If you plan to submit a bid directly to the Department of Transportation

REQUESTS FOR AUTHORIZATION TO BID

Contractors downloading and/or ordering CD-ROM's and are wanting to bid on items included in a particular letting must submit the properly completed "Request for Authorization to Bid/or Not For Bid Status" (BDE 124INT) and, for items requiring prequalification, the ORIGINAL, signed and notarized, "Affidavit of Availability" (BC 57) to the proper office no later than 4:30 p.m. prevailing time, three (3) days prior to the letting date.

WHO CAN BID?

Bids will be accepted from only those companies that request and receive written Authorization to Bid.

ADDENDA AND REVISIONS: It is the contractor's responsibility to determine which, if any, addenda or revisions pertain to any project they may be bidding. Failure to incorporate all relevant addenda or revisions may cause the bid to be declared unacceptable.

Each addendum will be placed with the contract number. Addenda and revisions will also be placed on the Addendum/Revision Checklist and each subscription service subscriber will be notified by e-mail of each addendum and revision issued.

The Internet is the Department's primary way of doing business. The subscription server e-mails are an added courtesy the Department provides. It is suggested that bidder check IDOT's website http://www.dot.il.gov/desenv/delett.html before submitting final bid information.

IDOT is not responsible for any e-mail related failures.

Addenda Questions may be directed to the Contracts Office at (217)782-7806 or D&Econtracts@dot.il.gov

Technical Questions about downloading these files may be directed to Tim Garman (217)524-1642 or garmantr@dot.il.gov.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

- 1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
- 2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?

| Questions Regarding | Call |
|--|---------------|
| Prequalification and/or Authorization to Bid | (217)782-3413 |
| Preparation and submittal of bids | (217)782-7806 |
| Mailing of plans and proposals | (217)782-7806 |
| Electronic plans and proposals | (217)524-1642 |
| | |

ADDENDUMS AND REVISIONS TO THE PROPOSAL FORMS

Planholders should verify that they have received and incorporated the addendum and/or revision prior to submitting their bid. Failure by the bidder to include an addendum could result in a bid being rejected as irregular.

36

| 1121 01111 11111 212 |
|-----------------------|
| Proposal Submitted By |
| |
| |
| Name |
| |
| Address |
| |
| City |

Letting November 18, 2005

NOTICE TO PROSPECTIVE BIDDERS

This proposal can be used for bidding purposes by only those companies that request and receive written AUTHORIZATION TO BID from IDOT's Central Bureau of Construction. (SEE INSTRUCTIONS ON THE INSIDE OF COVER)

Notice To Bidders, Specifications, Proposal, Contract and Contract Bond



Springfield, Illinois 62764

Contract No. 66664
IROQUOIS County
Section D3 TREE PLANTING 2006-2
District 3 Construction Funds
Route FAI 57

| PLEASE MARK THE APPROPRIATE BOX BELOW: | |
|---|--|
| A Bid Bond is included. | |
| A Cashier's Check or a Certified Check is included. | |

Prepared by

S

Checked by

(Printed by authority of the State of Illinois)

BIDDERS NEED NOT RETURN THE ENTIRE PROPOSAL (See instructions inside front cover)

INSTRUCTIONS

ABOUT IDOT PROPOSALS: All proposals issued by IDOT are potential bidding proposals. Each proposal contains all Certifications and Affidavits, a Proposal Signature Sheet and a Proposal Bid Bond required for Prime Contractors to submit a bid after written **Authorization to Bid** has been issued by IDOT's Central Bureau of Construction.

WHO CAN BID?: Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT's Central Bureau of Construction. To request authorization, a potential bidder <u>must complete and submit Part B of the Request for Authorization to Bid/or Not For Bid Status form (BDE 124 INT) and submit an original Affidavit of Availability (BC 57).</u>

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?: When a prospective prime bidder submits a "Request for Proposal Forms and Plans" he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a Proposal Denial and/or Authorization Form, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If Authorization to Bid cannot be approved, the Proposal Denial and/or Authorization Form will indicate the reason for denial. If a contractor has requested to bid but has not received a Proposal Denial and/or Authorization Form, they should contact the Central Bureau of Construction in advance of the letting date.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

- 1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
- 2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?

| Questions Regarding | Call |
|--|--------------|
| Prequalification and/or Authorization to Bid | 217/782-3413 |
| Preparation and submittal of bids | 217/782-7806 |
| Mailing of CD-ROMS | 217/782-7806 |



PROPOSAL

TO THE DEPARTMENT OF TRANSPORTATION

| 1. | Proposal of |
|----|---|
| | |
| | for the improvement identified and advertised for bids in the Invitation for Bids as: |
| | |

Contract No. 66664
IROQUOIS County
Section D3 TREE PLANTING 2006-2
Route FAI 57
District 3 Construction Funds

This project consists of planting woody plants in designated areas along Interstate 57 near Clifton.

2. The undersigned bidder will furnish all labor, material and equipment to complete the above described project in a good and workmanlike manner as provided in the contract documents provided by the Department of Transportation. This proposal will become part of the contract and the terms and conditions contained in the contract documents shall govern performance and payments.

BD 353A (Rev. 11/2001)

- 3. ASSURANCE OF EXAMINATION AND INSPECTION/WAIVER. The undersigned further declares that he/she has carefully examined the proposal, plans, specifications, form of contract and contract bond, and special provisions, and that he/she has inspected in detail the site of the proposed work, and that he/she has familiarized themselves with all of the local conditions affecting the contract and the detailed requirements of construction, and understands that in making this proposal he/she waives all right to plead any misunderstanding regarding the same.
- 4. **EXECUTION OF CONTRACT AND CONTRACT BOND.** The undersigned further agrees to execute a contract for this work and present the same to the department within fifteen (15) days after the contract has been mailed to him/her. The undersigned further agrees that he/she and his/her surety will execute and present within fifteen (15) days after the contract has been mailed to him/her contract bond satisfactory to and in the form prescribed by the Department of Transportation, in the penal sum of the full amount of the contract, guaranteeing the faithful performance of the work in accordance with the terms of the contract.

NUMBER 5 BELOW DOES NOT APPLY TO SMALL BUSINESS SET-ASIDES

5. **PROPOSAL GUARANTY.** Accompanying this proposal is either a bid bond on the department form, executed by a corporate surety company satisfactory to the department, or a proposal guaranty check consisting of a bank cashier's check or a properly certified check for not less than 5 per cent of the amount bid or for the amount specified in the following schedule:

| <u>A</u> | mount o | of Bid | Proposal <u>Guaranty</u> | <u>Am</u> | ount o | of Bid | Proposal <u>Guaranty</u> |
|-------------|---------|-------------|-----------------------------|--------------|--------|--------------|-----------------------------|
| Up to | | \$5,000 | \$150 | \$2,000,000 | to | \$3,000,000 | \$100,000 |
| \$5,000 | to | \$10,000 | \$300 | \$3,000,000 | to | \$5,000,000 | \$150,000 |
| \$10,000 | to | \$50,000 | \$1,000 | \$5,000,000 | to | \$7,500,000 | \$250,000 |
| \$50,000 | to | \$100,000 | \$3,000 | \$7,500,000 | to | \$10,000,000 | \$400,000 |
| \$100,000 | to | \$150,000 | \$5,000 | \$10,000,000 | to | \$15,000,000 | \$500,000 |
| \$150,000 | to | \$250,000 | \$7,500 | \$15,000,000 | to | \$20,000,000 | \$600,000 |
| \$250,000 | to | \$500,000 | \$12,500 | \$20,000,000 | to | \$25,000,000 | \$700,000 |
| \$500,000 | to | \$1,000,000 | \$25,000 | \$25,000,000 | to | \$30,000,000 | \$800,000 |
| \$1,000,000 | to | \$1,500,000 | \$50,000 | \$30,000,000 | to | \$35,000,000 | \$900,000 |
| \$1,500,000 | to | \$2,000,000 | \$75,000 | over | | \$35,000,000 | \$1,000,000 |

Bank cashier's checks or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois, when the state is awarding authority; the county treasurer, when a county is the awarding authority; or the city, village, or town treasurer, when a city, village, or town is the awarding authority.

If a combination bid is submitted, the proposal guaranties which accompany the individual proposals making up the combination will be considered as also covering the combination bid.

The amount of the proposal guaranty check is ______\$(). If this proposal is accepted and the undersigned shall fail to execute a contract bond as required herein, it is hereby agreed that the amount of the proposal guaranty shall become the property of the State of Illinois, and shall be considered as payment of damages due to delay and other causes suffered by the State because of the failure to execute said contract and contract bond; otherwise, the bid bond shall become void or the proposal guaranty check shall be returned to the undersigned.

Mark the proposal cover sheet as to the type of proposal guaranty submitted.

BD 354 (Rev. 11/2001)

6. **COMBINATION BIDS.** The undersigned further agrees that if awarded the contract for the sections contained in the following combination, he/she will perform the work in accordance with the requirements of each individual proposal comprising the combination bid specified in the schedule below, and that the combination bid shall be prorated against each section in proportion to the bid submitted for the same. If an error is found to exist in the gross sum bid for one or more of the individual sections included in a combination, the combination bid shall be corrected as provided in the specifications.

When a combination bid is submitted, the schedule below must be completed in each proposal comprising the combination.

If alternate bids are submitted for one or more of the sections comprising the combination, a combination bid must be submitted for each alternate.

Schedule of Combination Bids

| Combination | | Combinatio | Combination Bid | | |
|-------------|----------------------------------|------------|-----------------|--|--|
| No. | Sections Included in Combination | Dollars | Cents | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

- 7. SCHEDULE OF PRICES. The undersigned bidder submits herewith, in accordance with the rules and instructions, a schedule of prices for the items of work for which bids are sought. The unit prices bid are in U.S. dollars and cents, and all extensions and summations have been made. The bidder understands that the quantities appearing in the bid schedule are approximate and are provided for the purpose of obtaining a gross sum for the comparison of bids. If there is an error in the extension of the unit prices, the unit prices shall govern. Payment to the contractor awarded the contract will be made only for actual quantities of work performed and accepted or materials furnished according to the contract. The scheduled quantities of work to be done and materials to be furnished may be increased, decreased or omitted as provided elsewhere in the contract.
- 8. **CERTIFICATE OF AUTHORITY.** The undersigned bidder, if a business organized under the laws of another State, assures the Department that it will furnish a copy of its certificate of authority to do business in the State of Illinois with the return of the executed contract and bond. Failure to furnish the certificate within the time provided for execution of an awarded contract may be cause for cancellation of the award and forfeiture of the proposal guaranty to the State.

ILLINOIS DEPARTMENT OF TRANSPORTATION SCHEDULE OF PRICES CONTRACT NUMBER - 66664

State Job # - C-93-055-05

PPS NBR - 3-87337-0601 County Name - VARIOUS- IROQUOIS-

Code - 0 - 75 - District - 3 - 3 -

Section Number - D3 TREE PLANTING 2006-2

| Project Number | Route |
|----------------|--------|
| | FAI 57 |

| Item Number | Pay Item Description | Unit of Measure | Quantity | X | Unit Price | = | Total Price |
|----------------|----------------------|--------------------|----------|---|------------|---|-------------|
| A2000116 | T-ACERX FREM AB 2 | EACH | 35.000 | | | | |
| A2002366 | T-BETULA NIGRA CL 6' | EACH | 41.000 | | | | |
| A2007616 | T-TAXODIUM DIS 2 | EACH | 82.000 | | | | |
| A2007816 | T-TILIA AMER 2 | EACH | 35.000 | | | | |
| C2C00524 | S-ARONIA MELAN 2'C | EACH | 208.000 | | | | |
| C2C01624 | S-CORNUS SERICEA 2'C | EACH | 246.000 | | | | |
| C2C11736 | S-VIBURN DENT 3'C | EACH | 208.000 | | | | |
| D2001760 | E-PICEA ABIES 5' | EACH | 20.000 | | | | |
| D2002160 | E-PICEA PUNGENS 5' | EACH | 70.000 | | | | |
| 67100100 | MOBILIZATION | L SUM | 1.000 | | | | |

| COL | ITD A | CT | NII | INAD | |
|-----|-------|-----|-----|------|---|
| CON | HRA | (CI | Nυ | | ᄄ |

66664

NOTES:

- 1. Each PAY ITEM should have a UNIT PRICE and a TOTAL PRICE.
- 2. The UNIT PRICE shall govern if no TOTAL PRICE is shown or if there is a discrepancy between the product of the UNIT PRICE multiplied by the QUANTITY.
- 3. If a UNIT PRICE is omitted, the TOTAL PRICE will be divided by the QUANTITY in order to establish a UNIT PRICE.
- 4. A bid may be declared UNACCEPTABLE if neither a unit price nor a total price is shown.

STATE REQUIRED ETHICAL STANDARDS GOVERNING CONTRACT PROCUREMENT: ASSURANCES, CERTIFICATIONS AND DISCLOSURES

I. GENERAL

- **A.** Article 50 of the Illinois Procurement Code establishes the duty of all State chief procurement officers, State purchasing officers, and their designees to maximize the value of the expenditure of public moneys in procuring goods, services, and contracts for the State of Illinois and to act in a manner that maintains the integrity and public trust of State government. In discharging this duty, they are charged by law to use all available information, reasonable efforts, and reasonable actions to protect, safeguard, and maintain the procurement process of the State of Illinois.
- **B.** In order to comply with the provisions of Article 50 and to carry out the duty established therein, all bidders are to adhere to ethical standards established for the procurement process, and to make such assurances, disclosures and certifications required by law. By execution of the Proposal Signature Sheet, the bidder indicates that each of the mandated assurances has been read and understood, that each certification is made and understood, and that each disclosure requirement has been understood and completed.
- **C.** In addition to all other remedies provided by law, failure to comply with any assurance, failure to make any disclosure or the making of a false certification shall be grounds for termination of the contract and the suspension or debarment of the bidder.

II. ASSURANCES

A. The assurances hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous assurance, and the surety providing the performance bond shall be responsible for the completion of the contract.

B. Felons

1. The Illinois Procurement Code provides:

Section 50-10. Felons. Unless otherwise provided, no person or business convicted of a felony shall do business with the State of Illinois or any state agency from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-10.

C. Conflicts of Interest

1. The Illinois Procurement Code provides in pertinent part:

Section 50-13. Conflicts of Interest.

- (a) Prohibition. It is unlawful for any person holding an elective office in this State, holding a seat in the General Assembly, or appointed to or employed in any of the offices or agencies of state government and who receives compensation for such employment in excess of 60% of the salary of the Governor of the State of Illinois, or who is an officer or employee of the Capital Development Board or the Illinois Toll Highway Authority, or who is the spouse or minor child of any such person to have or acquire any contract, or any direct pecuniary interest in any contract therein, whether for stationery, printing, paper, or any services, materials, or supplies, that will be wholly or partially satisfied by the payment of funds appropriated by the General Assembly of the State of Illinois or in any contract of the Capital Development Board or the Illinois Toll Highway authority.
- (b) Interests. It is unlawful for any firm, partnership, association or corporation, in which any person listed in subsection (a) is entitled to receive (i) more than 7 1/2% of the total distributable income or (ii) an amount in excess of the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.
- (c) Combined interests. It is unlawful for any firm, partnership, association, or corporation, in which any person listed in subsection (a) together with his or her spouse or minor children is entitled to receive (i) more than 15%, in the aggregate, of the total distributable income or (ii) an amount in excess of 2 times the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.
- (d) Securities. Nothing in this Section invalidates the provisions of any bond or other security previously offered or to be offered for sale or sold by or for the State of Illinois.
- (e) Prior interests. This Section does not affect the validity of any contract made between the State and an officer or employee of the State or member of the General Assembly, his or her spouse, minor child or any combination of those persons if that contract was in existence before his or her election or employment as an officer, member, or employee. The contract is voidable, however, if it cannot be completed within 365 days after the officer, member, or employee takes office or is employed.

The current salary of the Governor is \$150,700.00. Sixty percent of the salary is \$90,420.00.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-13, or that an effective exemption has been issued by the Board of Ethics to any individual subject to the Section 50-13 prohibitions pursuant to the provisions of Section 50-20 of the Code and Executive Order Number 3 (1998). Information concerning the exemption process is available from the Department upon request.

D. Negotiations

1. The Illinois Procurement Code provides in pertinent part:

Section 50-15. Negotiations.

- (a) It is unlawful for any person employed in or on a continual contractual relationship with any of the offices or agencies of State government to participate in contract negotiations on behalf of that office or agency with any firm, partnership, association, or corporation with whom that person has a contract for future employment or is negotiating concerning possible future employment.
- 2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-15, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

E. Inducements

1. The Illinois Procurement Code provides:

Section 50-25. Inducement. Any person who offers or pays any money or other valuable thing to any person to induce him or her not to bid for a State contract or as recompense for not having bid on a State contract is guilty of a Class 4 felony. Any person who accepts any money or other valuable thing for not bidding for a State contract or who withholds a bid in consideration of the promise for the payment of money or other valuable thing is guilty of a Class 4 felony.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-25, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

F. Revolving Door Prohibition

1. The Illinois Procurement Code provides:

Section 50-30. Revolving door prohibition. Chief procurement officers, associate procurement officers, State purchasing officers, their designees whose principal duties are directly related to State procurement, and executive officers confirmed by the Senate are expressly prohibited for a period of 2 years after terminating an affected position from engaging in any procurement activity relating to the State agency most recently employing them in an affected position for a period of at least 6 months. The prohibition includes, but is not limited to: lobbying the procurement process; specifying; bidding; proposing bid, proposal, or contract documents; on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to persons who terminate an affected position on or after January 15, 1999.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-30, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

G. Reporting Anticompetitive Practices

1. The Illinois Procurement Code provides:

Section 50-40. Reporting anticompetitive practices. When, for any reason, any vendor, bidder, contractor, chief procurement officer, State purchasing officer, designee, elected official, or State employee suspects collusion or other anticompetitive practice among any bidders, offerors, contractors, proposers, or employees of the State, a notice of the relevant facts shall be transmitted to the Attorney General and the chief procurement officer.

2. The bidder assures the Department that it has not failed to report any relevant facts concerning the practices addressed in Section 50-40 which may involve the contract for which the bid is submitted.

H. Confidentiality

1. The Illinois Procurement Code provides:

Section 50-45. Confidentiality. Any chief procurement officer, State purchasing officer, designee, or executive officer who willfully uses or allows the use of specifications, competitive bid documents, proprietary competitive information, proposals, contracts, or selection information to compromise the fairness or integrity of the procurement, bidding, or contract process shall be subject to immediate dismissal, regardless of the Personnel code, any contract, or any collective bargaining agreement, and may in addition be subject to criminal prosecution.

2. The bidder assures the Department that it has no knowledge of any fact relevant to the practices addressed in Section 50-45 which may involve the contract for which the bid is submitted.

I. Insider Information

1. The Illinois Procurement Act provides:

Section 50-50. Insider information. It is unlawful for any current or former elected or appointed State official or State employee to knowingly use confidential information available only by virtue of that office or employment for actual or anticipated gain for themselves or another person.

2. The bidder assures the Department that it has no knowledge of any facts relevant to the practices addressed in Section 50-50 which may involve the contract for which the bid is submitted.

III. CERTIFICATIONS

A. The certifications hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous certification, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Bribery

1. The Illinois Procurement Code provides:

Section 50-5. Bribery.

- (a) Prohibition. No person or business shall be awarded a contract or subcontract under this Code who:
 - (1) has been convicted under the laws of Illinois or any other state of bribery or attempting to bribe an officer or employee of the State of Illinois or any other state in that officer's or employee's official capacity; or
 - (2) has made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct.
- (b) Businesses. No business shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of the business if the employee or agent is no longer employed by the business and:
 - (1) the business has been finally adjudicated not guilty; or
 - (2) the business demonstrates to the governmental entity with which it seeks to contract, and that entity finds that the commission of the offense was not authorized, requested, commanded, or performed by a director, officer, or high managerial agent on behalf of the business as provided in paragraph (2) of subsection (a) of Section 5-4 of the Criminal Code of 1961.
- (c) Conduct on behalf of business. For purposes of this Section, when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business shall be chargeable with the conduct.
- (d) Certification. Every bid submitted to and contract executed by the State shall contain a certification by the contractor that the contractor is not barred from being awarded a contract or subcontract under this Section. A contractor who makes a false statement, material to the certification, commits a Class 3 felony.
- 2. The bidder certifies that it is not barred from being awarded a contract under Section 50.5.

C. Educational Loan

- 1. Section 3 of the Educational Loan Default Act provides:
- § 3. No State agency shall contract with an individual for goods or services if that individual is in default, as defined in Section 2 of this Act, on an educational loan. Any contract used by any State agency shall include a statement certifying that the individual is not in default on an educational loan as provided in this Section.
- 2. The bidder, if an individual as opposed to a corporation, partnership or other form of business organization, certifies that the bidder is not in default on an educational loan as provided in Section 3 of the Act.

D. Bid-Rigging/Bid Rotating

1. Section 33E-11 of the Criminal Code of 1961 provides:

§ 33E-11. (a) Every bid submitted to and public contract executed pursuant to such bid by the State or a unit of local government shall contain a certification by the prime contractor that the prime contractor is not barred from contracting with any unit of State or local government as a result of a violation of either Section 33E-3 or 33E-4 of this Article. The State and units of local government shall provide the appropriate forms for such certification.

(b) A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

A violation of Section 33E-3 would be represented by a conviction of the crime of bid-rigging which, in addition to Class 3 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be barred for 5 years from the date of conviction from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

A violation of Section 33E-4 would be represented by a conviction of the crime of bid-rotating which, in addition to Class 2 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be permanently barred from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

2. The bidder certifies that it is not barred from contracting with the Department by reason of a violation of either Section 33E-3 or Section 33E-4.

E. International Anti-Boycott

- 1. Section 5 of the International Anti-Boycott Certification Act provides:
- § 5. State contracts. Every contract entered into by the State of Illinois for the manufacture, furnishing, or purchasing of supplies, material, or equipment or for the furnishing of work, labor, or services, in an amount exceeding the threshold for small purchases according to the purchasing laws of this State or \$10,000.00, whichever is less, shall contain certification, as a material condition of the contract, by which the contractor agrees that neither the contractor nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act.
- 2. The bidder makes the certification set forth in Section 5 of the Act.

F. Drug Free Workplace

- 1. The Illinois "Drug Free Workplace Act" applies to this contract and it is necessary to comply with the provisions of the "Act" if the contractor is a corporation, partnership, or other entity (including a sole proprietorship) which has 25 or more employees.
- 2. The bidder certifies that if awarded a contract in excess of \$5,000 it will provide a drug free workplace by:
- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance, including cannabis, is prohibited in the contractor's workplace; specifying the actions that will be taken against employees for violations of such prohibition; and notifying the employee that, as a condition of employment on such contract, the employee shall abide by the terms of the statement, and notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.
- (b) Establishing a drug free awareness program to inform employees about the dangers of drug abuse in the workplace; the contractor's policy of maintaining a drug free workplace; any available drug counseling, rehabilitation, and employee assistance programs; and the penalties that may be imposed upon employees for drug violations.
- (c) Providing a copy of the statement required by subparagraph (1) to each employee engaged in the performance of the contract and to post the statement in a prominent place in the workplace.
- (d) Notifying the Department within ten (10) days after receiving notice from an employee or otherwise receiving actual notice of the conviction of an employee for a violation of any criminal drug statute occurring in the workplace.
- (e) Imposing or requiring, within 30 days after receiving notice from an employee of a conviction or actual notice of such a conviction, an appropriate personnel action, up to and including termination, or the satisfactory participation in a drug abuse assistance or rehabilitation program approved by a federal, state or local health, law enforcement or other appropriate agency.
- (f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.
- (g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the actions and efforts stated in this certification.

G. Debt Delinquency

1. The Illinois Procurement Code provides:

Section 50-11 and 50-12. Debt Delinquency.

The contractor or bidder certifies that it, or any affiliate, is not barred from being awarded a contract under 30 ILCS 500. Section 50-11 prohibits a person from entering into a contract with a State agency if it knows or should know that it, or any affiliate, is delinquent in the payment of any debt to the State as defined by the Debt Collection Board. Section 50-12 prohibits a person from entering into a contract with a State agency if it, or any affiliate, has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act. The contractor further acknowledges that the contracting State agency may declare the contract void if this certification is false or if the contractor, or any affiliate, is determined to be delinquent in the payment of any debt to the State during the term of the contract.

H. Sarbanes-Oxley Act of 2002

1. The Illinois Procurement Code provides:

Section 50-60(c).

The contractor certifies in accordance with 30 ILCS 500/50-10.5 that no officer, director, partner or other managerial agent of the contracting business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 or a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 for a period of five years prior to the date of the bid or contract. The contractor acknowledges that the contracting agency shall declare the contract void if this certification is false.

I. ADDENDA

The contractor or bidder certifies that all relevant addenda have been incorporated in to this contract. Failure to do so may cause the bid to be declared unacceptable.

J. Section 42 of the Environmental Protection Act

The contractor certifies in accordance with 30 ILCS 500/50-12 that the bidder or contractor is not barred from being awarded a contract under this Section which prohibits the bidding on or entering into contracts with the State of Illinois or a State agency by a person or business found by a court or the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act for a period of five years from the date of the order. The contractor acknowledges that the contracting agency may declare the contract void if this certification is false.

K. Apprenticeship and Training Certification (Does not apply to federal aid projects)

In accordance with the provisions of Section 30-22 (6) of the Illinois Procurement Code, the bidder certifies that it is a participant. either as an individual or as part of a group program, in the approved apprenticeship and training programs applicable to each type of work or craft that the bidder will perform with its own forces. The bidder further certifies for work that will be performed by subcontract that each of its subcontractors submitted for approval either (a) is, at the time of such bid, participating in an approved, applicable apprenticeship and training program; or (b) will, prior to commencement of performance of work pursuant to this contract, begin participation in an approved apprenticeship and training program applicable to the work of the subcontract. The Department, at any time before or after award, may require the production of a copy of each applicable Certificate of Registration issued by the United States Department of Labor evidencing such participation by the contractor and any or all of its subcontractors. Applicable apprenticeship and training programs are those that have been approved and registered with the United States Department of Labor. The bidder shall list in the space below, the official name of the program sponsor holding the Certificate of Registration for all of the types of work or crafts in which the bidder is a participant and that will be performed with the bidder's forces. Types of work or craft work that will be subcontracted shall be included and listed as subcontract work. The list shall also indicate any type of work or craft job category that does not have an applicable apprenticeship or training program. The bidder is responsible for making a complete report and shall make certain that each type of work or craft job category that will be utilized on the project as reported on the Construction Employee Workforce Projection (Form BC-1256) and returned with the bid is accounted for and listed.

| | | |
|------|------|--|
| | | |

The requirements of this certification and disclosure are a material part of the contract, and the contractor shall require this certification provision to be included in all approved subcontracts. In order to fulfill this requirement, it shall not be necessary that an applicable program sponsor be currently taking or that it will take applications for apprenticeship, training or employment during the performance of the work of this contract.

Illinois Department of Transportation Qualification and Equipment Inventory Certification Form

The undersigned authorized representative of Bidder certifies that the attached qualification information provided to the Department is true and correct, and that it is submitted with the understanding that the Department will use and rely upon the accuracy and currency of the information in the evaluation of Bidder's responsibility for award of this public contract.

| Bidding Organization | |
|----------------------|-----------|
| | |
| | |
| | |
| Signature | Date |
| Printed Name | Title |
| Address | |
| City/State | Zip Code |
| Telephone | Facsimile |
| E-mail | |

Bidders that are currently prequalified by the Department are cautioned that they must complete these forms.

PART I Business and Directory Information

| (a) | Name of business (official name and assumed names): |
|-----|--|
| (b) | Business headquarters: |
| | Address: Facsimile: |
| (c) | Billing address: |
| (d) | Type of organization (Sole Proprietor, Corporation, Partnership, etc. – should be the same as on the Taxpayer ID form Part V): |
| (e) | State of incorporation, State of formation or State of organization: |
| (f) | If a division or subsidiary of another organization provide the name and address of the parent: |
| (g) | Businesses are affiliates when either one directly or indirectly controls or has the power to control the other, or, when a third party or parties controls or has the power to control both. In determining whether concerns are independently owned and operated and whether affiliation exists, consideration will be given to all appropriate factors, including the use of common facilities, common ownership and management and contractual arrangements. Identify all affiliated businesses and companies: |
| (h) | Description of business: |
| (i) | Length of time in business: |
| (j) | Number of full-time employees (average from most recent Fiscal Year): |
| (k) | Total annual sales and receipts for the most recently completed Fiscal Year including any parent and all related and affiliated organizations (tax returns for the relevant year may be required for verification): |
| (I) | Name and title of all officers/managers: |
| | |
| (m) | Identify and specify the location(s) and telephone numbers of the major offices and other facilities that would relate to performance under the terms of the contract if awarded: |
| (n) | Identify accounting firm: |

| the registered agent in the State: |
|---|
| Business web site: |
| Is this business currently prequalified by the Department of Transportation? If yes, list all work ratings issued: |
| Has this business performed contracts awarded by the Department as prime contractor? If yes, list the three most recent: |
| Has this business participated as a subcontractor under contracts awarded by the Department? If yes, list the three most recent identifying the prime contractor: |
| |

PART II References

Provide references from established firms or government agencies, (four preferred; two of each type preferred) other than the Department, that can attest to your experience and ability to perform the work of the contract for which this bid is submitted. Bidders that have current work ratings issued by the Prequalification Section need only list references for this contract if more than 50% of the work as determined by the advertised quantities is not covered by an issued work rating.

| (1) | Government Agency (Name): | | | |
|-----|--|--|--|--|
| | Contact Person Name: | | | |
| | Address: | | | |
| | Phone: E-mail Address: | | | |
| | Types of services provided and dates provided: | | | |
| (0) | | | | |
| (2) | Governmental Agency (Name): | | | |
| | Contact Person Name: | | | |
| | Address: | | | |
| | Phone: E-mail Address: | | | |
| | Types of services provided and dates provided: | | | |
| | | | | |
| | | | | |
| (3) | Private Firm (Name): | | | |
| | Contact Person Name: | | | |
| | Address: | | | |
| | Phone: E-mail Address: | | | |
| | Types of services provided and dates provided: | | | |
| | | | | |
| (4) | Private Firm (Name): | | | |
| | Contact Person Name: | | | |
| | Address: | | | |
| | Phone: E-mail Address: | | | |
| | Types of services provided and dates provided: | | | |
| | · · · · · · · · · · · · · · · · · · · | | | |

PART III Equipment Inventory

List all the equipment that will be used to performing the services required in this contract.

CAPACITY

COMPLETE DESCRIPTION

ID#

YEAR

MAKE

MODEL

| in\ (D b. If r | inventory?(Do not include any proposed subcontractor equipment on this form) | | | | |
|----------------------|--|--|--|--|--|
| c. Is | | | | | |

PART IV Department of Human Rights (DHR) Public Contract Number

If the bidder has employed fifteen (15) or more full-time employees at any time during the 365-day period immediately preceding the publication of this invitation for bids, the bidder must have a current Public Contract Number or have proof of having submitted a completed application for one <u>prior</u> to the letting date. If the Department cannot confirm compliance, it will not be able to consider the bid or offer. Please complete the appropriate sections below.

| Name of Company (and D/B/A): |
|--|
| DHR Public Contracts Number: |
| (Check if applicable) The number is not required because the company has employed 14 or less full-time employees during the 365-day period immediately preceding the publication of this invitation. |
| IF NUMBER HAS NOT YET BEEN ISSUED: |
| Date completed application was submitted to DHR: |
| Date of Expiration: |

PART V Taxpayer Identification Number

I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and**
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- 3. I am a U.S. person (including a U.S. resident alien).

| Name (Printed): | | | | |
|-----------------------------------|-------------------|--|--|--|
| - 11 (** (* N) | | | | |
| Гахрауеr Identification Number: | | | | |
| Social Security Number | | | | |
| or Employer Identification Number | | | | |
| | | | | |
| Legal Status (check one): | | | | |
| ☐ Individual | ☐ Governmental | | | |
| ☐ Sole Proprietorship | ☐ Estate or Trust | | | |
| ☐ Partnership/Legal Corporation | Other | | | |
| ☐ Tax-exempt | | | | |

PART VI Information Regarding Terminations, Litigation, Suspension and Debarment

| traded to ser and the of pro | g the last (5) years, describe any damages or penalties or anything of value of the Bidder under any of its existing or past contracts as it related by the services performed that are similar to the services contemplated by this invitated contemplated Contract. If so, indicate the reason for the penalty or exchange or services and the estimated amount of the cost of that incident to the cost of the cost of that incident to the cost of t |
|---|--|
| or Sta | g the last five (5) years, describe any order, judgment or decree of any Fede ate authority barring, suspending or otherwise limiting the right of the Bidder ge in any business, practice or activity. |
| admin | g the last five (5) years, list and summarize pending or threatened litigation istrative or regulatory proceedings, or similar matters that could affect the abion Bidder to perform the required services. The Bidder must also state whether |
| or any Failure any s matter Bidde | y owners, officers, or primary partners have ever been convicted of a feloe to disclose these matters may result in rejection of the bid or in termination ubsequent contract. This is a continuing disclosure requirement. Any sur commencing after submission of a bid, and with respect to the success rafter the execution of a contract, must be disclosed in a timely manner in statement to the Department. |

TO BE RETURNED WITH BID

IV. DISCLOSURES

A. The disclosures hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous disclosure, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Financial Interests and Conflicts of Interest

1. Section 50-35 of the Illinois Procurement Code provides that all bids of more than \$10,000 shall be accompanied by disclosure of the financial interests of the bidder. This disclosed information for the successful bidder, will be maintained as public information subject to release by request pursuant to the Freedom of Information Act.

The financial interests to be disclosed shall include ownership or distributive income share that is in excess of 5%, or an amount greater than 60% of the annual salary of the Governor, of the bidding entity or its parent entity, whichever is less, unless the contractor or bidder is a publicly traded entity subject to Federal 10K reporting, in which case it may submit its 10K disclosure in place of the prescribed disclosure. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of 5%. The disclosure shall include the names, addresses, and dollar or proportionate share of ownership of each person making the disclosure, their instrument of ownership or beneficial relationship, and notice of any potential conflict of interest resulting from the current ownership or beneficial interest of each person making the disclosure having any of the relationships identified in Section 50-35 and on the disclosure form.

In addition, all disclosures shall indicate any other current or pending contracts, proposals, leases, or other ongoing procurement relationships the bidding entity has with any other unit of state government and shall clearly identify the unit and the contract, proposal, lease, or other relationship.

2. <u>Disclosure Forms</u>. Disclosure Form A is attached for use concerning the individuals meeting the above ownership or distributive share requirements. Subject individuals should be covered each by one form. In addition, a second form (Disclosure Form B) provides for the disclosure of current or pending procurement relationships with other (non-IDOT) state agencies. **The forms must be included with each bid or incorporated by reference.**

C. <u>Disclosure Form Instructions</u>

Form A: For bidders that have previously submitted the information requested in Form A

The Department has retained the Form A disclosures submitted by all bidders responding to these requirements for the April 24, 1998 or any subsequent letting conducted by the Department. The bidder has the option of submitting the information again or the bidder may sign the following certification statement indicating that the information previously submitted by the bidder is, as of the date of signature, current and accurate. The Certification must be signed and dated by a person who is authorized to execute contracts for the bidding company. Before signing this certification, the bidder should carefully review its prior submissions to ensure the Certification is correct. If the Bidder signs the Certification, the Bidder should proceed to Form B instructions.

CERTIFICATION STATEMENT

| I have determined that the Form A disclosure information previously submitted is current and accurate, and all forms are hereby incorporated by reference in this bid. Any necessary additional forms or amendments to previously submitted forms are attached to this bid. | | | | |
|---|--------------------------|----------------------------|---------------------------|----------------------------|
| - | | (Bidding | Company) | |
| - | Name of Authorized Repre | esentative (type or print) | Title of Authorized Repre | esentative (type or print) |
| | | Signature of Author | orized Representative | Date |

Form A: For bidders who have NOT previously submitted the information requested in Form A

D.

If the bidder is a publicly traded entity subject to Federal 10K reporting, the 10K Report may be submitted to meet the requirements of Form A. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of 5%. If a bidder is not subject to Federal 10K reporting, the bidder must determine if any individuals are required by law to complete a financial disclosure form. To do this, the bidder should answer each of the following questions. A "YES" answer indicates Form A must be completed. If the answer to each of the following questions is "NO", then the NOT APPLICABLE STATEMENT on the second page of Form A must be signed and dated by a person that is authorized to execute contracts for the bidding company. Note: These questions are for assistance only and are not required to be completed.

| 1. | Does anyone in your organization have a direct or beneficial ownership share of greater than 5% of the bidding entity or parent entity? YES NO |
|-----------------------------------|---|
| 2. | Does anyone in your organization have a direct or beneficial ownership share of less than 5%, but which has a value greater than \$90,420.00? YES NO |
| 3. | Does anyone in your organization receive more than \$90,420.00 of the bidding entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.) YES NO |
| 4. | Does anyone in your organization receive greater than 5% of the bidding entity's or parent entity's total distributive income, but which is less than \$90,420.00? YES NO |
| | (Note: Only one set of forms needs to be completed <u>per person per bid</u> even if a specific individual would require a yes answer to more than one question.) |
| bidding e authorize | answer to any of these questions requires the completion of Form A. The bidder must determine each individual in the bidding entity or the ntity's parent company that would cause the questions to be answered "Yes". Each form must be signed and dated by a person that is d to execute contracts for your organization. Photocopied or stamped signatures are not acceptable . The person signing can be, but have to be, the person for which the form is being completed. The bidder is responsible for the accuracy of any information provided. |
| If the ans a person | wer to each of the above questions is "NO", then the <u>NOT APPLICABLE STATEMENT</u> on page 2 of Form A must be signed and dated by that is authorized to execute contracts for your company. |
| bidding e | Identifying Other Contracts & Procurement Related Information Disclosure Form B must be completed for each bid submitted by the ntity. It must be signed by an individual who is authorized to execute contracts for the bidding entity. Note: Signing the NOT INBLE STATEMENT On Form A does not allow the bidder to ignore Form B. Form B must be completed, signed and dated or the bidder considered nonresponsive and the bid will not be accepted. |
| ongoing | er shall identify, by checking Yes or No on Form B, whether it has any pending contracts (including leases), bids, proposals, or other procurement relationship with any other (non-IDOT) State of Illinois agency. If "No" is checked, the bidder only needs to complete the box on the bottom of Form B. If "Yes" is checked, the bidder must do one of the following: |
| agency p attached and are r | If the bidder did not submit an Affidavit of Availability to obtain authorization to bid, the bidder must list all non-IDOT State of Illinois ending contracts, leases, bids, proposals, and other ongoing procurement relationships. These items may be listed on Form B or on an sheet(s). Do not include IDOT contracts. Contracts with cities, counties, villages, etc. are not considered State of Illinois agency contracts to be included. Contracts with other State of Illinois agencies such as the Department of Natural Resources or the Capital Development ust be included. Bidders who submit Affidavits of Availability are suggested to use Option II. |
| "See Afficagency p | If the bidder is required and has submitted an Affidavit of Availability in order to obtain authorization to bid, the bidder may write or type davit of Availability" which indicates that the Affidavit of Availability is incorporated by reference and includes all non-IDOT State of Illinois ending contracts, leases, bids, proposals, and other ongoing procurement relationships. For any contracts that are not covered by the f Availability, the bidder must identify them on Form B or on an attached sheet(s). These might be such things as leases. |
| Bidders | Submitting More Than One Bid |
| | ubmitting multiple bids may submit one set of forms consisting of all required Form A disclosures and one Form B for use with all bids. dicate in the space provided below the bid item that contains the original disclosure forms and the bid items which incorporate the forms noce. |
| | e bid submitted for letting item contains the Form A disclosures or Certification Statement and the Form B closures. The following letting items incorporate the said forms by reference: |
| | |

ILLINOIS DEPARTMENT OF TRANSPORTATION

Form A Financial Information & Potential Conflicts of Interest Disclosure

| Contractor Name | | |
|---|--|--|
| Legal Address | | |
| City, State, Zip | | |
| Telephone Number | Email Address | Fax Number (if available) |
| Disclosure of the information contained in the 30 ILCS 500). Vendors desiring to enter interest and potential conflict of interest information as the publicly available contract file. This Founded contracts. A publicly traded comparts action of the requirements set forth | to a contract with the State of Illinois as specified in this Disclosure Form rm A must be completed for bids in a pany may submit a 10K disclo | s must disclose the financial information. This information shall become part of in excess of \$10,000, and for all open sure (or equivalent if applicable) in Instructions. |
| 1. Disclosure of Financial Information. terms of ownership or distributive income s \$90,420.00 (60% of the Governor's salary a separate Disclosure Form A for each inc FOR INDIVIDUAL (type or print informa NAME: | hare in excess of 5%, or an interest as of 7/1/01). (Make copies of this lividual meeting these requireme | which has a value of more than form as necessary and attach a |
| ADDRESS | | |
| Type of ownership/distributable inco | me share: | |
| stock sole proprietorship % or \$ value of ownership/distributable i | | other: (explain on separate sheet): |
| 2. Disclosure of Potential Conflicts of In potential conflict of interest relationships ap and describe. | | |
| (a) State employment, currently or in t | | ractual employment of services. YesNo |
| If your answer is yes, please answe | er each of the following questions. | |
| Are you currently an officer Highway Authority? | r or employee of either the Capitol D | Development Board or the Illinois Toll YesNo |
| currently appointed to or er | ed to or employed by any agency of the State % of the Governor's salary as of 7/ | of Illinois, and your annual salary |

agency for which you are employed and your annual salary.

| 3. | If you are currently appointed to or employed by any agency of the S salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1 (i) more than 7 1/2% of the total distributable income of your firm corporation, or (ii) an amount in excess of the salary of the Governor | /01) are you entitled to receive , partnership, association or |
|--------------|---|---|
| 4. | If you are currently appointed to or employed by any agency of the S salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1 or minor children entitled to receive (i) more than 15 % in the aggrincome of your firm, partnership, association or corporation, or (ii) are the salary of the Governor? | /01) are you and your spouse egate of the total distributable |
| • | oyment of spouse, father, mother, son, or daughter, including contractious 2 years. | ctual employment services |
| If your ans | wer is yes, please answer each of the following questions. | YesNo |
| 1. | Is your spouse or any minor children currently an officer or employee Board or the Illinois Toll Highway Authority? | e of the Capitol Development YesNo |
| 2. | Is your spouse or any minor children currently appointed to or emplo of Illinois? If your spouse or minor children is/are currently appagency of the State of Illinois, and his/her annual salary exceed Governor's salary as of 7/1/01) provide the name of your spouse a of the State agency for which he/she is employed and his/her annual | pointed to or employed by any ds \$90,420.00, (60 % of the nd/or minor children, the name |
| 3. | If your spouse or any minor children is/are currently appointed to or State of Illinois, and his/her annual salary exceeds \$90,420.00, (60% as of 7/1/01) are you entitled to receive (i) more then 71/2% of the to firm, partnership, association or corporation, or (ii) an amount in Governor? | % of the salary of the Governor tal distributable income of your |
| 4. | If your spouse or any minor children are currently appointed to or endestate of Illinois, and his/her annual salary exceeds \$90,420.00, (60% 7/1/01) are you and your spouse or minor children entitled to recapgregate of the total distributable income of your firm, partnership, (ii) an amount in excess of 2 times the salary of the Governor? | of the Governor's salary as of eive (i) more than 15 % in the |
| | | YesNo |
| unit of | ve status; the holding of elective office of the State of Illinois, the gover local government authorized by the Constitution of the State of Illinois currently or in the previous 3 years. | |
| | onship to anyone holding elective office currently or in the previous 2 yr daughter. | years; spouse, father, mother, YesNo |
| Ameri of the | ntive office; the holding of any appointive government office of the Staca, or any unit of local government authorized by the Constitution of the State of Illinois, which office entitles the holder to compensation in exceptange of that office currently or in the previous 3 years. | he State of Illinois or the statutes |
| ` ' | onship to anyone holding appointive office currently or in the previous 2 daughter. | 2 years; spouse, father, mother, YesNo |
| (g) Emplo | byment, currently or in the previous 3 years, as or by any registered lob | obyist of the State government. YesNo |

| (h) Relationship to a son, or daughter. | nyone who is or was a registered lobbyist in the previous 2 years; spou YesN | |
|---|--|--|
| committee regist | ployment, currently or in the previous 3 years, by any registered elected with the Secretary of State or any county clerk of the State of Illinor registered with either the Secretary of State or the Federal Board of Elec | ois, or any political ections. |
| last 2 years by ar county clerk of the | nyone; spouse, father, mother, son, or daughter; who was a compensative registered election or re-election committee registered with the Secrete State of Illinois, or any political action committee registered with either | etary of State or any er the Secretary of |
| | | J <u> </u> |
| | APPLICABLE STATEMENT | |
| This Disclosure Fo | rm A is submitted on behalf of the INDIVIDUAL named on previous | page. |
| Completed by: | | |
| • | Name of Authorized Representative (type or print) | |
| Completed by: | | |
| | Title of Authorized Representative (type or print) | |
| Completed by: | | |
| | Signature of Individual or Authorized Representative | Date |
| | NOT APPLICABLE STATEMENT | |
| | hat no individuals associated with this organization meet the crite tion of this Form A. | ria that would |
| This Disclosure Fo | rm A is submitted on behalf of the CONTRACTOR listed on the pre | vious page. |
| | Name of Authorized Representative (type or print) | |
| , | Title of Authorized Representative (type or print) | |
| | Signature of Authorized Representative | Date |

ILLINOIS DEPARTMENT OF TRANSPORTATION

Form B Other Contracts & Procurement Related Information Disclosure

| | | Disclosure | |
|--|--|------------------------------------|--------------|
| Contractor Name | | | |
| Legal Address | | | |
| City, State, Zip | | | |
| Telephone Number | Email Address | Fax Number (if available) | |
| | ontained in this Form is required by the nall become part of the publicly availab for all open-ended contracts. | | |
| DISCLOSURE O | F OTHER CONTRACTS AND PROC | UREMENT RELATED INFORMAT | <u> TION</u> |
| pending contracts (including log lillinois agency: Yes | cts & Procurement Related Informat eases), bids, proposals, or other ongoi No er only needs to complete the signature | ng procurement relationship with a | |
| | tify each such relationship by showing as bid or project number (attach additio | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | THE FOLLOWING STATEMENT N | MUST BE SIGNED | |
| | | | |
| | Name of Authorized Representative | ve (type or print) | |
| | Title of Authorized Representative | e (type or print) | |
| | Signature of Authorized Rep | resentative | Date |

SPECIAL NOTICE TO CONTRACTORS

The following requirements of the Illinois Department of Human Rights' Rules and Regulations are applicable to bidders on all construction contracts advertised by the Illinois Department of Transportation:

CONSTRUCTION EMPLOYEE UTILIZATION PROJECTION

- (a) All bidders on construction contracts shall complete and submit, along with and as part of their bids, a Bidder's Employee Utilization Form (Form BC-1256) setting forth a projection and breakdown of the total workforce intended to be hired and/or allocated to such contract work by the bidder including a projection of minority and female employee utilization in all job classifications on the contract project.
- (b) The Department of Transportation shall review the Employee Utilization Form, and workforce projections contained therein, of the contract awardee to determine if such projections reflect an underutilization of minority persons and/or women in any job classification in accordance with the Equal Employment Opportunity Clause and Section 7.2 of the Illinois Department of Human Rights' Rules and Regulations for Public Contracts adopted as amended on September 17, 1980. If it is determined that the contract awardee's projections reflect an underutilization of minority persons and/or women in any job classification, it shall be advised in writing of the manner in which it is underutilizing and such awardee shall be considered to be in breach of the contract unless, prior to commencement of work on the contract project, it submits revised satisfactory projections or an acceptable written affirmative action plan to correct such underutilization including a specific timetable geared to the completion stages of the contract.
- (c) The Department of Transportation shall provide to the Department of Human Rights a copy of the contract awardee's Employee Utilization Form, a copy of any required written affirmative action plan, and any written correspondence related thereto. The Department of Human Rights may review and revise any action taken by the Department of Transportation with respect to these requirements.



Contract No. 66664
IROQUOIS County
Section D3 TREE PLANTING 2006-2
Route FAI 57
District 3 Construction Funds

| DADT LIBERITIES | 2471211 | | | | | | | | Distr | ict 3 | Cons | truction | า Fu | nds | • | | | |
|--|-------------|------------------------|------------------|-----------|-----------|----------|--------|--------------|----------|------------|---------|-----------------|---------|------|--------------------------------------|------------------|---------------------|----------------|
| PART I. IDENTIFIC | | | | | | | | | | | | | | | | | | |
| Dept. Human Right | | | | | | | _ Dura | ation o | f Proje | ect: _ | | | | | | | | |
| Name of Bidder: _ | | | | | | | | | | | | | | | | | | |
| PART II. WORKF A. The undersigned which this contract we projection including a | d bidder ha | as analyz e perform | ed mir ed, an | d for the | ne locati | ons fro | m whic | h the b | idder re | cruits | employe | ees, and he | ereby s | loca | its the foll ted to this TABLE | owir con B | ng workfo tract: | orce |
| | | TOT | AL Wo | rkforce | Projec | tion for | Contra | ıct | ı | | | | | (| CURRENT | | | ES |
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| JOB CATEGORIES | | TAL OYEES | BL | ACK | HISP | ANIC | *OTI | HER OR. | | REN- ES | | HE JOB INEES | Е | | OTAL OYEES | | | ORITY OYEES |
| | М | F | М | F | М | F | М | F | М | F | М | F | | М | F | | М | F |
| OFFICIALS (MANAGERS) | | | | | | | | | | | | | | | | | | |
| SUPERVISORS | | | | | | | | | | | | | | | | | | |
| FOREMEN | | | | | | | | | | | | | | | | | | |
| CLERICAL | | | | | | | | | | | | | | | | | | |
| EQUIPMENT OPERATORS | | | | | | | | | | | | | | | | | | |
| MECHANICS | | | | | | | | | | | | | | | | | | |
| TRUCK DRIVERS | | | | | | | | | | | | | | | | | | |
| IRONWORKERS | | | | | | | | | | | | | | | | | | |
| CARPENTERS | | | | | | | | | | | | | | | | | | |
| CEMENT MASONS | | | | | | | | | | | | | | | | | | |
| ELECTRICIANS PIPEFITTERS, | | | | | | | | | | | | | | | | | | |
| PLUMBERS | | | | | | | | | | | | | | | | | | |
| PAINTERS | | | | | | | | | | | | | | | | | | |
| LABORERS, SEMI-SKILLED | | | | | | | | | | | | | | | | | | |
| LABORERS, UNSKILLED | | | | | | | | | | | | | | | | | | |
| TOTAL | | | | | | | | | | | | | | | | | | |
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| | TOTAL Tr | | ojectio | n for C | Contract | | 1 | | 1 | | • | , | | , | 552 0 | | | |
| EMPLOYEES IN | _ | TAL OYEES | BL | ACK | HISP | ANIC | | THER NOR. | | | | | | | | | | |
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| APPRENTICES | | | | | | | | | | | | | | | | | | |

*Other minorities are defined as Asians (A) or Native Americans (N).

ON THE JOB TRAINEES

Please specify race of each employee shown in Other Minorities column.

Note: See instructions on the next page

BC 1256 - Pg 1 (Rev. 3/98) IL 494-0454

Contract No. 66664 IROQUOIS County Section D3 TREE PLANTING 2006-2 Route FAI 57 District 3 Construction Funds

PART II. WORKFORCE PROJECTION - continued

| B. | | led in "Tot the unders | | | | | | | al nur | mber o | of nev | v hire | es the | at wou | ıld be | e emp | oloyed | in the |
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| | be | indersigne recruited | from | the | area | in w | vhich | the | con | tract | proje | ect | is I | located | d; ; | and/o | r (nu | mber) |
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| | office | or base of | operation | on is lo | ocated. | | | | | | | | | | | | | |
| C. | | led in "Tota signed bid | | | | | | | | | | | | | | | irectly l | by the |
| | The u | ındersigne | d bidder | estim | ates tha | at (num | ber) | | | | | | | | | | perso | ns will |
| | be dir | ectly employed by sul | oyed by | the p | rime co | ntracto | r and | that (r | umbe | er) | | | | | | pe | rsons v | will be |
| PART | III. AFF | IRMATIVE | E ACTIO | N PL | AN | | | | | | | | | | | | | |
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| | subm to be | undersigne itted hereir part of the | n, and th contract | ne goa t speci | als and tification | timetab s. | le inc | luded | the m under | an Af | firmat | ive A | ction | mploye Plan if | f req | uired, | are de | ection emed |
| Addre | ess | | | | | | | | | | | | | | | | | |
| ſ | | | | | | NOTIC | | | NG SIG | :NATI | IRF | | | | | | | |
| | | lder's signat o be comple | | | | nature | Sheet | | | | | of this | form. | The fo | llowi | ng sigr | nature b | lock |
| | Signatu | re: | | | | | | _ 1 | itle: _ | | | | | _ Da | te: _ | | | _ |
| Instruc | tions: | All tables m | nust includ | e subco | ontractor p | ersonne | l in add | lition to p | orime co | ontracto | r perso | nnel. | | | | | | |
| Table A | ۸ - | Include bot (Table B) the should include | nat will be | allocate | ed to cont | ract work | k, and i | nclude a | all appr | entices | and on | -the-jo | b train | ees. Th | e "To | tal Emp | oloyees" | |
| Table E | 3 - | Include all currently er | | curren | tly emplo | yed that v | will be | allocated | d to the | contrac | t work | includi | ng any | / appren | tices a | and on- | the-job t | rainees |
| Table (| C - | Indicate the | racial bre | akdowr | n of the to | tal appre | ntices a | and on-t | ne-job t | rainees | shown | in Tab | le A. | | | | | |

Contract No. 66664 IROQUOIS County Section D3 TREE PLANTING 2006-2 Route FAI 57 District 3 Construction Funds

PROPOSAL SIGNATURE SHEET

The undersigned bidder hereby makes and submits this bid on the subject Proposal, thereby assuring the Department that all requirements of the Invitation for Bids and rules of the Department have been met, that there is no misunderstanding of the requirements of paragraph 3 of this Proposal, and that the contract will be executed in accordance with the rules of the Department if an award is made on this bid.

| | Firm Name | |
|--|-----------------------|--|
| (IF AN INDIVIDUAL) | | |
| | | |
| | | |
| | | |
| | Firm Name | |
| | | |
| (IF A CO-PARTNERSHIP) | | |
| , | | |
| | | Name and Address of All Members of the Firm: |
| _ | | |
| - | | |
| | Corporate Name | |
| | | |
| | ву | Signature of Authorized Representative |
| | | Typed or printed name and title of Authorized Representative |
| (IF A CORPORATION) | | |
| (IF A JOINT VENTURE, USE THIS SECTION | Attest | Signature |
| FOR THE MANAGING PARTY AND THE SECOND PARTY SHOULD SIGN BELOW) | | Signature |
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| | Corporate Name | |
| | Ву | |
| | | Signature of Authorized Representative |
| | | Typed or printed name and title of Authorized Representative |
| (IF A JOINT VENTURE) | Attact | |
| | Allesi | Signature |
| | Business Address | |
| | | |
| If more than two parties are in the joint venture | e nlease attach an ac | Iditional signature sheet |

THE PROPOSAL BID BOND IS NOT APPLICABLE TO SMALL BUSINESS SET-ASIDES



Electronic Bid Bond ID#

Company/Bidder Name

Division of Highways Proposal Bid Bond

(Effective November 1, 1992)

Item No.

| | | Letting Date | |
|---|--|--|--|
| KNOW ALL MEN BY THESE PRESENTS, That We | | | |
| as PRINCIPAL, and | - | | |
| | | | as SURETY, are |
| held jointly, severally and firmly bound unto the STATE OF Article 102.09 of the "Standard Specifications for Road and and truly to be paid unto said STATE OF ILLINOIS, for the | Bridge Construction" in effe | ect on the date of invitation for bid | or for the amount specified in ls, whichever is the lesser sum, well |
| THE CONDITION OF THE FOREGOING OBLIGAT ILLINOIS, acting through the Department of Transportation, indicated above. | ION IS SUCH, That Wherea for the improvement design | is, the PRINCIPAL has submitted atted by the Transportation Bulleti | a bid proposal to the STATE OF in Item Number and Letting Date |
| NOW, THEREFORE, if the Department shall accept the bidding and contract documents, submit a DBE Utilization PRINCIPAL shall enter into a contract in accordance with the coverages and providing such bond as specified with good at labor and material furnished in the prosecution thereof; or if, into such contract and to give the specified bond, the PRINC specified in the bid proposal and such larger amount for which proposal, then this obligation shall be null and void, otherwise | on Plan that is accepted and a e terms of the bidding and co nd sufficient surety for the fa in the event of the failure of IPAL pays to the Departmen the the Department may contr | approved by the Department; and is contract documents including evide withful performance of such contract the PRINCIPAL to make the request the difference not to exceed the fact with another party to perform | if, after award by the Department, the ence of the required insurance act and for the prompt payment of uired DBE submission or to enter penalty hereof between the amount |
| IN THE EVENT the Department determines t paragraph, then Surety shall pay the penal sum to the I full payment within such period of time, the Department all its expenses, including attorney's fees, incurred in an | Department within fifteen (may bring an action to co | (15) days of written demand the bllect the amount owed. Surety | erefor. If Surety does not make |
| In TESTIMONY WHEREOF, the said PRINC officers this day of | PAL and the said SURET | Y have caused this instrument D., | t to be signed by their respective |
| PRINCIPAL | SURETY | | |
| (Company Name) | (Company l | Name) | |
| By: | By: | | |
| (Signature & Title) | | (Signature of Attor | ney-in-Fact) |
| | V 4 C 4'C' 4' C D | | |
| STATE OF ILLINOIS, COUNTY OF | Notary Certification for Pr | incipal and Surety | |
| I, | , a Notary Publ | ic in and for said County, do herel | by certify that |
| and | | • | |
| (Insert names of ind | ividuals signing on behalf of | f PRINCIPAL & SURETY) | |
| who are each personally known to me to be the same periodic properties and SURETY, appeared before me this dainstrument as their free and voluntary act for the uses a | y in person and acknowle | dged respectively, that they sig | |
| Given under my hand and notarial seal this | day of | , A.D | |
| My commission expires | | | |
| | Nota | ary Public | |
| In lieu of completing the above section of the Proposal is ensuring the identified electronic bid bond has been econditions of the bid bond as shown above. | | | |

Signature and Title

PROPOSAL ENVELOPE



PROPOSALS

for construction work advertised for bids by the Illinois Department of Transportation

| Item No. | Item No. | Item No. |
|----------|----------|----------|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Submitted By:

| Name: | |
|-----------|--|
| Address: | |
| | |
| | |
| Phone No. | |

Bidders should use an IDOT proposal envelope or affix this form to the front of a 10" x 13" envelope for the submittal of bids. If proposals are mailed, they should be enclosed in a second or outer envelope addressed to:

Engineer of Design and Environment - Room 326 Illinois Department of Transportation 2300 South Dirksen Parkway Springfield, Illinois 62764

NOTICE

Individual bids, including Bid Bond and/or supplemental information if required, should be securely stapled.

CONTRACTOR OFFICE COPY OF CONTRACT SPECIFICATIONS

NOTICE

None of the following material needs to be returned with the bid package unless the special provisions require documentation and/or other information to be submitted.

Contract No. 66664
IROQUOIS County
Section D3 TREE PLANTING 2006-2
Route FAI 57
District 3 Construction Funds



Illinois Department of Transportation

NOTICE TO BIDDERS

- 1. TIME AND PLACE OF OPENING BIDS. Sealed proposals for the improvement described herein will be received by the Department of Transportation at the Harry R. Hanley Building, 2300 South Dirksen Parkway, in Springfield, Illinois until 10:00 o'clock a.m., November 18, 2005. All bids will be gathered, sorted, publicly opened and read in the auditorium at the Department of Transportation's Harry R. Hanley Building shortly after the 10:00 a.m. cut off time.
- **2. DESCRIPTION OF WORK**. The proposed improvement is identified and advertised for bids in the Invitation for Bids as:

Contract No. 66664
IROQUOIS County
Section D3 TREE PLANTING 2006-2
Route FAI 57
District 3 Construction Funds

This project consists of planting woody plants in designated areas along Interstate 57 near Clifton.

- 3. INSTRUCTIONS TO BIDDERS. (a) This Notice, the invitation for bids, proposal and letter of award shall, together with all other documents in accordance with Article 101.09 of the Standard Specifications for Road and Bridge Construction, become part of the contract. Bidders are cautioned to read and examine carefully all documents, to make all required inspections, and to inquire or seek explanation of the same prior to submission of a bid.
 - (b) State law, and, if the work is to be paid wholly or in part with Federal-aid funds, Federal law requires the bidder to make various certifications as a part of the proposal and contract. By execution and submission of the proposal, the bidder makes the certification contained therein. A false or fraudulent certification shall, in addition to all other remedies provided by law, be a breach of contract and may result in termination of the contract.
- 4. AWARD CRITERIA AND REJECTION OF BIDS. This contract will be awarded to the lowest responsive and responsible bidder considering conformity with the terms and conditions established by the Department in the rules, Invitation for Bids and contract documents. The issuance of plans and proposal forms for bidding based upon a prequalification rating shall not be the sole determinant of responsibility. The Department reserves the right to determine responsibility at the time of award, to reject any or all proposals, to readvertise the proposed improvement, and to waive technicalities.

By Order of the Illinois Department of Transportation

Timothy W. Martin, Secretary

BD 351 (Rev. 01/2003)

INDEX FOR SUPPLEMENTAL SPECIFICATIONS AND RECURRING SPECIAL PROVISIONS Adopted March 1, 2005

This index contains a listing of SUPPLEMENTAL SPECIFICATIONS and frequently used RECURRING SPECIAL PROVISIONS.

ERRATA Standard Specifications for Road and Bridge Construction (Adopted 1-1-02) (Revised 3-1-05)

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STATE OF ILLINOIS

SPECIAL PROVISIONS

The following Special Provisions supplement the "Standard Specifications for Road and Bridge Construction," adopted January 1, 2002, the latest edition of the "Manual on Uniform Traffic Control Devices for Streets and Highways," and the "Manual of Test Procedures for Materials" in effect on the date of invitation for bids, and the Supplemental Specifications and Recurring Special Provisions indicated on the Check Sheet included herein which apply to and govern the construction of I-57, D3 Tree Planting 2006-2, in Iroquois County, and in case of conflict with any part or parts of said Specifications, the said Special Provisions shall take precedence and shall govern.

Contract #66664

LOCATION OF PROJECT

I-57 near Clifton

DESCRIPTION OF PROJECT

This work includes the planting of woody plants in designated areas.

COMPLETION DATE

All work associated with this project shall be completed on or before December 1, 2006.

MATERIALS FOR PLANTING

Delete Article 1081.01(a)(5) and substitute the following:

All plant material shall be from a source of no more than 125 miles from the District Three office in Ottawa, Illinois.

TRAFFIC CONTROL PLAN

(Revised October 12, 2004)

Traffic control shall be in accordance with the applicable sections of the Standard Specifications for Road and Bridge Construction, the applicable guidelines contained in the Illinois Manual on Uniform Traffic Control Devices for Streets and Highways, these special provisions, and any special details and Highway Standards herein and in the plans and the Standard Specifications for Traffic Control Items.

Special attention is called to the following sections of the Standard Specifications, the Highway Standards, and the special provisions relating to traffic control:

Standard Specifications:

Section 701- Work Zone Traffic Control Section 702 - Work Zone Traffic Control Devices

Highway Standards: 701001

In addition, the following also relate to traffic control for this project:

SPECIAL PROVISIONS
Flagger Vests
Personal Protective Equipment
Work Zone Traffic Control Devices

TRAFFIC CONTROL SURVEILLANCE: In addition to the Standard Specifications for Article 701.04(b)(2), Surveillance, this item will be required when Traffic Standards 701101, 701316, 701326, 701331, 701401, 701411, 701416, 701422, 701423, 701431, 701446, 701502, 701601, 701602, 701606, 701701, 701801, BLR 17, BLR 21, and BLR 22 are in place.

FLAGGER VESTS (BDE)

Effective: April 1, 2003 Revised: August 1, 2005

Revise the first sentence of Article 701.04(c)(1) of the Standard Specifications to read:

"The flagger shall be stationed to the satisfaction of the Engineer and be equipped with a fluorescent orange, fluorescent yellow/green or a combination of fluorescent orange and fluorescent yellow/green vest meeting the requirements of the American National Standards Institute specification ANSI/ISEA 107-1999 for Conspicuity Class 2 garments and approved flagger traffic control signs conforming to Standard 702001 and Article 702.05(e)."

Revise Article 701.04(c)(6) of the Standard Specifications to read:

"(6) Nighttime Flagging. Flaggers shall be illuminated by an overhead light source providing a minimum vertical illuminance of 108 lux (10 fc) measured 300 mm (1 ft) out from the flagger's chest. The bottom of any luminaire shall be a minimum of 3 m (10 ft) above the pavement. Luminaire(s) shall be shielded to minimize glare to approaching traffic and trespass light to adjoining properties.

The flagger vest shall be a fluorescent orange or fluorescent orange and fluorescent yellow/green vest meeting the requirements of the American National Standards Institute specification ANSI/ISEA 107-1999 for Conspicuity Class 3 garments."

PARTIAL PAYMENTS (BDE)

Effective: September 1, 2003

Revise Article 109.07 of the Standard Specifications to read:

"109.07 Partial Payments. Partial payments will be made as follows:

(a) Progress Payments. At least once each month, the Engineer will make a written estimate of the amount of work performed in accordance with the contract, and the value thereof at the contract unit prices. The amount of the estimate approved as due for payment will be vouchered by the Department and presented to the State Comptroller for payment. No amount less than \$1000.00 will be approved for payment other than the final payment.

The failure to perform any requirement, obligation, or term of the contract by the Contractor shall be reason for withholding any progress payments until the Department determines that compliance has been achieved. Furthermore, progress payments may be reduced by liens filed pursuant to Section 23(c) of the Mechanics Lien Act, 770 ILCS 60/23(c).

(b) Material Allowances. At the discretion of the Department, payment may be made for materials, prior to their use in the work, when satisfactory evidence is presented by the Contractor. Satisfactory evidence includes justification for the allowance (to expedite the work, meet project schedules, regional or national material shortages, etc.), documentation of material and transportation costs, and evidence that such material is properly stored on the project or at a secure location acceptable and accessible to the Department.

Material allowances will be considered only for nonperishable materials when the cost, including transportation, exceeds \$10,000 and such materials are not expected to be utilized within 60 days of the request for the allowance. For contracts valued under \$500,000, the minimum \$10,000 requirement may be met by combining the principal (material) product of no more than two contract items. An exception to this two item limitation may be considered for any contract regardless of value for items in which material (products) are similar except for type and/or size.

Material allowances shall not exceed the value of the contract items in which used and shall not include the cost of installation or related markups. Amounts paid by the Department for material allowances will be deducted from estimates due the Contractor as the material is used. Two-sided copies of the Contractor's cancelled checks for materials and transportation must be furnished to the Department within 60 days of payment of the allowances or the amounts will be reclaimed by the Department."

PAYMENTS TO SUBCONTRACTORS (BDE)

Effective: June 1, 2000 Revised: September 1, 2003

Federal regulations found at 49 CFR §26.29 mandate the Department to establish a contract clause to require Contractors to pay subcontractors for satisfactory performance of their subcontracts no later than 30 days from the receipt of each payment made to the Contractor.

State law addresses the timing of payments to be made to subcontractors. Section 7 of the Prompt Payment Act, 30 ILCS 540/7, generally requires that when a Contractor receives any payment from the Department, the Contractor is required to make corresponding, proportional payments to each subcontractor performing work within 15 calendar days after receipt of the state payment. Section 7 of the State Prompt Payment Act further provides that interest in the amount of 2% per month, in addition to the payment due, shall be paid to any subcontractor by the Contractor if the payment required by the Act is withheld or delayed without reasonable cause. The Act also provides that the time for payment required and the calculation of any interest due applies to transactions between subcontractors and lower-tier subcontractors throughout the contracting chain.

This Special Provision establishes the required federal contract clause, and adopts the 15 calendar day requirement of the Act for purposes of compliance with the federal regulation regarding payments to subcontractors. This contract is subject to the following payment obligations.

As progress payments are made to the Contractor in accordance with Article 109.07 of the Standard Specifications for Road and Bridge Construction, the Contractor shall make a corresponding partial payment within 15 calendar days to each subcontractor in proportion to the work satisfactorily completed by each subcontractor. The proportionate amount of partial payment due to each subcontractor shall be determined by the quantities measured or otherwise determined as eligible for payment by the Department and included in the progress payment to the Contractor. Subcontractors shall be paid in full within 15 calendar days after the subcontractor's work has been satisfactorily completed. The Contractor shall hold no retainage from the subcontractors.

This Special Provision does not create any rights in favor of any subcontractor against the State of Illinois or authorize any cause of action against the State of Illinois on account of any payment, nonpayment, delayed payment or interest claimed by application of the State Prompt Payment Act. The Department will neither determine the reasonableness of any cause for delay of payment nor enforce any claim to payment, including interest. Moreover, the Department will not approve any delay or postponement of the 15 day requirement. State law creates remedies available to any subcontractor or material supplier, regardless of tier, who has not been paid for work properly performed or material furnished. These remedies are a lien against public funds set forth in Section 23(c) of the Mechanics Lien Act, 770 ILCS 60/23(c), and a recovery on the Contractor's payment bond in accordance with the Public Construction Bond Act, 30 ILCS 550.

PERSONAL PROTECTIVE EQUIPMENT (BDE)

Effective: July 1, 2004

All personnel, excluding flaggers, working outside of a vehicle (car or truck) within 7.6 m (25 ft) of pavement open to traffic shall wear a fluorescent orange, fluorescent yellow/green or a combination of fluorescent orange and fluorescent yellow/.green vest meeting the requirements of the American National Standards Institute specification ANSI/ISEA 107-1999 for Conspicuity Class 2 garments. Other types of garments may be substituted for the vest as long as the garments have manufacturers tags identifying them as meeting the ANSI Class 2 requirement.

SUBCONTRACTOR MOBILIZATION PAYMENTS (BDE)

Effective: April 2, 2005

To account for the preparatory work and operations necessary for the movement of subcontractor personnel, equipment, supplies, and incidentals to the project site and for all other work or operations that must be performed or costs incurred when beginning work approved for subcontracting in accordance with Article 108.01 of the Standard Specifications, the Contractor shall make a mobilization payment to each subcontractor.

This mobilization payment shall be made at least 14 days prior to the subcontractor starting work. The amount paid shall be equal to 3 percent of the amount of the subcontract reported on form BC 260A submitted for the approval of the subcontractor's work.

This provision shall be incorporated directly or by reference into each subcontract approved by the Department.

WORK ZONE TRAFFIC CONTROL DEVICES (BDE)

Effective: January 1, 2003 Revised: November 1, 2004

Add the following to Article 702.01 of the Standard Specifications:

"All devices and combinations of devices shall meet the requirements of the National Cooperative Highway Research Program (NCHRP) Report 350 for their respective categories. The categories are as follows:

Category 1 includes small, lightweight, channelizing and delineating devices that have been in common use for many years and are known to be crashworthy by crash testing of similar devices or years of demonstrable safe performance. These include cones, tubular markers, flexible delineators and plastic drums with no attachments. Category 1 devices shall be crash tested and accepted or may be self-certified by the manufacturer.

Category 2 includes devices that are not expected to produce significant vehicular velocity change but may otherwise be hazardous. These include drums and vertical panels with lights, barricades and portable sign supports. Category 2 devices shall be crash tested and accepted for Test Level 3.

Category 3 includes devices that are expected to cause significant velocity changes or other potentially harmful reactions to impacting vehicles. These include crash cushions, truck mounted attenuators and other devices not meeting the definitions of Category 1 or 2. Category 3 devices shall be crash tested and accepted for either Test Level 3 or the test level specified.

Category 4 includes portable or trailer-mounted devices such as arrow boards, changeable message signs, temporary traffic signals and area lighting supports. Currently, there is no implementation date set for this category and it is exempt from the NCHRP 350 compliance requirement.

The Contractor shall provide a manufacturer's self-certification letter for each Category 1 device and an FHWA acceptance letter for each Category 2 and Category 3 device used on the contract. The letters shall state the device meets the NCHRP 350 requirements for its respective category and test level, and shall include a detail drawing of the device."

Delete the third, fourth and fifth paragraphs of Article 702.03(b) of the Standard Specifications.

Delete the third sentence of the first paragraph of Article 702.03(c) of the Standard Specifications.

Revise the first sentence of the first paragraph of Article 702.03(e) of the Standard Specifications to read:

"Drums shall be nonmetallic and have alternating reflectorized Type AA or Type AP fluorescent orange and reflectorized white horizontal, circumferential stripes."

Add the following to Article 702.03 of the Standard Specifications:

"(h) Vertical Barricades. Vertical barricades may be used in lieu of cones, drums or Type II barricades to channelize traffic."

Delete the fourth paragraph of Article 702.05(a) of the Standard Specifications.

Revise the sixth paragraph of Article 702.05(a) of the Standard Specifications to read:

"When the work operations exceed four days, all signs shall be post mounted unless the signs are located on the pavement or define a moving or intermittent operation. When approved by the Engineer, a temporary sign stand may be used to support a sign at 1.2 m (5 ft) minimum where posts are impractical. Longitudinal dimensions shown on the plans for the placement of signs may be increased up to 30 m (100 ft) to avoid obstacles, hazards or to improve sight distance, when approved by the Engineer. "ROAD CONSTRUCTION AHEAD" signs will also be required on side roads located within the limits of the mainline "ROAD CONSTRUCTION AHEAD" signs."

Delete all references to "Type 1A barricades" and "wing barricades" throughout Section 702 of the Standard Specifications.

PAYROLLS AND PAYROLL RECORDS (BDE)

Effective: August 10, 2005

<u>FEDERAL AID CONTRACTS</u>. Add the following State of Illinois requirements to the Federal requirements contained in Section V of Form FHWA-1273:

"The payroll records shall include each worker's name, address, telephone number, social security number, classification, rate of pay, number of hours worked each day, starting and ending times of work each day, total hours worked each week, itemized deductions made, and actual wages paid.

The Contractor and each subcontractor shall submit payroll records to the Engineer each week from the start to the completion of their respective work. The submittals shall be on the Department's form SBE 48, or an approved facsimile. When there has been no activity during a work week, a payroll record shall still be submitted with the appropriate box ("No Work", "Suspended", or "Complete") checked on the form."

<u>STATE CONTRACTS</u>. Revise Section IV of Check Sheet #5 of the Recurring Special Provisions to read:

"IV. COMPLIANCE WITH THE PREVAILING WAGE ACT

1. Prevailing Wages. All wages paid by the Contractor and each subcontractor shall be in compliance with The Prevailing Wage Act (820 ILCS 130), as amended, except where a prevailing wage violates a federal law, order, or ruling, the rate conforming to the federal law, order, or ruling shall govern. The Contractor shall be responsible to notify each subcontractor of the wage rates set forth in this contract and any revisions thereto. If the Department of Labor revises the wage rates, the Contractor will not be allowed additional compensation on account of said revisions.

- 2. Payroll Records. The Contractor and each subcontractor shall make and keep, for a period of three years from the date of completion of this contract, records of the wages paid to his/her workers. The payroll records shall include each worker's name, address, telephone number, social security number, classification, rate of pay, number of hours worked each day, starting and ending times of work each day, total hours worked each week, itemized deductions made, and actual wages paid. Upon two business days' notice, these records shall be available, at all reasonable hours at a location within the State, for inspection by the Department or the Department of Labor.
- 3. Submission of Payroll Records. The Contractor and each subcontractor shall submit payroll records to the Engineer each week from the start to the completion of their respective work. The submittals shall be on the Department's form SBE 48, or an approved facsimile. When there has been no activity during a work week, a payroll record shall still be submitted with the appropriate box ("No Work", "Suspended", or "Complete") checked on the form.

Each submittal shall be accompanied by a statement signed by the Contractor or subcontractor which avers that: (i) such records are true and accurate; (ii) the hourly rate paid to each worker is not less than the general prevailing rate of hourly wages required by the Act; and (iii) the Contractor or subcontractor is aware that filing a payroll record that he/she knows to be false is a Class B misdemeanor.

4. Employee Interviews. The Contractor and each subcontractor shall permit his/her employees to be interviewed on the job, during working hours, by compliance investigators of the Department or the Department of Labor."

ILLINOIS DEPARTMENT OF LABOR

PREVAILING WAGES FOR IROQUOIS COUNTY EFFECTIVE JUNE 2005

The Prevailing rates of wages are included in the Contract proposals which are subject to Check Sheet #5 of the Supplemental Specifications and Recurring Special Provisions. The rates have been ascertained and certified by the Illinois Department of Labor for the locality in which the work is to be performed and for each craft or type of work or mechanic needed to execute the work of the Contract. As required by Prevailing Wage Act (820 ILCS 130/0.01, et seq.) and Check Sheet #5 of the Contract, not less than the rates of wages ascertained by the Illinois Department of Labor and as revised during the performance of a Contract shall be paid to all laborers, workers and mechanics performing work under the Contract. Post the scale of wages in a prominent and easily accessible place at the site of work.

If the Illinois Department of Labor revises the prevailing rates of wages to be paid as listed in the specification of rates, the contractor shall post the revised rates of wages and shall pay not less than the revised rates of wages. Current wage rate information shall be obtained by visiting the Illinois Department of Labor web site at http://www.state.il.us/agency/idol/ or by calling 312-793-2814. It is the responsibility of the contractor to review the rates applicable to the work of the contract at regular intervals in order to insure the timely payment of current rates. Provision of this information to the contractor by means of the Illinois Department of Labor web site satisfies the notification of revisions by the Department to the contractor pursuant to the Act, and the contractor agrees that no additional notice is required. The contractor shall notify each of its subcontractors of the revised rates of wages.

Iroquois County Prevailing Wage for November 2005

| Trade Name | DС | TYP C | Base | FRMAN * | kM_E\Q | 067 | OGH | LI / TAT | Pensn | Vac | Trng |
|---|--------|------------|--------|---------|--------|-----|-----|----------------|-------|-------|--------------------|
| ======================================= | | | | ===== | _ | | | ===== | | | ===== |
| ASBESTOS ABT-GEN | | BLD | | 25.280 | | 1.5 | | 5.700 | 5.500 | 0.000 | 0.600 |
| ASBESTOS ABT-GEN | | HWY | | 24.920 | | 1.5 | | 5.700 | | | |
| ASBESTOS ABT-MEC | | BLD | | | 1.5 | 1.5 | 2.0 | | 5.520 | 0.000 | 0.000 |
| BOILERMAKER | | BLD | | | 2.0 | 2.0 | | 7.020 | | | |
| BRICK MASON | | BLD | | | 1.5 | 1.5 | | 5.150 | 7.500 | 0.000 | 0.400 |
| CARPENTER | | BLD | | | 1.5 | 1.5 | | 4.950 | | | 0.490 |
| CARPENTER | | HWY | | 31.330 | | 1.5 | | 4.950 | 7.200 | | 0.490 |
| CEMENT MASON | | BLD | | 32.490 | | 1.5 | | 5.150 | 7.500 | | |
| CERAMIC TILE FNSHER | | BLD | 27.440 | 0.000 | | 1.5 | 2.0 | | 7.500 | | 0.400 |
| COMMUNICATION TECH | | BLD | | | 1.5 | 1.5 | 2.0 | | 8.650 | 0.000 | 0.400 |
| ELECTRICIAN | NTE | BLD | | | 1.5 | 1.5 | 2.0 | | 10.48 | | |
| ELECTRICIAN | | BLD | | | 1.5 | 1.5 | 2.0 | | 4.870 | 0.000 | 0.460 |
| ELEVATOR CONSTRUCTOR | SW | BLD | | 35.030 | | 2.0 | 2.0 | 7.275 | 3.420 | 1.870 | |
| GLAZIER | | BLD | | | 1.5 | 1.5 | | 5.150 | | | 0.300 |
| | | | | | 1.5 | 1.5 | | 7.860 | | 0.000 | |
| HT/FROST INSULATOR | | BLD | | 33.000 | | 2.0 | 2.0 | | 10.46 | | 0.550 |
| IRON WORKER LABORER | | ALL BLD | | 24.280 | | 1.5 | 2.0 | | 5.500 | | |
| | | | | | 1.5 | 1.5 | | 5.700 | | | |
| LABORER LABORER, SKILLED | | HWY BLD | | | 1.5 | 1.5 | | | 5.500 | | 0.600 |
| LABORER, SKILLED | | HWY | | | 1.5 | 1.5 | | 5.700 | | | |
| LATHER | | BLD | | | 1.5 | 1.5 | | | 7.200 | | 0.490 |
| MACHINIST | | BLD | | | 2.0 | 2.0 | | 3.880 | | | |
| MARBLE FINISHERS | | BLD | 27.440 | | 1.5 | 1.5 | | 5.150 | 7.500 | | 0.400 |
| MARBLE MASON | | BLD | | | 1.5 | 1.5 | | | 7.500 | | 0.400 |
| MILLWRIGHT | | BLD | | 31.900 | | 1.5 | 2.0 | | 7.200 | | 0.490 |
| | | | 26.700 | | | 1.5 | | 4.600 | | | |
| OPERATING ENGINEER OPERATING ENGINEER | | ALL 2 | | 0.000 | 1.5 | 1.5 | 2.0 | | 6.250 | | 0.600 |
| PAINTER | | ALL Z | 26.150 | | 1.5 | 1.5 | 1.5 | 5.150 | 5.000 | 0.000 | 0.250 |
| | | | 25.150 | | 1.5 | 1.5 | 1.5 | | | | 0.250 |
| PAINTER SIGNS PILEDRIVER | | BLD BLD | 30.400 | | 1.5 | 1.5 | 2.0 | | 7.200 | | 0.490 |
| PILEDRIVER PIPEFITTER | | BLD | | | 1.5 | 1.5 | 2.0 | | 8.000 | | |
| PLASTERER | | BLD | | | 1.5 | 1.5 | 2.0 | | | 0.000 | 0.400 |
| PLUMBER | | BLD | | | 1.5 | 1.5 | | | 8.000 | 0.000 | |
| ROOFER | | BLD | | 34.800 | | 1.5 | 2.0 | 5.570 | 3.000 | 0.000 | 0.810 |
| SHEETMETAL WORKER | | BLD | | 37.030 | | 1.5 | 2.0 | 6.470 | | 0.000 | |
| SIGN HANGER | | BLD | | | 1.5 | 1.5 | 2.0 | 4.950 | | 0.000 | |
| SPRINKLER FITTER | | BLD | | | 1.5 | | | 6.100 | | | |
| | | | | 0.000 | | | | 5.150 | | | |
| TERRAZZO FINISHER TERRAZZO MASON | | BLD BLD | | 32.490 | | | | 5.150 | | | |
| TILE MASON | | BLD | | 32.490 | | | | 5.150 | | | |
| TRUCK DRIVER | TATTAT | | | 29.420 | | | | 6.690 | | | |
| TRUCK DRIVER | | | | 29.420 | | | | 6.690 | | | |
| TRUCK DRIVER | | | | 29.420 | | | | | | | |
| TRUCK DRIVER | | | | 29.420 | | | | 6.690 6.690 | | | |
| TRUCK DRIVER | | | 29.420 | 0.000 | | | | 7.000 | | | |
| TRUCK DRIVER | | | 25.155 | 0.000 | | | | 7.000 | | | |
| TRUCK DRIVER | | | 25.355 | 0.000 | | | | 7.000 | | | |
| TRUCK DRIVER | | | 25.605 | 0.000 | | | | 7.000 | | | |
| TRUCK DRIVER | | | 26.355 | 0.000 | | | | 7.000 | | | |
| TUCKPOINTER | ÜБ | BLD | | 32.490 | | | | 5.150 | | | |
| TOCKEOTHIRK | | טעס | 30.430 | J4.49U | 1.0 | 1.3 | ∠.∪ | J. T. D. O. | 7.300 | 0.000 | U. 1 UU |

Legend:

OSH (Overtime is required for every hour worked on Sunday and Holidays)

H/W (Health & Welfare Insurance)

Pensn (Pension)

Vac (Vacation)

Trng (Training)

Explanations

IROQUOIS COUNTY

ELECTRICIANS (SOUTHWEST) - Townships of Artesia, Loda and Pigeon Grove.

TRUCK DRIVER (SOUTHEAST) - Pigeon Grove, Fountain Creek, Lovejoy, Prairie Green, Milford and Stockland Townships.

The following list is considered as those days for which holiday rates of wages for work performed apply: New Years Day, Memorial/Decoration Day, Fourth of July, Labor Day, Veterans Day, Thanksgiving Day, Christmas Day. Generally, any of these holidays which fall on a Sunday is celebrated on the following Monday. This then makes work performed on that Monday payable at the appropriate overtime rate for holiday pay. Common practice in a given local may alter certain days of celebration such as the day after Thanksgiving for Veterans Day. If in doubt, please check with IDOL.

EXPLANATION OF CLASSES

ASBESTOS - GENERAL - removal of asbestos material/mold and hazardous materials from any place in a building, including mechanical systems where those mechanical systems are to be removed. This includes the removal of asbestos materials/mold and hazardous materials from ductwork or pipes in a building when the building is to be demolished at the time or at some close future date.

ASBESTOS - MECHANICAL - removal of asbestos material from mechanical systems, such as pipes, ducts, and boilers, where the mechanical systems are to remain.

CERAMIC TILE FINISHER, MARBLE FINISHER, AND TERRAZZO FINISHER

The laying, setting and finishing of all tile where used for floors, walls, ceilings, walks, promenade roofs, stair treads, stair risers, facings, hearths, fireplaces, and decorative inserts, together with any marble plinths, thresholds or window stools used in connection with any tile work; also to prepare and set all concrete, cement, brickwork, or other foundation or materials that may be required to properly set and complete such work; the setting or bedding of all tiling, stone, marble, composition, glass, mosaic, or other materials forming the facing, hearth or fireplace of a mantle, or the mantle complete, together with the setting of all cement, brickwork, or other material required in connection with the above work; also the slabbing and fabrication of tile mantels, counters and tile panels of every description and the erection and installation of same and the building, shaping, forming, construction, or repairing of all fireplace work, whether in connection with the mantle hearth facing or not, and the setting and preparing of all material, such as cement, plaster, mortar, brickwork, iron work or other materials necessary for the proper and safe construction and completion of such work. The term "Ceramic" is used for naming the classification only and is in no way a limitation of the product handled. Ceramic takes into consideration most hard tiles.

COMMUNICATIONS TECHNICIAN

Installation, operation, inspection, maintenance, repair and service of radio, television, recording, voice, sound and vision production and reproduction, telephone and telephone interconnect, facsimile, equipment and appliances used for domestic, commercial, educational and entertainment purposes, pulling of wire through conduit but not the installation of conduit.

LABORER, SKILLED - BUILDING

The skilled laborer building (BLD) classification shall encompass the following types of work, irrespective of the site of the work: caisson workers plus depth, qunnite nozzle men, lead man on sewer work, welders, cutters, burners and torchmen, chain saw operators, paving breaker, jackhammer and drill operators, layout man and/or drainage tile layer, steel form setters - street and highway, air tamping hammerman, signal man on crane, concrete saw operator, screenman on asphalt pavers, front end man on chip spreader, laborers tending masons with hot materials or where foreign materials are used, multiple concrete duct-leadman, luteman, asphalt raker, curb asphalt machine operator, ready mix scalemen (permanent, portable or temporary plant), laborers handling masterplate or similar materials, laser beam operator, concrete burning machine operator, coring machine operator, plaster tenders, underpinning and shoring of buildings, material selec-tor when working with fire-brick or castable material, fire watch, signaling of all power equipment, and tree topper or trimmer when in connection with construction.

LABORER, SKILLED - HIGHWAY

The skilled laborer heavy and highway (HWY) classification shall encompass the following types of work, irrespective of the site of the work: handling of materials treated with oil, creosote, asphalt and/or any foreign materials harmful to skin or clothing, track laborers, chloride handlers, the unloading and loading with steel workers and re-bars, concrete workers (wet), tunnel helpers in free air, batch dumpers, mason tenders, kettle and tar men, plastic installers, scaffold workers, motorized buggies or motorized unit used for wet concrete or handling of building materials, laborers with de-watering systems, sewer workers plus depth, rod and chainmen, vibrator operators, mortar mixer operators, cement silica, clay, fly ash, lime and plasters, handlers (bulk or bag), cofferdam workers plus depth, on concrete paving, placing, cutting and tying or reinforcing, deck hand, dredge hand shore laborers, bankmen on floating plant, asphalt workers with machine, and layers, grade checker, power tools, stripping of all concrete forms excluding paving forms, dumpmen and spotters, when necessary, caisson workers plus depth, qunnite nozzle men, welders, cutters, burners and torchmen, chain saw operators, paving breaker, jackhammer and drill operators, layout man and/or drainage tile layer, steel form setters - street and highway, air tamping hammerman, signal man on crane, concrete saw operator, screedman on asphalt pavers, front end man on chip spreader, multiple concrete duct, luteman, asphalt raker, curb asphalt machine operator, ready mix scalemen (portable or temporary plant), laser beam operator, concrete burning machine operator, and coring machine operator.

TRUCK DRIVER - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION - SOUTHEAST

- Class 1. Drivers on 2 axle trucks hauling less than 9 ton. Air compressor and welding machines and brooms, including those pulled by separate units, truck driver helpers, warehouse employees, mechanic helpers, greasers and tiremen, pickup trucks when hauling materials, tools, or workers to and from and on-the-job site, and fork lifts up to 6,000 lb. capacity.
- Class 2. Two or three axle trucks hauling more than 9 ton but hauling less than 16 ton. A-frame winch trucks, hydrolift trucks, vactor trucks or similar equipment when used for transportation purposes. Fork lifts over 6,000 lb. capacity, winch trucks, four axle combination units, and ticket writers.
- Class 3. Two, three or four axle trucks hauling 16 ton or more. Drivers on water pulls, articulated dump trucks, mechanics and working forepersons, and dispatchers. Five axle or more combination units.
- Class 4. Low Boy and Oil Distributors.
- Class 5. Drivers who require special protective clothing while employed on hazardous waste work.

TRUCK DRIVER - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION - NORTHWEST

- Class 1. Two or three Axle Trucks. A-frame Truck when used for transportation purposes; Air Compressors and Welding Machines, including those pulled by cars, pick-up trucks and tractors; Ambulances; Batch Gate Lockers; Batch Hopperman; Car and Truck Washers; Carry-alls; Fork Lifts and Hoisters; Helpers; Mechanics Helpers and Greasers; Oil Distributors 2-man operation; Pavement Breakers; Pole Trailer, up to 40 feet; Power Mower Tractors; Self-propelled Chip Shreader; Skipman; Slurry Trucks, 2-man operation; Slurry Truck Conveyor Operation, 2 or 3 man; Teamsters; Unskilled dumpman; and Truck Drivers hauling warning lights, barricades, and portable toilets on the job site.
- Class 2. Four axle trucks; Dump Crets and Adgetors under 7 yards; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnapulls or Turnatrailers when pulling other than self-loading equipment or similar equipment under 16 cubic yards; Mixer Trucks under 7 yeards; Ready-mix Plant Hopper Operator, and Winch Trucks, 2 Axles.
- Class 3. Five axle trucks; Dump Crets and Adgetors 7 yards and over; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnatrailers or turnapulls when pulling other than self-loading equipment or similar equipment over 16 cubic yards; Explosives and/or Fission Material Trucks; Mixer Trucks 7 yards or over; Mobile Cranes while in transit; Oil Distributors, 1-man operation; Pole Trailer, over 40 feet; Pole and Expandable Trailers hauling material over 50 feet long; Slurry trucks, 1-man operation; Winch trucks, 3 axles or more; Mechanic--Truck Welder and Truck Painter.
- Class 4. Six axle trucks; Dual-purpose vehicles, such as mounted crane trucks with hoist and accessories; Foreman; Master Mechanic; Self-loading equipment like P.B. and trucks with scoops on the front.

OPERATING ENGINEERS - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION

Class 1. Power Cranes, Draglines, Derricks, Shovels, Gradalls, Mechanics, Tractor Highlift, Tournadozer, Concrete Mixers with Skip, Tournamixer, Two Drum Machine, One Drum Hoist with Tower or Boom,

Cableways, Tower Machines, Motor Patrol, Boom Tractor, Boom or Winch Truck, Winch or Hydraulic Boom Truck, Truck Crane, Tournapull, Tractor Operating Scoops, Bulldozer, Push Tractor, Asphalt Planer, Finishing Machine on Asphalt, Large Rollers on Earth, Rollers on Asphalt Mix, Ross Carrier or similar Machine, Gravel Processing Machine, Asphalt Plant Engineer, Paver Operator, Dredging Equipment, or Dredge Engineer, or Dredge Operator, Central Mix Plant Engineer, CMI or similar type machine, Concrete Pump, Truck or Skid Mounted, Tower Crane, Engineer or Rock Crusher Plant, Concrete Plant Engineer, Ditching Machine with dual attachment, Tractor Mounted Loaders, Cherry Picker, Hydro Crane, Standard or Dinkey Locomotives, Scoopmobiles, Euclid Loader, Soil Cement Machine, Back Filler, Elevating Machine, Power Blade, Drilling Machine, including Well Testing, Caissons, Shaft or any similar type drilling machines, Motor Driven Paint Machine, Pipe Cleaning Machine, Pipe Wrapping Machine, Pipe Bending Machine, Apsco Paver, Boring Machine, (Head Equipment Greaser), Barber-Greene Loaders, Formless Paver, (Well Point System), Concrete Spreader, Hydra Ax, Span Saw, Marine Scoops, Brush Mulcher, Brush Burner, Mesh Placer, Tree Mover, Helicopter Crew (3), Piledriver-Skid or Crawler, Stump Remover, Root Rake, Tug Boat Operator, Refrigerating Machine, Freezing Operator, Chair Cart- Self-Propelled, Hydra Seeder, Straw Blower, Power Sub Grader, Bull Float, Finishing Machine, Self-Propelled Pavement Breaker, Lull (or similar type Machine), Two Air Compressors, Compressors hooked in Manifold, Overhead Crane, Chip Spreader, Mud Cat, Sull-Air, Fork Lifts (except when used for landscaping work), Soil Stabilizer (Seaman Tiller, Bo Mag, Rago Gator, and similar types of equipment), Tube Float, Spray Machine, Curing Machine, Concrete or Asphalt Milling Machine, Snooper Truck-Operator, Backhoe, Farm Tractors (with attachments), 4 Point Lift System (Power Lift or similar type), Skid-Steer (Bob Cat or similar type), Wrecking Shears, Water Blaster.

Class 2. Concrete Mixers without Skips, Rock Crusher, Ditching Machine under 6', Curbing Machine, One Drum Machines without Tower or Boom, Air Tugger, Self-Propelled Concrete Saw, Machine Mounted Post Hole Digger, two to four Generators, Water Pumps or Welding Machines, within 400 feet, Air Compressor 600 cu. ft. and under, Rollers on Aggregate and Seal Coat Surfaces, Fork Lift (when used for landscaping work), Concrete and Blacktop Curb Machine, One Water Pump, Oilers, Air Valves or Steam Valves, One Welding Machine, Truck Jack, Mud Jack, Gunnite Machine, House Elevators when used for hoisting material, Engine Tenders, Fireman, Wagon Drill, Flex Plane, Conveyor, Siphons and Pulsometer, Switchman, Fireman on Paint Pots, Fireman on Asphalt Plants, Distributor Operator on Trucks, Tampers, Self-Propelled Power Broom, Striping Machine (motor driven), Form Tamper, Bulk Cement Plant, Equipment Greaser, Deck Hands, Truck Crane Oiler-Driver, Cement Blimps, Form Grader, Temporary Heat, Throttle Valve, Super Sucker (and similar type of equipment).

Other Classifications of Work:

For definitions of classifications not otherwise set out, the Department generally has on file such definitions which are available. If a task to be performed is not subject to one of the classifications of pay set out, the Department will upon being contacted state which neighboring county has such a classification and provide such rate, such rate being deemed to exist by reference in this document. If no neighboring county rate applies to the task, the Department shall undertake a special determination, such special determination being then deemed to have existed under this determination. If a project requires these, or any classification not listed, please contact IDOL at 618/993-7271 for wage rates or clarifications.

LANDSCAPING

Landscaping work falls under the existing classifications for laborer, operating engineer and truck driver. The work performed by landscape plantsman and landscape laborer is covered by the existing classification of laborer. The work performed by landscape operators (regardless of equipment used or its size) is covered by the classifications of operating engineer. The work performed by landscape truck drivers (regardless of size of truck driven) is covered by the classifications of truck driver.