percent determined according to AASHTO T 161. Testing shall be performed by an independent laboratory accredited by AASHTO re:source for Portland Cement Concrete.”

Revise the first sentence of the first paragraph of Article 1020.05(b) of the Standard Specifications to read:

“Corrosion inhibitors and concrete admixtures shall be according to the qualified product lists.”

Delete the fourth and fifth sentences of the second paragraph of Article 1020.05(b) of the Standard Specifications.

Revise the third sentence of the second paragraph of Article 1020.05(b)(5) of the Standard Specifications to read:

“The qualified product lists of concrete admixtures shall not apply.”

Revise second paragraph of Article 1020.05(b)(10) of the Standard Specifications to read:

“When calcium nitrite is used, it shall be added at the rate of 4 gal/cu yd (20 L/cu m) and shall be added to the mix immediately after all compatible admixtures have been introduced to the batch. Other corrosion inhibitors shall be added per the manufacturer’s specifications.”

Delete the third paragraph of Article 1020.05(b)(10) of the Standard Specifications.

Revise Article 1020.15(b)(1)c. of the Standard Specifications to read:

“c. The minimum portland cement content in the mixture shall be 375 lbs/cu yd (222 kg/cu m). When the total of organic processing additions, inorganic processing additions, and limestone addition exceed 5.0 percent in the cement, the minimum portland cement content in the mixture shall be 400 lbs/cu yd (237 kg/cu m). For a drilled shaft, foundation, footing, or substructure, the minimum portland cement may be reduced to as low as 330 lbs/cu yd (196 kg/cu m) if the concrete has adequate freeze/thaw durability. The Contractor shall provide freeze/thaw test results according to AASHTO T 161, and the relative dynamic modulus of elasticity of the mix design shall be a minimum of 80 percent. Testing shall be performed by an independent laboratory accredited by AASHTO re:source for Portland Cement Concrete. Freeze/thaw testing will not be required for concrete that will not be exposed to freezing and thawing conditions as determined by the Engineer.”

Revise Article 1021.01 of the Standard Specifications to read:

“1021.01 General. Admixtures shall be furnished in liquid or powder form ready for use. The admixtures shall be delivered in the manufacturer's original containers, bulk tank trucks or such containers or tanks as are acceptable to the Engineer. Delivery shall be accompanied by a ticket which clearly identifies the manufacturer, the date of manufacture, and trade name of the material. Containers shall be readily identifiable as to manufacturer, the date of manufacture, and trade name of the material they contain.

Concrete admixtures shall be on one of the Department's qualified product lists. Unless otherwise noted, admixtures shall have successfully completed and remain current with the AASHTO Product Eval and Audit Concrete Admixture (CADD) testing program. For admixture submittals to the Department; the product brand name, manufacturer name, admixture type or types, an electronic link to the product's technical data sheet, and the NTPEP testing number which contains an electronic link to all test data shall be provided. In addition, a letter shall be submitted certifying that no changes have been made in the formulation of the material since the most current round of tests conducted by AASHTO Product Eval and Audit. After 28 days of testing by AASHTO Product Eval and Audit, air-entraining admixtures may be provisionally approved and used on Departmental projects. For all other admixtures, unless otherwise noted, the time period after which provisionally approved status may be earned is 6 months.

The manufacturer shall include the following in the submittal to the AASHTO Product Eval and Audit CADD testing program: the manufacturing range for specific gravity, the midpoint and manufacturing range for residue by oven drying, and manufacturing range of pH. The submittal shall also include an infrared spectrophotometer trace no more than five years old.

For air-entraining admixtures according to Article 1021.02, the specific gravity allowable manufacturing range established by the manufacturer shall be according to AASHTO M 194. For residue by oven drying and pH, the allowable manufacturing range and test methods shall be according to AASHTO M 194.

For admixtures according to Articles 1021.03, 1021.04, 1021.05, 1021.06, 1021.07, and 1021.08, the pH allowable manufacturing range established by the manufacturer shall be according to ASTM E 70. For specific gravity and residue by oven drying, the allowable manufacturing range and test methods shall be according to AASHTO M 194.

All admixtures, except chloride-based accelerators, shall contain a maximum of 0.3 percent chloride by weight (mass) as determined by an appropriate test method. To verify the test result, the Department will use Illinois Modified AASHTO T 260, Procedure A, Method 1.

Prior to final approval of an admixture, the Engineer reserves the right to request a sample for testing. The test and reference concrete mixtures tested by the Engineer will contain a cement content of 5.65 cwt/cu yd (335 kg/cu m). For freeze-thaw testing, the Department will perform the test according to Illinois Modified AASHTO T 161. The flexural strength test will be performed according to AASHTO T 177. If the Engineer decides to test the admixture, the manufacturer shall submit AASHTO T 197 water content and set time test results on the standard cement used by the Department. The manufacturer may select their lab or an independent lab to perform this testing. The laboratory is not required to be accredited by AASHTO.

Random field samples may be taken by the Department to verify an admixture meets specification. A split sample will be provided to the manufacturer if requested. Admixtures that do not meet specification requirements or an allowable manufacturing range established by the manufacturer shall be replaced with new material.”

Revise Article 1021.03 of the Standard Specifications to read:

“**1021.03 Retarding and Water-Reducing Admixtures.** The admixture shall be according to the following.

- (a) Retarding admixtures shall be according to AASHTO M 194, Type B (retarding) or Type D (water-reducing and retarding).
- (b) Water-reducing admixtures shall be according to AASHTO M 194, Type A.
- (c) High range water-reducing admixtures shall be according to AASHTO M 194, Type F (high range water-reducing) or Type G (high range water-reducing and retarding).”

Revise Article 1021.05 of the Standard Specifications to read:

“**1021.05 Self-Consolidating Admixtures.** Self-consolidating admixture systems shall consist of either a high range water-reducing admixture only or a high range water-reducing admixture combined with a separate viscosity modifying admixture. The one or two component admixture system shall be capable of producing a concrete that can flow around reinforcement and consolidate under its own weight without additional effort and without segregation.

High range water-reducing admixtures shall be according to AASHTO M 194, Type F.

Viscosity modifying admixtures shall be according to AASHTO M 194, Type S (specific performance).”

Revise Article 1021.06 of the Standard Specifications to read:

“**1021.06 Rheology-Controlling Admixture.** Rheology-controlling admixtures shall be capable of producing a concrete mixture with a lower yield stress that will consolidate easier for slipform applications used by the Contractor. Rheology-controlling admixtures shall be according to AASHTO M 194, Type S (specific performance).”

Revise Article 1021.07 of the Standard Specifications to read:

“**1021.07 Corrosion Inhibitor.** The corrosion inhibitor shall be according to one of the following.

- (a) Calcium Nitrite. Corrosion inhibitors shall contain a minimum 30 percent calcium nitrite by weight (mass) of solution and shall comply with either the requirements of AASHTO M 194, Type C (accelerating) or the requirements of ASTM C 1582. The corrosion inhibiting performance requirements of ASTM C 1582 shall not apply.
- (b) Other Materials. The corrosion inhibitor shall be according to ASTM C 1582.

For submittals requiring testing according to ASTM M 194, Type C (accelerating), the admixture shall meet the requirements of the AASHTO Product Eval and Audit CADD testing program according to Article 1021.01.

For submittals requiring testing according to ASTM C 1582, a report prepared by an independent laboratory accredited by AASHTO re:source for portland cement concrete shall be provided. The report shall show the results of physical tests conducted no more than five years prior to the time of submittal, according to applicable specifications. However, ASTM G 109 test information specified in ASTM C 1582 is not required to be from an independent accredited lab. All other information in ASTM C 1582 shall be from an independent accredited lab. Test data and other information required to be submitted to AASHTO Product Eval and Audit according to Article 1021.01, shall instead be submitted directly to the Department.”

Add Article 1021.08 of the Standard Specifications as follows:

“1021.08 Other Specific Performance Admixtures. Other specific performance admixtures shall, at a minimum, be according to AASHTO M 194, Type S (specific performance). The Department also reserves the right to require other testing, as determined by the Engineer, to show evidence of specific performance characteristics.

Initial testing according to AASHTO M 194 may be conducted under the AASHTO Product Eval and Audit CADD testing program according to Article 1021.01, or by an independent laboratory accredited by AASHTO re:source for Portland Cement Concrete. In either case, test data and other information required to be submitted to AASHTO Product Eval and Audit according to Article 1021.01, shall also be submitted directly to the Department. The independent accredited lab report shall show the results of physical tests conducted no more than five years prior to the time of submittal, according to applicable specifications.”

Revise Article 1024.01 of the Standard Specifications to read:

“1024.01 Requirements for Grout. The grout shall be proportioned by dry volume, thoroughly mixed, and shall have a minimum temperature of 50 °F (10 °C). Water shall not exceed the minimum needed for placement and finishing.

Materials for the grout shall be according to the following.

Item	Article/Section
(a) Cement	1001
(b) Water	1002
(c) Fine Aggregate	1003.02
(d) Fly Ash	1010
(e) Ground Granulated Blast Furnace (GGBF) Slag.....	1010
(f) Concrete Admixtures	1021”

Revise Note 1 of Article 1024.02 of the Standard Specifications to read:

“Note 1. Nonshrink grout shall be according to Illinois Modified ASTM C 1107.

The nonshrink grout shall have a water-soluble chloride ion content of less than 0.40 lb/cu yd (0.24 kg/cu m). The test shall be performed according to ASTM C 1218, and the grout shall

have an age of 28 to 42 days at the time of test. The ASTM C 1218 test shall be performed by an independent lab a minimum of once every five years, and the test results shall be provided to the Department. Mixing of the nonshrink grout shall be according to the manufacturer's specifications. The Department will maintain a qualified product list."

Revise Article 1029.02 of the Standard Specifications to read:

" **1029.02 Materials.** Materials shall be according to the following.

Item	Article/Section
(a) Cement.....	1001
(b) Fly Ash.....	1010
(c) Ground Granulated Blast Furnace (GGBF) Slag.....	1010
(d) Water	1002
(e) Fine Aggregate	1003
(f) Concrete Admixtures	1021
(g) Foaming Agent (Note 1)	

Note 1. The manufacturer shall submit infrared spectrophotometer trace and test results indicating the foaming agent meets the requirements of ASTM C 869 in order to be on the Department's qualified product list. Submitted data/results shall not be more than five years old."

Revise the second paragraph of Article 1103.03(a)(4) the Standard Specifications to read:

"The dispenser system shall provide a visual indication that the liquid admixture is actually entering the batch, such as via a transparent or translucent section of tubing or by independent check with an integrated secondary metering device. If approved by the Engineer, an alternate indicator may be used for admixtures dosed at rates of 25 oz/cwt (1630 mL/100 kg) or greater, such as accelerating admixtures, corrosion inhibitors, and viscosity modifying admixtures."

Revise the first two sections of Check Sheet #11 of the Supplemental Specifications and Recurring Special Provisions to read:

"Description. This work shall consist of filling voids beneath rigid and composite pavements with cement grout.

Materials. Materials shall be according to the following Articles of Division 1000 - Materials of the Standard Specifications:

Item	Article/Section
(a) Cement	1001
(b) Water	1002
(c) Fly Ash	1010
(d) Ground Granulated Blast Furnace (GGBF) Slag.....	1010
(e) Admixtures	1021
(f) Packaged Rapid Hardening Mortar or Concrete	1018"

Revise the third paragraph of Materials Note 2 of Check Sheet #28 of the Supplemental Specifications and Recurring Special Provisions to read:

“The Department will maintain a qualified product list of synthetic fibers, which will include the minimum required dosage rate. For the minimum required fiber dosage rate based on the Illinois Modified ASTM C 1609 test, a report prepared by an independent laboratory accredited by AASHTO re:source for Portland Cement Concrete shall be provided. The report shall show results of tests conducted no more than five years prior to the time of submittal.”

COMPENSABLE DELAY COSTS (BDE)

Effective: June 2, 2017

Revised: April 1, 2019

Revise Article 107.40(b) of the Standard Specifications to read:

“(b) Compensation. Compensation will not be allowed for delays, inconveniences, or damages sustained by the Contractor from conflicts with facilities not meeting the above definition; or if a conflict with a utility in an unanticipated location does not cause a shutdown of the work or a documentable reduction in the rate of progress exceeding the limits set herein. The provisions of Article 104.03 notwithstanding, compensation for delays caused by a utility in an unanticipated location will be paid according to the provisions of this Article governing minor and major delays or reduced rate of production which are defined as follows.

- (1) Minor Delay. A minor delay occurs when the work in conflict with the utility in an unanticipated location is completely stopped for more than two hours, but not to exceed two weeks.
- (2) Major Delay. A major delay occurs when the work in conflict with the utility in an unanticipated location is completely stopped for more than two weeks.
- (3) Reduced Rate of Production Delay. A reduced rate of production delay occurs when the rate of production on the work in conflict with the utility in an unanticipated location decreases by more than 25 percent and lasts longer than seven calendar days.”

Revise Article 107.40(c) of the Standard Specifications to read:

“(c) Payment. Payment for Minor, Major, and Reduced Rate of Production Delays will be made as follows.

- (1) Minor Delay. Labor idled which cannot be used on other work will be paid for according to Article 109.04(b)(1) and (2) for the time between start of the delay and the minimum remaining hours in the work shift required by the prevailing practice in the area.

Equipment idled which cannot be used on other work, and which is authorized to standby on the project site by the Engineer, will be paid for according to Article 109.04(b)(4).

(2) Major Delay. Labor will be the same as for a minor delay.

Equipment will be the same as for a minor delay, except Contractor-owned equipment will be limited to two weeks plus the cost of move-out to either the Contractor's yard or another job and the cost to re-mobilize, whichever is less. Rental equipment may be paid for longer than two weeks provided the Contractor presents adequate support to the Department (including lease agreement) to show retaining equipment on the job is the most economical course to follow and in the public interest.

(3) Reduced Rate of Production Delay. The Contractor will be compensated for the reduced productivity for labor and equipment time in excess of the 25 percent threshold for that portion of the delay in excess of seven calendar days. Determination of compensation will be in accordance with Article 104.02, except labor and material additives will not be permitted.

Payment for escalated material costs, escalated labor costs, extended project overhead, and extended traffic control will be determined according to Article 109.13."

Revise Article 108.04(b) of the Standard Specifications to read:

"(b) No working day will be charged under the following conditions.

- (1) When adverse weather prevents work on the controlling item.
- (2) When job conditions due to recent weather prevent work on the controlling item.
- (3) When conduct or lack of conduct by the Department or its consultants, representatives, officers, agents, or employees; delay by the Department in making the site available; or delay in furnishing any items required to be furnished to the Contractor by the Department prevents work on the controlling item.
- (4) When delays caused by utility or railroad adjustments prevent work on the controlling item.
- (5) When strikes, lock-outs, extraordinary delays in transportation, or inability to procure critical materials prevent work on the controlling item, as long as these delays are not due to any fault of the Contractor.
- (6) When any condition over which the Contractor has no control prevents work on the controlling item."

Revise Article 109.09(f) of the Standard Specifications to read:

"(f) Basis of Payment. After resolution of a claim in favor of the Contractor, any adjustment in time required for the work will be made according to Section 108. Any adjustment in the costs to be paid will be made for direct labor, direct materials, direct equipment, direct

jobsite overhead, direct offsite overhead, and other direct costs allowed by the resolution. Adjustments in costs will not be made for interest charges, loss of anticipated profit, undocumented loss of efficiency, home office overhead and unabsorbed overhead other than as allowed by Article 109.13, lost opportunity, preparation of claim expenses and other consequential indirect costs regardless of method of calculation.

The above Basis of Payment is an essential element of the contract and the claim cost recovery of the Contractor shall be so limited.”

Add the following to Section 109 of the Standard Specifications.

“109.13 Payment for Contract Delay. Compensation for escalated material costs, escalated labor costs, extended project overhead, and extended traffic control will be allowed when such costs result from a delay meeting the criteria in the following table.

Contract Type	Cause of Delay	Length of Delay
Working Days	Article 108.04(b)(3) or Article 108.04(b)(4)	No working days have been charged for two consecutive weeks.
Completion Date	Article 108.08(b)(1) or Article 108.08(b)(7)	The Contractor has been granted a minimum two week extension of contract time, according to Article 108.08.

Payment for each of the various costs will be according to the following.

- (a) Escalated Material and/or Labor Costs. When the delay causes work, which would have otherwise been completed, to be done after material and/or labor costs have increased, such increases will be paid. Payment for escalated material costs will be limited to the increased costs substantiated by documentation furnished by the Contractor. Payment for escalated labor costs will be limited to those items in Article 109.04(b)(1) and (2), except the 35 percent and 10 percent additives will not be permitted.
- (b) Extended Project Overhead. For the duration of the delay, payment for extended project overhead will be paid as follows.
 - (1) Direct Jobsite and Offsite Overhead. Payment for documented direct jobsite overhead and documented direct offsite overhead, including onsite supervisory and administrative personnel, will be allowed according to the following table.

Original Contract Amount	Supervisory and Administrative Personnel
Up to \$5,000,000	One Project Superintendent
Over \$ 5,000,000 - up to \$25,000,000	One Project Manager, One Project Superintendent or Engineer, and One Clerk
Over \$25,000,000 - up to \$50,000,000	One Project Manager, One Project Superintendent, One Engineer, and One Clerk
Over \$50,000,000	One Project Manager, Two Project Superintendents, One Engineer, and One Clerk

(2) Home Office and Unabsorbed Overhead. Payment for home office and unabsorbed overhead will be calculated as 8 percent of the total delay cost.

(c) Extended Traffic Control. Traffic control required for an extended period of time due to the delay will be paid for according to Article 109.04.

When an extended traffic control adjustment is paid under this provision, an adjusted unit price as provided for in Article 701.20(a) for increase or decrease in the value of work by more than ten percent will not be paid.

Upon payment for a contract delay under this provision, the Contractor shall assign subrogation rights to the Department for the Department's efforts of recovery from any other party for monies paid by the Department as a result of any claim under this provision. The Contractor shall fully cooperate with the Department in its efforts to recover from another party any money paid to the Contractor for delay damages under this provision."

CONCRETE BARRIER (BDE)

Effective: January 1, 2025

Revise the second paragraph of Article 637.12 of the Standard Specifications to read:

"When a double face concrete barrier with a variable cross-section is required, and the variation exceeds 1/2 in. (13 mm), the barrier will be paid for at the contract unit price per foot (meter) for CONCRETE BARRIER, VARIABLE CROSS-SECTION, of the height specified."

CONCRETE SEALER (BDE)

Effective: November 1, 2023

Replace Section 1026 of the Standard Specifications with the following:

“SECTION 1026. CONCRETE SEALER

1026.01 General. Sealer types shall be according to the listing in AASHTO M 224. All concrete sealer types shall meet the sealer requirements of AASHTO M 224 when tested in accordance with AASHTO T 384. The sealer shall be listed on the Department’s qualified product list.

The sealer shall have a clear or amber color when dry.

The Department will perform the sealer characterization properties of ATR-FTIR spectra, total solids, and specific gravity in accordance with AASHTO M 224.”

CONSTRUCTION AIR QUALITY – DIESEL RETROFIT (BDE)

Effective: June 1, 2010

Revised: January 1, 2025

The reduction of emissions of particulate matter (PM) for off-road equipment shall be accomplished by installing retrofit emission control devices. The term “equipment” refers to diesel fuel powered devices rated at 50 hp and above, to be used on the jobsite in excess of seven calendar days over the course of the construction period on the jobsite (including rental equipment).

Contractor and subcontractor diesel powered off-road equipment assigned to the contract shall be retrofitted according to the table below.

Horsepower Range	Model Year and Older
50-99	2003
100-299	2002
300-599	2000
600-749	2001
750 and up	2005

The retrofit emission control devices shall achieve a minimum PM emission reduction of 50 percent and shall be:

- a) Included on the U.S. Environmental Protection Agency (USEPA) *Verified Retrofit Technology List* (<https://www.epa.gov/verified-diesel-tech/verified-technologies-list-clean-diesel>),

or verified by the California Air Resources Board (CARB) (<http://www.arb.ca.gov/diesel/verdev/vt/cvt.htm>); or

- b) Retrofitted with a non-verified diesel retrofit emission control device if verified retrofit emission control devices are not available for equipment proposed to be used on the project, and if the Contractor has obtained a performance certification from the retrofit device manufacturer that the emission control device provides a minimum PM emission reduction of 50 percent.

Note: Large cranes (Crawler mounted cranes) which are responsible for critical lift operations are exempt from installing retrofit emission control devices if such devices adversely affect equipment operation.

Diesel powered off-road equipment with engine ratings of 50 hp and above, which are unable to be retrofitted with verified emission control devices or if performance certifications are not available which will achieve a minimum 50 percent PM reduction, may be granted a waiver by the Department if documentation is provided showing good faith efforts were made by the Contractor to retrofit the equipment.

Construction shall not proceed until the Contractor submits a certified list of the diesel powered off-road equipment that will be used, and as necessary, retrofitted with emission control devices. The list(s) shall include (1) the equipment number, type, make, Contractor/rental company name; and (2) the emission control devices make, model, USEPA or CARB verification number, or performance certification from the retrofit device manufacturer. Equipment reported as fitted with emissions control devices shall be made available to the Engineer for visual inspection of the device installation, prior to being used on the jobsite.

The Contractor shall submit an updated list of retrofitted off-road construction equipment as retrofitted equipment changes or comes on to the jobsite. The addition or deletion of any diesel powered equipment shall be included on the updated list.

If any diesel powered off-road equipment is found to be in non-compliance with any portion of this special provision, the Engineer will issue the Contractor a diesel retrofit deficiency deduction.

Any costs associated with retrofitting any diesel powered off-road equipment with emission control devices shall be considered as included in the contract unit prices bid for the various items of work involved and no additional compensation will be allowed. The Contractor's compliance with this notice and any associated regulations shall not be grounds for a claim.

Diesel Retrofit Deficiency Deduction

When the Engineer determines that a diesel retrofit deficiency exists, a daily monetary deduction will be imposed for each calendar day or fraction thereof the deficiency continues to exist. The calendar day(s) will begin when the time period for correction is exceeded and end with the Engineer's written acceptance of the correction. The daily monetary deduction will be \$1,000.00 for each deficiency identified.

The deficiency will be based on lack of diesel retrofit emissions control.

If a Contractor accumulates three diesel retrofit deficiency deductions for the same piece of equipment in a contract period, the Contractor will be shutdown until the deficiency is corrected. Such a shutdown will not be grounds for any extension of the contract time, waiver of penalties, or be grounds for any claim.

DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION (DBE)

Effective: September 1, 2000

Revised: January 2, 2025

1. OVERVIEW AND GENERAL OBLIGATION. The Department of Transportation, as a recipient of federal financial assistance, is required to take all necessary and reasonable steps to ensure nondiscrimination in the award and administration of contracts. Consequently, the federal regulatory provisions of 49 CFR Part 26 apply to this contract concerning the utilization of disadvantaged business enterprises. For the purposes of this Special Provision, a disadvantaged business enterprise (DBE) means a business certified in accordance with the requirements of 49 CFR Part 26 and listed in the Illinois Unified Certification Program (IL UCP) DBE Directory. Award of the contract is conditioned on meeting the requirements of 49 CFR Part 26, and failure by the Contractor to carry out the requirements of Part 26 is a material breach of the contract and may result in the termination of the contract or such other remedies as the Department deems appropriate.
2. CONTRACTOR ASSURANCE. All assurances set forth in FHWA 1273 are hereby incorporated by reference and will be physically attached to the final contract and all subcontracts.
3. CONTRACT GOAL TO BE ACHIEVED BY THE CONTRACTOR. The Department has determined the work of this contract has subcontracting opportunities that may be suitable for performance by DBE companies and that, in the absence of unlawful discrimination and in an arena of fair and open competition, DBE companies can be expected to perform **16.00 %** of the work. This percentage is set as the DBE participation goal for this contract. Consequently, in addition to the other award criteria established for this contract, the Department will only award this contract to a bidder who makes a good faith effort to meet this goal of DBE participation in the performance of the work in accordance with the requirements of 49 CFR 26.53 and SBE Memorandum No. 24-02.
4. IDENTIFICATION OF CERTIFIED DBE. Information about certified DBE Contractors can be found in the Illinois UCP Directory. Bidders can obtain additional information and assistance with identifying DBE-certified companies at the Department's website or by contacting the Department's Bureau of Small Business Enterprises at (217) 785-4611.
5. BIDDING PROCEDURES. Compliance with this Special Provision and SBE Policy Memorandum 24-02 is a material bidding requirement. The following shall be included with the bid.
 - (a) DBE Utilization Plan (form SBE 2026) documenting enough DBE participation has been obtained to meet the goal, or a good faith effort has been made to meet the goal even though the efforts did not succeed in obtaining enough DBE participation to meet the goal.

- (b) Applicable DBE Participation Statement (form SBE 2023, 2024, and/or 2025) for each DBE firm the bidder has committed to perform the work to achieve the contract goal.

The required forms and documentation shall be submitted as a single .pdf file using the "Integrated Contractor Exchange (iCX)" application within the Department's "EBids System".

The Department will not accept a bid if it does not meet the bidding procedures set forth herein and the bid will be declared non-responsive. A bidder declared non-responsive for failure to meet the bidding procedures will not give rise to an administrative reconsideration. In the event the bid is declared non-responsive, the Department may elect to cause the forfeiture of the penal sum of the bidder's proposal guaranty and may deny authorization to bid the project if re-advertised for bids.

6. UTILIZATION PLAN EVALUATION. The contract will not be awarded until the Utilization Plan is approved. All information submitted by the bidder must be complete, accurate, and adequately document the bidder has committed to DBE participation sufficient to meet the goal, or that the bidder has made good faith efforts to do so, in the event the bidder cannot meet the goal, in order for the Department to commit to the performance of the contract by the bidder.

The Utilization Plan will be approved by the Department if the Utilization Plan documents sufficient commercially useful DBE work to meet the contract goal or the Department determines, based upon the documentation submitted, that the bidder has made a good faith effort to meet the contract goal pursuant to 49 CFR Part 26, Appendix A and the requirements of SBE 2026.

If the Department determines that a good faith effort has not been made, the Department will notify the responsible company official designated in the Utilization Plan of that determination in accordance with SBE Policy Memorandum 24-02.

7. CALCULATING DBE PARTICIPATION. The Utilization Plan values represent work the bidder commits to have performed by the specified DBEs and paid for upon satisfactory completion. The Department is only able to count toward the achievement of the overall goal and the contract goal the value of payments made for the work actually performed by DBE firms. In addition, a DBE must perform a commercially useful function on the contract to be counted. A commercially useful function is generally performed when the DBE is responsible for the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. The Department and Contractor are governed by the provisions of 49 CFR Part 26.55(c) on questions of commercially useful functions as it affects the work. Specific guidelines for counting goal credit are provided in 49 CFR Part 26.55. In evaluating Utilization Plans for award the Department will count goal credit as set forth in Part 26 and in accordance with SBE Policy Memorandum 24-02.
8. CONTRACT COMPLIANCE. The Contractor must utilize the specific DBEs listed to perform the work and supply the materials for which each DBE is listed in the Contractor's approved Utilization Plan, unless the Contractor obtains the Department's written consent to terminate the DBE or any portion of its work. The DBE Utilization Plan approved by SBE is a condition-of-award, and any deviation to that Utilization Plan, the work set forth therein to be performed by DBE firms, or the DBE firms specified to perform that work, must be approved, in writing, by the Department in accordance with federal regulatory requirements. Deviation

from the DBE Utilization Plan condition-of-award without such written approval is a violation of the contract and may result in termination of the contract or such other remedy the Department deems appropriate. The following administrative procedures and remedies govern the compliance by the Contractor with the contractual obligations established by the Utilization Plan.

- (a) NOTICE OF DBE PERFORMANCE. The Contractor shall provide the Engineer with at least three days advance notice of when all DBE firms are expected to perform the work committed under the Contractor's Utilization Plan.
- (b) SUBCONTRACT. If awarded the contract, the Contractor is required to enter into written subcontracts with all DBE firms indicated in the approved Utilization Plan and must provide copies of fully executed DBE subcontracts to the Department upon request. Subcontractors shall ensure that all lower tier subcontracts or agreements with DBEs to supply labor or materials be performed in accordance with this Special Provision.
- (c) PAYMENT TO DBE FIRMS. The Department is prohibited by federal regulations from crediting the participation of a DBE included in the Utilization Plan toward either the contract goal or the Department's overall goal until the amount to be applied toward the goal has been paid to the DBE. The Contractor shall document and report all payments for work performed by DBE certified firms in accordance with Article 109.11 of the Standard Specifications. All records of payment for work performed by DBE certified firms shall be made available to the Department upon request.
- (d) FINAL PAYMENT. After the performance of the final item of work or trucking, or delivery of material by a DBE and final payment to the DBE by the Contractor, but not later than 30 calendar days after payment has been made by the Department to the Contractor for such work or material, the Contractor shall submit a DBE Payment Agreement (form SBE 2115) to the Engineer. If the Contractor does not have the full amount of work indicated in the Utilization Plan performed by the DBE companies indicated in the Utilization Plan and after good faith efforts are reviewed, the Department may deduct from contract payments to the Contractor the amount of the goal not achieved as liquidated and ascertained damages.
- (g) ENFORCEMENT. The Department reserves the right to withhold payment to the Contractor to enforce the provisions of this Special Provision. Final payment shall not be made on the contract until such time as the Contractor submits sufficient documentation demonstrating achievement of the goal in accordance with this Special Provision or after liquidated damages have been determined and collected.

EROSION CONTROL BLANKET (BDE)

Effective: August 1, 2025

Revise Article 251.02 of the Standard Specifications to read:

“251.02 Materials. Materials shall be according to the following.

Item	Article/Section
(a) Compost	1081.05(b)
(b) Mulch	1081.06(a)
(c) Chemical Mulch Binder	1081.06(a)(3)
(d) Chemical Compost Binder	1081.06(a)(4)
(e) Erosion Control Blanket	1081.10(a)
(f) Wildlife Friendly Erosion Control Blanket	1081.10(b)
(g) Wire Staples	1081.10(c)
(h) Wood Stakes	1081.10(d)
(i) Turf Reinforcement Mat	1081.10(e)”

Revise the first and second sentences of Article 251.04 of the Standard Specifications to read:

“251.04 Erosion Control Blanket. All erosion control blanket materials shall be placed on the areas specified within 24 hours of seed placement.”

Revise the second paragraph of Article 251.04 of the Standard Specifications to read:

“After the area has been properly shaped, fertilized (when applicable), and seeded, the blanket shall be laid out flat, evenly, and smoothly, without stretching the material. The erosion control blanket shall be placed according to the manufacture’s recommendations.”

Revise the second sentence of Article 251.06(b) of the Standard Specifications to read:

“Erosion control blanket, wildlife friendly erosion control blanket, and turf reinforcement mat will be measured for payment in square yards (square meters).”

Revise Article 251.07 of the Standard Specifications to read:

“251.07 Basis of Payment. This work will be paid for at the contract unit price per acre (hectare) for MULCH, of the method specified; and at the contract unit price per square yard (square meter) for EROSION CONTROL BLANKET, WILDLIFE FRIENDLY EROSION CONTROL BLANKET, or TURF REINFORCEMENT MAT.”

Revise first sentence of Article 280.04(h) of the Standard Specifications to read:

“This system consists of temporarily installing erosion control blanket or wildlife friendly erosion control blanket over areas that are to be reworked during a later construction phase.”

Revise Article 280.08(g) of the Standard Specifications to read:

- “(g) Temporary Erosion Control Blanket. Temporary erosion control blanket will be paid for at the contract unit price per square yard (square meter) for TEMPORARY EROSION CONTROL BLANKET or TEMPORARY WILDLIFE FRIENDLY EROSION CONTROL BLANKET.

The work of removing, storing, and reinstalling the blanket over areas to be reworked more than once will not be paid for separately but shall be included in the cost of the temporary erosion control blanket or temporary wildlife friendly erosion control blanket.”

Revise Article 1081.10 of the Standard Specifications to read:

“1081.10 Erosion Control Blankets. The manufacturer shall furnish a certificate with each shipment stating the amount of product furnished and that the material complies with these requirements.

- (a) Erosion Control Blanket. Erosion control blanket shall be covered on top and bottom, also known as double net, with a 100 percent biodegradable woven, natural fiber or jute net meeting the following.

Material	Minimum Value
Excelsior	80%
Straw	100%
Coconut or Coir	100% Coconut or Coir
Straw/Coconut or Coir	70% Straw / 30% Coconut or Coir

- (b) Wildlife Friendly Erosion Control Blanket. Wildlife friendly erosion control blanket shall be according to Article 1081.10(a) except the netting shall be loose weave, also known as leno weave or gauze weave, with a moveable joint.
- (c) Wire Staples. Staples shall be made from No. 11 gauge or heavier uncoated black carbon steel wire, a minimum of 1 in. (25 mm) wide at the top and a minimum overall length of 8 in. (200 mm).
- (d) Wood Stakes. Hardwood blanket anchors shall be nominally 7 in. (180 mm) long from neck of hook to tip of anchor. The anchor shall have a minimum 1/2 in. (13 mm) curving hook to hold the blanket in place.
- (e) Turf Reinforcement Mat (TRM). The TRM shall be comprised of non-degradable, ultraviolet stabilized synthetic fibers, filaments, netting, and/or wire mesh processed into a three-dimensional reinforced mat. The mats may include degradable material to assist with vegetation establishment. Soil filled mats will not be allowed.

The TRM shall meet the following physical and performance properties:

Property	Value	Test Method
Tensile Strength, lb/ft (kN/m)	150 (2.19) min.	ASTM D 6818
UV Stability, (% Tensile Retained)	80 min.	ASTM D 4355 (1000 Hour Exposure)
Resiliency, (% Thickness Retained)	80 min.	ASTM D 6524
Allowable Shear Stress, lb/sq ft (Pa) ^{1/}	8 (384)	ECTC approved test method and independent laboratory

1/ Minimum shear stress the TRM (fully vegetated) can sustain without physical damage or excess erosion (> 1/2 in. (13 mm) soil loss) during a 30 minute flow event in large scale testing.

For TRMs containing degradable components, all property values shall be obtained on the non-degradable portion of the matting alone.”

FUEL COST ADJUSTMENT (BDE)

Effective: April 1, 2009

Revised: August 1, 2017

Description. Fuel cost adjustments will be made to provide additional compensation to the Contractor, or a credit to the Department, for fluctuations in fuel prices when optioned by the Contractor. The bidder shall indicate with their bid whether or not this special provision will be part of the contract. Failure to indicate “Yes” for any category of work will make that category of work exempt from fuel cost adjustment.

General. The fuel cost adjustment shall apply to contract pay items as grouped by category. The adjustment shall only apply to those categories of work checked “Yes”, and only when the cumulative plan quantities for a category exceed the required threshold. Adjustments to work items in a category, either up or down, and extra work paid for by agreed unit price will be subject to fuel cost adjustment only when the category representing the added work was subject to the fuel cost adjustment. Extra work paid for at a lump sum price or by force account will not be subject to fuel cost adjustment. Category descriptions and thresholds for application and the fuel usage factors which are applicable to each are as follows:

(a) Categories of Work.

- (1) Category A: Earthwork. Contract pay items performed under Sections 202, 204, and 206 including any modified standard or nonstandard items where the character of the work to be performed is considered earthwork. The cumulative total of all applicable item plan quantities shall exceed 25,000 cu yd (20,000 cu m). Included in the fuel usage factor is a weighted average 0.10 gal/cu yd (0.50 liters/cu m) factor for trucking.
- (2) Category B: Subbases and Aggregate Base Courses. Contract pay items constructed under Sections 311, 312 and 351 including any modified standard or nonstandard

- items where the character of the work to be performed is considered construction of a subbase or aggregate, stabilized or modified base course. The cumulative total of all applicable item plan quantities shall exceed 5000 tons (4500 metric tons). Included in the fuel usage factor is a 0.60 gal/ton (2.50 liters/metric ton) factor for trucking.
- (3) Category C: Hot-Mix Asphalt (HMA) Bases, Pavements and Shoulders. Contract pay items constructed under Sections 355, 406, 407 and 482 including any modified standard or nonstandard items where the character of the work to be performed is considered HMA bases, pavements and shoulders. The cumulative total of all applicable item plan quantities shall exceed 5000 tons (4500 metric tons). Included in the fuel usage factor is 0.60 gal/ton (2.50 liters/metric ton) factor for trucking.
- (4) Category D: Portland Cement Concrete (PCC) Bases, Pavements and Shoulders. Contract pay items constructed under Sections 353, 420, 421 and 483 including any modified standard or nonstandard items where the character of the work to be performed is considered PCC base, pavement or shoulder. The cumulative total of all applicable item plan quantities shall exceed 7500 sq yd (6000 sq m). Included in the fuel usage factor is 1.20 gal/cu yd (5.94 liters/cu m) factor for trucking.
- (5) Category E: Structures. Structure items having a cumulative bid price that exceeds \$250,000 for pay items constructed under Sections 502, 503, 504, 505, 512, 516 and 540 including any modified standard or nonstandard items where the character of the work to be performed is considered structure work when similar to that performed under these sections and not included in categories A through D.

(b) Fuel Usage Factors.

English Units		
Category	Factor	Units
A - Earthwork	0.34	gal / cu yd
B – Subbase and Aggregate Base courses	0.62	gal / ton
C – HMA Bases, Pavements and Shoulders	1.05	gal / ton
D – PCC Bases, Pavements and Shoulders	2.53	gal / cu yd
E – Structures	8.00	gal / \$1000
Metric Units		
Category	Factor	Units
A - Earthwork	1.68	liters / cu m
B – Subbase and Aggregate Base courses	2.58	liters / metric ton
C – HMA Bases, Pavements and Shoulders	4.37	liters / metric ton
D – PCC Bases, Pavements and Shoulders	12.52	liters / cu m
E – Structures	30.28	liters / \$1000

(c) Quantity Conversion Factors.

Category	Conversion	Factor
B	sq yd to ton	0.057 ton / sq yd / in depth
	sq m to metric ton	0.00243 metric ton / sq m / mm depth

C	sq yd to ton	0.056 ton / sq yd / in depth
	sq m to metric ton	0.00239 m ton / sq m / mm depth
D	sq yd to cu yd	0.028 cu yd / sq yd / in depth
	sq m to cu m	0.001 cu m / sq m / mm depth

Method of Adjustment. Fuel cost adjustments will be computed as follows.

$$CA = (FPI_P - FPI_L) \times FUF \times Q$$

Where: CA = Cost Adjustment, \$
FPI_P = Fuel Price Index, as published by the Department for the month the work is performed, \$/gal (\$/liter)
FPI_L = Fuel Price Index, as published by the Department for the month prior to the letting for work paid for at the contract price; or for the month the agreed unit price letter is submitted by the Contractor for extra work paid for by agreed unit price, \$/gal (\$/liter)
FUF = Fuel Usage Factor in the pay item(s) being adjusted
Q = Authorized construction Quantity, tons (metric tons) or cu yd (cu m)

The entire FUF indicated in paragraph (b) will be used regardless of use of trucking to perform the work.

Basis of Payment. Fuel cost adjustments may be positive or negative but will only be made when there is a difference between the FPI_L and FPI_P in excess of five percent, as calculated by:

$$\text{Percent Difference} = \{(FPI_L - FPI_P) \div FPI_L\} \times 100$$

Fuel cost adjustments will be calculated for each calendar month in which applicable work is performed; and will be paid or deducted when all other contract requirements for the items of work are satisfied. The adjustments shall not apply during contract time subject to liquidated damages for completion of the entire contract.

HOT-MIX ASPHALT – LONGITUDINAL JOINT SEALANT (BDE)

Effective: November 1, 2022

Revised: August 1, 2023

Add the following after the second sentence in the eighth paragraph of Article 406.06(h)(2) of the Standard Specifications:

“If rain is forecasted and traffic is to be on the LJS or if pickup/tracking of the LJS material is likely, the LJS shall be covered immediately following its application with FA 20 fine aggregate mechanically spread uniformly at a rate of 1.5 ± 0.5 lb/sq yd (0.75 ± 0.25 kg/sq m). Fine aggregate landing outside of the LJS shall be removed prior to application of tack coat.”

Add the following after the first sentence in the ninth paragraph of Article 406.06(h)(2) of the Standard Specifications:

“LJS half-width shall be applied at a width of 9 ± 1 in. (225 ± 25 mm) in the immediate lane to be placed with the outside edge flush with the joint of the next HMA lift. The vertical face of any longitudinal joint remaining in place shall also be coated.”

Add the following after the eleventh paragraph of Article 406.06(h)(2) of the Standard Specifications:

“LJS Half-Width Application Rate, lb/ft (kg/m) ^{1/}			
Lift Thickness, in. (mm)	Coarse Graded Mixture (IL-19.0, IL-19.0L, IL-9.5, IL-9.5L, IL-4.75)	Fine Graded Mixture (IL-9.5FG)	SMA Mixture (SMA-9.5, SMA-12.5)
$\frac{3}{4}$ (19)	0.44 (0.66)		
1 (25)	0.58 (0.86)		
1 $\frac{1}{4}$ (32)	0.66 (0.98)	0.44 (0.66)	
1 $\frac{1}{2}$ (38)	0.74 (1.10)	0.48 (0.71)	0.63 (0.94)
1 $\frac{3}{4}$ (44)	0.82 (1.22)	0.52 (0.77)	0.69 (1.03)
2 (50)	0.90 (1.34)	0.56 (0.83)	0.76 (1.13)
$\geq 2 \frac{1}{4}$ (60)	0.98 (1.46)		

1/ The application rate includes a surface demand for liquid. The thickness of the LJS may taper from the center of the application to a lesser thickness on the edge of the application, provided the correct width and application rate are maintained.”

Revise the second paragraph of Article 406.13(b) of the Standard Specifications to read:

“Aggregate for covering tack, LJS, or FLS will not be measured for payment.”

Add the following to the end of the second paragraph of Article 406.14 of the Standard Specifications:

“Longitudinal joint sealant (LJS) half-width will be paid for at the contract unit price per foot (meter) for LONGITUDINAL JOINT SEALANT, HALF-WIDTH.”

PAVEMENT MARKING (BDE)

Effective: April 1, 2025

Revised: November 1, 2025

Revise the fourth sentence of the fourth paragraph of Article 780.05 of the Standard Specifications to read:

“Grooves for letters and symbols shall be cut in a rectangular shape or in the shape of the proposed marking so the entire marking will fit within the limits of the grooved area.”

Revise the last sentence of the third paragraph of Article 780.08 of the Standard Specifications to read:

“The Contractor shall install the preformed plastic pavement markings according to the manufacturer’s recommendations.”

Revise the second sentence of the first paragraph of Article 780.13 of the Standard Specifications to read:

“In addition, thermoplastic, preformed plastic, epoxy, preformed thermoplastic, polyurea, and modified urethane pavement markings will be inspected following a winter performance period that extends from November 15 to April 1 of the next year.”

PAVEMENT PATCHING (BDE)

Effective: August 1, 2025

Revise the first sentence of the last paragraph of Article 442.06(a)(2) of the Standard Specifications to read:

“Type IV patches shall be reinforced with welded wire reinforcement according to the details shown on the plans.”

Revise Article 442.06(a)(3) of the Standard Specifications to read:

“(3) Class C Patching. Patches adjacent to a new lane of pavement, new portland cement concrete shoulder, or new curb and gutter of more than 20 ft (6 m) in length shall be tied with No. 6 (No. 19) tie bars, 24 in. (600 mm) long, embedded 8 in. (200 mm) at 36 in. (900 mm) centers according to Article 420.05(b).

When the patched pavement is not to be resurfaced, transverse contraction joints shall be formed on 15 ft (4.5 m) to 20 ft (6 m) centers by sawing in all patches that are more than 20 ft (6 m) in length. They shall be placed in line with joints or cracks in the existing slab whenever possible.”

Revise the eighth paragraph of Article 442.11 of the Standard Specifications to read:

“Pavement tie bars for patches will be paid for at the contract unit price per each for TIE BARS, of the diameter specified.”

PERFORMANCE GRADED ASPHALT BINDER (BDE)

Effective: January 1, 2023

Revise Article 1032.05 of the Standard Specifications to read:

“1032.05 Performance Graded Asphalt Binder. These materials will be accepted according to the Bureau of Materials Policy Memorandum, “Performance Graded Asphalt Binder Qualification Procedure.” The Department will maintain a qualified producer list. These materials shall be free from water and shall not foam when heated to any temperature below the actual flash point. Air blown asphalt, recycle engine oil bottoms (ReOB), and polyphosphoric acid (PPA) modification shall not be used.

When requested, producers shall provide the Engineer with viscosity/temperature relationships for the performance graded asphalt binders delivered and incorporated in the work.

- (a) Performance Graded (PG) Asphalt Binder. The asphalt binder shall meet the requirements of AASHTO M 320, Table 1 “Standard Specification for Performance Graded Asphalt Binder” for the grade shown on the plans and the following.

Test	Parameter
Small Strain Parameter (AASHTO PP 113) BBR, ΔT_c , 40 hrs PAV (40 hrs continuous or 2 PAV at 20 hrs)	-5 °C min.

- (b) Modified Performance Graded (PG) Asphalt Binder. The asphalt binder shall meet the requirements of AASHTO M 320, Table 1 “Standard Specification for Performance Graded Asphalt Binder” for the grade shown on the plans.

Asphalt binder modification shall be performed at the source, as defined in the Bureau of Materials Policy Memorandum, “Performance Graded Asphalt Binder Qualification Procedure.”

Modified asphalt binder shall be safe to handle at asphalt binder production and storage temperatures or HMA construction temperatures. Safety Data Sheets (SDS) shall be provided for all asphalt modifiers.

- (1) Polymer Modification (SB/SBS or SBR). Elastomers shall be added to the base asphalt binder to achieve the specified performance grade and shall be either a styrene-butadiene diblock, triblock copolymer without oil extension, or a styrene-butadiene rubber. The polymer modified asphalt binder shall be smooth, homogeneous, and be according to the requirements shown in Table 1 or 2 for the grade shown on the plans.

Table 1 - Requirements for Styrene-Butadiene Copolymer (SB/SBS) Modified Asphalt Binders		
Test	Asphalt Grade SB/SBS PG 64-28 SB/SBS PG 70-22	Asphalt Grade SB/SBS PG 64-34 SB/SBS PG 70-28 SB/SBS PG 76-22 SB/SBS PG 76-28
Separation of Polymer ITP, "Separation of Polymer from Asphalt Binder" Difference in °F (°C) of the softening point between top and bottom portions	4 (2) max.	4 (2) max.
TESTS ON RESIDUE FROM ROLLING THIN FILM OVEN TEST (AASHTO T 240)		
Elastic Recovery ASTM D 6084, Procedure A, 77 °F (25 °C), 100 mm elongation, %	60 min.	70 min.

Table 2 - Requirements for Styrene-Butadiene Rubber (SBR) Modified Asphalt Binders		
Test	Asphalt Grade SBR PG 64-28 SBR PG 70-22	Asphalt Grade SB/SBS PG 64-34 SB/SBS PG 70-28 SBR PG 76-22 SBR PG 76-28
Separation of Polymer ITP, "Separation of Polymer from Asphalt Binder" Difference in °F (°C) of the softening point between top and bottom portions	4 (2) max.	4 (2) max.
Toughness ASTM D 5801, 77 °F (25 °C), 20 in./min. (500 mm/min.), in.-lbs (N-m)	110 (12.5) min.	110 (12.5) min.
Tenacity ASTM D 5801, 77 °F (25 °C), 20 in./min. (500 mm/min.), in.-lbs (N-m)	75 (8.5) min.	75 (8.5) min.
TESTS ON RESIDUE FROM ROLLING THIN FILM OVEN TEST (AASHTO T 240)		
Elastic Recovery ASTM D 6084, Procedure A, 77 °F (25 °C), 100 mm elongation, %	40 min.	50 min.

- (2) Ground Tire Rubber (GTR) Modification. GTR modification is the addition of recycled ground tire rubber to liquid asphalt binder to achieve the specified performance grade. GTR shall be produced from processing automobile and/or truck tires by the ambient grinding method or micronizing through a cryogenic process. GTR shall not exceed 1/16 in. (2 mm) in any dimension and shall not contain free metal particles, moisture that would cause foaming of the asphalt, or other foreign materials. A mineral powder

(such as talc) meeting the requirements of AASHTO M 17 may be added, up to a maximum of four percent by weight of GTR to reduce sticking and caking of the GTR particles. When tested in accordance with Illinois Modified AASHTO T 27 "Standard Method of Test for Sieve Analysis of Fine and Coarse Aggregates" or AASHTO PP 74 "Standard Practice for Determination of Size and Shape of Glass Beads Used in Traffic Markings by Means of Computerized Optical Method", a 50 g sample of the GTR shall conform to the following gradation requirements.

Sieve Size	Percent Passing
No. 16 (1.18 mm)	100
No. 30 (600 μ m)	95 \pm 5
No. 50 (300 μ m)	> 20

GTR modified asphalt binder shall be tested for rotational viscosity according to AASHTO T 316 using spindle S27. GTR modified asphalt binder shall be tested for original dynamic shear and RTFO dynamic shear according to AASHTO T 315 using a gap of 2 mm.

The GTR modified asphalt binder shall meet the requirements of Table 3.

Table 3 - Requirements for Ground Tire Rubber (GTR) Modified Asphalt Binders		
Test	Asphalt Grade GTR PG 64-28 GTR PG 70-22	Asphalt Grade GTR PG 76-22 GTR PG 76-28 GTR PG 70-28
TESTS ON RESIDUE FROM ROLLING THIN FILM OVEN TEST (AASHTO T 240)		
Elastic Recovery ASTM D 6084, Procedure A, 77 °F (25 °C), 100 mm elongation, %	60 min.	70 min.

- (3) Softener Modification (SM). Softener modification is the addition of organic compounds, such as engineered flux, bio-oil blends, modified vegetable oils, glycol amines, and fatty acid derivatives, to the base asphalt binder to achieve the specified performance grade. Softeners shall be dissolved, dispersed, or reacted in the asphalt binder to enhance its performance and shall remain compatible with the asphalt binder with no separation. Softeners shall not be added to modified PG asphalt binder as defined in Articles 1032.05(b)(1) or 1032.05(b)(2).

An Attenuated Total Reflectance-Fourier Transform Infrared spectrum (ATR-FTIR) shall be collected for both the softening compound as well as the softener modified asphalt binder at the dose intended for qualification. The ATR-FTIR spectra shall be collected on unaged softener modified binder, 20-hour Pressurized Aging Vessel (PAV) aged softener modified binder, and 40-hour PAV aged softener modified binder. The ATR-FTIR shall be collected in accordance with Illinois Test Procedure 601. The electronic files spectral files (in one of the following extensions or equivalent: *.SPA, *.SPG, *.IRD, *.IFG, *.CSV, *.SP, *.IRS, *.GAML, *. [0-9], *.IGM, *.ABS, *.DRT, *.SBM, *.RAS) shall be submitted to the Central Bureau of Materials.

Softener modified asphalt binders shall meet the requirements in Table 4.

Table 4 - Requirements for Softener Modified Asphalt Binders	
Test	Asphalt Grade
	SM PG 46-28 SM PG 46-34 SM PG 52-28 SM PG 52-34 SM PG 58-22 SM PG 58-28 SM PG 64-22
Small Strain Parameter (AASHTO PP 113) BBR, ΔT_c , 40 hrs PAV (40 hrs continuous or 2 PAV at 20 hrs)	-5°C min.
Large Strain Parameter (Illinois Modified AASHTO T 391) DSR/LAS Fatigue Property, $\Delta G^* _{peak}$, 40 hrs PAV (40 hrs continuous or 2 PAV at 20 hrs)	≥ 54 %

The following grades may be specified as tack coats.

Asphalt Grade	Use
PG 58-22, PG 58-28, PG 64-22	Tack Coat"

Revise Article 1031.06(c)(1) and 1031.06(c)(2) of the Standard Specifications to read:

“(1) RAP/RAS. When RAP is used alone or RAP is used in conjunction with RAS, the percentage of virgin ABR shall not exceed the amounts listed in the following table.

HMA Mixtures - RAP/RAS Maximum ABR % ^{1/ 2/}			
Ndesign	Binder	Surface	Polymer Modified Binder or Surface ^{3/}
30	30	30	10
50	25	15	10
70	15	10	10
90	10	10	10

1/ For Low ESAL HMA shoulder and stabilized subbase, the RAP/RAS ABR shall not exceed 50 percent of the mixture.

2/ When RAP/RAS ABR exceeds 20 percent, the high and low virgin asphalt binder grades shall each be reduced by one grade (i.e. 25 percent ABR would require a virgin asphalt binder grade of PG 64-22 to be reduced to a PG 58-28).

3/ The maximum ABR percentages for ground tire rubber (GTR) modified mixes shall be equivalent to the percentages specified for SBS/SBR polymer modified mixes.

(2) FRAP/RAS. When FRAP is used alone or FRAP is used in conjunction with RAS, the percentage of virgin asphalt binder replacement shall not exceed the amounts listed in the following table.

HMA Mixtures - FRAP/RAS Maximum ABR % ^{1/ 2/}			
Ndesign	Binder	Surface	Polymer Modified Binder or Surface ^{3/}
30	55	45	15
50	45	40	15
70	45	35	15
90	45	35	15
SMA	- -	- -	25
IL-4.75	- -	- -	35

- 1/ For Low ESAL HMA shoulder and stabilized subbase, the FRAP/RAS ABR shall not exceed 50 percent of the mixture.
- 2/ When FRAP/RAS ABR exceeds 20 percent for all mixes, the high and low virgin asphalt binder grades shall each be reduced by one grade (i.e. 25 percent ABR would require a virgin asphalt binder grade of PG 64-22 to be reduced to a PG 58-28).
- 3/ The maximum ABR percentages for GTR modified mixes shall be equivalent to the percentages specified for SBS/SBR polymer modified mixes.”

Add the following to the end of Note 2 of Article 1030.03 of the Standard Specifications.

“A dedicated storage tank for the ground tire rubber (GTR) modified asphalt binder shall be provided. This tank shall be capable of providing continuous mechanical mixing throughout and/or recirculation of the asphalt binder to provide a uniform mixture. The tank shall be heated and capable of maintaining the temperature of the asphalt binder at 300 °F to 350 °F (149 °C to 177 °C). The asphalt binder metering systems of dryer drum plants shall be calibrated with the actual GTR modified asphalt binder material with an accuracy of ±0.40 percent.”

PREFORMED PLASTIC PAVEMENT MARKING (BDE)

Effective: June 2, 2024

Revise Article 1095.03(h) of the Standard Specifications to read:

“(h) Glass Beads. Glass beads shall be colorless and uniformly distributed throughout the yellow and white portions of the material only. A top coating of beads shall be bonded to or directly embedded into the surface of the markings such that the beads are not easily removed when the film is scratched firmly with a thumb nail.

The glass bead refractive index shall be tested using the liquid immersion method.

Type B material shall have an inner mix of glass beads with a minimum refractive index of 1.50 and a top coating of ceramic beads bonded to top urethane wear surface with a minimum refractive index of 1.70. Beads with a refractive index greater than 1.80 shall not be used.

Type C material shall have glass beads with a minimum refractive index of 1.50 and a layer of skid resistant ceramic particles bonded to the top urethane wear surface. The urethane wear surface shall have a nominal thickness of 5 mils (0.13 mm)."

Revise Article 1095.03(n) of the Standard Specifications to read:

"(n) Sampling and Inspection.

- (1) Sample. Prior to approval and use of preformed plastic pavement markings, the manufacturer shall submit a notarized certification from an independent laboratory, together with the results of all tests, stating that the material meets the requirements as set forth herein. The independent laboratory test report shall state the lot tested, the manufacturer's name, and the date of manufacture.

After initial approval by the Department, samples and certification by the manufacturer shall be submitted for each subsequent batch used. The manufacturer shall submit a certification stating that the material meets the requirements as set forth herein and is essentially identical to the material sent for qualification. The certification shall state the lot tested, the manufacturer's name, and the date of manufacture.

- (2) Inspection. The Contractor shall provide a manufacturer's certification to the Engineer stating the material meets all requirements of this specification. All material samples for acceptance tests will be taken or witnessed by a representative of the Bureau of Materials and will be submitted to the Engineer of Materials, 126 East Ash Street, Springfield, Illinois 62704-4766 at least 30 days in advance of the pavement marking operations."

RAILROAD PROTECTIVE LIABILITY INSURANCE (BDE)

Effective: December 1, 1986

Revised: January 1, 2022

Description. Railroad Protective Liability and Property Damage Liability Insurance shall be carried according to Article 107.11 of the Standard Specifications. A separate policy is required for each railroad unless otherwise noted.

NAMED INSURED & ADDRESS	NUMBER & SPEED OF PASSENGER TRAINS	NUMBER & SPEED OF FREIGHT TRAINS
Chicago Transit Authority (CTA) 567 West Lake Street Chicago IL 60661-1465	Blue Line 382 trains/day@55mph.	0
Class 1 RR (Y or N): N DOT/AAR No.: NA RR Division: CTA	RR Mile Post: NA RR Sub-Division: Blue Line	
For Freight/Passenger Information Contact: Abdin Carrillo For Insurance Information Contact: Tamika Press		Phone: 312/681-3913 Phone: 312/681-2901

Basis of Payment. Providing Railroad Protective Liability and Property Damage Liability Insurance will be paid for at the contract unit price per Lump Sum for RAILROAD PROTECTIVE LIABILITY INSURANCE.

CTA REQUIREMENTS



CTA REQUIREMENTS FOR CONTRACTORS WORKING ALONG THE RIGHT-OF-WAY (R.O.W.)

General Comments:

Contractor performing construction work adjacent to the CTA Right-of-Way (R.O.W.) can present hazards to CTA's property. The contractor shall have CTA flagmen present to assist them on the R.O.W. The CTA may also require inspectors and infrastructure trades (Linemen, Signal Maintainers, etc.). The cost of these services is the responsibility of the contractor and the must be prepaid.

Prior to the start of any work in close proximity of the CTA's R.O.W. the contractor shall meet with a CTA representative to determine the requirements for the flagmen and other trades, if required and other necessary items related to the work activities next to the CTA facilities and to receive CTA's approval for the contractor's proposed operations.

All Contractor and Subcontractor employees assigned to work on, over or near the CTA R.O.W. shall be required to attend an all-day Rail Right-of-Way Safety Training Session. The cost of this training is currently \$200 per employee, paid by the Contractor in advance.

The contractor shall notify the CTA representative at least 30 days prior to the performance of any work. The CTA's representative for all outside construction work will be:

Abdin Carrillo
Project Manager, Construction Oversight
567 West Lake Street, 9th floor
Chicago, IL. 60661-1465 (312)
681-3913
ADJConstruction@transitchicago.com
Cc: acarrillo@transitchicago.com

The Chicago Transit Authority reserves the right to restrict or prohibit work in or adjacent to the R.O.W. in an emergency and to the extent the Chicago Transit Authority determines that such work has adverse impacts on CTA Transit Operations. NO work may be performed during "Rush Hour" periods (Monday through Friday, from 0500 to 0900 and from 1500 to 1900 hours).

Workers from adjacent construction projects are prohibited to enter the CTA's R.O.W. unless CTA permission has been granted and workers have completed the Rail Right-of-Way Safety Training Session (no workers are allowed on the CTA R.O.W. without the presence of CTA Flaggers). Use of cranes or other equipment directly above the CTA's R.O.W. is also prohibited.

Contractors performing work within 50 feet of the CTA R.O.W. and/or property are required to obtain Railroad Protective Insurance coverage.

When installing deep foundations (or jacking under the CTA R.O.W.) the contractor shall continuously monitor the existing CTA's at-grade track and elevated structure footing for movement or other signs of distress. Appropriate remedial measures must be approved by CTA.

Once the excavation for any caissons that progress deeper than 8 feet, or to the water table, whichever is smallest, the work on that caisson shall be carried on continuously, 24 hours a day, including Saturday's, Sunday's, and holiday's until the caisson has been completed.

If at any time, work on any caisson is not continuous, for any reason, and not approved by the CTA, all caissons, which have been installed, shall be filled with sand or slurry at the contractor's expense.

Should any of the proposed work require the contractor to enter upon, or perform work above Chicago Transit Authority property, the contractor must first provide payment of \$1,000; this payment is the fee for the CTA to process a Right of Entry document; this fee is non-refundable.

In order for CTA to process the Right of Entry document, the contractor must furnish scope of work, insurance, Letter of Commitment, and deposit for Flagger/Inspector charges (all of these requirements are covered in this R.O.W. requirements document).

Please include a property plat or site plan that is the subject of your request, which identifies your client's property and CTA's property.

Five (5) weeks prior to the start of any work that may impact CTA Rail Operations (work in close proximity to CTA tracks that may cause service disruptions, etc.), the Contractor is required to attend a weekly Rail Operations meeting at the CTA Headquarters (date/time to be furnished); the Contractor is to bring a 5-week look-ahead schedule detailing dates/times of work, # of CTA Flaggers required, direction of track affected by work, whether track needs to be closed and/or whether power needs to be shut off (all of the aforementioned are contingent upon the prior approval of CTA).

Further, any work that affects the safety or causes disruptions of service or inconvenience to transit users, CTA Operations or impacts CTA Right-of-Way requires a "Construction Process Plan" Twenty-One (21) days PRIOR to work. A Construction Process Plan contains scope of work, timing of work (days and hours), impacts to CTA operations (and/or how you will mitigate impacts), contingency plans, weather limitations, contact info, Drawings/Sketches of work and relation to CTA tracks, Job Hazard Analysis, Hospital route map, equipment specs, lift plan, etc.

Respectfully,



Abdin Carrillo
Project Manager, Construction Oversight

copies: S. Mascheri
J. Harper

File: Right of Way Requirements-Revised 10082013a REV E 10-20-14

FAI ROUTE 290 (I-290)
PROJECT NHPP-1XTC(478)
SECTION FAI 290 22 KEELER BR
COOK COUNTY
CONTRACT NO. 62U41

**CHICAGO TRANSIT AUTHORITY
INSURANCE AND BOND REQUIREMENTS**
[Short Form – General Right of Entry]

ROE DESCRIPTION: **SAMPLE**

PART I. REQUIRED INSURANCE COVERAGES

A. WORKERS COMPENSATION

Coverage A: **STATUTORY** in form and in accordance with the laws of the State of Illinois.

Coverage B: Employers Liability:

\$1,000,000 Bodily Injury by Accident
\$1,000,000 Bodily Injury by Disease, Policy Limit

B. COMPREHENSIVE OR COMMERCIAL GENERAL LIABILITY:

\$2,000,000 General Aggregate (Per Location)
\$2,000,000 Products/Completed Operations Aggregate
\$1,000,000 Personal Injury and Advertising Injury
\$1,000,000 Per Occurrence

The Commercial General Liability policy shall include, without limitation: (i) Broad Form Contractual Liability, (ii) Products/Completed Operations to be maintained in full force and effect for a period of two (2) years following final completion of the work under the Contract, (iii) Independent Contractors' Protective Liability, (iv) Premises/Operations, including deletion of explosion, collapse and underground (XCU) exclusions, (v) Broad Form Property Damage, including Products/Completed Operations, (vi) Personal Injury Liability, (vii) Severability of Interest and Cross Liability endorsement and (viii) Contractor expressly agrees to waive, and will require its insurer to waive, its rights, benefits and entitlement under the "Other Insurance" clause of its Commercial General Liability policy, with respect to the CTA.

If any work is to be performed within fifty (50) feet of rail right-of-way Contractor must:

1. Provide Railroad Protective Liability Insurance policy in the amount of **\$2,000,000 per occurrence / \$6,000,000 aggregate**

C. AUTOMOBILE LIABILITY

\$1,000,000 Combined Single Limit (Bodily Injury and Property Damage)

PART II. GENERAL INSTRUCTIONS AND REQUIREMENTS

A. WAYS TO COMPLY WITH CTA INSURANCE REQUIREMENTS.

1. HOW TO COMPLY IF CGL, OWNERS PROTECTIVE LIABILITY, BUILDER'S RISK INSURANCE AND/OR PROFESSIONAL LIABILITY ARE REQUIRED BY PART III OF THIS DOCUMENT.

There are three ways to satisfy the CTA's insurance requirements for Comprehensive General Liability, Owners Protective Liability, Builder's Risk and Professional Liability. For Comprehensive General Liability, Owners Protective Liability, Builder's Risk and Professional Liability the Contractor must provide the CTA with one of the following insurance documents:

- a) Certified copy of the insurance policy,
- b) An insurance binder, *or*
- c) The CTA Certificate of Coverage on the CTA approved form. The CTA Certificate of Coverage may be completed only by an authorized representative of the insurance company, an agent, broker, or underwriter.

2. HOW TO COMPLY IF *RAILROAD PROTECTIVE INSURANCE* IS REQUIRED BY PART III OF THIS DOCUMENT.

There are two ways to satisfy the CTA's insurance requirements for Railroad Protective. The Contractor must provide the CTA with one of the following insurance documents:

- a) Certified copy of the insurance policy *or*
- b) An insurance binder

Method b) is a temporary method that is valid only for 90 days. A certified copy of the railroad protective insurance policy must be furnished prior to the expiration of this 90-day period.

3. HOW TO COMPLY FOR ALL OTHER TYPES OF REQUIRED INSURANCE.

For all other insurance required by Part III of this document, an ACORD™ certificate is acceptable.

B. DEADLINE FOR INITIAL SUBMITTAL OF CONTRACTOR'S INSURANCE AND BOND DOCUMENTS.

The Contractor must furnish all required insurance, performance, and payment bond documents within fourteen days of the date that the Contractor receives a letter (the "Insurance Submittal Letter") from the CTA's General Manager of Purchasing requesting the Contractor to submit the documents required by these Insurance and Bond Requirements. CTA will not execute the Contract until the required insurance and bond documents are delivered to CTA and approved by CTA. Failure to deliver the required documents within fourteen days of receipt of the Insurance Submittal Letter is a material failure to comply with the specifications and may result in any or all of the following at the CTA's sole discretion:

- 1) Debarment or suspension, and
- 2) Determination of Contractor non-responsibility.

C. CTA ADDRESS.

All notices and documents must be mailed to the CTA at:

Tamika Press
Insurance Coordinator
Risk Management Department
567 W. Lake Street
Chicago, IL 60661-1498

D. OBLIGATION TO MAINTAIN CONTINUOUS COMPLIANCE

1. The Contractor expressly agrees that failure to comply and maintain compliance with all insurance and bond requirements shall constitute a material breach of the Contract which may result in default and, if uncured, termination for default under the contract. In addition, such failure, if uncured, may result in debarment and suspension.
2. The Contractor is prohibited from performing any work if Contractor has allowed any of the required insurance policies to expire.

PART III. MISCELLANEOUS INSURANCE REQUIREMENTS

- A. The CTA must be named as an Additional Insured and Certificate Holder. When the CTA is an additional insured, the coverage shall be primary.
- B. The CTA must be the Named Insured on the Owners Protective Liability, Railroad Protective Liability, or Builders Risk Insurance policies.
- C. The Commercial General Liability and Owners Protective Liability, General Aggregate Limit of Liability, if any, must apply on a per location, per project basis by endorsement to the policy.
- D. All insurance carriers must be acceptable to the CTA. All insurance companies shall have at least a B+ VII POLICY HOLDER RATING, or better, by the A.M. Best Co., Inc. Insurance companies with lower ratings will not be accepted. Carriers licensed to do business in the State of Illinois must issue all insurance, with the exception of Railroad Protective.
- E. To the extent permitted by the Contractor's insurance policies required by the CTA, the Contractor and its insurers waive all rights of subrogation against the CTA.
- F. The insurance to be carried shall in no way be subject to limitations, if any, expressed in the indemnity section of the General Conditions (or any statutory, judicial or common law limitations).
- G. CTA MUST BE ADDITIONAL INSURED ON GENERAL LIABILITY.

INSURANCE CERTIFICATE OF COVERAGE

Named Insured: _____ RFP#: _____
Address: _____
(NUMBER & STREET)
(CITY) (STATE) (ZIP)

Specification #: _____
Project #: _____
Contract #: _____

Description of Operation/Location	
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The insurance policies and endorsements indicated below have been issued to the designated named insured with the policy limits as set forth herein covering the operation described within the contract involving the named insured and the Chicago Transit Authority. The Certificate issuer agrees that in the event of cancellation, non-renewal or material change involving the indicated policies, the issuer will provide at least sixty (60) days prior written notice of such change to the Chicago Transit Authority at the address shown on this Certificate. This certificate is issued to the Chicago Transit Authority in consideration of the contract entered into with the named insured, and it is mutually understood that the Chicago Transit Authority relies on this certificate as a basis for continuing such agreement with the named insured.

Type of Insurance	Insurer Name	Policy Number	Policy Period	Limits of Liability All Limits in Thousands
Commercial General Liability <input type="checkbox"/> Occurrence <input type="checkbox"/> Claims made <input type="checkbox"/> Premise Operations <input type="checkbox"/> Explosion/Collapse Underground <input type="checkbox"/> Products/Completed Operations <input type="checkbox"/> Blanket Contractual <input type="checkbox"/> Broad Form Property Damage <input type="checkbox"/> Independent Contractors <input type="checkbox"/> Personal Injury <input type="checkbox"/> Pollution Commercial General Liability Form #: CG 00 01 _____				Each Occurrence \$ _____ General Aggregate \$ _____ Products/Completed Operations Aggregate \$ _____
Automobile Liability (Any Auto)				Each Occurrence \$ _____
Excess Liability <input type="checkbox"/> Umbrella Liability				Each Occurrence \$ _____
Workers' Compensation and Employer's Liability				Statutory/Illinois Employers Liability \$ _____
Builders' Risk/Course of Construction				Amount of Contract \$ _____
Professional Liability				\$ _____
Owner Contractors Protective				\$ _____
Other				_____

- a) Each insurance policy required by this agreement, except policies for workers' compensation and professional liability, will read:
 "The Chicago Transit Authority is an additional insured as respects to operations and activities of, or on behalf of the named insured, performed under contract with or permit from the Chicago Transit Authority".
- b) The General, Automobile and Excess/Umbrella Liability Policies described provide for separation of insureds applicable to the named insured and the CTA.
- c) Workers Compensation and Property insurer shall waive all rights of subrogation against the Chicago Transit Authority.
- d) The receipt of this certificate by the CTA does not constitute agreement by the CTA that the insurance requirements in the contract have been fully met, or that the insurance companies indicated by this certificate are in compliance with all contract requirements.

Name and Address of Certificate Holder and Receipt of Notice Certificate Holder/Additional Insured Chicago Transit Authority Risk Management Dept. 567 W. Lake St, 6th Floor Chicago, IL 60661	Signature of Authorized Representative _____ Agent/Company Address _____ Telephone _____
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CTA RISK MANAGEMENT 1205



CHICAGO TRANSIT AUTHORITY

567 West Lake Street
Chicago, Illinois 60661-1498
TEL 312 664-7200
www.transitchicago.com

Letter of Commitment

A Signed Contractual Agreement or Written Letter of Commitment serves as a formal agreement between the company and the CTA for the work to be performed.

The following Information should be included in your Letter:

1. Company's name, address, phone, and fax number
2. Company's contact person/project manager
3. Scope, Location, and Duration of the Project
4. Authorization to employ our service and bill your company
5. Authorized signature from project manager or officer of company



CHICAGO TRANSIT AUTHORITY

567 West Lake Street
Chicago, Illinois 60661-1498
TEL 312 664-7200
www.transitchicago.com

SAMPLE: Letter of Commitment

Chicago Transit Authority
567 W. Lake
Chicago, IL 60661

Contractor: Company Name
 Address
 City, State, Zip Code
Phone: (XXX) XXX-XXXX
Fax: (XXX) XXX-XXXX

Contact person/Project Manager:

Work Location: Address
 City, State, Zip Code

Scope of Work:

Duration of Project: XXXX

To Whom It May Concern:

(Insert company name) is the Contractor for the building at *(insert address/project location)*, and intends to *(insert type of work to be performed)* at the said location. The property is adjacent to the CTA's (i.e. **Red, Brown, Purple, Blue, Orange, Yellow, or Pink**) line. The work will be completed in *(insert number)* days.

If any of CTA's services are required, I authorize the employment of and payment for such services.

Sincerely,

XXXXXX
(Company Name to be billed for services)



CHICAGO TRANSIT AUTHORITY

567 West Lake Street
Chicago, Illinois 60661-1498
TEL 312 664-7200
www.transitchicago.com

CTA Deposit Requirements

All Contractors performing work on or near the Chicago Transit Authority's (CTA) property will be required to provide a deposit in advance equal to CTA's estimate. No contractor will be permitted to work prior to submission of the deposit. The estimated amount includes, but is not limited to the following CTA services: Flagging Charges, Slow Zone Charges (signage and initial supplies), Inspector Charges, and other services as required (i.e. electricians, signal maintainers, switch persons, etc.)

Flagging Charges

The Contractor must provide CTA with a minimum of seventy-two (72) week day hours to schedule flagmen for a project (this means that flagmen required for the following Monday must be requested by 12:00PM (Noon) the previous Wednesday). Flagmen are scheduled for a minimum of eight (8) hours. Cancellations of flagmen orders require a twenty-four (24) hour advance notice, otherwise, the Contractor will be charged for the scheduled workers.

Slow Zones and Supplies

If a project requires the use of slow zones (work that is in close proximity to CTA tracks that requires Trains to reduce speeds), CTA will supply the signage for a fee. The contractor will be charged a fee of \$1,600.00 for each set of slow zone signage and associated equipment issued. The initial set of batteries for the lighting supplies will be provided by the CTA; however the contractor will need to supply any subsequent batteries/bulbs. Additionally, the contractor will be responsible for setting up, maintaining, removing, and securing the slow zones (Note: Contractor workers must have completed the Rail Right-of-Way Safety Training Session). The contractor will be refunded the balance remaining from the slow zone charge, less \$200.00 per ninety (90) days of usage and the cost of unreturned equipment.

Inspector Charges

Projects scheduled during weekend hours count as overtime for CTA inspectors. Weekend hours begin Saturday at 5:00 AM and end Monday at 5:00 AM. CTA requires a five (5) day advance notice from Contractors to schedule inspectors for weekend projects. If the Contractor's initial deposit amount is expended prior to the completion of the project, CTA will require an additional deposit to cover the remaining work for the project. CTA will not provide services if additional funds are not provided. After official project completion, all unused funds will be returned to the contractor.

All checks must be payable to the:

Chicago Transit Authority,
567 West Lake Street
Chicago, IL 60661

To ensure prompt service, please include the estimate sheet, your Commitment Letter, and address it to the attention of Abdin Carrillo. If you have any questions, please contact me at (312) 681.3913

Sincerely

Abdin Carrillo
Manager, Construction Management Oversight



CHICAGO TRANSIT AUTHORITY

567 West Lake Street
Chicago, Illinois 60661-1498
TEL 312 664-7200
www.transitchicago.com

Rail Safety Training

All Contractor/Subcontractor/Consultant personnel assigned to work on, under, above, or adjacent to the CTA Right-Of-Way (R.O.W) and inside Rail Maintenance Facilities adjacent to six-hundred (600) VDC, are required to successfully complete a one-day (8-hour) Rail Safety Training (R.S.T.) Course administered by CTA in order to qualify for a Rail Right-Of-Way Safety Card. The course identifies the dangers that exist on the Rail System, including moving trains and the 600-volt DC Traction Power Distribution System. The CTA Representative (Abdin Carrillo) will determine if specific situations may not require R.S.T. (e.g., all work will be outside CTA's R.O.W. and there is NO chance that personnel, material or equipment will penetrate CTA R.O.W. or impact Rail Operations).

The General Contractor is responsible for requesting Rail Safety Training for Contractor/Subcontractor employees by either calling or providing an email to Ora Hardaway, CTA (contact info below). The General Contractor (no Subcontractors are to contact CTA) shall give the full names and the last 4 digits of the social security numbers for each individual proposed for the training. The Contractor shall include a check payable to the "Chicago Transit Authority", for the individual charges of the "Rail Safety Training Fee" multiplied by the number of individuals proposed for training. The "Rail Safety Training Fee" is currently \$200.00 (payable in advance) and is non-refundable. Individuals that fail to report for training or are rejected for training must reschedule (additional training fees will apply).

Scheduling Procedures

1. Contact: Ora Hardaway, ohardaway@transitchicago.com, (312) 681-3951 to register for class at least two (2) weeks in advance (it is recommended that Contractors schedule even further in advance due to high volume of work).
2. Once approved, you will receive a faxed or email confirmation and information packet.

CTA FLAGGING AND COORDINATION

All work to be done by the Contractor on, over, or in close proximity of the CTA (Chicago Transit Authority) right-of-way and infrastructure shall be performed according to Article 107.12 of the Standard Specifications and this specification. This specification includes language from CTA Master Specification Section 01 35 15, "Special Project Procedures for Adjacent Construction." No interruption to CTA service will be allowed unless approved in writing by the CTA.

The CTA's Representative for this project will be:

Mr. Abdin Carrillo
Project Manager, Construction Oversight
(312) 681-3913

1.01 SUMMARY

- A. This section includes the requirements for safe construction operations on, above, below and adjacent to operating tracks of the CTA rail system. The Contractor shall be responsible for compliance with the CTA, *Safety Manual for Contract Construction On, Above, or Adjacent to the CTA Rail System* (in effect at such time). The Contractor shall also be responsible for compliance with the CTA *Adjacent Construction Manual* (in effect at such time) – this manual may be found at <https://www.transitchicago.com/nearbyconstruction/> NOTE: In case of conflict between the manual and this CTA FLAGGING AND COORDINATION Specification, the most stringent shall apply.
- B. After the letting of the contract and prior to performing any work, the CTA Representative shall be notified by the Department to attend the preconstruction meeting. In this meeting, the Contractor shall confer with the CTA's Representative regarding the CTA's requirements for the protection of clearances, operations and safety.
- C. Prior to the start of any work on or over the CTA's right-of-way, the Contractor shall meet with the CTA Representative to determine his requirements for flagmen and all other necessary items related to the work activities on, over and next to the CTA facilities and to receive CTA's approval for the Contractor's proposed operations. At least twenty-one (21) calendar days prior to the start of work the Contractor must request CTA to prepare a Right-of-Entry document. The Contractor must also conform to all requirements of the "CTA Requirements for Contractors Working along the Right-of-Way (R.O.W.)" - this document may be found herein and at <https://www.transitchicago.com/nearbyconstruction/> .
- D. The Contractor shall notify the CTA Representative 72-hours in advance of the time he intends to enter upon the CTA right-of-way for the performance of any work.
- E. When the scope of work under this contract includes construction activities adjacent to and above CTA tunnels, then work activities shall protect the existing CTA infrastructure and allow unimpeded service to CTA customers unless specifically allowed by CTA as identified herein.

1.02 PROJECT CONDITIONS

- A. The Chicago Transit Authority (CTA) is an operating transportation agency and must maintain rail operations at all scheduled times for the benefit of the public. The Contractor shall conduct his operations in such a manner as not to cause damage to the CTA equipment, put the public or the CTA personnel in danger, cause inconvenience to the customers, interrupt train service (except as permitted herein) or cause avoidable inconvenience to the public and the surrounding communities.
- B. The CTA will be operating trains during the construction of this project. The rail operations are 24 hours per day, seven days per week.
- C. Certain portions of the project may be performed on, above or adjacent to sections of track where rail service is suspended in order to facilitate the work. For any work occurring within, above or adjacent to a section of track to be taken out of service, the Contractor shall confirm with the CTA that track within the work limits has been taken out of service and the third rail de-energized, as required, prior to beginning the work.
- D. If the CTA deems any of the Contractor's work or operations hazardous to the CTA's operations or to the public, the CTA shall contact the Engineer. The Engineer may elect to order the Contractor to immediately suspend work until reasonable remedial measures are taken satisfactory to the CTA.
- E. The CTA may review any of the Contractor's procedures, methods, temporary structures, tools or equipment that will be utilized within the CTA Right-of-Way. These reviews do not relieve the Contractor of responsibility for the safety, maintenance, and repairs of any temporary structure or work, or for the safety, construction, and maintenance of the work, or from any liability whatsoever on account of any procedure or method employed, or due to any failure or movement of any temporary structure, tools or equipment furnished as necessary to execute work on CTA Right-of-Way.
- F. At least five (5) weeks prior to the start of any work on, above or adjacent to the CTA right-of-way, the Contractor will be required to attend weekly coordination meetings with CTA Operations and other CTA departments to review and coordinate proposed work activities of the Contractor(s). The Contractor will be required to provide a five week look-ahead schedule, in a format acceptable to CTA, reflecting proposed work activities within the CTA Right-of-Way.
- G. The Contractor, through the Engineer, shall submit a Rail Service Bulletin Request form to the CTA at least twenty-one (21) calendar days in advance of the Contractor's proposed scheduled time to enter upon the CTA Right-of-Way for the performance of any work under this Contract. Bulletin requests will be required when performing work which impacts rail operations such as prior to each phase of staged station construction, Track Access Occurrences, track survey, etc.
- H. CTA generally permits only one Track Access Occurrence at a time on any given route. Other work on CTA's system, including required operations and/or maintenance by CTA, or work by other contractors elsewhere on the route, may

limit the available dates of track access occurrences for this project. The Contractor is strongly encouraged to submit Rail Service Bulletin requests with more than the twenty-one (21) day minimum required advance notice. The CTA has indicated that they typically will not grant Track Access Occurrences on consecutive weekend periods in order to provide scheduled service to customers.

- I. The Contractor shall at all times observe all rules, safety regulations and other requirements of the CTA, including, but not limited to, the following Standard Operating Procedures (SOP's).

No. 7037, "Flagging on the Right-of-Way".
No. 7038, "Train Operation Through Slow Zones".
No. 7041, "Slow Zones".
No. 8111, "Workers Ahead Warning System".
No. 8130, "Safety on Rapid Transit Tracks".
No. 8212, "Test Train Procedures"
Sketch 2000-SZ-1, Slow Zone Equipment

1.03 REIMBURSEMENT OF COSTS

- A. The cost of all flagmen, infrastructure crews, engineering inspection, switchmen, and other workmen furnished by the CTA and authorized by the Engineer shall be paid for directly to the CTA by the Contractor.
- B. The costs associated with Track Access Occurrences granted and established by the CTA shall be paid for directly to the CTA by the Contractor.
- C. The amount paid to the Contractor shall be the amount charged to the Contractor for all authorized CTA charges including CTA additive rates audited and accepted by the Department, according to Article 107.12 and Article 109.05 of the Standard Specifications.
- D. Following approval of the CTA invoices by the Department, the Contractor shall pay all monies to the CTA as invoiced and shall submit to the Department certified and notarized evidence of the amount of payments. No overhead or profit will be allowed on these payments.
- E. If there are maximum amounts of flagger shifts identified within this specification and if Contractor operations require flagger shifts that are granted by the CTA beyond these limits, the Contractor shall pay for the services, but will receive no reimbursement.
- F. The Department will not be liable for any delays by the CTA in providing flagmen, establishing track closures or other service provided by the CTA and identified within this special provision.

1.04 RAIL SAFETY TRAINING

- A. All Contractor and Subcontractor employees assigned to work on, over or near the CTA Right-of-Way shall be required to attend an all-day Rail Right-of-Way Safety Training Session in accordance with the CTA, *Safety Manual for Contract Construction On, Above, or Adjacent to the CTA Rail System*. The cost of this training is currently \$200.00 per employee, paid by the Contractor in advance. The certification is good for one calendar year from the date of issuance. The Contractor shall coordinate rail safety training with the Engineer. The cost of training shall be paid directly to the CTA by the Contractor.
- B. Rail Right-of-Way Safety Training for Contractor and subcontractor personnel will be scheduled by CTA as training slots become available. The Contractor is advised that the Contractor's failure to request training sufficiently in advance of when the employee is required on the work site shall not be cause for relaxing the requirement for Rail Right-of-Way Safety Training.
- C. The \$200.00 fee is non-refundable. If any individual fails to report for training or is rejected for training and must be rescheduled, an additional \$200.00 will be required. No additional compensation will be made for the rescheduling of any training.
- D. Upon successful completion of CTA Rail Safety Training, each trainee will be issued a non-transferable Rail Safety Tour Identification Card with the trainee's photo and a decal with pressure sensitive adhesive to be affixed on the hard hat. The Rail Safety Tour Identification Card and the decal are valid for one (1) year from the date of issue. The validity of the Card and the decal are in no way related to the length of this Contract.
- E. Contractor and Subcontractor personnel must renew their Rail Safety Tour Identification Cards annually by successfully completing Rail Safety Training again. Contractor or Subcontractor personnel who fail to maintain a valid Rail Safety Tour Identification Card are not permitted to work on, above or adjacent to the CTA Rail Right of Way and CTA reserves the right to remove such personnel from the work site.
- F. The costs incurred by the Contractor for CTA Rail Safety Training will not be reimbursed.

1.05 MANDATORY ITEMS FOR EMPLOYEES ON CTA RIGHT-OF-WAY

- A. Contractor's and Subcontractor's employees assigned to work on the CTA Right-of-Way:
 - 1. Contractor's and Subcontractor's employees will be given individual property permits. These permits shall be carried by each employee at all times while on CTA property. All permits issued shall be returned to CTA at the completion of the project, if the employee no longer works on this project, or on the date of expiration.
 - 2. Each employee shall carry a valid Rail Safety Tour Identification Card at all times while on CTA right-of-way in accordance with Article 2-2 of the CTA Safety Manual.
 - 3. All employees shall wear an undamaged hard hat with current rail safety

sticker affixed, CTA standard safety vest and eye protection at all times while on CTA right-of-way. Noise protection shall be used when necessary. The Contractor must also comply with all OSHA requirements as required for the work. The CTA shall provide the rail safety sticker to each Contractor employee upon successful completion of the Rail Right-of-Way Safety Training.

4. Contractor personnel shall wear suitable work shoes with defined heel and non-slip soles. Steel toes or metal cleats on the sole or heel of shoes are prohibited. Shoelaces are to be kept short so they do not pose a tripping hazard. Athletic shoes, sandals, open-toed shoes, moccasins and/or shoes with heels higher than 1" are not permitted.

5. Contractor personnel shall have a non-metallic, working flashlight after dark or when working in the subway.

6. All employees shall wear an undamaged hard hat with current rail safety sticker affixed, CTA standard safety vest, eye protection and face-mask / face-covering performing work all times while on CTA right-of-way. Noise protection shall be used when necessary. The Contractor must also comply with all OSHA requirements as required for the work. The CTA shall provide the rail safety sticker to each Contractor employee upon successful completion of the Rail Right-of-Way Safety Training.

7. Contractor and Subcontractor employees assigned to work adjacent to or above the CTA right-of-way shall wear a face-mask / face-covering while performing work on CTA property

B. Contractor and Subcontractor employees assigned to work adjacent to or above the CTA right-of-way shall wear a CTA standard safety vest at all times. Personnel without current Rail Safety Training and a valid property permit shall not enter onto any CTA Right-of-Way.

1.06 WORK AREA AVAILABILITY

A. DEFINITIONS

1. RIGHT-OF-WAY WORK: Any work performed at, above, or below track level within the CTA Right-of-Way.

2. IN-SERVICE TRACK: All CTA tracks are in service seven days a week, 24 hours a day, unless specifically removed from service for specific times by a Rail Service Bulletin issued by the Vice President, Rail Operations. Copies of the CTA's current train schedule for the lines affected by this project is available on the CTA's website and are subject to changes at any time, before or during, the Contract.

3. OUT-OF-SERVICE TRACK: The CTA tracks within limits defined by CTA that are temporarily removed from service for the purpose of completing specific work. Traction power will remain on at all times unless power removal is requested by the Contractor and approved by the CTA. In such cases, traction power must be removed and restored by CTA personnel. The Contractor may request the CTA to de-energize portions of the CTA right-of-way to perform work on, or near an Out-of-Service Track when no revenue service is scheduled, or as specified under a Rail Service Bulletin. Upon completion of the Out-of-Service Work, the Contractor shall maintain sufficient personnel on-site to correct any deficiencies in the Contractor's Work discovered by the

CTA during power and service restoration and testing.

4. TRACK ACCESS OCCURRENCE: A condition(s) which provides a modification to the normal operation of CTA service to facilitate access for a Contractor(s) to perform work on or near the CTA Right-of-Way as defined and limited herein.
5. RE-ROUTE: Modification to the normal routing of trains in order to remove rail traffic from a section of track to facilitate access for a Contractor(s) to perform work on or near the CTA Right-of-Way as defined and limited herein.
6. LINE CUT: A temporary cessation of all service on a transit line; meaning total stoppage of transit service on all tracks and at all stations within the closure zone to facilitate access for a contractor(s) to perform work on or near the CTA Right-of-Way as defined and limited herein.
7. SINGLE-TRACK: A temporary operation established by operating trains bi-directionally on one track while the adjacent track is taken out-of-service as defined in paragraph 1.05.a.4, above. Only one single-track at a time can be set up on a line and only for very limited time periods. If CTA or a separate contractor(s) request single track operations along the same line concurrently with the Contractor for this contract, CTA shall have the exclusive authority to determine which request shall be granted.
8. RUSH HOURS: Monday through Friday, from 0500 to 0900 hours and from 1500 to 1900 hours.
9. FLAGGER SHIFT: A flagger shift is defined as the services of a CTA Flagman up to, but no more than eight (8) hours including travel and required breaks. For example:
 - a. A Contractor five hour work shift which requires 3 flaggers will use 3 flagger shifts.
 - b. A Contractor eight hour work shift requiring 3 flaggers shall use 6 flagger shifts (because travel & break time will increase the flaggers work hours beyond eight).
 - c. A Contractor ten hour work shift requiring 3 flaggers will use 6 flagger shifts.
10. INFRASTRUCTURE SHIFT: An infrastructure shift is defined as up to, but no more than eight (8) hours worked per CTA Infrastructure employee. For example:
 - a. A Contractor five hour work shift requiring 2 signal maintainers will use 2 infrastructure shifts.
 - b. A Contractor eight hour work shift requiring 2 towermen shall use 2 infrastructure shifts.
 - c. A ten hour work shift requiring 2 lineman will use 4 infrastructure shifts.
11. PERSON-IN-CHARGE (PIC): A person or persons, specified in a CTA Rail Service Bulletin, who is solely in charge of a work zone and is the single point contact between CTA and all persons (Contractor's, CTA and others) working in a work zone. The Rail Service Bulletin may identify the PIC by name or by radio call number. The Engineer or the Engineer's designee shall serve as PIC.
12. POWER & WAY SERVICE BULLETIN (PWS Bulletin): A document authorized by the CTA Infrastructure Division intended to supplement a CTA Rail Service Bulletin by defining power/signal removal and restoration procedures and other work zone protection measures required to safely perform construction and/or maintenance work on or adjacent to the CTA Right-

of-Way (ROW).

- B. No service disruptions will be allowed for the completion of this work, except as noted herein. If the CTA deems it necessary, the CTA will impact operations to avoid a hazardous condition to either the passengers or employees and charge the Contractor for all associated costs and damages incurred. No compensation will be made for CTA charges to the Contractor due to unauthorized Contractor access or other unapproved impacts to CTA operations.

1.07 CTA OPERATING REQUIREMENTS

- A. Strictly comply with operating requirements of the Chicago Transit Authority while construction work is in progress, specifically as follows:
 - 1. All work performed on the CTA Right-of-Way will be allowed during the Construction Period only in accordance with the Article 1.08 "ALLOWABLE HOURS OF CONSTRUCTION". During most periods of construction, a "slow zone" shall be established at the work site and flagging personnel shall be deployed to facilitate safe and continuous train operations and to protect Contractor, CTA employees, passengers, the general public and property in the vicinity.
 - 2. No one is permitted to enter the CTA Right-of-Way during Rush Hours. Access to the underside of the existing or proposed bridge structure within the limits of the CTA Right-of-Way will not be permitted.
- B. As much work as possible is to be done under normal CTA operating conditions (under traffic) without disruption of train movements. A maximum interruption of service to the CTA traffic of 15 minutes or as agreed upon with the CTA will be allowed. No interruption to CTA service will be allowed unless approved in writing by the CTA. The CTA has indicated during overnight periods, train headways are between fifteen (15) and thirty (30) minutes.
- C. Pedestrian traffic access to CTA station facilities shall be maintained at all times. Barricades and signage for sidewalk closures as well as all details for pedestrian crossings of street intersections at the entrance of the station must be coordinated with the CTA at least twenty-eight (28) days prior to modifications to staging.
- D. Bus traffic access to CTA station facilities must be maintained. Any proposed changes to bus routes or normal access by pedestrians will need to be coordinated and approved by CTA (and Pace where applicable).
- E. Access control of the CTA Right-of-Way must be maintained at all times. This includes eliminating openings directly to the Right-of-Way where existing median barriers are to be removed. All planned removals of existing access control must be coordinated with the CTA, with plans for counter measures provided to the CTA at least three (3) weeks prior to removals. If the CTA grants the removal of a portion of the existing access control, the Contractor shall provide a fence system to enclose the Contractor's work area and provide a visual separation between the Contractor's work area and the CTA operating track(s). The fence shall be designed and installed to meet all CTA requirements, including, but not limited to,

horizontal clearance requirements, minimum wind and vertical loading, foundation embedment, screening, fencing connections, installation requirements, maintenance of the fence throughout the installed period, removal of the fence at the completion of the period for the fence need and restoration of the CTA Right-of-Way. The Engineer and CTA shall approve all fence designs, components and installation procedures prior to the start of fence installation. The cost to design, install, maintain and remove the fence shall be considered included in the work required to be performed within the CTA Right-of-Way and will not be paid for separately.

1.08 ALLOWABLE HOURS OF CONSTRUCTION

- A. Construction activities within CTA Right-of-Way are not permitted during Rush Hours. Access to the underside of the existing or proposed bridge structure within the limits of the CTA Right-of-Way will not be permitted during Rush Hours.
- B. Construction activities within CTA Right-of-Way may be permitted during non-Rush Hour periods under flagging protection with the advance concurrence of the CTA as follows:
 - 1. Monday thru Friday: From 0900 to 1500 and from 1900 hours to 0500 hours the next day (the power shall remain on for these hours unless allowed via specific Track Access Occurrence).
 - 2. Weekends: 1900 hours Friday to 0500 hours Monday
- C. Track Access Occurrences:
 - 1. The total number of Track Access Occurrences shall be as specified below:
 - a. Overnight Single Tracks: A maximum of six (6) Overnight Single-Track Track Access Occurrences will be permitted. Construction activities within the CTA Right-of-Way may be permitted between the hours of 22:00 and 04:00 the following morning, including any time required for test trains stipulated in the Rail Service Bulletin.
 - b. Weekend Single Tracks: A maximum of zero (0) Weekend Single-Track Track Access Occurrences will be permitted. Construction activities within the CTA Right-of-Way may be permitted between the hours of 22:00 Friday night and 04:00 the following Monday morning, including any time required for test trains stipulated in the Rail Service Bulletin.
 - c. If proposed work requires that CTA operations be suspended due to any circumstance, the Engineer must be informed immediately to coordinate the service suspension with the CTA. Any reimbursement to the CTA for the granting of a Track Access Occurrence must be approved by the Engineer.

2. The exact dates and hours for all Track Access Occurrences are subject to change by the CTA depending on the nature of the work, access requirements of CTA personnel, work performed under separate contract or operational requirements of the CTA. The approval of specific dates and times for Track Access Occurrences on this Contract may be affected by major events or by a Track Access Occurrence scheduled elsewhere on that route or the CTA System. The CTA has indicated that they typically will not grant Track Access Occurrences on consecutive weekend periods in order to provide scheduled service to customers.
 3. Contractors completing other Department projects may also request Track Access Occurrences along the same section of track as described herein. These projects are identified in CONTRACTOR COOPERATION. Provided these Track Access Occurrences are approved, scheduled and initiated by the CTA, the Contractor shall be able to access CTA Right-of-Way with no impact to the total count of Track Access Occurrences attributed to this Contract.
- D. The CTA reserves the right to modify the allowable dates or hours of track access occurrences based on service requirements for the subject route and manpower availability for the date and location requested.
- E. The CTA reserves the right to deny or to cancel a previously approved request for a Track Access Occurrence based on service requirements for the time period requested. The CTA may notify the Contractor of such denial or cancellation no later than 1 day prior to a Track Access Occurrence. Service requirements may be affected by major events (e.g., festivals, White Sox and Cubs games, concerts), or by a Track Access Occurrence scheduled elsewhere on that route or the CTA System.
- F. The Contractor will not be permitted to perform work requiring a Track Access Occurrence or Flagging during the following special events:
1. St. Patrick's Day Parade
 2. Easter Sunday
 3. Chicago Cubs Home Games
 4. Memorial Day Weekend
 5. Chicago Blues Festival
 6. Pride Parade
 7. Independence Day
 8. Taste of Chicago
 9. Pitchfork Music Festival
 10. Lollapalooza
 11. Chicago Air and Water Show
 12. Labor Day Weekend
 13. Chicago Marathon
 14. Mag Mile Lights Parade
 15. The Saturday before Thanksgiving Day through the Monday following Thanksgiving
 16. Christmas Eve and Christmas Day
 17. New Year's Eve and New Year's Day

In addition, CTA reserves the right to limit or deny access to the system during other major special events that may develop and that may impact service needs, during emergencies, and during severe weather conditions.

The CTA, at their discretion, may provide a Track Access Occurrence or Flagging during a time period identified above provided the request is made in conformance with this specification and is properly scheduled with the CTA as required.

1.09 CONSTRUCTION PROCESS PLAN

- A. CTA will require the Contractor to submit a Construction Process Plan whenever any work, in the opinion of the CTA, affects the safety or causes disruption of service or inconvenience to transit users, CTA Operations or impacts CTA Right-of-Way including, but not limited to: protection of CTA tracks/ CTA Right-of-Way, demolition, temporary shoring installation, drilled shaft installation, pier construction, structural steel erection over CTA tracks/ CTA Right-of-Way, temporary pedestrian bridge to CTA's station entrance, and any other necessary temporary construction related to the above listed items. At a minimum, an individual Construction Process Plan shall be required for each instance the Contractor requests a Track Access Occurrence from CTA and for any work that requires flagging protection from CTA.
- B. A draft Construction Process Plan must be submitted to CTA by such method as the CTA may direct, at least twenty-one (21) calendar days in advance of work and at least fourteen (14) calendar days prior to a pre-activity meeting. The plan shall include/address the following:
 - 1. Applicable Contract Documents
 - 2. Options
 - 3. Possible conflicts
 - 4. Compatibility problems
 - 5. Time schedules
 - 6. Weather limitations
 - 7. Temporary facilities & signage
 - 8. Space and access limitations
 - 9. Governing regulations
 - 10. Safe Work Plans (including Hazard Analysis)
 - 11. CTA Operations Impact
 - 12. Proposed Traffic Control & Staging Areas
 - 13. Lift Plan
 - 14. For construction processes where failure of temporary structures will result in service interruptions and/or damage to CTA infrastructure CTA will require calculations and drawings signed and sealed by an Illinois SE. These processes include but are not limited to temporary Earth Retention Structures, formwork (SEE CTA STANDARD SPECIFICATIONS, SECTION 03 30 00, CAST-IN-PLACE CONCRETE, PARAGRAPH 1.05 SUBMITALS, SUBPARAGRAPH C FOR FORMWORK SHOP DRAWING REQUIREMENTS- INCLUDED AS EXHIBIT "A"), lift plans and demolition. CTA also reserves the right to require a 3rd party SE review of the calculations, drawings and installation.

- C. The draft plan must also include reference to all Contractor Requests for Information (RFI's) and submittals that pertain to work identified in the plan.
- D. In addition, for any work to be performed during a Track Access Occurrence, the Contractor shall provide the following to the CTA:
 - 1. A track access plan submitted to and approved by the CTA specifically identifying the area(s) of power removal and work zone protection methods being requested by the Contractor.
 - 2. Work zone protection methods to be performed by the Contractor
 - 3. Name, title, contact information, and work hours for Contractor's on-site supervision
 - 4. Work zone protection requested by the Contractor for implementation by the CTA (subject to CTA approval).
 - 5. Pre-approved Safety and Quality Control Checklists, applicable to the work elements being performed during the specific track(s) outage request for completion by the Contractor and submission to the Person-In-Charge during Track Access Occurrence.
 - 6. A general schedule reflecting proposed work to be performed within the requested Track Access Occurrence.
- E. After pre-activity meeting minutes have been agreed to, all comments from the meeting must be incorporated into a final Construction Process Plan. This plan must be submitted and approved by the Engineer and CTA prior to the start of related work.
- F. Prior to the CTA implementing an authorized Track Access Occurrence, the Contractor must provide, at least 48 hours in advance, an hourly schedule broken into tasks with a defined critical path that clearly establishes milestones that may be monitored. The hourly schedule shall also include, but not be limited to:
 - 1. Name, title, contact information, and work hours for Contractor's on-site supervision.
 - 2. Power removal (min 1 hour)
 - 3. Proposed work activities.
 - 4. Activities for inspection and completion of safety & quality checklists by Contractor.
 - 5. Submission of safety & quality checklists to the CTA's Person-In-Charge (PIC) during Track Access Occurrence. The checklists shall be submitted to the PIC prior to commencing power restoration activities.
 - 6. Power, Signal Restoration (min 1 hour).
 - 7. Test train (min ½ hour).
- G. The CTA intends to issue Power & Way Service Bulletins to supplement CTA Rail Service Bulletins. The Power & Way Service Bulletins are intended to provide

procedural guidelines for safely removing and restoring the CTA's power & way systems (primarily traction power & signal) within the limits defined by the contract and Contractors specific track outage plan(s).

- H. CTA labor shall be required to de-energize and re-energize traction power and perform such other work as may be deemed by the CTA to be required pursuant to the Contractor's work activities and authorized Track Access Occurrences, etc. CTA Signal Maintainer shall also be required to observe and witness the Contractor disconnection and reconnection of temporary signal work at each location where modifications are performed to support construction activities. One Signal Maintainer will be required to witness testing at each location or housing where it is taking place. CTA Signal Maintainer shall also be required to witness the Contractor restoration safety testing, prior to the line being returned to the CTA.
- I. Two Linemen will be required at each location where traction power is energized or de-energized. The Contractor's schedule must include travel time for the CTA Electrician's (min ½ hour) if they are to energize or de-energize traction power at more than one location.
- J. Failure of the Contractor to provide the CTA the minimum specified time required for the removal and restoration of all Power & Way systems within an authorized Track Access Occurrence will result in specified liquidated damages for failure to return track(s) to service in accordance with the contract requirements. There will be no reimbursement for liquidated damages charged to the Contractor by CTA. The following schedule for liquidated damages has been established by the CTA:

From 1 minute through 29 minutes delay - \$5,000.00

From 30 minutes through 59 minutes delay – an additional \$5,000.00

For each additional hour or fraction thereof - \$30,000.00 per hour

- K. When scope of work under this Contract includes construction activities adjacent to the existing CTA tunnels, the construction process plan shall identify the following items to be approved by the CTA prior to all construction near the CTA tunnels:
 - 1. The scope and sequence of work near the CTA tunnel
 - 2. The type of equipment to be used adjacent to the tunnel
 - 3. Equipment to be operated, stored or serviced within the limits of the projected edges of the CTA tunnels up to ground
 - 4. Specialized pads, racks, mats or other supports for any equipment to be operated or stored or materials to be stored over CTA tunnels
 - 5. Excavation limits in the area of the CTA tunnels, braced excavation or temporary earth retention system designs to be used (if applicable), excavation procedures (including hand, vacuum, hydro and other non-mechanical techniques), and other elements related to the excavations near the CTA tunnels

6. Materials and activities to protect the CTA tunnels during excavations and proposed construction near the CTA tunnels
 7. Emergency plan and communication protocol in the event there is confirmed damage to the CTA tunnels due to Contractor activities
 8. Restoration plan and construction techniques to restore the soil fill around and over the CTA tunnels
- L. Placing equipment and materials in the area above the CTA tunnels is at the discretion of the CTA, and must be authorized prior to the start of any activities above and around the tunnel. In order for the CTA to evaluate the impact due to Contractor activities, a Structural Assessment Report shall be prepared concerning the CTA tunnel structures.
1. The Contractor shall retain the services of an engineering firm, prequalified in the IDOT consultant selection category of Highway Bridge (Advance Typical / Complex), for preparation of the Structural Assessment Report(s). Contractor's pre-approval shall not be applicable for this project. Preparation of the Structural Assessment Report(s) shall be at the Contractor's expense.
 2. The Contractor is advised that the existing structures most likely contain elements that are in deteriorated conditions with reduced load carrying capacities. It is the Contractor's responsibility to account for the condition of existing structures when developing construction procedures for using them to support construction loads.
 3. The Contractor shall verify that the structural demands of the applied loads due to the Contractor's means and methods will not exceed the available capacity of the structure at the time loads are applied nor will any overstress to the tunnel structure occur. The Contractor may need to provide modifications to the existing tunnels (or other methods of retrofitting) to support construction loads. Locations and design of such modifications system will be the responsibility of the Contractor, will not be paid for separately, and will be subject to the review and approval of the CTA.
 4. The modifications may include constructing elements adjacent to the CTA tunnels to reduce the load transfer to the tunnel structures. Any proposed improvements within the area of the tunnel to support Contractor operations will not be paid for separately, but will be included in the cost of other items.

1.10 HAZARDOUS WORKING CONDITIONS

- A. The Contractor shall caution all employees of the presence of electric third rail (600 volts DC), live cables and moving trains on CTA tracks. The Contractor shall take all necessary precautions to prevent damage to life or property through contact with the electrical or operations systems. The Contractor shall caution all employees that any contact with live electric third rail or "live" portions of train undercarriage may result in a severe burn or death.

- B. The Contractor shall establish third-rail safety precautions in accordance with CTA regulations, such as using insulating hoods or covers for live third rail or cables adjacent to the work. On every day and at every work site where a live third rail hazard exists, the Contractor shall instruct all employees of the emergency procedures. Knowledge of the disconnect switch locations or manner of disconnection shall be available at all times to the personnel on the job. Unless otherwise noted, only CTA Electricians are allowed to disconnect power.
- C. The third rail may be de-energized during authorized Track Access Occurrences. The planning and implementation of the de-energizing shall be listed in the Contractor's process plan and include documenting checklist requirements.

1.11 TRACK SAFETY

- A. The Contractor shall, at all times, take special care to conduct operations over, on, under, adjacent to, or adjoining, the CTA Right-of-Way in such a manner as not to cause damage, settlement or displacement of any structures, tracks or any portion thereof. Contractor will monitor CTA tracks for vertical and horizontal movements, if required by the CTA. Contractor to refer to the requirements as identified under the *CTA Adjacent Construction Manual (see 1.01.A)*.
- B. Any damages to the CTA tracks, supporting structures or other existing facilities and properties caused by the Contractor's operations shall be replaced or repaired by the Contractor to the satisfaction of the CTA without reimbursement. Contractor shall obtain photo documentation of damaged property to the CTA prior to performing any repair or replacement work.
- C. The CTA shall have the right to perform any work it deems to be of an emergency nature and/or necessary to permit normal train operations during construction operations by the Contractor. The work to be completed by the CTA may impact the ongoing Contractor operations. If the emergency work is required due to Contractor actions, the cost of such service or emergency work provided by the CTA shall be borne by the Contractor with no reimbursement by the Department.
- D. All work shall comply with the CTA, *Safety Manual for Contract Construction On, Above, or Adjacent to the CTA Rail System* and CTA Standard Operating Procedures.
- E. Train Clearances
 - 1. Minimum 7'-2" Horizontal Clearance:
The Contractor shall take such precautions as are necessary to ensure the safety and continuity of the CTA operations and passengers. The Contractor shall provide a minimum horizontal clearance of 7'-2" from the centerline of the nearest tangent track to any falsework, bracing and forms or other temporary obstruction during the work under this Contract. The clearance requirements for curved track sections must be calculated by the Contractor to ensure encroachment into the clearance envelope will not occur. Prepare, submit and obtain approval of detailed drawings prepared and sealed by a licensed

structural engineer in the state of Illinois for all falsework, sheeting and construction procedures adjacent to and under the tracks before doing any work on same. After obtaining approval of such plans, said falsework, sheeting and construction procedures shall be constructed strictly in accordance with the approved drawings and specifications. All submittals must be submitted to the Engineer to be provided to the CTA. In case of any settlement or displacement of structures or tracks, the Contractor shall immediately proceed with all shoring or other work necessary to maintain the CTA property in a safe condition for the operation of train service. If the Contractor fails to undertake this work within 24 hours after notice by the Engineer in writing, the CTA may proceed to repair or shore any such structure or tracks; and the cost thereof shall be billed to the Contractor with no compensation. If the settlement or displacement is severe enough to limit train service, the repairs shall be made immediately. All costs of any disruption to the CTA service due to the Contractor's operations or negligence shall be at the Contractor's expense with no compensation.

2. Limited minimum 6'-1" Horizontal Clearance:

In limited cases and with advance authorization by the CTA, a minimum horizontal clearance of 6'-1" between the centerline of the nearest tangent track and an obstruction may be allowed. This clearance does not allow CTA or Contractor personnel to safely stand between the obstruction and an operating train. In addition, an obstruction at this clearance is a hazard to motormen with a cab window open. Any required flagging by the CTA will need to be requested as described herein.

3. 14'-6" Vertical Clearance:

Vertical clearance A minimum vertical clearance of 14'-6" (4.42 m) above the high running rail the CTA tracks must be provided at all times.

F. Protective Shield

1. The Contractor shall furnish, install, and later remove protective shields to protect the CTA traffic from damage due to (a) falling material and (b) work on bridge piers.

2. Protective shields will be necessary for any demolition/repair/new construction activities.

3. The protective shield may be a platform, a net, or any other Department approved structure that can support the construction debris and satisfy train clearance requirements.

4. Required protective shield for falling material, as indicated on the plans and the supporting members shall be designed to sustain a load of 200 pounds per square foot in addition to its own weight.

5. Required protective shield for work on bridge piers shall be designed for a 30 psf minimum wind load pressure or greater as determined by Contractor's engineer for site specific conditions. Any other loads that can be imposed by Contractor's

construction activities shall also be included. Preferred material for shield is wood.

6. Drawings and design calculations for the protective shields shall be stamped by an Illinois Licensed Structural Engineer and shall be submitted to the Department for approval. The protective shield shall be constructed only after the Department has approved the drawings and the design.

- G. Work adjacent and above the CTA tunnels must consider the protection of the tunnel structures in addition to items described above related to open track conditions. The protection of the tunnel structure is critical to maintain continuous transit operations. Section 1.09K describes the required items as part of the Construction Process near the tunnel structures. The CTA, at their discretion, may place inspectors, or other personnel, within adjacent tunnel sections during Contractor operations. The CTA personnel will alert the Engineer if the Contractor actions appear to be damaging the CTA tunnel structure(s).

1.12 TRACK FLAGGING OPERATIONS

- A. Temporary Track Flagging slow zones per CTA SOP 7041 and CTA, *Safety Manual for Contract Construction On, Above or Adjacent to the CTA Rail System* are restricted in the following manner:

1. Temporary track flagging slow zones can only be mobilized, utilized and demobilized in non-rush hour time periods and no more than one (1) Track Flagging Operation zone will be permitted at any given time. The Contractor will be the responsible party responsible to furnish (Contractor may purchase signage from CTA if Contractor does not have) and install the required slow zone signage and equipment. A Track Flagging Operation zone is defined as a contiguous work zone, of no more than 600 feet in length, regardless of the number of tracks fouled. The costs for all manpower, signage and equipment for flagging operations will be billed by the CTA to the Contractor with reimbursement as defined herein.

2. Current Standard Operating Procedures require Slow Zone with flagging protection whenever any workers are scheduled to work on, across or near a section of track. Flagging protection shall be ordered and assigned according to the CTA Flagmen Requirements Manual. These standards must be adhered to and the number of flagmen assigned to a work location shall be as required by the CTA Flagmen Requirements Manual that is available for public viewing at CTA Headquarters upon request. If the work will take place in an area of restricted visibility then flagmen must be assigned (for any number of workers/duration of work) and a slow zone must be established.

3. Temporary Track Flagging slow zone signs will be placed, removed or turned by the Contractor so the sign cannot be read from the motor cab or hooded to cover the sign so it may not be read from the motor cab when the work crew clears the Right-of-Way.

4. The Contractor shall provide the Engineer with a written request for

flagmen and other personnel at least seventy two (72) hours (two normal working days and before noon) prior to the date, and time the work will be performed and the CTA personnel are requested. The Engineer or the Engineer's designee will coordinate all flagmen requests with the CTA.

5. A maximum of (N/A) flagger shifts will be reimbursed as part of the Contract (N/A- All Flagger shifts will be reimbursed by IDOT, unless noted otherwise). The costs for additional flagger shifts required for the Contractor's operations that are requested and granted by the CTA will be reviewed after the flagger shift request has been made to the Engineer.

- B. The providing of such personnel and any other safety precautions taken by the CTA shall not relieve the Contractor of any liability for death, injury or damage arising in connection with the construction operations. See CTA SOP No. 7037, "Flagging on the right-of-way", for a description of flagging personnel duties.
- C. To minimize flagmen usage, the Contractor shall use approved barricades, barricaded scaffolds and/or safety railings. Barricades and safety railing arrangements shall be in accordance with Section 4-5.3 of the CTA, *Safety Manual for Contract Construction On, Above, or Adjacent to the CTA Rail System*.
- D. The CTA does not guarantee that flagging or other personnel will always be available when requested. The Contractor shall be advised that requests for flagging manpower must conform to the CTA Flagman Requirements Manual, and certain work locations require multiple flagging personnel when only one track is fouled by the work.
- E. The Contractor shall pay for all flagging and other personnel costs incurred and charged by the CTA. The cost for the each flagger shift shall be approximately \$900.00 per flagger shift (exact cost will be based on actual wage rates, fringes and overhead). The Contractor shall also be responsible to reimburse the CTA for all costs associated with the use of other personnel for infrastructure shifts throughout the duration of the contract. The cost for any other CTA personnel (signalmen, linemen, towermen, inspectors, etc.) shall be approximately \$1,100.00 per infrastructure shift (exact cost will be based on actual wage rates, fringes and overhead). CTA personnel assigned to monitor CTA tunnels during Contractor operations identified within Section 1.11I are considered as infrastructure shifts.
- F. By labor contract, CTA flagging personnel are entitled to a 30-minute break after a continuous 5-1/2 hour work period, including report and travel time. The 5-1/2 hour period begins when the person reports to work at his or her home terminal. Additionally, flagging personnel are entitled to occasional personal breaks (to use the washroom facilities) during the normal course of work. When flagging personnel leave the work site, work must cease unless provision is made for a relief flagger. The Contractor shall coordinate the Project work schedule with the flagging personnel break periods.
- G. All employees of the Contractor and subcontractors shall report any actions of perceived CTA employee misconduct, or if any CTA employee does not provide a full level of cooperation in support of the contract; immediately and directly to the Engineer. The Engineer will provide written correspondence to the CTA Project

Manager, as well as CTA Operations. Only with timely, written documentation will CTA be enabled to resolve work site personnel issues and take appropriate disciplinary action, when necessary.

- H. If the Contractor, Engineer, CTA Construction or Safety Inspector believes that the Flagman is unable to perform his/her duties responsibly, work shall be stopped immediately, ensure that the Right-of-Way is safe for train operations, and the Work Crew shall exit, without delay, the Rail System Right-of-Way. The Contractor must contribute incident information to the Engineer to that a written report can be submitted to the CTA prior to the end of the workday.
 - 1. In addition, all employees of the Contractor and subcontractors must report any actions of perceived CTA employee misconduct, or if any CTA employee does not provide a full level of cooperation in support of the contract immediately to the Engineer. The Engineer will then contact the CTA's Control Center and/or CTA Rail Operations Route Manager. Within 24 hours of alleged incident, the Engineer must provide a written report to the CTA including detailed explanation of incident, employee badge numbers, location of incident, etc. The Contractor must contribute incident information to the Engineer.
 - 2. Failure to make the proper notification in writing may adversely affect any claim that the Department may file with respect to CTA employee performance or lack thereof.
- I. CTA Flaggers only provide flagging protection for the CTA Right-of-Way, and only CTA Flaggers are permitted to provide flagging protection for the CTA Right-of-Way. Flaggers for streets, highways or other railroads are solely the responsibility of the Contractor, and will not be permitted to provide flagging protection for the CTA Right-of-Way. Any additional flagging required by other agencies or railroads is the responsibility of the Contractor.

1.13 TRACK ACCESS OCCURRENCES

- A. The entire system must be fully operational when the tracks are put back into service after a Track Access Occurrence. The track where work was conducted must be returned to the CTA in revenue condition; all stations must be open, fully functional and properly cleaned. The Contractor shall be immediately available with sufficient staff for up to one hour after revenue operation begins to ensure that all systems are functioning properly.
- B. The Contractor shall allow enough time prior to putting the tracks back into service to make sure the line can be fully operational. A test train shall be required after any construction activity, determined by the Engineer or CTA, to require a test train. The scheduling of test trains must include travel time to and from the location being tested. Additional time should also be allowed for any possible remedial work required before the system can be made fully operational.
- C. All components of the system, including, but not limited to, tracks, signals, stations, entrances, etc. must be fully and properly operational prior to putting the tracks and facilities back into service. Any facilities under demolition or construction

and any temporary facilities must be safe and secure so they do not impact revenue service operations.

- D. The Contractor shall be subject to fines if any station, facility, yard, structure, track, or component is not fully operational and useable at the prescribed predetermined time; including all planned staging of construction sites. The CTA will identify appropriate fines at the time of the incident. No compensation will be made for fines levied by the CTA due to Contractor actions or delays in providing CTA facilities at prescribed times.
- E. The Contractor shall clean all debris and equipment from the work or staging areas after work has been completed after each work day. In the event the Contractor fails to so clean to the CTA's satisfaction, the CTA may perform any necessary cleaning and fine the Contractor the cost of such cleaning. No compensation will be made for fines levied by the CTA due to delays and cleaning costs.

EXHIBIT "A"

CTA STANDARD SPECIFICATIONS, SECTION 03 30 00, CAST-IN-PLACE CONCRETE, PARAGRAPH 1.05 SUBMITALS, SUBPARAGRAPH C (FORMWORK SHOP DRAWING REQUIREMENTS):

The Contractor shall submit formwork shop drawings and calculations for all structural concrete to the Authority for review with the exception of footings, piers, pier caps, walls, etc. that are less than six (6) feet tall and not directly adjacent to the tracks. The shop drawings shall indicate the fabrication, erection and support procedures for the formwork. The formwork shop drawings and structural calculations for the design of the formwork and formwork support shall be signed and sealed by an Illinois licensed structural engineer. Show form construction including jointing, special form joints, location and pattern of form tie placement and other items that affect exposed concrete visually. The Contractor shall make modifications to the procedure if required, to obtain results that are satisfactory to the Authority, only after receiving approval in writing from the Authority. .

1. Formwork design calculations are to have the following minimum requirements.
 - a. All loads applied on the formwork must be identified and must have a load path thru the structure to a suitable foundation. All elements in the load path must be designed and detailed.
 - b. Formwork to be designed per ACI 347-Guide to Formwork for Concrete.
 - c. Tie splices are to have equal or greater capacity than the tie rods, i.e. coil ties splices are not to be used.
 - d. Actual mix design needs to be used to calculate pressure on formwork.
 - e. Actual concrete temperature (or colder temperature to be conservative) needs to be used to calculate pressure on formwork.

- f. Actual pour rate (or faster pour rate to be conservative) needs to be used to calculate pressure on formwork.
 - g. In such case that the formwork is a prefabricated unit that has limits to the pressures it can support, the SE should provide the contractor with a maximum pour rate (or lower pour rate if dictated by the contractor) based on conservatively assumed temperature and mix design. Formwork system then to be designed based on a pour rate agreed to between the IL SE and the contractor.
 - h. In such cases where formwork or accessories are proprietary, the design SE (or the independent SE as part of the independent review in part 4), as part of the calculation package shall provide documentation explaining that they have reviewed the analysis and/or testing verification done by the manufacturer and understand that they, by signing and sealing the calculation package with the proprietary products, are liable for any failures.
- 2. Formwork shop drawings are to have the following minimum requirements.
 - a. They are to match the formwork calculations.
 - b. Accessories not supplied by the formwork supplier and expected to be supplied by the Contractor need to be identified as such and called out with a product manufacturer, name and part number, or other such material specifications, to ensure the contractor purchases the same product that was designed by the formwork design Structural Engineer.
 - c. Overlay rebar shop drawing information and other adjacent construction information at locations of potential conflict and coordinate a solution.
 - d. If directly adjacent to tracks or road, show section to verify clearance with trains and/or vehicle traffic.
- 3. The Contractor shall submit product data sheets, material certifications, etc. for accessories noted on the formwork shop drawings as not being supplied by the formwork supplier.
- 4. Formwork design calculations, shop drawings and product data submittals to be reviewed by an Independent Structural Engineer, hired by the Contractor, and the review process is to have the following minimum requirements.
 - a. The Independent Structural Engineer reviewer, as a minimum, is to review the formwork calculations and shop drawings to ensure the formwork design Structural Engineer has addressed the minimum requirements for shop drawings and calculations as noted herein.
 - b. Formwork design Structural Engineer and Independent Structural Engineer to coordinate and come to a resolution on disagreements.
 - c. Once formwork design Structural Engineer and Independent Structural Engineer come to resolution on all disagreements, a final set of formwork shop drawings and calculations signed and sealed by the formwork design Structural Engineer are to be issued for construction to the Authority along with a signed and sealed letter

from the Independent Structural Engineer noting that all of their concerns have been addressed with an attachment of itemized comments and resolution actions.

REMOVAL AND DISPOSAL OF REGULATED SUBSTANCES (BDE)

Effective: January 1, 2024

Revised: April 1, 2024

Revise the first paragraph of Article 669.04 of the Standard Specifications to read:

“669.04 Regulated Substances Monitoring. Regulated substances monitoring includes environmental observation and field screening during regulated substances management activities. The excavated soil and groundwater within the work areas shall be managed as either uncontaminated soil, hazardous waste, special waste, or non-special waste.

As part of the regulated substances monitoring, the monitoring personnel shall perform and document the applicable duties listed on form BDE 2732 “Regulated Substances Monitoring Daily Record (RSM DR)”.

Revise the first two sentences of the nineteenth paragraph of Article 669.05 of the Standard Specifications to read:

“The Contractor shall coordinate waste disposal approvals with the disposal facility and provide the specific analytical testing requirements of that facility. The Contractor shall make all arrangements for collection, transportation, and analysis of landfill acceptance testing.”

Revise the last paragraph of Article 669.05 of the Standard Specifications to read:

“The Contractor shall select a permitted landfill facility or CCDD/USFO facility meeting the requirements of 35 Ill. Admin. Code Parts 810-814 or Part 1100, respectively. The Department will review and approve or reject the facility proposed by the Contractor based upon information provided in BDE 2730. The Contractor shall verify whether the selected facility is compliant with those applicable standards as mandated by their permit and whether the facility is presently, has previously been, or has never been, on the United States Environmental Protection Agency (U.S. EPA) National Priorities List or the Resource Conservation and Recovery Act (RCRA) List of Violating Facilities. The use of a Contractor selected facility shall in no manner delay the construction schedule or alter the Contractor's responsibilities as set forth.”

Revise the first paragraph of Article 669.07 of the Standard Specifications to read:

“669.07 Temporary Staging. Soil classified according to Articles 669.05(a)(2), (b)(1), or (c) may be temporarily staged at the Contractor's option. All other soil classified according to Articles 669.05(a)(1), (a)(3), (a)(4), (a)(5), (a)(6), or (b)(2) shall be managed and disposed of without temporary staging to the greatest extent practicable. If circumstances beyond the Contractor's control require temporary staging of these latter materials, the Contractor shall request approval from the Engineer in writing.

Topsoil for re-use as final cover which has been field screened and found not to exhibit PID readings over daily background readings as documented on the BDE 2732, visual staining or odors, and is classified according to Articles 669.05(a)(2), (a)(3), (a)(4), (b)(1), or (c) may be temporarily staged at the Contractor's option.”

Add the following paragraph after the sixth paragraph of Article 669.11 of the Standard Specifications.

“The sampling and testing of effluent water derived from dewatering discharges for priority pollutants volatile organic compounds (VOCs), priority pollutants semi-volatile organic compounds (SVOCs), or priority pollutants metals, will be paid for at the contract unit price per each for VOCS GROUNDWATER ANALYSIS using EPA Method 8260B, SVOCS GROUNDWATER ANALYSIS using EPA Method 8270C, or RCRA METALS GROUNDWATER ANALYSIS using EPA Methods 6010B and 7471A. This price shall include transporting the sample from the job site to the laboratory.”

Revise the first sentence of the eight paragraph of Article 669.11 of the Standard Specifications to read:

“Payment for temporary staging of soil classified according to Articles 669.05(a)(1), (a)(3), (a)(4), (a)(5), (a)(6), or (b)(2) to be managed and disposed of, if required and approved by the Engineer, will be paid according to Article 109.04.”

SEEDING (BDE)

Effective: November 1, 2022

Revise Article 250.07 of the Standard Specifications to read:

“**250.07 Seeding Mixtures.** The classes of seeding mixtures and combinations of mixtures will be designated in the plans.

When an area is to be seeded with two or more seeding classes, those mixtures shall be applied separately on the designated area within a seven day period. Seeding shall occur prior to placement of mulch cover. A Class 7 mixture can be applied at any time prior to applying any seeding class or added to them and applied at the same time.

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TABLE 1 - SEEDING MIXTURES			
Class - Type	Seeds	lb/acre (kg/hectare)	
1 Lawn Mixture 1/	Kentucky Bluegrass	100	(110)
	Perennial Ryegrass	60	(70)
	<i>Festuca rubra</i> ssp. <i>rubra</i> (Creeping Red Fescue)	40	(50)
1A Salt Tolerant Lawn Mixture 1/	Kentucky Bluegrass	60	(70)
	Perennial Ryegrass	20	(20)
	<i>Festuca rubra</i> ssp. <i>rubra</i> (Creeping Red Fescue)	20	(20)
	<i>Festuca brevipila</i> (Hard Fescue)	20	(20)
	<i>Puccinellia distans</i> (Fults Saltgrass or Salty Alkaligrass)	60	(70)
1B Low Maintenance Lawn Mixture 1/	Turf-Type Fine Fescue 3/	150	(170)
	Perennial Ryegrass	20	(20)
	Red Top	10	(10)
	<i>Festuca rubra</i> ssp. <i>rubra</i> (Creeping Red Fescue)	20	(20)
2 Roadside Mixture 1/	<i>Lolium arundinaceum</i> (Tall Fescue)	100	(110)
	Perennial Ryegrass	50	(55)
	<i>Festuca rubra</i> ssp. <i>rubra</i> (Creeping Red Fescue)	40	(50)
	Red Top	10	(10)
2A Salt Tolerant Roadside Mixture 1/	<i>Lolium arundinaceum</i> (Tall Fescue)	60	(70)
	Perennial Ryegrass	20	(20)
	<i>Festuca rubra</i> ssp. <i>rubra</i> (Creeping Red Fescue)	30	(20)
	<i>Festuca brevipila</i> (Hard Fescue)	30	(20)
	<i>Puccinellia distans</i> (Fults Saltgrass or Salty Alkaligrass)	60	(70)
3 Northern Illinois Slope Mixture 1/	<i>Elymus canadensis</i>	5	(5)
	(Canada Wild Rye) 5/		
	Perennial Ryegrass	20	(20)
	Alsike Clover 4/	5	(5)
	<i>Desmanthus illinoensis</i>	2	(2)
	(Illinois Bundleflower) 4/ 5/		
	<i>Schizachyrium scoparium</i>	12	(12)
	(Little Bluestem) 5/		
	<i>Bouteloua curtipendula</i>	10	(10)
	(Side-Oats Grama) 5/		
	<i>Puccinellia distans</i> (Fults Saltgrass or Salty Alkaligrass)	30	(35)
	Oats, Spring	50	(55)
3A Southern Illinois Slope Mixture 1/	Slender Wheat Grass 5/	15	(15)
	Buffalo Grass 5/ 7/	5	(5)
	Perennial Ryegrass	20	(20)
	<i>Elymus canadensis</i>	20	(20)
	(Canada Wild Rye) 5/		
	<i>Panicum virgatum</i> (Switchgrass) 5/	10	(10)
	<i>Schizachyrium scoparium</i>	12	(12)
	(Little Blue Stem) 5/		
	<i>Bouteloua curtipendula</i>	10	(10)
	(Side-Oats Grama) 5/		
	<i>Dalea candida</i>	5	(5)
	(White Prairie Clover) 4/ 5/		
	<i>Rudbeckia hirta</i> (Black-Eyed Susan) 5/	5	(5)
	Oats, Spring	50	(55)

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Class – Type	Seeds	lb/acre (kg/hectare)
4 Native Grass 2/ 6/	<i>Andropogon gerardi</i>	4 (4)
	(Big Blue Stem) 5/	
	<i>Schizachyrium scoparium</i>	5 (5)
	(Little Blue Stem) 5/	
	<i>Bouteloua curtipendula</i>	5 (5)
	(Side-Oats Grama) 5/	
	<i>Elymus canadensis</i>	1 (1)
	(Canada Wild Rye) 5/	
	<i>Panicum virgatum</i> (Switch Grass) 5/	1 (1)
	<i>Sorghastrum nutans</i> (Indian Grass) 5/	2 (2)
4A Low Profile Native Grass 2/ 6/	Annual Ryegrass	25 (25)
	Oats, Spring	25 (25)
	Perennial Ryegrass	15 (15)
	<i>Schizachyrium scoparium</i>	5 (5)
	(Little Blue Stem) 5/	
	<i>Bouteloua curtipendula</i>	5 (5)
	(Side-Oats Grama) 5/	
	<i>Elymus canadensis</i>	1 (1)
	(Canada Wild Rye) 5/	
	<i>Sporobolus heterolepis</i>	0.5 (0.5)
4B Wetland Grass and Sedge Mixture 2/ 6/	(Prairie Dropseed) 5/	
	Annual Ryegrass	25 (25)
	Oats, Spring	25 (25)
	Perennial Ryegrass	15 (15)
	Wetland Grasses (species below) 5/	6 (6)
	<u>Species:</u>	<u>% By Weight</u>
	<i>Calamagrostis canadensis</i> (Blue Joint Grass)	12
	<i>Carex lacustris</i> (Lake-Bank Sedge)	6
	<i>Carex slipata</i> (Awl-Fruited Sedge)	6
	<i>Carex stricta</i> (Tussock Sedge)	6
	<i>Carex vulpinoidea</i> (Fox Sedge)	6
	<i>Eleocharis acicularis</i> (Needle Spike Rush)	3
	<i>Eleocharis obtusa</i> (Blunt Spike Rush)	3
	<i>Glyceria striata</i> (Fowl Manna Grass)	14
	<i>Juncus effusus</i> (Common Rush)	6
	<i>Juncus tenuis</i> (Slender Rush)	6
	<i>Juncus torreyi</i> (Torrey's Rush)	6
	<i>Leersia oryzoides</i> (Rice Cut Grass)	10
	<i>Scirpus acutus</i> (Hard-Stemmed Bulrush)	3
	<i>Scirpus atrovirens</i> (Dark Green Rush)	3
	<i>Bolboschoenus fluviatilis</i> (River Bulrush)	3
	<i>Schoenoplectus tabernaemontani</i> (Softstem Bulrush)	3
	<i>Spartina pectinata</i> (Cord Grass)	4

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Class – Type	Seeds	lb/acre (kg/hectare)
5	Forb with Annuals Mixture (Below)	1 (1)
	Annuals Mixture 2/ 5/ 6/ Forb Mixture (Below)	10 (10)
Annuals Mixture - Mixture not exceeding 25 % by weight of any one species, of the following:		
<i>Coreopsis lanceolata</i> (Sand Coreopsis)		
<i>Leucanthemum maximum</i> (Shasta Daisy)		
<i>Gaillardia pulchella</i> (Blanket Flower)		
<i>Ratibida columnifera</i> (Prairie Coneflower)		
<i>Rudbeckia hirta</i> (Black-Eyed Susan)		
Forb Mixture - Mixture not exceeding 5 % by weight PLS of any one species, of the following:		
<i>Amorpha canescens</i> (Lead Plant) 4/		
<i>Anemone cylindrica</i> (Thimble Weed)		
<i>Asclepias tuberosa</i> (Butterfly Weed)		
<i>Aster azureus</i> (Sky Blue Aster)		
<i>Symphyotrichum leave</i> (Smooth Aster)		
<i>Aster novae-angliae</i> (New England Aster)		
<i>Baptisia leucantha</i> (White Wild Indigo) 4/		
<i>Coreopsis palmata</i> (Prairie Coreopsis)		
<i>Echinacea pallida</i> (Pale Purple Coneflower)		
<i>Eryngium yuccifolium</i> (Rattlesnake Master)		
<i>Helianthus mollis</i> (Downy Sunflower)		
<i>Heliopsis helianthoides</i> (Ox-Eye)		
<i>Liatris aspera</i> (Rough Blazing Star)		
<i>Liatris pycnostachya</i> (Prairie Blazing Star)		
<i>Monarda fistulosa</i> (Prairie Bergamot)		
<i>Parthenium integrifolium</i> (Wild Quinine)		
<i>Dalea candida</i> (White Prairie Clover) 4/		
<i>Dalea purpurea</i> (Purple Prairie Clover) 4/		
<i>Physostegia virginiana</i> (False Dragonhead)		
<i>Potentilla arguta</i> (Prairie Cinquefoil)		
<i>Ratibida pinnata</i> (Yellow Coneflower)		
<i>Rudbeckia subtomentosa</i> (Fragrant Coneflower)		
<i>Silphium laciniatum</i> (Compass Plant)		
<i>Silphium terebinthinaceum</i> (Prairie Dock)		
<i>Oligoneuron rigidum</i> (Rigid Goldenrod)		
<i>Tradescantia ohimensis</i> (Spiderwort)		
<i>Veronicastrum virginicum</i> (Culver's Root)		

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Class – Type	Seeds	lb/acre (kg/hectare)
5A Large Flower Native Forb Mixture 2/ 5/ 6/	Forb Mixture (see below)	5 (5)
<u>Species:</u> <i>Aster novae-angliae</i> (New England Aster) <i>Echinacea pallida</i> (Pale Purple Coneflower) <i>Helianthus mollis</i> (Downy Sunflower) <i>Heliopsis helianthoides</i> (Ox-Eye) <i>Liatris pycnostachya</i> (Prairie Blazing Star) <i>Ratibida pinnata</i> (Yellow Coneflower) <i>Rudbeckia hirta</i> (Black-Eyed Susan) <i>Silphium laciniatum</i> (Compass Plant) <i>Silphium terebinthinaceum</i> (Prairie Dock) <i>Oligoneuron rigidum</i> (Rigid Goldenrod)		<u>% By Weight</u> 5 10 10 10 10 5 10 10 20 10
5B Wetland Forb 2/ 5/ 6/	Forb Mixture (see below)	2 (2)
<u>Species:</u> <i>Acorus calamus</i> (Sweet Flag) <i>Angelica atropurpurea</i> (Angelica) <i>Asclepias incarnata</i> (Swamp Milkweed) <i>Aster puniceus</i> (Purple Stemmed Aster) <i>Bidens cernua</i> (Beggarticks) <i>Eutrochium maculatum</i> (Spotted Joe Pye Weed) <i>Eupatorium perfoliatum</i> (Boneset) <i>Helenium autumnale</i> (Autumn Sneeze Weed) <i>Iris virginica shrevei</i> (Blue Flag Iris) <i>Lobelia cardinalis</i> (Cardinal Flower) <i>Lobelia siphilitica</i> (Great Blue Lobelia) <i>Lythrum alatum</i> (Winged Loosestrife) <i>Physostegia virginiana</i> (False Dragonhead) <i>Persicaria pensylvanica</i> (Pennsylvania Smartweed) <i>Persicaria lapathifolia</i> (Curlytop Knotweed) <i>Pychanthemum virginianum</i> (Mountain Mint) <i>Rudbeckia laciniata</i> (Cut-leaf Coneflower) <i>Oligoneuron riddellii</i> (Riddell Goldenrod) <i>Sparganium eurycarpum</i> (Giant Burreed)		<u>% By Weight</u> 3 6 2 10 7 7 7 2 2 5 5 2 5 10 10 5 5 2 5
6 Conservation Mixture 2/ 6/	<i>Schizachyrium scoparium</i> (Little Blue Stem) 5/ <i>Elymus canadensis</i> (Canada Wild Rye) 5/ Buffalo Grass 5/ 7/ Vernal Alfalfa 4/ Oats, Spring	5 (5) 2 (2) 5 (5) 15 (15) 48 (55)
6A Salt Tolerant Conservation Mixture 2/ 6/	<i>Schizachyrium scoparium</i> (Little Blue Stem) 5/ <i>Elymus canadensis</i> (Canada Wild Rye) 5/ Buffalo Grass 5/ 7/ Vernal Alfalfa 4/ Oats, Spring <i>Puccinellia distans</i> (Fults Saltgrass or Salty Alkaligrass)	5 (5) 2 (2) 5 (5) 15 (15) 48 (55) 20 (20)
7 Temporary Turf Cover Mixture	Perennial Ryegrass Oats, Spring	50 (55) 64 (70)

Notes:

- 1/ Seeding shall be performed when the ambient temperature has been between 45 °F (7 °C) and 80 °F (27 °C) for a minimum of seven (7) consecutive days and is forecasted to be the same for the next five (5) days according to the National Weather Service.
- 2/ Seeding shall be performed in late fall through spring beginning when the ambient temperature has been below 45 °F (7 °C) for a minimum of seven (7) consecutive days and ending when the ambient temperature exceeds 80 °F (27 °C) according to the National Weather Service.
- 3/ Specific variety as shown in the plans or approved by the Engineer.
- 4/ Inoculation required.
- 5/ Pure Live Seed (PLS) shall be used.
- 6/ Fertilizer shall not be used.
- 7/ Seed shall be primed with KNO₃ to break dormancy and dyed to indicate such.

Seeding will be inspected after a period of establishment. The period of establishment shall be six (6) months minimum, but not to exceed nine (9) months. After the period of establishment, areas not exhibiting 75 percent uniform growth shall be interseeded or reseeded, as determined by the Engineer, at no additional cost to the Department.”

SHORT TERM AND TEMPORARY PAVEMENT MARKINGS (BDE)

Effective: April 1, 2024

Revised: April 2, 2024

Revise Article 701.02(d) of the Standard Specifications to read:

“(d) Pavement Marking Tapes (Note 3)1095.06”

Add the following Note to the end of Article 701.02 of the Standard Specifications:

“Note 3. White or yellow pavement marking tape that is to remain in place longer than 14 days shall be Type IV tape.”

Revise Article 703.02(c) of the Standard Specifications to read:

“(c) Pavement Marking Tapes (Note 1)1095.06”

Add the following Note to the end of Article 703.02 of the Standard Specifications:

“Note 1. White or yellow pavement marking tape that is to remain in place longer than 14 days shall be Type IV tape.”

Revise Article 1095.06 of the Standard Specifications to read:

“**1095.06 Pavement Marking Tapes.** Type I white or yellow marking tape shall consist of glass spheres embedded into a binder on a foil backing that is precoated with a pressure sensitive adhesive. The spheres shall be of uniform gradation and distributed evenly over the surface of the tape.

Type IV tape shall consist of white or yellow tape with wet reflective media incorporated to provide immediate and continuing retroreflection in wet and dry conditions. The wet retroreflective media shall be bonded to a durable polyurethane surface. The patterned surface shall have approximately 40 ± 10 percent of the surface area raised and presenting a near vertical face to traffic from any direction. The channels between the raised areas shall be substantially free of exposed reflective elements or particles.

Blackout tape shall consist of a matte black, non-reflective, patterned surface that is precoated with a pressure sensitive adhesive.

- (a) Color. The white and yellow markings shall meet the following requirements for daylight reflectance and color, when tested, using a color spectrophotometer with 45 degrees circumferential/zero degree geometry, illuminant D65, and two degree observer angle. The color instrument shall measure the visible spectrum from 380 to 720 nm with a wavelength measurement interval and spectral bandpass of 10 nm.

Color	Daylight Reflectance %Y
White	65 min.
Yellow *	36 - 59

*Shall match Aerospace Material Specification Standard 595 33538 (Orange Yellow) and the chromaticity limits as follows.

x	0.490	0.475	0.485	0.530
y	0.470	0.438	0.425	0.456

- (b) Retroreflectivity. The white and yellow markings shall be retroreflective. Reflective values measured in accordance with the photometric testing procedure of ASTM D 4061 shall not be less than those listed in the table below. The coefficient of retroreflected luminance, R_L , shall be expressed as average millicandelas/footcandle/sq ft (millicandelas/lux/sq m), measured on a 3.0 x 0.5 ft (900 mm x 150 mm) panel at 86 degree entrance angle.

Coefficient of Retroreflected Luminance, R_L , Dry					
Type I			Type IV		
Observation Angle	White	Yellow	Observation Angle	White	Yellow
0.2°	2700	2400	0.2°	1300	1200
0.5°	2250	2000	0.5°	1100	1000

Wet retroreflectance shall be measured for Type IV under wet conditions according to ASTM E 2177 and meet the following.

Wet Retroreflectance, Initial R_L	
Color	R_L 1.05/88.76
White	300
Yellow	200

- (c) Skid Resistance. The surface of Type IV and blackout markings shall provide a minimum skid resistance of 45 BPN when tested according to ASTM E 303.
- (d) Application. The pavement marking tape shall have a precoated pressure sensitive adhesive and shall require no activation procedures. Test pieces of the tape shall be applied according to the manufacturer's instructions and tested according to ASTM D 1000, Method A, except that a stiff, short bristle roller brush and heavy hand pressure will be substituted for the weighted rubber roller in applying the test pieces to the metal test panel. Material tested as directed above shall show a minimum adhesion value of 750 g/in. (30 g/mm) width at the temperatures specified in ASTM D 1000. The adhesive shall be resistant to oils, acids, solvents, and water, and shall not leave objectionable stains or residue after removal. The material shall be flexible and conformable to the texture of the pavement.
- (e) Durability. Type IV and blackout tape shall be capable of performing for the duration of a normal construction season and shall then be capable of being removed intact or in large sections at pavement temperatures above 40 °F (4 °C) either manually or with a roll-up device without the use of sandblasting, solvents, or grinding. The Contractor shall provide a manufacturer's certification that the material meets the requirements for being removed after the following minimum traffic exposure based on transverse test decks with rolling traffic.
- (1) Time in place - 400 days
 - (2) ADT per lane - 9,000 (28 percent trucks)
 - (3) Axle hits - 10,000,000 minimum

Samples of the material applied to standard specimen plates will be measured for thickness and tested for durability in accordance with ASTM D 4060, using a CS-17 wheel and 1000-gram load, and shall meet the following criteria showing no significant change in color after being tested for the number of cycles indicated.

Test	Type I	Type IV	Blackout
Minimum Initial Thickness, mils (mm)	20 (0.51)	65 (1.65) ^{1/} 20 (0.51) ^{2/}	65 (1.65) ^{1/} 20 (0.51) ^{2/}
Durability (cycles)	5,000	1,500	1,500

1/ Measured at the thickest point of the patterned surface.

2/ Measured at the thinnest point of the patterned surface.

The pavement marking tape, when applied according to the manufacturer's recommended procedures, shall be weather resistant and shall show no appreciable fading, lifting, or shrinkage during the useful life of the marking. The tape, as applied, shall be of good appearance, free of cracks, and edges shall be true, straight, and unbroken.

- (f) Sampling and Inspection.
- (1) Sample. Prior to approval and use of Type IV pavement marking tape, the manufacturer shall submit a notarized certification from an independent laboratory,

together with the results of all tests, stating that the material meets the requirements as set forth herein. The independent laboratory test report shall state the lot tested, the manufacturer's name, and the date of manufacture.

After initial approval by the Department, samples and certification by the manufacturer shall be submitted for each subsequent batch of Type IV tape used. The manufacturer shall submit a certification stating that the material meets the requirements as set forth herein and is essentially identical to the material sent for qualification. The certification shall state the lot tested, the manufacturer's name, and the date of manufacture.

- (2) Inspection. The Contractor shall provide a manufacturer's certification to the Engineer stating the material meets all requirements of this specification. All material samples for acceptance tests shall be taken or witnessed by a representative of the Bureau of Materials and shall be submitted to the Engineer of Materials, 126 East Ash Street, Springfield, Illinois 62704-4766 at least 30 days in advance of the pavement marking operations."

SIGN PANELS AND APPURTENANCES (BDE)

Effective: January 1, 2025

Revised: April 1, 2025

Add Article 720.02(c) of the Standard Specifications to read:

"(c) Aluminum Epoxy Mastic1008.03"

Revise the second and third paragraphs of Article 720.02 of the Standard Specifications to read:

"The sign mounting support channel shall be manufactured from steel or aluminum and shall be according to Standard 720001.

Steel support channels shall be according to ASTM A 1011 (A 1011M), ASTM A 635 (A 635M), ASTM A 568 (A 568M), or ASTM A 684 (A 684M), and shall be galvanized. Galvanizing shall be according to ASTM A 653 (A 653M) when galvanized before fabrication, and AASHTO M 111 (M 111M) when galvanized after fabrication. Field or post fabricated drilled holes shall be spot painted with one coat of aluminum epoxy mastic paint prior to installation."

Revise the fifth paragraph of Article 720.02 of the Standard Specifications to read:

"The stainless steel banding for mounting signs or sign support channels to light or signal standards shall be according to ASTM A 240 (A 240M) Type 302 stainless steel."

SOURCE OF SUPPLY AND QUALITY REQUIREMENTS (BDE)

Effective: January 2, 2023

Add the following to Article 106.01 of the Standard Specifications:

“The final manufacturing process for construction materials and the immediately preceding manufacturing stage for construction materials shall occur within the United States. Construction materials shall include an article, material, or supply that is or consists primarily of the following.

- (a) Non-ferrous metals;
- (b) Plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables);
- (c) Glass (including optic glass);
- (d) Lumber;
- (e) Drywall.

Items consisting of two or more of the listed construction materials that have been combined through a manufacturing process, and items including at least one of the listed materials combined with a material that is not listed through a manufacturing process shall be exempt.”

STEEL COST ADJUSTMENT (BDE)

Effective: April 2, 2004

Revised: November 1, 2025

Description. Steel cost adjustments will be made to provide additional compensation to the Contractor, or a credit to the Department, for fluctuations in steel prices when optioned by the Contractor. The bidder shall indicate with their bid whether or not this special provision will be part of the contract. Failure to indicate “Yes” for any item of work will make that item of steel exempt from steel cost adjustment.

Types of Steel Products. An adjustment will be made for fluctuations in the cost of steel used in the manufacture of the following items:

Metal Piling (excluding temporary sheet piling)
Structural Steel
Reinforcing Steel

Other steel materials such as dowel bars, tie bars, welded reinforcement, guardrail, steel traffic signal and light poles, towers and mast arms, metal railings (excluding wire fence), and frames and grates will be subject to a steel cost adjustment when the pay items they are used in have a contract value of \$10,000 or greater.

The adjustments shall apply to the above items when they are part of the original proposed construction, or added as extra work and paid for by agreed unit prices. The adjustments shall not apply when the item is added as extra work and paid for at a lump sum price or by force account.

Documentation. The following documentation shall be furnished to the Engineer.

- (a) The dates and quantity of steel, in lb (kg), shipped from the mill to the fabricator.
- (b) The quantity of steel, in lb (kg), incorporated into the various items of work covered by this special provision. The Department reserves the right to verify submitted quantities.

Method of Adjustment. Steel cost adjustments will be computed as follows:

$$SCA = Q \times D$$

Where: SCA = steel cost adjustment, in dollars
Q = quantity of steel incorporated into the work, in lb (kg)
D = price factor, in dollars per lb (kg)

$$D = MPI_M - MPI_L$$

Where: MPI_M = The Materials Cost Index for steel as published by the Engineering News-Record for the month the steel is shipped from the mill. The indices will be converted from dollars per 100 lb to dollars per lb (kg).

MPI_L = The Materials Cost Index for steel as published by the Engineering News-Record for the month prior to the letting for work paid for at the contract price; or for the month the agreed unit price letter is submitted by the Contractor for extra work paid for by agreed unit price. The indices will be converted from dollars per 100 lb to dollars per lb (kg).

The unit weights (masses) of steel that will be used to calculate the steel cost adjustment for the various items will be derived from submitted documentation.

No steel cost adjustment will be made for any products manufactured from steel having a mill shipping date prior to the letting date.

If the Contractor fails to provide the required documentation, the method of adjustment will be calculated as described above; however, the MPI_M will be based on the date the steel arrives at the job site. In this case, an adjustment will only be made when there is a decrease in steel costs.

Basis of Payment. Steel cost adjustments may be positive or negative but will only be made when there is a difference between the MPI_L and MPI_M in excess of five percent, as calculated by:

$$\text{Percent Difference} = \{(MPI_L - MPI_M) \div MPI_L\} \times 100$$

Steel cost adjustments will be calculated by the Engineer and will be paid or deducted when all other contract requirements for the items of work are satisfied. Adjustments will only be made for

fluctuations in the cost of the steel as described herein. No adjustment will be made for changes in the cost of manufacturing, fabrication, shipping, storage, etc.

The adjustments shall not apply during contract time subject to liquidated damages for completion of the entire contract.

SUBCONTRACTOR AND DBE PAYMENT REPORTING (BDE)

Effective: April 2, 2018

Add the following to Section 109 of the Standard Specifications.

“109.14 Subcontractor and Disadvantaged Business Enterprise Payment Reporting.
The Contractor shall report all payments made to the following parties:

- (a) first tier subcontractors;
- (b) lower tier subcontractors affecting disadvantaged business enterprise (DBE) goal credit;
- (c) material suppliers or trucking firms that are part of the Contractor's submitted DBE utilization plan.

The report shall be made through the Department's on-line subcontractor payment reporting system within 21 days of making the payment.”

SUBCONTRACTOR MOBILIZATION PAYMENTS (BDE)

Effective: November 2, 2017

Revised: April 1, 2019

Replace the second paragraph of Article 109.12 of the Standard Specifications with the following:

“This mobilization payment shall be made at least seven days prior to the subcontractor starting work. The amount paid shall be at the following percentage of the amount of the subcontract reported on form BC 260A submitted for the approval of the subcontractor's work.

Value of Subcontract Reported on Form BC 260A	Mobilization Percentage
Less than \$10,000	25%
\$10,000 to less than \$20,000	20%
\$20,000 to less than \$40,000	18%
\$40,000 to less than \$60,000	16%
\$60,000 to less than \$80,000	14%
\$80,000 to less than \$100,000	12%
\$100,000 to less than \$250,000	10%
\$250,000 to less than \$500,000	9%
\$500,000 to \$750,000	8%
Over \$750,000	7%”

SUBMISSION OF BIDDERS LIST INFORMATION (BDE)

Effective: January 2, 2025

Revised: March 2, 2025

In accordance with 49 CFR 26.11(c) all DBE and non-DBEs who bid as prime contractors and subcontractors shall provide bidders list information, including all DBE and non-DBE firms from whom the bidder has received a quote or bid to work as a subcontractor, whether or not the bidder has relied upon that bid in placing its bid as the prime contractor.

The bidders list information shall be submitted with the bid using the link provided within the “Integrated Contractor Exchange (iCX)” application of the Department’s “EBids System”.

SUBMISSION OF PAYROLL RECORDS (BDE)

Effective: April 1, 2021

Revised: November 2, 2023

FEDERAL AID CONTRACTS. Revise the following section of Check Sheet #1 of the Recurring Special Provisions to read:

“STATEMENTS AND PAYROLLS

The payroll records shall include the worker’s name, social security number, last known address, telephone number, email address, classification(s) of work actually performed, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof), daily and weekly number of hours actually worked in total, deductions made, and actual wages paid.

The Contractor and each subcontractor shall submit certified payroll records to the Department each week from the start to the completion of their respective work, except that full social security numbers, last known addresses, telephone numbers, and email addresses shall not be included on weekly submittals. Instead, the payrolls need only include an identification number for each employee (e.g., the last four digits of the employee’s social security number). The submittals shall be made using LCPTracker Pro software. The software is web-based and can be accessed at <https://lcptracker.com/>. When there has been no activity during a work week, a payroll record shall still be submitted with the appropriate option (“No Work”, “Suspended”, or “Complete”) selected.”

STATE CONTRACTS. Revise Item 3 of Section IV of Check Sheet #5 of the Recurring Special Provisions to read:

- “3. Submission of Payroll Records. The Contractor and each subcontractor shall, no later than the 15th day of each calendar month, file a certified payroll for the immediately preceding month to the Illinois Department of Labor (IDOL) through the Illinois Prevailing Wage Portal in compliance with the State Prevailing Wage Act (820 ILCS 130). The portal

can be found on the IDOL website at <https://www2.illinois.gov/idol/Laws-Rules/CONMED/Pages/Prevailing-Wage-Portal.aspx>. Payrolls shall be submitted in the format prescribed by the IDOL.

In addition to filing certified payroll(s) with the IDOL, the Contractor and each subcontractor shall certify and submit payroll records to the Department each week from the start to the completion of their respective work, except that full social security numbers shall not be included on weekly submittals. Instead, the payrolls shall include an identification number for each employee (e.g., the last four digits of the employee's social security number). In addition, starting and ending times of work each day may be omitted from the payroll records submitted. The submittals shall be made using LCPTracker Pro software. The software is web-based and can be accessed at <https://lcptracker.com/>. When there has been no activity during a work week, a payroll record shall still be submitted with the appropriate option ("No Work", "Suspended", or "Complete") selected."

SURVEYING SERVICES (BDE)

Effective: April 1, 2025

Delete the fourth paragraph of Article 667.04 of the Standard Specifications.

Delete Section 668 of the Standard Specifications.

TRAINING SPECIAL PROVISIONS (BDE)

Effective: October 15, 1975

Revised: September 2, 2021

This Training Special Provision supersedes Section 7b of the Special Provision entitled "Specific Equal Employment Opportunity Responsibilities," and is in implementation of 23 U.S.C. 140(a).

As part of the Contractor's equal employment opportunity affirmative action program, training shall be provided as follows:

The Contractor shall provide on-the-job training aimed at developing full journeyman in the type of trade or job classification involved. The number of trainees to be trained under this contract will be 2. In the event the Contractor subcontracts a portion of the contract work, it shall determine how many, if any, of the trainees are to be trained by the subcontractor, provided however, that the Contractor shall retain the primary responsibility for meeting the training requirements imposed by this special provision. The Contractor shall also ensure that this Training Special Provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training.

The number of trainees shall be distributed among the work classifications on the basis of the Contractor's needs and the availability of journeymen in the various classifications within the reasonable area of recruitment. Prior to commencing construction, the Contractor shall submit to

the Illinois Department of Transportation for approval the number of trainees to be trained in each selected classification and training program to be used. Furthermore, the Contractor shall specify the starting time for training in each of the classifications. The Contractor will be credited for each trainee it employs on the contract work who is currently enrolled or becomes enrolled in an approved program and will be reimbursed for such trainees as provided hereinafter.

Training and upgrading of minorities and women toward journeyman status is a primary objective of this Training Special Provision. Accordingly, the Contractor shall make every effort to enroll minority trainees and women (e.g. by conducting systematic and direct recruitment through public and private sources likely to yield minority and women trainees) to the extent such persons are available within a reasonable area of recruitment. The Contractor will be responsible for demonstrating the steps it has taken in pursuance thereof, prior to a determination as to whether the Contractor is in compliance with this Training Special Provision. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

No employee shall be employed as a trainee in any classification in which he or she has successfully completed a training course leading to journeyman status or in which he or she has been employed as a journeyman. The Contractor should satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used, the Contractor's records should document the findings in each case.

The minimum length and type of training for each classification will be as established in the training program selected by the Contractor and approved by the Illinois Department of Transportation and the Federal Highway Administration. The Illinois Department of Transportation and the Federal Highway Administration shall approve a program, if it is reasonably calculated to meet the equal employment opportunity obligations of the Contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved by not necessarily sponsored by the U.S. Department of Labor Employment Training Administration shall also be considered acceptable provided it is being administered in a manner consistent with the equal employment obligations of Federal-aid highway construction contracts. Approval or acceptance of a training program shall be obtained from the State prior to commencing work on the classification covered by the program. It is the intention of these provisions that training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training is permissible in lower level management positions such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications. Training in the laborer classification may be permitted provided that significant and meaningful training is provided and approved by the Illinois Department of Transportation and the Federal Highway Administration. Some offsite training is permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

Except as otherwise noted below, the Contractor will be reimbursed 80 cents per hour of training given an employee on this contract in accordance with an approved training program. As approved by the Engineer, reimbursement will be made for training of persons in excess of the number specified herein. This reimbursement will be made even though the Contractor receives additional training program funds from other sources, provided such other source does not specifically prohibit the Contractor from receiving other reimbursement. Reimbursement for

offsite training indicated above may only be made to the Contractor where he does one or more of the following and the trainees are concurrently employed on a Federal-aid project; contributes to the cost of the training, provides the instruction to the trainee or pays the trainee's wages during the offsite training period.

No payment shall be made to the Contractor if either the failure to provide the required training, or the failure to hire the trainee as a journeyman, is caused by the Contractor and evidences a lack of good faith on the part of the Contractor in meeting the requirement of this Training Special Provision. It is normally expected that a trainee will begin his training on the project as soon as feasible after start of work utilizing the skill involved and remain on the project as long as training opportunities exist in his work classification or until he has completed his training program.

It is not required that all trainees be on board for the entire length of the contract. A Contractor will have fulfilled his responsibilities under this Training Special Provision if he has provided acceptable training to the number of trainees specified. The number trained shall be determined on the basis of the total number enrolled on the contract for a significant period.

Trainees will be paid at least 60 percent of the appropriate minimum journeyman's rate specified in the contract for the first half of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees in an approved existing program are enrolled as trainees on this project. In that case, the appropriate rates approved by the Departments of Labor or Transportation in connection with the existing program shall apply to all trainees being trained for the same classification who are covered by this Training Special Provision.

The Contractor shall furnish the trainee a copy of the program he will follow in providing the training. The Contractor shall provide each trainee with a certification showing the type and length of training satisfactorily complete.

The Contractor shall provide for the maintenance of records and furnish periodic reports documenting its performance under this Training Special Provision.

For contracts with an awarded contract value of \$500,000 or more, the Contractor is required to comply with the Illinois Works Apprenticeship Initiative (30 ILCS 559/20-20 to 20-25) and all applicable administrative rules to the extent permitted by Section 20-20(g). For federally funded projects, the number of trainees to be trained under this contract, as stated in the Training Special Provisions, will be the established goal for the Illinois Works Apprenticeship Initiative 30 ILCS 559/20-20(g). The Contractor shall make a good faith effort to meet this goal. For federally funded projects, the Illinois Works Apprenticeship Initiative will be implemented using the FHWA approved OJT procedures. The Contractor must comply with the recordkeeping and reporting obligations of the Illinois Works Apprenticeship Initiative for the life of the project, including the certification as to whether the trainee/apprentice labor hour goals were met.

Method of Measurement. The unit of measurement is in hours.

Basis of Payment. This work will be paid for at the contract unit price of 80 cents per hour for TRAINEES. The estimated total number of hours, unit price, and total price have been included in the schedule of prices.

IDOT TRAINING PROGRAM GRADUATE ON-THE-JOB TRAINING SPECIAL PROVISION

Effective: August 1, 2012

Revised: February 2, 2017

In addition to the Contractor's equal employment opportunity (EEO) affirmative action efforts undertaken as required by this Contract, the Contractor is encouraged to participate in the incentive program described below to provide additional on-the-job training to certified graduates of the IDOT pre-apprenticeship training program, as outlined in this Special Provision.

IDOT funds, and various Illinois community colleges operate, pre-apprenticeship training programs throughout the State to provide training and skill-improvement opportunities to promote the increased employment of minority groups, disadvantaged persons and women in all aspects of the highway construction industry. The intent of this IDOT Pre-Apprenticeship Training Program Graduate (TPG) special provision (Special Provision) is to place these certified program graduates on the project site for this Contract in order to provide the graduates with meaningful on-the-job training. Pursuant to this Special Provision, the Contractor must make every reasonable effort to recruit and employ certified TPG trainees to the extent such individuals are available within a practicable distance of the project site.

Specifically, participation of the Contractor or its subcontractor in the Program entitles the participant to reimbursement for graduates' hourly wages at \$15.00 per hour per utilized TPG trainee, subject to the terms of this Special Provision. Reimbursement payment will be made even though the Contractor or subcontractor may also receive additional training program funds from other non-IDOT sources for other non-TPG trainees on the Contract, provided such other source does not specifically prohibit the Contractor or subcontractor from receiving reimbursement from another entity through another program, such as IDOT through the TPG program. With regard to any IDOT funded construction training program other than TPG, however, additional reimbursement for other IDOT programs will not be made beyond the TPG Program described in this Special Provision when the TPG Program is utilized.

No payment will be made to the Contractor if the Contractor or subcontractor fails to provide the required on-site training to TPG trainees, as solely determined by IDOT. A TPG trainee must begin training on the project as soon as the start of work that utilizes the relevant trade skill and the TPG trainee must remain on the project site through completion of the Contract, so long as training opportunities continue to exist in the relevant work classification. Should a TPG trainee's employment end in advance of the completion of the Contract, the Contractor must promptly notify the IDOT District EEO Officer for the Contract that the TPG's involvement in the Contract has ended. The Contractor must supply a written report for the reason the TPG trainee involvement terminated, the hours completed by the TPG trainee on the Contract, and the number of hours for which the incentive payment provided under this Special Provision will be, or has been claimed for the separated TPG trainee.

Finally, the Contractor must maintain all records it creates as a result of participation in the Program on the Contract, and furnish periodic written reports to the IDOT District EEO Officer that document its contractual performance under and compliance with this Special Provision. Finally, through participation in the Program and reimbursement of wages, the Contractor is not relieved of, and IDOT has not waived, the requirements of any federal or state labor or employment law applicable to TPG workers, including compliance with the Illinois Prevailing Wage Act.

Method of Measurement: The unit of measurement is in hours.

Basis of Payment: This work will be paid for at the contract unit price of \$15.00 per hour for each utilized certified TPG Program trainee (TRAINEES TRAINING PROGRAM GRADUATE). The estimated total number of hours, unit price, and total price must be included in the schedule of prices for the Contract submitted by Contractor prior to beginning work. The initial number of TPG trainees for which the incentive is available for this contract is 2.

The Department has contracted with several educational institutions to provide screening, tutoring and pre-training to individuals interested in working as a TPG trainee in various areas of common construction trade work. Only individuals who have successfully completed a Pre-Apprenticeship Training Program at these IDOT approved institutions are eligible to be TPG trainees. To obtain a list of institutions that can connect the Contractor with eligible TPG trainees, the Contractor may contact: HCCTP TPG Program Coordinator, Office of Business and Workforce Diversity (IDOT OBWD), Room 319, Illinois Department of Transportation, 2300 S. Dirksen Parkway, Springfield, Illinois 62764. Prior to commencing construction with the utilization of a TPG trainee, the Contractor must submit documentation to the IDOT District EEO Officer for the Contract that provides the names and contact information of the TPG trainee(s) to be trained in each selected work classification, proof that the TPG trainee(s) has successfully completed a Pre-Apprenticeship Training Program, proof that the TPG is in an Apprenticeship Training Program approved by the U.S. Department of Labor Bureau of Apprenticeship Training, and the start date for training in each of the applicable work classifications.

To receive payment, the Contractor must provide training opportunities aimed at developing a full journeyworker in the type of trade or job classification involved. During the course of performance of the Contract, the Contractor may seek approval from the IDOT District EEO Officer to employ additional eligible TPG trainees. In the event the Contractor subcontracts a portion of the contracted work, it must determine how many, if any, of the TPGs will be trained by the subcontractor. Though a subcontractor may conduct training, the Contractor retains the responsibility for meeting all requirements imposed by this Special Provision. The Contractor must also include this Special Provision in any subcontract where payment for contracted work performed by a TPG trainee will be passed on to a subcontractor.

Training through the Program is intended to move TPGs toward journeyman status, which is the primary objective of this Special Provision. Accordingly, the Contractor must make every effort to enroll TPG trainees by recruitment through the Program participant educational institutions to the extent eligible TPGs are available within a reasonable geographic area of the project. The Contractor is responsible for demonstrating, through documentation, the recruitment efforts it has undertaken prior to the determination by IDOT whether the Contractor is in compliance with this Special Provision, and therefore, entitled to the Training Program Graduate reimbursement of \$15.00 per hour.

Notwithstanding the on-the-job training requirement of this TPG Special Provision, some minimal off-site training is permissible as long as the offsite training is an integral part of the work of the contract, and does not compromise or conflict with the required on-site training that is central to the purpose of the Program. No individual may be employed as a TPG trainee in any work classification in which he/she has previously successfully completed a training program leading to journeyman status in any trade, or in which he/she has worked at a journeyman level or higher.

VEHICLE AND EQUIPMENT WARNING LIGHTS (BDE)

Effective: November 1, 2021

Revised: November 1, 2022

Add the following paragraph after the first paragraph of Article 701.08 of the Standard Specifications:

“The Contractor shall equip all vehicles and equipment with high-intensity oscillating, rotating, or flashing, amber or amber-and-white, warning lights which are visible from all directions. In accordance with 625 ILCS 5/12-215, the lights may only be in operation while the vehicle or equipment is engaged in construction operations.”

WEEKLY DBE TRUCKING REPORTS (BDE)

Effective: June 2, 2012

Revised: January 2, 2025

The following applies to all Disadvantaged Business Enterprise (DBE) trucks on the project, whether they are utilized for DBE goal credit or not.

The Contractor shall notify the Engineer at least three days prior to DBE trucking activity.

The Contractor shall submit a weekly report of DBE trucks hired by the Contractor or subcontractors (i.e. not owned by the Contractor or subcontractors) to the Engineer on Department form “SBE 723” within ten business days following the reporting period. The reporting period shall be Sunday through Saturday for each week reportable trucking activities occur.

Any costs associated with providing weekly DBE trucking reports shall be considered as included in the contract unit prices bid for the various items of work involved and no additional compensation will be allowed.

WORK ZONE TRAFFIC CONTROL DEVICES (BDE)

Effective: March 2, 2020

Revised: January 1, 2025

Add the following to Article 701.03 of the Standard Specifications:

“(q) Temporary Sign Supports 1106.02”

Revise the third paragraph of Article 701.14 of the Standard Specifications to read:

“For temporary sign supports, the Contractor shall provide a FHWA eligibility letter for each device used on the contract. The letter shall provide information for the set-up and use of the device as well as a detailed drawing of the device. The signs shall be supported within 20 degrees

of vertical. Weights used to stabilize signs shall be attached to the sign support per the manufacturer's specifications."

Revise the first paragraph of Article 701.15 of the Standard Specifications to read:

" **701.15 Traffic Control Devices.** For devices that must meet crashworthiness standards, the Contractor shall provide a manufacturer's self-certification or a FHWA eligibility letter for each Category 1 device and a FHWA eligibility letter for each Category 2 and Category 3 device used on the contract. The self-certification or letter shall provide information for the set-up and use of the device as well as a detailed drawing of the device."

Revise the first six paragraphs of Article 1106.02 of the Standard Specifications to read:

" **1106.02 Devices.** Work zone traffic control devices and combinations of devices shall meet crashworthiness standards for their respective categories. The categories are as follows.

Category 1 includes small, lightweight, channelizing and delineating devices that have been in common use for many years and are known to be crashworthy by crash testing of similar devices or years of demonstrable safe performance. These include cones, tubular markers, plastic drums, and delineators, with no attachments (e.g. lights). Category 1 devices shall be MASH compliant.

Category 2 includes devices that are not expected to produce significant vehicular velocity change but may otherwise be hazardous. These include vertical panels with lights, barricades, temporary sign supports, and Category 1 devices with attachments (e.g. drums with lights). Category 2 devices shall be MASH compliant.

Category 3 includes devices that are expected to cause significant velocity changes or other potentially harmful reactions to impacting vehicles. These include crash cushions (impact attenuators), truck mounted attenuators, and other devices not meeting the definitions of Category 1 or 2. Category 3 devices manufactured after December 31, 2019 shall be MASH compliant. Category 3 devices manufactured on or before December 31, 2019, and compliant with NCHRP 350, may be used on contracts let before December 31, 2029. Category 3 devices shall be crash tested for Test Level 3 or the test level specified.

Category 4 includes portable or trailer-mounted devices such as sign supports, speed feedback displays, arrow boards, changeable message signs, temporary traffic signals, and area lighting supports. It is preferable for Category 4 devices manufactured after December 31, 2019 to be MASH-16 compliant; however, there are currently no crash tested devices in this category, so it remains exempt from the NCHRP 350 or MASH compliance requirement.

For each type of device, when no more than one MASH compliant is available, an NCHRP 350 compliant device may be used, even if manufactured after December 31, 2019."

Revise Articles 1106.02(g), 1106.02(k), and 1106.02(l) to read:

"(g) Truck Mounted/Trailer Mounted Attenuators. The attenuator shall be approved for use at Test Level 3. Test Level 2 may be used for normal posted speeds less than or equal to 45 mph.

- (k) Temporary Water Filled Barrier. The water filled barrier shall be a lightweight plastic shell designed to accept water ballast and be on the Department's qualified product list.

Shop drawings shall be furnished by the manufacturer and shall indicate the deflection of the barrier as determined by acceptance testing; the configuration of the barrier in that test; and the vehicle weight, velocity, and angle of impact of the deflection test. The Engineer shall be provided one copy of the shop drawings.

- (l) Movable Traffic Barrier. The movable traffic barrier shall be on the Department's qualified product list.

Shop drawings shall be furnished by the manufacturer and shall indicate the deflection of the barrier as determined by acceptance testing; the configuration of the barrier in that test; and the vehicle weight, velocity, and angle of impact of the deflection test. The Engineer shall be provided one copy of the shop drawings. The barrier shall be capable of being moved on and off the roadway on a daily basis."

PROJECT LABOR AGREEMENT

Effective: May 18, 2007

Revised: April 1, 2025

Description. The Illinois Project Labor Agreements Act, 30 ILCS 571, states that the State of Illinois has a compelling interest in awarding public works contracts so as to ensure the highest standards of quality and efficiency at the lowest responsible cost. A project labor agreement (PLA) is a form of pre-hire collective bargaining agreement covering all terms and conditions of employment on a specific project that is intended to support this compelling interest. It has been determined by the Department that a PLA is appropriate for the project that is the subject of this contract. The PLA document, provided below, only applies to the construction site for this contract. It is the policy of the Department on this contract, and all construction projects, to allow all contractors and subcontractors to compete for contracts and subcontracts without regard to whether they are otherwise parties to collective bargaining agreements.

The Department reserves the right to rescind the PLA requirement from this project in the event FHWA disapproves of the inclusion of the PLA terms for this project. The contractor, by bidding, agrees that any rescission of the PLA requirement shall not constitute grounds for the withdrawal of its bid and further agrees to remove the PLA requirement from this contract upon notice from the Department should such be necessary at a later date.

Execution of Letter of Assent. A copy of the PLA applicable to this project is included as part of this special provision. As a condition of the award of the contract, the successful bidder and each of its subcontractors shall execute a "Contractor Letter of Assent", in the form attached to the PLA as Exhibit A. The successful bidder shall submit a Subcontractor's Contractor Letter of Assent to the Department prior to the subcontractor's performance of work on the project. Upon request, copies of the applicable collective bargaining agreements will be provided by the appropriate signatory labor organization at the pre-job conference.

Quarterly Reporting. Section 37 of the Illinois Project Labor Agreements Act requires the Department to submit quarterly reports regarding the number of minorities and females employed under PLAs. To assist in this reporting effort, the Contractor shall provide a quarterly workforce participation report for all minority and female employees working under the PLA of this contract. The data shall be reported on Construction Form BC 820, Project Labor Agreement (PLA) Workforce Participation Quarterly Reporting Form available on the Department's website <https://idot.illinois.gov/content/dam/soi/en/web/idot/documents/idot-forms/bc/bc-820.pdf>.

The report shall be submitted no later than the 15th of the month following the end of each quarter (i.e., April 15 for the January – March reporting period). The form shall be emailed to DOT.PLA.Reporting@illinois.gov or faxed to (217) 524-4922.

Any costs associated with complying with this provision shall be considered as included in the contract unit prices bid for the various items of work involved and no additional compensation will be allowed.

Illinois Department of Transportation
PROJECT LABOR AGREEMENT

This Project Labor Agreement ("PLA" or "Agreement") is entered into this _____ day of

_____, 2024, by and between the Illinois Department of Transportation ("IDOT" or "Department") in its proprietary capacity, and each relevant Illinois AFL-CIO Building Trades signatory hereto as determined by the Illinois AFL-CIO Statewide Project Labor Agreement Committee on behalf of each of its affiliated members (individually and collectively, the "Unions"). This PLA shall apply to Construction Work (as defined herein) to be performed by IDOT's Prime Contractor and each of its subcontractors of whatever tier ("Subcontractor" or "Subcontractors") on Contract No. (hereinafter, the "Project").

ARTICLE 1 - INTENT AND PURPOSES

- 1.1 This PLA is entered into in accordance with the Project Labor Agreement Act ("Act", 30 ILCS 571). It is mutually understood and agreed that the terms and conditions of this PLA are intended to promote the public interest in obtaining timely and economical completion of the Project by encouraging productive and efficient construction operations; by establishing a spirit of harmony and cooperation among the parties; and by providing for peaceful and prompt settlement of any and all labor grievances or jurisdictional disputes of any kind without strikes, lockouts, slowdowns, delays, or other disruptions to the prosecution of the work. The parties acknowledge the obligations of the Contractors and Subcontractors to comply with the provisions of the Act. The parties will work with the Contractors and Subcontractors within the parameters of other statutory and regulatory requirements to implement the Act's goals and objectives.
- 1.2 As a condition of the award of the contract for performance of work on the Project, IDOT's Prime Contractor and each of its Subcontractors shall execute a "Contractor Letter of Assent", in the form attached hereto as Exhibit A, prior to commencing Construction Work on the Project. The Contractor shall submit a Subcontractor's Contractor Letter of Assent to the Department prior to the Subcontractor's performance of Construction Work on the Project. Upon request copies of the applicable collective bargaining agreements will be provided by the appropriate signatory labor organization consistent with this Agreement and at the pre-job conference referenced in Article III, Section 3.1.

- 1.3 Each Union affiliate and separate local representing workers engaged in Construction Work on the Project in accordance with this PLA are bound to this agreement by the Illinois AFL-CIO Statewide Project Labor Agreement Committee which is the central committee established with full authority to negotiate and sign PLAs with the State on behalf of all respective crafts. Upon their signing the Contractor Letter of Assent, the Prime Contractor, each Subcontractor, and the individual Unions shall thereafter be deemed a party to this PLA. No party signatory to this PLA shall, contract or subcontract, nor permit any other person, firm, company, or entity to contract or subcontract for the performance of Construction Work for the Project to any person, firm, company, or entity that does not agree in writing to become bound for the term of this Project by the terms of this PLA prior to commencing such work and to the applicable area-wide collective bargaining agreement(s) with the Union(s) signatory hereto.
- 1.4 It is understood that the Prime Contractor(s) and each Subcontractor will be considered and accepted by the Unions as separate employers for the purposes of collective bargaining, and it is further agreed that the employees working under this PLA shall constitute a bargaining unit separate and distinct from all others. The parties hereto also agree that this PLA shall be applicable solely with respect to this Project, and shall have no bearing on the interpretation of any other collective bargaining agreement or as to the recognition of any bargaining unit other than for the specific purposes of this Project.
- 1.5 In the event of a variance or conflict, whether explicit or implicit, between the terms and conditions of this PLA and the provisions of any other applicable national, area, or local collective bargaining agreement, the terms and conditions of this PLA shall supersede and control. For any work performed under the NTL Articles of Agreement, the National Stack/Chimney Agreement, the National Cooling Tower Agreement, the National Agreement of the International Union of Elevator Constructors, and for any instrument calibration work and loop checking performed under the UA/IBEW Joint National Agreement for Instrument and Control Systems Technicians, the preceding sentence shall apply only with respect to Articles I, II, V, VI, and VII.

- 1.6 Subject to the provisions of paragraph 1.5 of this Article, it is the parties' intent to respect the provisions of any other collective bargaining agreements that may now or hereafter pertain, whether between the Prime Contractor and one or more of the Unions or between a Subcontractor and one or more of the Unions. Accordingly, except and to the extent of any contrary provision set forth in this PLA, the Prime Contractor and each of its Subcontractors agrees to be bound and abide by the terms of the following in order of precedence: (a) the applicable collective bargaining agreement between the Prime Contractor and one or more of the Unions made signatory hereto; (b) the applicable collective bargaining agreement between a Subcontractor and one or more of the Unions made signatory hereto; or (c) the current applicable area collective bargaining agreement for the relevant Union that is the agreement certified by the Illinois Department of Labor for purposes of establishing the Prevailing Wage applicable to the Project. The Union will provide copies of the applicable collective bargaining agreements pursuant to part (c) of the preceding sentence to the Prime Contractor. Assignments by the Contractors or Subcontractors amongst the trades shall be consistent with area practices; in the event of unresolved disagreements as to the propriety of such assignments, the provisions of Article VI shall apply.
- 1.7 Subject to the limitations of paragraphs 1.4 to 1.6 of this Article, the terms of each applicable collective bargaining agreement as determined in accordance with paragraph 1.6 are incorporated herein by reference, and the terms of this PLA shall be deemed incorporated into such other applicable collective bargaining agreements only for purposes of their application to the Project.
- 1.8 To the extent necessary to comply with the requirements of any fringe benefit fund to which the Prime Contractor or Subcontractor is required to contribute under the terms of an applicable collective bargaining agreement pursuant to the preceding paragraph, the Prime Contractor or Subcontractor shall execute all "Participation Agreements" as may be reasonably required by the Union to accomplish such purpose; provided, however, that such Participation Agreements shall, when applicable to the Prime Contractor or Subcontractor solely as a result of this PLA, be amended as reasonably necessary to reflect such fact. Upon written notice in the form of a lien of a Contractor's or Subcontractor's delinquency from any applicable fringe benefit fund, IDOT will withhold from the Contractor's periodic pay request an amount sufficient to extinguish any delinquency obligation of the Contractor or Subcontractor arising out of the Project.
- 1.9 In the event that the applicable collective bargaining agreement between a Prime Contractor and the Union or between the Subcontractor and the Union expires prior to the completion of this Project, the expired applicable contract's terms will be maintained until a new applicable collective bargaining agreement is ratified. The wages and fringe benefits included in any new applicable collective bargaining agreement will apply on and after the effective date of the newly negotiated collective bargaining agreement, except to the extent wage and fringe benefit retroactivity is specifically agreed upon by the relevant bargaining parties.

ARTICLE II – APPLICABILITY, RECOGNITION, AND COMMITMENTS

- 2.1 The term Construction Work as used herein shall include all “construction, demolition, rehabilitation, renovation, or repair” work performed by a “laborer or mechanic” at the “site of the work” for the purpose of “building” the specific structures and improvements that constitute the Project. Terms appearing within quotation marks in the preceding sentence shall have the meaning ascribed to them pursuant to 29 CFR Part 5 and Illinois labor laws.
- 2.2 By executing the Letters of Assent, Prime Contractor and each of its Subcontractors recognizes the Unions signatory to this PLA as the sole and exclusive bargaining representatives for their craft employees employed on the jobsite for this Project. Unions who are signatory to this PLA will have recognition on the Project for their craft.
- 2.3 The Prime Contractor and each of its Subcontractors retains and shall be permitted to exercise full and exclusive authority and responsibility for the management of its operations, except as expressly limited by the terms of this PLA or by the terms and conditions of the applicable collective bargaining agreement.
- 2.4 Except to the extent contrary to an express provision of the relevant collective bargaining agreement, equipment or materials used in the Project may be pre-assembled or pre-fabricated, and there shall be no refusal by the Union to handle, transport, install, or connect such equipment or materials. Equipment or materials delivered to the job-site will be unloaded and handled promptly without regard to potential jurisdictional disputes; any such disputes shall be handled in accordance with the provisions of this PLA.
- 2.5 The parties are mutually committed to promoting a safe working environment for all personnel at the job-site. It shall be the responsibility of each employer to which this PLA applies to provide and maintain safe working conditions for its employees, and to comply with all applicable federal, state, and local health and safety laws and regulations.
- 2.6 The use or furnishing of alcohol or drugs and the conduct of any other illegal activity at the job-site is strictly prohibited. The parties shall take every practical measure consistent with the terms of applicable collective bargaining agreements to ensure that the job-site is free of alcohol and drugs.
- 2.7 All parties to this PLA agree that they will not discriminate against any employee based on race, creed, religion, color, national origin, union activity, age, gender or sexual orientation and shall comply with all applicable federal, state, and local laws.

- 2.8 In accordance with the Act and to promote diversity in employment, IDOT will establish, in cooperation with the other parties, the apprenticeship hours which are to be performed by minorities and females on the Project. IDOT shall consider the total hours to be performed by these underrepresented groups, as a percentage of the workforce, and create aspirational goals for each Project, based on the level of underutilization for the service area of the Project (together "Project Employment Objectives"). IDOT shall provide a quarterly report regarding the racial and gender composition of the workforce on the Project.

Persons currently lacking qualifications to enter apprenticeship programs will have the opportunity to obtain skills through basic training programs as have been established by the Department. The parties will endeavor to support such training programs to allow participants to obtain the requisite qualifications for the Project Employment Objectives.

The parties agree that all Contractors and Subcontractors working on the Project shall be encouraged to utilize the maximum number of apprentices as permitted under the terms of the applicable collective bargaining agreements to realize the Project Employment Objectives.

The Unions shall assist the Contractor and each Subcontractor in efforts to satisfy Project Employment Objectives. A Contractor or Subcontractor may request from a Union specific categories of workers necessary to satisfy Project Employment Objectives. The application of this section shall be consistent with all local Union collective bargaining agreements, and the hiring hall rules and regulations established for the hiring of personnel, as well as the apprenticeship standards set forth by each individual Union.

- 2.9 The parties hereto agree that engineering consultants and materials testing employees, to the extent subject to the terms of this PLA, shall be fully expected to objectively and responsibly perform their duties and obligations owed to the Department without regard to the potential union affiliation of such employees or of other employees on the Project.
- 2.10 This Agreement shall not apply to IDOT employees or employees of any other governmental entity.

ARTICLE III - ADMINISTRATION OF AGREEMENT

- 3.1 In order to assure that all parties have a clear understanding of the PLA, and to promote harmony, at the request of the Unions a post-award pre-job conference will be held among the Prime Contractor, all Subcontractors and Union representatives prior to the start of any Construction Work on the Project. No later than the conclusion of such pre-job conference, the parties shall, among other matters, provide to one another contact information for their respective representatives (including name, address, phone number, facsimile number, e-mail). Nothing herein shall be construed to limit the right of the Department to discuss or explain the purpose and intent of this PLA with prospective bidders or other interested parties prior to or following its award of the job.
- 3.2 Representatives of the Prime Contractor and the Unions shall meet as often as reasonably necessary following award until completion of the Project to assure the effective implementation of this PLA.
- 3.3 Any notice contemplated under Article VI and VII of this Agreement to a signatory labor organization shall be made in writing to the Local Union with copies to the local union's International Representative.

ARTICLE IV - HOURS OF WORK AND GENERAL CONDITIONS

- 4.1 The standard work day and work week for Construction Work on the Project shall be consistent with the respective collective bargaining agreements. In the event Project site or other job conditions dictate a change in the established starting time and/or a staggered lunch period for portions of the Project or for specific crafts, the Prime Contractor, relevant Subcontractors and business managers of the specific crafts involved shall confer and mutually agree to such changes as appropriate. If proposed work schedule changes cannot be mutually agreed upon between the parties, the hours fixed at the time of the pre-job meeting shall prevail.
- 4.2 Shift work may be established and directed by the Prime Contractor or relevant Subcontractor as reasonably necessary or appropriate to fulfill the terms of its contract with the Department. If used, shift hours, rates and conditions shall be as provided in the applicable collective bargaining agreement.
- 4.3 The parties agree that chronic and/or unexcused absenteeism is undesirable and must be controlled in accordance with procedures established by the applicable collective bargaining agreement. Any employee disciplined for absenteeism in accordance with such procedures shall be suspended from all work on the Project for not less than the maximum period permitted under the applicable collective bargaining agreement.

- 4.4 Except as may be otherwise expressly provided by the applicable collective bargaining agreement, employment begins and ends at the Project site; employees shall be at their place of work at the starting time; and employees shall remain at their place of work until quitting time.
- 4.5 Except as may be otherwise expressly provided by the applicable collective bargaining agreement, there shall be no limit on production by workmen, no restrictions on the full use of tools or equipment, and no restrictions on efficient use of manpower or techniques of construction other than as may be required by safety regulations.
- 4.6 The parties recognize that specialized or unusual equipment may be installed on the Project. In such cases, the Union recognizes the right of the Prime Contractor or Subcontractor to involve the equipment supplier or vendor's personnel in supervising the setting up of the equipment, making modifications and final alignment, and performing similar activities that may be reasonably necessary prior to and during the start-up procedure in order to protect factory warranties. The Prime Contractor or Subcontractor shall notify the Union representatives in advance of any work at the job-site by such vendor personnel in order to promote a harmonious relationship between the equipment vendor's personnel and other Project employees.
- 4.7 For the purpose of promoting full and effective implementation of this PLA, authorized Union representatives shall have access to the Project job-site during scheduled work hours. Such access shall be conditioned upon adherence to all reasonable visitor and security rules of general applicability that may be established for the Project site at the pre-job conference or from time to time thereafter.

ARTICLE V – GRIEVANCE PROCEDURES FOR DISPUTES ARISING UNDER A PARTICULAR COLLECTIVE BARGAINING AGREEMENT

- 5.1 In the event a dispute arises under a particular collective bargaining agreement specifically not including jurisdictional disputes referenced in Article VI below, said dispute shall be resolved by the Grievance/Arbitration procedure of the applicable collective bargaining agreement. The resulting determination from this process shall be final and binding on all parties bound to its process.
- 5.2 Employers covered under this Agreement shall have the right to discharge or discipline any employee who violates the provisions of this Agreement. Such discharge or discipline by a contractor or subcontractor shall be subject to Grievance/Arbitration procedure of the applicable collective bargaining agreement only as to the fact of such violation of this agreement. If such fact is established, the penalty imposed shall not be disturbed. Work at the Project site shall continue without disruption or hindrance of any kind as a result of a Grievance/Arbitration procedure under this Article.

- 5.3 In the event there is a deadlock in the foregoing procedure, the parties agree that the matter shall be submitted to arbitration for the selection and decision of an Arbitrator governed under paragraph 6.8.

ARTICLE VI –DISPUTES: GENERAL PRINCIPLES

- 6.1 This Agreement is entered into to prevent strikes, lost time, lockouts and to facilitate the peaceful adjustment of jurisdictional disputes in the building and construction industry and to prevent waste and unnecessary avoidable delays and expense, and for the further purpose of at all times securing for the employer sufficient skilled workers.

- 6.2 A panel of Permanent Arbitrators are attached as addendum (A) to this agreement. By mutual agreement between IDOT and the Unions, the parties can open this section of the agreement as needed to make changes to the list of permanent arbitrators.

The arbitrator is not authorized to award back pay or any other damages for a miss assignment of work. Nor may any party bring an independent action for back pay or any other damages, based upon a decision of an arbitrator.

- 6.3 The PLA Jurisdictional Dispute Resolution Process ("Process") sets forth the procedures below to resolve jurisdictional disputes between and among Contractors, Subcontractors, and Unions engaged in the building and construction industry. Further, the Process will be followed for any grievance or dispute arising out of the interpretation or application of this PLA by the parties except for the prohibition on attorneys contained in 6.11. All decisions made through the Process are final and binding upon all parties.

DISPUTE PROCESS

- 6.4 Administrative functions under the Process shall be performed through the offices of the President and/or Secretary-Treasurer of the Illinois State Federation of Labor, or their designated representative, called the Administrator. In no event shall any officer, employee, agent, attorney, or other representative of the Illinois Federation of Labor, AFL- CIO be subject to any subpoena to appear or testify at any jurisdictional dispute hearing.
- 6.5 There shall be no abandonment of work during any case participating in this Process or in violation of the arbitration decision. All parties to this Process release the Illinois State Federation of Labor ("Federation") from any liability arising from its action or inaction and covenant not to sue the Federation, nor its officers, employees, agents or attorneys.

- 6.6 In the event of a dispute relating to trade or work jurisdiction, all parties, including the employers, Contractors or Subcontractors, agree that a final and binding resolution of the dispute shall be resolved as follows:
- (a) Representatives of the affected trades and the Contractor or Subcontractor shall meet on the job site within two (2) business days after receiving written notice in an effort to resolve the dispute. (In the event there is a dispute between local unions affiliated with the same International Union, the decision of the General President, or his/her designee, as the internal jurisdictional authority of that International Union, shall constitute a final and binding decision and determination as to the jurisdiction of work.)
 - (b) If no settlement is achieved subsequent to the preceding Paragraph, the matter shall be referred to the local area Building & Construction Trades Council, which shall meet with the affected trades within two (2) business days subsequent to receiving written notice. In the event the parties do not wish to avail themselves of the local Building & Construction Trades Council, the parties may elect to invoke the services of their respective International Representatives with no extension of the time limitations. An agreement reached at this Step shall be final and binding upon all parties.
 - (c) If no settlement agreement is reached during the proceedings contemplated by Paragraphs "a" or "b" above, the matter shall be immediately referred to the Illinois Jurisdictional Dispute Process for final and binding resolution of said dispute. Said referral submission shall be in writing and served upon the Illinois State Federation of Labor, or the Administrator, pursuant to paragraph 6.4 of this agreement. The Administrator shall, within three (3) days, provide for the selection of an available Arbitrator to hear said dispute within this time period. Upon good cause shown and determined by the Administrator, an additional three (3) day extension for said hearing shall be granted at the sole discretion of the Administrator. Only upon mutual agreement of all parties may the Administrator extend the hearing for a period in excess of the time frames contemplated under this Paragraph. Business days are defined as Monday through Friday, excluding contract holidays.
- 6.7 The primary concern of the Process shall be the adjustment of jurisdictional disputes arising out of the Project. A sufficient number of Arbitrators shall be selected from list of approved Arbitrators as referenced Sec. 6.2 and shall be assigned per Sec. 6.8. Decisions shall be only for the Project and shall become effective immediately upon issuance and complied with by all parties. The authority of the Arbitrator shall be restricted and limited specifically to the terms and provisions of Article VI and generally to this Agreement as a whole.

- 6.8 Arbitrator chosen shall be randomly selected based on the list of Arbitrators in Sec. 6.2 and geographical location of the jurisdictional dispute and upon his/her availability, and ability to conduct a Hearing within two (2) business days of said notice. The Arbitrator may issue a "bench" decision immediately following the Hearing or he/she may elect to only issue a written decision, said decision must be issued within two (2) business days subsequent to the completion of the Hearing. Copies of all notices, pleadings, supporting memoranda, decisions, etc. shall be provided to all disputing parties and the Illinois State Federation of Labor.

Any written decision shall be in accordance with this Process and shall be final and binding upon all parties to the dispute and may be a "short form" decision. Fees and costs of the arbitrator shall be divided evenly between the contesting parties except that any party wishing a full opinion and decision beyond the short form decision shall bear the reasonable fees and costs of such full opinion. The decision of the Arbitrator shall be final and binding upon the parties hereto, their members, and affiliates.

In cases of jurisdictional disputes or other disputes between a signatory labor organization and another labor organization, both of which is an affiliate or member of the same International Union, the matter or dispute shall be settled in the manner set forth by their International Constitution and/or as determined by the International Union's General President whose decision shall be final and binding upon all parties. In no event shall there be an abandonment of work.

- 6.9 In rendering a decision, the Arbitrator shall determine:
- (a) First, whether a previous agreement of record or applicable agreement, including a disclaimer agreement, between National or International Unions to the dispute or agreements between local unions involved in the dispute, governs;
 - (b) Only if the Arbitrator finds that the dispute is not covered by an appropriate or applicable agreement of record or agreement between the crafts to the dispute, he shall then consider the established trade practice in the industry and prevailing practice in the locality. Where there is a previous decision of record governing the case, the Arbitrator shall give equal weight to such decision of record, unless the prevailing practice in the locality in the past ten years favors one craft. In that case, the Arbitrator shall base his decision on the prevailing practice in the locality. Except, that if the Arbitrator finds that a craft has improperly obtained the prevailing practice in the locality through raiding, the undercutting of wages or by the use of vertical agreements, the Arbitrator shall rely on the decision of record and established trade practice in the industry rather than the prevailing practice in the locality; and,

- (c) Only if none of the above criteria is found to exist, the Arbitrator shall then consider that because efficiency, cost or continuity and good management are essential to the well being of the industry, the interests of the consumer or the past practices of the employer shall not be ignored.
 - (d) The arbitrator is not authorized to award back pay or any other damages for a mis-assignment of work. Nor may any party bring an independent action for back pay or any other damages, based upon a decision of an arbitrator.
- 6.10 The Arbitrator shall set forth the basis for his/her decision and shall explain his/her findings regarding the applicability of the above criteria. If lower ranked criteria are relied upon, the Arbitrator shall explain why the higher-ranked criteria were not deemed applicable. The Arbitrator's decision shall only apply to the Project. Agreements of Record, for other PLA projects, are applicable only to those parties signatory to such agreements. Decisions of Record are those that were either attested to by the former Impartial Jurisdictional Disputes Board or adopted by the National Arbitration Panel.
- 6.11 All interested parties, as determined by the Arbitrator, shall be entitled to make presentations to the Arbitrator. Any interested labor organization affiliated to the PLA Committee and party present at the Hearing, whether making a presentation or not, by such presence shall be deemed to accept the jurisdiction of the Arbitrator and to agree to be bound by its decision. In addition to the representative of the local labor organization, a representative of the labor organization's International Union may appear on behalf of the parties. Each party is responsible for arranging for its witnesses. In the event an Arbitrator's subpoena is required, the party requiring said subpoena shall prepare the subpoena for the Arbitrator to execute. Service of the subpoena upon any witness shall be the responsibility of the issuing party.

Attorneys shall not be permitted to attend or participate in any portion of a Hearing.

The parties are encouraged to determine, prior to Hearing, documentary evidence which may be presented to the Arbitrator on a joint basis.

- 6.12 The Order of Presentation in all Hearings before an Arbitrator shall be
- I. Identification and Stipulation of the Parties
 - II. Unions(s) claiming the disputed work presents its case
 - III. Union(s) assigned the disputed work presents its case
 - IV. Employer assigning the disputed work presents its case
 - V. Evidence from other interested parties (i.e., general contractor, project manager, owner)
 - VI. Rebuttal by union(s) claiming the disputed work
 - VII. Additional submissions permitted and requested by Arbitrator
 - VIII. Closing arguments by the parties

- 6.13 All parties bound to the provisions of this Process hereby release the Illinois State Federation of Labor and IDOT, their respective officers, agents, employees or designated representatives, specifically including any Arbitrator participating in said Process, from any and all liability or claim, of whatsoever nature, and specifically incorporating the protections provided in the Illinois Arbitration Act, as amended from time to time.
- 6.14 The Process, as an arbitration panel, nor its Administrator, shall have any authority to undertake any action to enforce its decision(s). Rather, it shall be the responsibility of the prevailing party to seek appropriate enforcement of a decision, including findings, orders or awards of the Arbitrator or Administrator determining non-compliance with a prior award or decision.
- 6.15 If at any time there is a question as to the jurisdiction of the Illinois Jurisdictional Dispute Resolution Process, the primary responsibility for any determination of the arbitrability of a dispute and the jurisdiction of the Arbitrator shall be borne by the party requesting the Arbitrator to hear the underlying jurisdictional dispute. The affected party or parties may proceed before the Arbitrator even in the absence or one or more stipulated parties with the issue of jurisdiction as an additional item to be decided by the Arbitrator. The Administrator may participate in proceedings seeking a declaration or determination that the underlying dispute is subject to the jurisdiction and process of the Illinois Jurisdictional Dispute Resolution Process. In any such proceedings, the non-prevailing party and/or the party challenging the jurisdiction of the Illinois Jurisdictional Dispute Resolution Process shall bear all the costs, expenses and attorneys' fees incurred by the Illinois Jurisdictional Dispute Resolution Process and/or its Administrator in establishing its jurisdiction.

ARTICLE VII - WORK STOPPAGES AND LOCKOUTS

- 7.1 During the term of this PLA, no Union or any of its members, officers, stewards, employees, agents or representatives shall instigate, support, sanction, maintain, or participate in any strike, picketing, walkout, work stoppage, slow down or other activity that interferes with the routine and timely prosecution of work at the Project site or at any other contractor's or supplier's facility that is necessary to performance of work at the Project site. Hand billing at the Project site during the designated lunch period and before commencement or following conclusion of the established standard workday shall not, in itself, be deemed an activity that interferes with the routine and timely prosecution of work on the Project.

7.2 Should any activity prohibited by paragraph 7.1 of this Article occur, the Union shall undertake all steps reasonably necessary to promptly end such prohibited activities.

7.2.A No Union complying with its obligations under this Article shall be liable for acts of employees for which it has no responsibility or for the unauthorized acts of employees it represents. Any employee who participates or encourages any activity prohibited by paragraph 7.1 shall be immediately suspended from all work on the Project for a period equal to the greater of (a) 60 days; or (b) the maximum disciplinary period allowed under the applicable collective bargaining agreement for engaging in comparable unauthorized or prohibited activity.

7.2.B Neither the PLA Committee nor its affiliates shall be liable for acts of employees for which it has no responsibility. The principal officer or officers of the PLA Committee will immediately instruct, order and use the best efforts of his office to cause the affiliated union or unions to cease any violations of this Article. The PLA Committee in its compliance with this obligation shall not be liable for acts of its affiliates. The principal officer or officers of any involved affiliate will immediately instruct, order or use the best effort of his office to cause the employees the union represents to cease any violations of this Article. A union complying with this obligation shall not be liable for unauthorized acts of employees it represents. The failure of the Contractor to exercise its rights in any instance shall not be deemed a waiver of its rights in any other instance.

During the term of this PLA, the Prime Contractor and its Subcontractors shall not engage in any lockout at the Project site of employees covered by this Agreement.

7.3 Upon notification of violations of this Article, the principal officer or officers of the local area Building and Construction Trades Council, and the Illinois AFL-CIO Statewide Project Labor Agreement Committee as appropriate, will immediately instruct, order and use their best efforts to cause the affiliated union or unions to cease any violations of this Article. A Trades Council and the Committee otherwise in compliance with the obligations under this paragraph shall not be liable for unauthorized acts of its affiliates.

7.4 In the event that activities in violation of this Article are not immediately halted through the efforts of the parties, any aggrieved party may invoke the special arbitration provisions set forth in paragraph 7.5 of this Article.

- 7.5 Upon written notice to the other involved parties by the most expeditious means available, any aggrieved party may institute the following special arbitration procedure when a breach of this Article is alleged:
- 7.5.A The party invoking this procedure shall notify the individual designated as the Permanent Arbitrator pursuant to paragraph 6.8 of the nature of the alleged violation; such notice shall be by the most expeditious means possible. The initiating party may also furnish such additional factual information as may be reasonably necessary for the Permanent Arbitrator to understand the relevant circumstances. Copies of any written materials provided to the arbitrator shall also be contemporaneously provided by the most expeditious means possible to the party alleged to be in violation and to all other involved parties.
 - 7.5.B Upon receipt of said notice the Permanent Arbitrator shall set and hold a hearing within twenty-four (24) hours if it is contended the violation is ongoing, but not before twenty-four (24) hours after the written notice to all parties involved as required above.
 - 7.5.C The Permanent Arbitrator shall notify the parties by facsimile or any other effective written means, of the place and time chosen by the Permanent Arbitrator for this hearing. Said hearing shall be completed in one session. A failure of any party or parties to attend said hearing shall not delay the hearing of evidence or issuance of an Award by the Permanent Arbitrator.
 - 7.5.D The sole issue at the hearing shall be whether a violation of this Article has, in fact, occurred. An Award shall be issued in writing within three (3) hours after the close of the hearing, and may be issued without a written opinion. If any party desires a written opinion, one shall be issued within fifteen (15) days, but its issuance shall not delay compliance with, or enforcement of, the Award. The Permanent Arbitrator may order cessation of the violation of this Article, and such Award shall be served on all parties by hand or registered mail upon issuance.
 - 7.5.E Such Award may be enforced by any court of competent jurisdiction upon the filing of the Award and such other relevant documents as may be required. Facsimile or other hardcopy written notice of the filing of such enforcement proceedings shall be given to the other relevant parties. In a proceeding to obtain a temporary order enforcing the Permanent Arbitrator's Award as issued under this Article, all parties waive the right to a hearing and agree that such proceedings may be ex parte. Such agreement does not waive any party's right to participate in a hearing for a final order of enforcement. The Court's order or orders enforcing the Permanent Arbitrator's Award shall be served on all parties by hand or by delivery to their last known address or by registered mail.

- 7.6 Individuals found to have violated the provisions of this Article are subject to immediate termination. In addition, IDOT reserves the right to terminate this PLA as to any party found to have violated the provisions of this Article.
- 7.7 Any rights created by statute or law governing arbitration proceedings inconsistent with the above procedure or which interfere with compliance therewith are hereby waived by parties to whom they accrue.
- 7.8 The fees and expenses of the Permanent Arbitrator shall be borne by the party or parties found in violation, or in the event no violation is found, such fees and expenses shall be borne by the moving party.

ARTICLE VIII – TERMS OF AGREEMENT

- 8.1 If any Article or provision of this Agreement shall be declared invalid, inoperative or unenforceable by operation of law or by any of the above mentioned tribunals of competent jurisdiction, the remainder of this Agreement or the application of such Article or provision to persons or circumstances other than those as to which it has been held invalid, inoperative or unenforceable shall not be affected thereby.
- 8.2 This Agreement shall be in full force as of and from the date of the Notice of Award until the Project contract is closed.
- 8.3 This PLA may not be changed or modified except by the subsequent written agreement of the parties. All parties represent that they have the full legal authority to enter into this PLA. This PLA may be executed by the parties in one or more counterparts.
- 8.4 Any liability arising out of this PLA shall be several and not joint. IDOT shall not be liable to any person or other party for any violation of this PLA by any other party, and no Contractor or Union shall be liable for any violation of this PLA by any other Contractor or Union.
- 8.5 The failure or refusal of a party to exercise its rights hereunder in one or more instances shall not be deemed a waiver of any such rights in respect of a separate instance of the same or similar nature.

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Addendum A

IDOT Slate of Permanent Arbitrators

1. Bruce Feldacker
2. Thomas F. Gibbons
3. Edward J. Harrick
4. Brent L. Motchan
5. Robert Perkovich
6. Byron Yaffee
7. Glenn A. Zipp

Exhibit A - Contractor Letter of Assent

(Date)

To All Parties:

In accordance with the terms and conditions of the contract for Construction Work on [Contract No.], this Letter of Assent hereby confirms that the undersigned Prime Contractor or Subcontractor agrees to be bound by the terms and conditions of the Project Labor Agreement established and entered into by the Illinois Department of Transportation in connection with said Project.

It is the understanding and intent of the undersigned party that this Project Labor Agreement shall pertain only to the identified Project. In the event it is necessary for the undersigned party to become signatory to a collective bargaining agreement to which it is not otherwise a party in order that it may lawfully make certain required contributions to applicable fringe benefit funds, the undersigned party hereby expressly conditions its acceptance of and limits its participation in such collective bargaining agreement to its work on the Project.

(Authorized Company Officer)

(Company)

REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

- I. General
- II. Nondiscrimination
- III. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- XII. Use of United States-Flag Vessels:

ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633.102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services). 23 CFR 633.102(e).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider. 23 CFR 633.102(e).

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services) in accordance with 23 CFR 633.102. The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract). 23 CFR 633.102(b).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work

performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

II. NONDISCRIMINATION (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR Part 60, 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR Part 60, and 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR Part 230, Subpart A, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal Employment Opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (see 28 CFR Part 35, 29 CFR Part 1630, 29 CFR Parts 1625-1627, 41 CFR Part 60 and 49 CFR Part 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140, shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract. 23 CFR 230.409 (g)(4) & (5).

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action or are substantially involved in such action, will be made fully cognizant of and will implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to ensure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action

within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs (i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance). In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. 23 CFR 230.409. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide

sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

8. Reasonable Accommodation for Applicants /

Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established thereunder. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:

The contractor shall not discriminate on the grounds of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors, suppliers, and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

10. Assurances Required:

a. The requirements of 49 CFR Part 26 and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or
- (4) Disqualifying the contractor from future bidding as non-responsible.

c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E are incorporated by reference. 49 CFR Part 21.

11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women.

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of more than \$10,000. 41 CFR 60-1.5.

As prescribed by 41 CFR 60-1.8, the contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location under the contractor's control where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101. Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and Highway

Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA- 1273 format and FHWA program requirements.

1. Minimum wages (29 CFR 5.5)

a. *Wage rates and fringe benefits.* All laborers and mechanics employed or working upon the site of the work (or otherwise working in construction or development of the project under a development statute), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act ([29 CFR part 3](#))), the full amount of basic hourly wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. As provided in paragraphs (d) and (e) of 29 CFR 5.5, the appropriate wage determinations are effective by operation of law even if they have not been attached to the contract. Contributions made or costs reasonably anticipated for bona fide fringe benefits under the Davis-Bacon Act ([40 U.S.C. 3141\(2\)\(B\)](#)) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.e. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics must be paid the appropriate wage rate and fringe benefits on the wage determination for the classification(s) of work actually performed, without regard to skill, except as provided in paragraph 4. of this section. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph 1.c. of this section) and the Davis-Bacon poster (WH-1321) must be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. *Frequently recurring classifications.* (1) In addition to wage and fringe benefit rates that have been determined to be prevailing under the procedures set forth in [29 CFR part 1](#), a wage determination may contain, pursuant to § 1.3(f), wage and fringe benefit rates for classifications of laborers and mechanics for which conformance requests are regularly submitted pursuant to paragraph 1.c. of this section, provided that:

(i) The work performed by the classification is not performed by a classification in the wage determination for which a prevailing wage rate has been determined;

(ii) The classification is used in the area by the construction industry; and

(iii) The wage rate for the classification bears a reasonable relationship to the prevailing wage rates contained in the wage determination.

(2) The Administrator will establish wage rates for such classifications in accordance with paragraph 1.c.(1)(iii) of this section. Work performed in such a classification must be paid at no less than the wage and fringe benefit rate listed on the wage determination for such classification.

c. *Conformance.* (1) The contracting officer must require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract be classified in conformance with the wage determination. Conformance of an additional classification and wage rate and fringe benefits is appropriate only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is used in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) The conformance process may not be used to split, subdivide, or otherwise avoid application of classifications listed in the wage determination.

(3) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken will be sent by the contracting officer by email to DBAconformance@dol.gov. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer will, by email to DBAconformance@dol.gov, refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(5) The contracting officer must promptly notify the contractor of the action taken by the Wage and Hour Division

under paragraphs 1.c.(3) and (4) of this section. The contractor must furnish a written copy of such determination to each affected worker or it must be posted as a part of the wage determination. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 1.c.(3) or (4) of this section must be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

d. *Fringe benefits not expressed as an hourly rate.*

Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor may either pay the benefit as stated in the wage determination or may pay another bona fide fringe benefit or an hourly cash equivalent thereof.

e. *Unfunded plans.* If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, in accordance with the criteria set forth in § 5.28, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

f. *Interest.* In the event of a failure to pay all or part of the wages required by the contract, the contractor will be required to pay interest on any underpayment of wages.

2. Withholding (29 CFR 5.5)

a. *Withholding requirements.* The contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in this section for violations of this contract, or to satisfy any such liabilities required by any other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work all or part of the wages required by the contract, or upon the contractor's failure to submit the required records as discussed in paragraph 3.d. of this section, the contracting agency may on its own initiative and after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with paragraph

2.a. of this section or Section V, paragraph 3.a., or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901](#)–3907.

3. Records and certified payrolls (29 CFR 5.5)

a. *Basic record requirements* (1) *Length of record retention.* All regular payrolls and other basic records must be maintained by the contractor and any subcontractor during the course of the work and preserved for all laborers and mechanics working at the site of the work (or otherwise working in construction or development of the project under a development statute) for a period of at least 3 years after all the work on the prime contract is completed.

(2) *Information required.* Such records must contain the name; Social Security number; last known address, telephone number, and email address of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act); daily and weekly number of hours actually worked in total and on each covered contract; deductions made; and actual wages paid.

(3) *Additional records relating to fringe benefits.* Whenever the Secretary of Labor has found under paragraph 1.e. of this section that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act, the contractor must maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits.

(4) *Additional records relating to apprenticeship.* Contractors with apprentices working under approved programs must maintain written evidence of the registration of apprenticeship programs, the registration of the apprentices, and the ratios and wage rates prescribed in the applicable programs.

b. *Certified payroll requirements* (1) *Frequency and method of submission.* The contractor or subcontractor must submit weekly, for each week in which any DBA- or Related Acts-covered work is performed, certified payrolls to the contracting

agency. The prime contractor is responsible for the submission of all certified payrolls by all subcontractors. A contracting agency or prime contractor may permit or require contractors to submit certified payrolls through an electronic system, as long as the electronic system requires a legally valid electronic signature; the system allows the contractor, the contracting agency, and the Department of Labor to access the certified payrolls upon request for at least 3 years after the work on the prime contract has been completed; and the contracting agency or prime contractor permits other methods of submission in situations where the contractor is unable or limited in its ability to use or access the electronic system.

(2) *Information required.* The certified payrolls submitted must set out accurately and completely all of the information required to be maintained under paragraph 3.a.(2) of this section, except that full Social Security numbers and last known addresses, telephone numbers, and email addresses must not be included on weekly transmittals. Instead, the certified payrolls need only include an individually identifying number for each worker (e.g., the last four digits of the worker's Social Security number). The required weekly certified payroll information may be submitted using Optional Form WH-347 or in any other format desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division website at <https://www.dol.gov/sites/dolgov/files/WHDL/legacy/files/wh347.pdf> or its successor website. It is not a violation of this section for a prime contractor to require a subcontractor to provide full Social Security numbers and last known addresses, telephone numbers, and email addresses to the prime contractor for its own records, without weekly submission by the subcontractor to the contracting agency.

(3) *Statement of Compliance.* Each certified payroll submitted must be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor, or the contractor's or subcontractor's agent who pays or supervises the payment of the persons working on the contract, and must certify the following:

(i) That the certified payroll for the payroll period contains the information required to be provided under paragraph 3.b. of this section, the appropriate information and basic records are being maintained under paragraph 3.a. of this section, and such information and records are correct and complete;

(ii) That each laborer or mechanic (including each helper and apprentice) working on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in [29 CFR part 3](#); and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification(s) of work actually performed, as specified in the applicable wage determination incorporated into the contract.

(4) *Use of Optional Form WH-347.* The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 will satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(3) of this section.

(5) *Signature.* The signature by the contractor, subcontractor, or the contractor's or subcontractor's agent must be an original handwritten signature or a legally valid electronic signature.

(6) *Falsification.* The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under [18 U.S.C. 1001](#) and [31 U.S.C. 3729](#).

(7) *Length of certified payroll retention.* The contractor or subcontractor must preserve all certified payrolls during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

c. *Contracts, subcontracts, and related documents.* The contractor or subcontractor must maintain this contract or subcontract and related documents including, without limitation, bids, proposals, amendments, modifications, and extensions. The contractor or subcontractor must preserve these contracts, subcontracts, and related documents during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

d. *Required disclosures and access* (1) *Required record disclosures and access to workers.* The contractor or subcontractor must make the records required under paragraphs 3.a. through 3.c. of this section, and any other documents that the contracting agency, the State DOT, the FHWA, or the Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by § 5.1, available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and must permit such representatives to interview workers during working hours on the job.

(2) *Sanctions for non-compliance with records and worker access requirements.* If the contractor or subcontractor fails to submit the required records or to make them available, or refuses to permit worker interviews during working hours on the job, the Federal agency may, after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, that maintains such records or that employs such workers, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available, or to permit worker interviews during working hours on the job, may be grounds for debarment action pursuant to § 5.12. In addition, any contractor or other person that fails to submit the required records or make those records available to WHD within the time WHD requests that the records be produced will be precluded from introducing as evidence in an administrative proceeding under [29 CFR part 6](#) any of the required records that were not provided or made available to WHD. WHD will take into consideration a reasonable request from the contractor or person for an extension of the time for submission of records. WHD will determine the reasonableness of the request and may consider, among other things, the location of the records and the volume of production.

(3) *Required information disclosures.* Contractors and subcontractors must maintain the full Social Security number and last known address, telephone number, and email address

of each covered worker, and must provide them upon request to the contracting agency, the State DOT, the FHWA, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or other compliance action.

4. Apprentices and equal employment opportunity (29 CFR 5.5)

a. *Apprentices* (1) *Rate of pay.* Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by the OA. A person who is not individually registered in the program, but who has been certified by the OA or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice, will be permitted to work at less than the predetermined rate for the work they perform in the first 90 days of probationary employment as an apprentice in such a program. In the event the OA or a State Apprenticeship Agency recognized by the OA withdraws approval of an apprenticeship program, the contractor will no longer be permitted to use apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(2) *Fringe benefits.* Apprentices must be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringe benefits must be paid in accordance with that determination.

(3) *Apprenticeship ratio.* The allowable ratio of apprentices to journeymen on the job site in any craft classification must not be greater than the ratio permitted to the contractor as to the entire work force under the registered program or the ratio applicable to the locality of the project pursuant to paragraph 4.a.(4) of this section. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in paragraph 4.a.(1) of this section, must be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under this section must be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(4) *Reciprocity of ratios and wage rates.* Where a contractor is performing construction on a project in a locality other than the locality in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyworker's hourly rate) applicable within the locality in which the construction is being performed must be observed. If there is no applicable ratio or wage rate for the locality of the project, the ratio and wage rate specified in the contractor's registered program must be observed.

b. *Equal employment opportunity.* The use of apprentices and journeymen under this part must be in conformity with

the equal employment opportunity requirements of Executive Order 11246, as amended, and [29 CFR part 30](#).

c. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeyworkers shall not be greater than permitted by the terms of the particular program.

5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

6. Subcontracts. The contractor or subcontractor must insert FHWA-1273 in any subcontracts, along with the applicable wage determination(s) and such other clauses or contract modifications as the contracting agency may by appropriate instructions require, and a clause requiring the subcontractors to include these clauses and wage determination(s) in any lower tier subcontracts. The prime contractor is responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this section. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and may be subject to debarment, as appropriate. 29 CFR 5.5.

7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

9. Disputes concerning labor standards. As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility. a. By entering into this contract, the contractor certifies that neither it nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

c. The penalty for making false statements is prescribed in the U.S. Code, Title 18 Crimes and Criminal Procedure, [18 U.S.C. 1001](#).

11. Anti-retaliation. It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#); or

d. Informing any other person about their rights under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#).

V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchpersons and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph 1. of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages and interest from the date of the underpayment. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or

mechanic, including watchpersons and guards, employed in violation of the clause set forth in paragraph 1. of this section, in the sum currently provided in 29 CFR 5.5(b)(2)* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1. of this section.

* \$31 as of January 15, 2023 (See 88 FR 88 FR 2210) as may be adjusted annually by the Department of Labor, pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990.

3. Withholding for unpaid wages and liquidated damages

a. *Withholding process.* The FHWA or the contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for any unpaid wages; monetary relief, including interest; and liquidated damages required by the clauses set forth in this section on this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to the Contract Work Hours and Safety Standards Act and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with Section IV paragraph 2.a. or paragraph 3.a. of this section, or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901](#)–3907.

4. **Subcontracts.** The contractor or subcontractor must insert in any subcontracts the clauses set forth in paragraphs 1. through 5. of this section and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor is responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1. through 5. In the

event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and associated liquidated damages and may be subject to debarment, as appropriate.

5. **Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the Contract Work Hours and Safety Standards Act (CWHSSA) or its implementing regulations in this part;

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under CWHSSA or this part;

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under CWHSSA or this part; or

d. Informing any other person about their rights under CWHSSA or this part.

VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1 of Section VI refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

(1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

(2) the prime contractor remains responsible for the quality of the work of the leased employees;

(3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
(4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on long-standing interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and

health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.327.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.327.

X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

1. Instructions for Certification – First Tier Participants:

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

* * * * *

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;.

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

* * * * *

3. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contractor). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily

excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

* * * * *

4. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

a. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(1) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(2) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(3) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

b. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

* * * * *

XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or

cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

XII. USE OF UNITED STATES-FLAG VESSELS:

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.

2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS
PREFERENCE FOR APPALACHIAN DEVELOPMENT HIGHWAY
SYSTEM OR APPALACHIAN LOCAL ACCESS**

ROAD CONTRACTS (23 CFR 633, Subpart B, Appendix B)

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.