## If you plan to submit a bid directly to the Department of Transportation

## PREQUALIFICATION

Any contractor who desires to become pre-qualified to bid on work advertised by IDOT must submit the properly completed pre-qualification forms to the Bureau of Construction no later that 4:30 p.m. prevailing time twenty-one days prior to the letting of interest. This pre-qualification requirement applies to first time contractors, contractors renewing expired ratings, contractors maintaining continuous pre-qualification or contractors requesting revised ratings. To be eligible to bid, existing pre-qualification ratings must be effective through the date of letting.

## REQUESTS FOR AUTHORIZATION TO BID

Contractors downloading and/or ordering CD-ROM's and are wanting to bid on items included in a particular letting must submit the properly completed "Request for Authorization to Bid/or Not For Bid Status" (BDE 124INT) and the ORIGINAL, signed and notarized, "Affidavit of Availability" (BC 57) to the proper office no later than 4:30 p.m. prevailing time, three (3) days prior to the letting date.

## WHO CAN BID ?

Bids will be accepted from only those companies that request and receive written Authorization to Bid from IDOT's Central Bureau of Construction.

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID? When a prospective prime bidder submits a "Request for Authorization to Bid/or Not For Bid Status"(BDE 124INT) he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a Proposal Denial and/or Authorization Form, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If Authorization to Bid cannot be approved, the Proposal Denial and/or Authorization Form will indicate the reason for denial.

ABOUT AUTHORIZATION TO BID: Firms that have not received an authorization form within a reasonable time of complete and correct original document submittal should contact the department as to status. This is critical in the week before the letting. These documents must be received three days before the letting date. Firms unsure as to authorization status should call the Prequalification Section of the Bureau of Construction at the number listed at the end of these instructions.

ADDENDA AND REVISIONS: It is the contractor's responsibility to determine which, if any, addenda or revisions pertain to any project they may be bidding. Failure to incorporate all relevant addenda or revisions may cause the bid to be declared unacceptable.

Each addendum will be placed with the contract number. Addenda and revisions will also be placed on the Addendum/Revision Checklist and each subscription service subscriber will be notified by e-mail of each addendum and revision issued.

The Internet is the Department's primary way of doing business. The subscription server e-mails are an added courtesy the Department provides. It is suggested that bidder check IDOT's website http://www.dot.il.gov/desenv/delett.html before submitting final bid information.

IDOT is not responsible for any e-mail related failures.
Addenda Questions may be directed to the Contracts Office at (217)782-7806 or D\&Econtracts@dot.il.gov
Technical Questions about downloading these files may be directed to Tim Garman (217)524-1642 or garmantr@dot.il.gov.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
2. Other special documentation and/or information that may be required
by the contract special provisions
All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?<br>\section*{Questions Regarding}<br>Prequalification and/or Authorization to Bid<br>Preparation and submittal of bids<br>Mailing of plans and proposals<br>Electronic plans and proposals<br>(217)782-3413<br>(217)782-7806<br>(217)782-7806<br>(217)524-1642

## ADDENDUMS AND REVISIONS TO THE PROPOSAL FORMS

Planholders should verify that they have received and incorporated the addendum and/or revision prior to submitting their bid. Failure by the bidder to include an addendum could result in a bid being rejected as irregular.


Contract No. 74287
CUMBERLAND County
Section D-7 PAVT PRSV 2008-1
District 7 Construction Funds
Route FAP 828

```
PLEASE MARK THE APPROPRIATE BOX BELOW:
```

```A Bid Bond is included.
```

```A Cashier's Check or a Certified Check is included.
```

Plans Included Herein


## INSTRUCTIONS

ABOUT IDOT PROPOSALS: All proposals issued by IDOT are potential bidding proposals. Each proposal contains all Certifications and Affidavits, a Proposal Signature Sheet and a Proposal Bid Bond required for Prime Contractors to submit a bid after written Authorization to Bid has been issued by IDOT's Central Bureau of Construction.

WHO CAN BID?: Bids will be accepted from only those companies that request and receive written Authorization to Bid from IDOT's Central Bureau of Construction. To request authorization, a potential bidder must complete and submit Part B of the Request for Authorization to Bid/or Not For Bid Status form (BDE 124 INT) and submit an original Affidavit of Availability (BC 57).

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?: When a prospective prime bidder submits a "Request for Proposal Forms and Plans" he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a Proposal Denial and/or Authorization Form, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If Authorization to Bid cannot be approved, the Proposal Denial and/or Authorization Form will indicate the reason for denial. If a contractor has requested to bid but has not received a Proposal Denial and/or Authorization Form, they should contact the Central Bureau of Construction in advance of the letting date.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

## WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?

| Questions Regarding | Call |
| :--- | ---: |
| Prequalification and/or Authorization to Bid | $217 / 782-3413$ |
| Preparation and submittal of bids | $217 / 782-7806$ |
| Mailing of CD-ROMS | $217 / 782-7806$ |

## RETURN WITH BID

## Illinois Department of Transportation

TO THE DEPARTMENT OF TRANSPORTATION

1. Proposal of $\qquad$

Taxpayer Identification Number (Mandatory) $\qquad$ a
for the improvement identified and advertised for bids in the Invitation for Bids as:

Contract No. 74287<br>CUMBERLAND County<br>Section D-7 PAVT PRSV 2008-1<br>Route FAP 828<br>District 7 Construction Funds

5.60 miles of Cape Seal pavement preservation treatment along the Montrose Blacktop.
2. The undersigned bidder will furnish all labor, material and equipment to complete the above described project in a good and workmanlike manner as provided in the contract documents provided by the Department of Transportation. This proposal will become part of the contract and the terms and conditions contained in the contract documents shall govern performance and payments.

## RETURN WITH BID

3. ASSURANCE OF EXAMINATION AND INSPECTION/WAIVER. The undersigned further declares that he/she has carefully examined the proposal, plans, specifications, form of contract and contract bond, and special provisions, and that he/she has inspected in detail the site of the proposed work, and that he/she has familiarized themselves with all of the local conditions affecting the contract and the detailed requirements of construction, and understands that in making this proposal he/she waives all right to plead any misunderstanding regarding the same.
4. EXECUTION OF CONTRACT AND CONTRACT BOND. The undersigned further agrees to execute a contract for this work and present the same to the department within fifteen (15) days after the contract has been mailed to him/her. The undersigned further agrees that he/she and his/her surety will execute and present within fifteen (15) days after the contract has been mailed to him/her contract bond satisfactory to and in the form prescribed by the Department of Transportation, in the penal sum of the full amount of the contract, guaranteeing the faithful performance of the work in accordance with the terms of the contract.
5. PROPOSAL GUARANTY. Accompanying this proposal is either a bid bond on the department form, executed by a corporate surety company satisfactory to the department, or a proposal guaranty check consisting of a bank cashier's check or a properly certified check for not less than 5 per cent of the amount bid or for the amount specified in the following schedule:
Amount of Bid

Bank cashier's checks or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois, when the state is awarding authority; the county treasurer, when a county is the awarding authority; or the city, village, or town treasurer, when a city, village, or town is the awarding authority.

If a combination bid is submitted, the proposal guaranties which accompany the individual proposals making up the combination will be considered as also covering the combination bid.

The amount of the proposal guaranty check is $\qquad$ \$(
). If this proposal is accepted and the undersigned shall fail to execute a contract bond as required herein, it is hereby agreed that the amount of the proposal guaranty shall become the property of the State of Illinois, and shall be considered as payment of damages due to delay and other causes suffered by the State because of the failure to execute said contract and contract bond; otherwise, the bid bond shall become void or the proposal guaranty check shall be returned to the undersigned.

## Attach Cashier's Check or Certified Check Here

In the event that one proposal guaranty check is intended to cover two or more proposals, the amount must be equal to the sum of the proposal guaranties which would be required for each individual proposal. If the guaranty check is placed in another proposal, state below where it may be found.

The proposal guaranty check will be found in the proposal for:
Item $\qquad$

| $\square$ |
| :---: |
| Section No. |
| County |

Mark the proposal cover sheet as to the type of proposal guaranty submitted.

## RETURN WITH BID

6. COMBINATION BIDS. The undersigned further agrees that if awarded the contract for the sections contained in the following combination, he/she will perform the work in accordance with the requirements of each individual proposal comprising the combination bid specified in the schedule below, and that the combination bid shall be prorated against each section in proportion to the bid submitted for the same. If an error is found to exist in the gross sum bid for one or more of the individual sections included in a combination, the combination bid shall be corrected as provided in the specifications.

When a combination bid is submitted, the schedule below must be completed in each proposal comprising the combination.

If alternate bids are submitted for one or more of the sections comprising the combination, a combination bid must be submitted for each alternate.

Schedule of Combination Bids

| Combination <br> No. | Sections Included in Combination | Combination Bid <br> Dollars |  |
| :--- | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

7. SCHEDULE OF PRICES. The undersigned bidder submits herewith, in accordance with the rules and instructions, a schedule of prices for the items of work for which bids are sought. The unit prices bid are in U.S. dollars and cents, and all extensions and summations have been made. The bidder understands that the quantities appearing in the bid schedule are approximate and are provided for the purpose of obtaining a gross sum for the comparison of bids. If there is an error in the extension of the unit prices, the unit prices shall govern. Payment to the contractor awarded the contract will be made only for actual quantities of work performed and accepted or materials furnished according to the contract. The scheduled quantities of work to be done and materials to be furnished may be increased, decreased or omitted as provided elsewhere in the contract.
8. CERTIFICATE OF AUTHORITY. The undersigned bidder, if a business organized under the laws of another State, assures the Department that it will furnish a copy of its certificate of authority to do business in the State of Illinois with the return of the executed contract and bond. Failure to furnish the certificate within the time provided for execution of an awarded contract may be cause for cancellation of the award and forfeiture of the proposal guaranty to the State.

## ILLINOIS DEPARTMENT OF TRANSPORTATION

$\begin{array}{ll}\text { CONTRACT } \\ \text { NUMBER - } & 74287\end{array}$

| State Job \# - | C-97-009-08 |
| :--- | :--- |
| PPS NBR - | 7-86200-0000 |
| County Name - | CUMBERLAND- - |
| Code - | $35--$ |
| District - | $7--$ |
| Section Number - | D-7 PAVT PRSV 2008-1 |


| Item Number | Pay Item Description | Unit of Measure | Quantity | X | Unit Price | = | Total Price |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| X0324951 | CAPE SEAL | SQ YD | 78,933.000 |  |  |  |  |
| 67100100 | MOBILIZATION | L SUM | 1.000 |  |  |  |  |
| 70300100 | SHORT-TERM PAVT MKING | FOOT | 5,920.000 |  |  |  |  |
| 70301000 | WORK ZONE PAVT MK REM | SQ FT | 987.000 |  |  |  |  |
| 78001110 | PAINT PVT MK LINE 4 | FOOT | 80,311.000 |  |  |  |  |
| 78100215 | TEMP FLEXIBLE PVT MKR | EACH | 1,480.000 |  |  |  |  |

CONTRACT NUMBER 74287

THIS IS THE TOTAL BID

## NOTES:

1. Each PAY ITEM should have a UNIT PRICE and a TOTAL PRICE.
2. The UNIT PRICE shall govern if no TOTAL PRICE is shown or if there is a
discrepancy between the product of the UNIT PRICE multiplied by the QUANTITY.
3. If a UNIT PRICE is omitted, the TOTAL PRICE will be divided by the QUANTITY

## RETURN WITH BID

## STATE REQUIRED ETHICAL STANDARDS GOVERNING CONTRACT PROCUREMENT: ASSURANCES, CERTIFICATIONS <br> AND DISCLOSURES

## I. GENERAL

A. Article 50 of the Illinois Procurement Code establishes the duty of all State chief procurement officers, State purchasing officers, and their designees to maximize the value of the expenditure of public moneys in procuring goods, services, and contracts for the State of Illinois and to act in a manner that maintains the integrity and public trust of State government. In discharging this duty, they are charged by law to use all available information, reasonable efforts, and reasonable actions to protect, safeguard, and maintain the procurement process of the State of Illinois.
B. In order to comply with the provisions of Article 50 and to carry out the duty established therein, all bidders are to adhere to ethical standards established for the procurement process, and to make such assurances, disclosures and certifications required by law. By execution of the Proposal Signature Sheet, the bidder indicates that each of the mandated assurances has been read and understood, that each certification is made and understood, and that each disclosure requirement has been understood and completed.
C. In addition to all other remedies provided by law, failure to comply with any assurance, failure to make any disclosure or the making of a false certification shall be grounds for termination of the contract and the suspension or debarment of the bidder.

## II. ASSURANCES

A. The assurances hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous assurance, and the surety providing the performance bond shall be responsible for the completion of the contract.

## B. Felons

1. The Illinois Procurement Code provides:

Section 50-10. Felons. Unless otherwise provided, no person or business convicted of a felony shall do business with the State of Illinois or any state agency from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business.
2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-10.
C. Conflicts of Interest

1. The Illinois Procurement Code provides in pertinent part:

Section 50-13. Conflicts of Interest.
(a) Prohibition. It is unlawful for any person holding an elective office in this State, holding a seat in the General Assembly, or appointed to or employed in any of the offices or agencies of state government and who receives compensation for such employment in excess of $60 \%$ of the salary of the Governor of the State of Illinois, or who is an officer or employee of the Capital Development Board or the Illinois Toll Highway Authority, or who is the spouse or minor child of any such person to have or acquire any contract, or any direct pecuniary interest in any contract therein, whether for stationery, printing, paper, or any services, materials, or supplies, that will be wholly or partially satisfied by the payment of funds appropriated by the General Assembly of the State of Illinois or in any contract of the Capital Development Board or the Illinois Toll Highway authority.
(b) Interests. It is unlawful for any firm, partnership, association or corporation, in which any person listed in subsection (a) is entitled to receive (i) more than $71 / 2 \%$ of the total distributable income or (ii) an amount in excess of the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.
(c) Combined interests. It is unlawful for any firm, partnership, association, or corporation, in which any person listed in subsection (a) together with his or her spouse or minor children is entitled to receive (i) more than $15 \%$, in the aggregate, of the total distributable income or (ii) an amount in excess of 2 times the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.
(d) Securities. Nothing in this Section invalidates the provisions of any bond or other security previously offered or to be offered for sale or sold by or for the State of Illinois.
(e) Prior interests. This Section does not affect the validity of any contract made between the State and an officer or employee of the State or member of the General Assembly, his or her spouse, minor child or any combination of those persons if that contract was in existence before his or her election or employment as an officer, member, or employee. The contract is voidable, however, if it cannot be completed within 365 days after the officer, member, or employee takes office or is employed.

The current salary of the Governor is $\$ 171,000.00$. Sixty percent of the salary is $\$ 102,600.00$.

## RETURN WITH BID

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-13, or that an effective exemption has been issued by the Board of Ethics to any individual subject to the Section $50-13$ prohibitions pursuant to the provisions of Section 50-20 of the Code and Executive Order Number 3 (1998). Information concerning the exemption process is available from the Department upon request.

## D. Negotiations

1. The Illinois Procurement Code provides in pertinent part:

Section 50-15. Negotiations.
(a) It is unlawful for any person employed in or on a continual contractual relationship with any of the offices or agencies of State government to participate in contract negotiations on behalf of that office or agency with any firm, partnership, association, or corporation with whom that person has a contract for future employment or is negotiating concerning possible future employment.
2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-15, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

## E. Inducements

1. The Illinois Procurement Code provides:

Section 50-25. Inducement. Any person who offers or pays any money or other valuable thing to any person to induce him or her not to bid for a State contract or as recompense for not having bid on a State contract is guilty of a Class 4 felony. Any person who accepts any money or other valuable thing for not bidding for a State contract or who withholds a bid in consideration of the promise for the payment of money or other valuable thing is guilty of a Class 4 felony.
2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section $50-25$, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

## F. Revolving Door Prohibition

## 1. The Illinois Procurement Code provides:

Section 50-30. Revolving door prohibition. Chief procurement officers, associate procurement officers, State purchasing officers, their designees whose principal duties are directly related to State procurement, and executive officers confirmed by the Senate are expressly prohibited for a period of 2 years after terminating an affected position from engaging in any procurement activity relating to the State agency most recently employing them in an affected position for a period of at least 6 months. The prohibition includes, but is not limited to: lobbying the procurement process; specifying; bidding; proposing bid, proposal, or contract documents; on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to persons who terminate an affected position on or after January $15,1999$.
2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-30, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

## G. Reporting Anticompetitive Practices

1. The Illinois Procurement Code provides:

Section 50-40. Reporting anticompetitive practices. When, for any reason, any vendor, bidder, contractor, chief procurement officer, State purchasing officer, designee, elected official, or State employee suspects collusion or other anticompetitive practice among any bidders, offerors, contractors, proposers, or employees of the State, a notice of the relevant facts shall be transmitted to the Attorney General and the chief procurement officer.
2. The bidder assures the Department that it has not failed to report any relevant facts concerning the practices addressed in Section $50-40$ which may involve the contract for which the bid is submitted.

## H. Confidentiality

## 1. The Illinois Procurement Code provides:

Section 50-45. Confidentiality. Any chief procurement officer, State purchasing officer, designee, or executive officer who willfully uses or allows the use of specifications, competitive bid documents, proprietary competitive information, proposals, contracts, or selection information to compromise the fairness or integrity of the procurement, bidding, or contract process shall be subject to immediate dismissal, regardless of the Personnel code, any contract, or any collective bargaining agreement, and may in addition be subject to criminal prosecution.
2. The bidder assures the Department that it has no knowledge of any fact relevant to the practices addressed in Section 50-45 which may involve the contract for which the bid is submitted.

## RETURN WITH BID

## I. Insider Information

1. The Illinois Procurement Act provides:

Section 50-50. Insider information. It is unlawful for any current or former elected or appointed State official or State employee to knowingly use confidential information available only by virtue of that office or employment for actual or anticipated gain for themselves or another person.
2. The bidder assures the Department that it has no knowledge of any facts relevant to the practices addressed in Section 50-50 which may involve the contract for which the bid is submitted.

## III. CERTIFICATIONS

A. The certifications hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous certification, and the surety providing the performance bond shall be responsible for completion of the contract.

## B. Bribery

1. The Illinois Procurement Code provides:

Section 50-5. Bribery.
(a) Prohibition. No person or business shall be awarded a contract or subcontract under this Code who:
(1) has been convicted under the laws of Illinois or any other state of bribery or attempting to bribe an officer or employee of the State of Illinois or any other state in that officer's or employee's official capacity; or
(2) has made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct.
(b) Businesses. No business shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of the business if the employee or agent is no longer employed by the business and:
(1) the business has been finally adjudicated not guilty; or
(2) the business demonstrates to the governmental entity with which it seeks to contract, and that entity finds that the commission of the offense was not authorized, requested, commanded, or performed by a director, officer, or high managerial agent on behalf of the business as provided in paragraph (2) of subsection (a) of Section 5-4 of the Criminal Code of 1961.
(c) Conduct on behalf of business. For purposes of this Section, when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business shall be chargeable with the conduct.
(d) Certification. Every bid submitted to and contract executed by the State shall contain a certification by the contractor that the contractor is not barred from being awarded a contract or subcontract under this Section. A contractor who makes a false statement, material to the certification, commits a Class 3 felony.
2. The bidder certifies that it is not barred from being awarded a contract under Section 50.5.

## C. Educational Loan

1. Section 3 of the Educational Loan Default Act provides:
$\S 3$. No State agency shall contract with an individual for goods or services if that individual is in default, as defined in Section 2 of this Act, on an educational loan. Any contract used by any State agency shall include a statement certifying that the individual is not in default on an educational loan as provided in this Section.
2. The bidder, if an individual as opposed to a corporation, partnership or other form of business organization, certifies that the bidder is not in default on an educational loan as provided in Section 3 of the Act.

## D. Bid-Rigging/Bid Rotating

1. Section 33E-11 of the Criminal Code of 1961 provides:
§ 33E-11. (a) Every bid submitted to and public contract executed pursuant to such bid by the State or a unit of local government shall contain a certification by the prime contractor that the prime contractor is not barred from contracting with any unit of State or local government as a result of a violation of either Section 33E-3 or 33E-4 of this Article. The State and units of local government shall provide the appropriate forms for such certification.

## RETURN WITH BID

(b) A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

A violation of Section 33E-3 would be represented by a conviction of the crime of bid-rigging which, in addition to Class 3 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be barred for 5 years from the date of conviction from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

A violation of Section 33E-4 would be represented by a conviction of the crime of bid-rotating which, in addition to Class 2 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be permanently barred from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.
2. The bidder certifies that it is not barred from contracting with the Department by reason of a violation of either Section 33E-3 or Section 33E-4.

## E. International Anti-Boycott

1. Section 5 of the International Anti-Boycott Certification Act provides:
§ 5. State contracts. Every contract entered into by the State of Illinois for the manufacture, furnishing, or purchasing of supplies, material, or equipment or for the furnishing of work, labor, or services, in an amount exceeding the threshold for small purchases according to the purchasing laws of this State or $\$ 10,000.00$, whichever is less, shall contain certification, as a material condition of the contract, by which the contractor agrees that neither the contractor nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act.
2. The bidder makes the certification set forth in Section 5 of the Act.

## F. Drug Free Workplace

1. The Illinois "Drug Free Workplace Act" applies to this contract and it is necessary to comply with the provisions of the "Act" if the contractor is a corporation, partnership, or other entity (including a sole proprietorship) which has 25 or more employees.
2. The bidder certifies that if awarded a contract in excess of $\$ 5,000$ it will provide a drug free workplace by:
(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance, including cannabis, is prohibited in the contractor's workplace; specifying the actions that will be taken against employees for violations of such prohibition; and notifying the employee that, as a condition of employment on such contract, the employee shall abide by the terms of the statement, and notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.
(b) Establishing a drug free awareness program to inform employees about the dangers of drug abuse in the workplace; the contractor's policy of maintaining a drug free workplace; any available drug counseling, rehabilitation, and employee assistance programs; and the penalties that may be imposed upon employees for drug violations.
(c) Providing a copy of the statement required by subparagraph (1) to each employee engaged in the performance of the contract and to post the statement in a prominent place in the workplace.
(d) Notifying the Department within ten (10) days after receiving notice from an employee or otherwise receiving actual notice of the conviction of an employee for a violation of any criminal drug statute occurring in the workplace.
(e) Imposing or requiring, within 30 days after receiving notice from an employee of a conviction or actual notice of such a conviction, an appropriate personnel action, up to and including termination, or the satisfactory participation in a drug abuse assistance or rehabilitation program approved by a federal, state or local health, law enforcement or other appropriate agency.
(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.
(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the actions and efforts stated in this certification.

## G. Debt Delinquency

1. The Illinois Procurement Code provides:

Section 50-11 and 50-12. Debt Delinquency.
The contractor or bidder certifies that it, or any affiliate, is not barred from being awarded a contract under 30 ILCS 500. Section 5011 prohibits a person from entering into a contract with a State agency if it knows or should know that it, or any affiliate, is delinquent in the payment of any debt to the State as defined by the Debt Collection Board. Section 50-12 prohibits a person from entering into a contract with a State agency if it, or any affiliate, has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act. The contractor further acknowledges that the contracting State agency may declare the contract void if this certification is false or if the contractor, or any affiliate, is determined to be delinquent in the payment of any debt to the State during the term of the contract.

## H. Sarbanes-Oxley Act of 2002

1. The Illinois Procurement Code provides:

Section 50-60(c).
The contractor certifies in accordance with 30 ILCS 500/50-10.5 that no officer, director, partner or other managerial agent of the contracting business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 or a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 for a period of five years prior to the date of the bid or contract. The contractor acknowledges that the contracting agency shall declare the contract void if this certification is false.

## I. Addenda

The contractor or bidder certifies that all relevant addenda have been incorporated in to this contract. Failure to do so may cause the bid to be declared unacceptable.

## J. Section 42 of the Environmental Protection Act

The contractor certifies in accordance with 30 ILCS 500/50-12 that the bidder or contractor is not barred from being awarded a contract under this Section which prohibits the bidding on or entering into contracts with the State of Illinois or a State agency by a person or business found by a court or the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act for a period of five years from the date of the order. The contractor acknowledges that the contracting agency may declare the contract void if this certification is false.

## K. Apprenticeship and Training Certification (Does not apply to federal aid projects)

In accordance with the provisions of Section 30-22 (6) of the Illinois Procurement Code, the bidder certifies that it is a participant, either as an individual or as part of a group program, in the approved apprenticeship and training programs applicable to each type of work or craft that the bidder will perform with its own forces. The bidder further certifies for work that will be performed by subcontract that each of its subcontractors submitted for approval either (a) is, at the time of such bid, participating in an approved, applicable apprenticeship and training program; or (b) will, prior to commencement of performance of work pursuant to this contract, begin participation in an approved apprenticeship and training program applicable to the work of the subcontract. The Department, at any time before or after award, may require the production of a copy of each applicable Certificate of Registration issued by the United States Department of Labor evidencing such participation by the contractor and any or all of its subcontractors. Applicable apprenticeship and training programs are those that have been approved and registered with the United States Department of Labor. The bidder shall list in the space below, the official name of the program sponsor holding the Certificate of Registration for all of the types of work or crafts in which the bidder is a participant and that will be performed with the bidder's forces. Types of work or craft work that will be subcontracted shall be included and listed as subcontract work. The list shall also indicate any type of work or craft job category that does not have an applicable apprenticeship or training program. The bidder is responsible for making a complete report and shall make certain that each type of work or craft job category that will be utilized on the project as reported on the Construction Employee Workforce Projection (Form BC-1256) and returned with the bid is accounted for and listed.

The requirements of this certification and disclosure are a material part of the contract, and the contractor shall require this certification provision to be included in all approved subcontracts. In order to fulfill this requirement, it shall not be necessary that an applicable program sponsor be currently taking or that it will take applications for apprenticeship, training or employment during the performance of the work of this contract.

## L. Executive Order Number 1 (2007) Regarding Lobbying on Government Procurements

The bidder hereby warrants and certifies that they have complied and will comply with the requirements set forth in this Order. The requirements of this warrant and certification are a material part of the contract, and the contractor shall require this warrant and certification provision to be included in all approved subcontracts.

Public Act 95-0616 provides that each bid, offer, or proposal submitted for a State contract shall include a disclosure of whether or not the Company acting as the bidder, offeror, or proposing entity, or any of its corporate parents or subsidiaries, within the 24 months before submission of the bid, offer, or proposal had business operations that involved contracts with or provision of supplies or services to the Government of Iran, companies in which the Government of Iran has any direct or indirect equity share, consortiums or projects commissioned by the Government of Iran, or companies involved in consortiums or projects commissioned by the Government of Iran and either of the following conditions apply:
(1) More than 10\% of the Company's revenues produced in or assets located in Iran involve oil-related activities or mineral-extraction activities; less than 75\% of the Company's revenues produced in or assets located in Iran involve contracts with or provision of oil-related or mineral-extraction products or services to the Government of Iran or a project or consortium created exclusively by that government; and the Company has failed to take substantial action.
(2) The Company has, on or after August 5, 1996, made an investment of $\$ 20$ million or more, or any combination of investments of at least $\$ 10$ million each that in the aggregate equals or exceeds $\$ 20$ million in any 12-month period, which directly or significantly contributes to the enhancement of Iran's ability to develop petroleum resources of Iran.

The terms "Business operations", "Company", "Mineral-extraction activities", "Oil-related activities", "Petroleum resources", and "Substantial action" are all defined in the Act.

Failure to make the disclosure required by the Act shall cause the bid, offer or proposal to be considered not responsive. The disclosure will be considered when evaluating the bid, offer, or proposal or awarding the contract. The name of each Company disclosed as doing business or having done business in Iran will be provided to the State Comptroller.

Check the appropriate statement:
/___ Company has no business operations in Iran to disclose.
/___ Company has business operations in Iran as disclosed the attached document.

## TO BE RETURNED WITH BID

## IV. DISCLOSURES

A. The disclosures hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous disclosure, and the surety providing the performance bond shall be responsible for completion of the contract.
B. Financial Interests and Conflicts of Interest

1. Section 50-35 of the Illinois Procurement Code provides that all bids of more than $\$ 10,000$ shall be accompanied by disclosure of the financial interests of the bidder. This disclosed information for the successful bidder, will be maintained as public information subject to release by request pursuant to the Freedom of Information Act.

The financial interests to be disclosed shall include ownership or distributive income share that is in excess of $5 \%$, or an amount greater than $60 \%$ of the annual salary of the Governor, of the bidding entity or its parent entity, whichever is less, unless the contractor or bidder is a publicly traded entity subject to Federal 10K reporting, in which case it may submit its 10K disclosure in place of the prescribed disclosure. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of $5 \%$. The disclosure shall include the names, addresses, and dollar or proportionate share of ownership of each person making the disclosure, their instrument of ownership or beneficial relationship, and notice of any potential conflict of interest resulting from the current ownership or beneficial interest of each person making the disclosure having any of the relationships identified in Section 50-35 and on the disclosure form.

In addition, all disclosures shall indicate any other current or pending contracts, proposals, leases, or other ongoing procurement relationships the bidding entity has with any other unit of state government and shall clearly identify the unit and the contract, proposal, lease, or other relationship.
2. Disclosure Forms. Disclosure Form A is attached for use concerning the individuals meeting the above ownership or distributive share requirements. Subject individuals should be covered each by one form. In addition, a second form (Disclosure Form B) provides for the disclosure of current or pending procurement relationships with other (non-IDOT) state agencies. The forms must be included with each bid or incorporated by reference.
C. Disclosure Form Instructions

## Form A: For bidders that have previously submitted the information requested in Form A

The Department has retained the Form A disclosures submitted by all bidders responding to these requirements for the April 24,1998 or any subsequent letting conducted by the Department. The bidder has the option of submitting the information again or the bidder may check the following certification statement indicating that the information previously submitted by the bidder is, as of the date of submission, current and accurate. Before checking this certification, the bidder should carefully review its prior submissions to ensure the Certification is correct. If the Bidder checks the Certification, the Bidder should proceed to Form B instructions.

## CERTIFICATION STATEMENT

## I have determined that the Form A disclosure information previously submitted is current and accurate, and all forms are hereby incorporated by reference in this bid. Any necessary additional forms or amendments to previously submitted forms are attached to this bid.

(Bidding Company)

## Form A: For bidders who have NOT previously submitted the information requested in Form A

If the bidder is a publicly traded entity subject to Federal 10K reporting, the 10K Report may be submitted to meet the requirements of Form A. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10 K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of $5 \%$. If a bidder is not subject to Federal 10K reporting, the bidder must determine if any individuals are required by law to complete a financial disclosure form. To do this, the bidder should answer each of the following questions. A "YES" answer indicates Form A must be completed. If the answer to each of the following questions is "NO", then the NOT APPLICABLE STATEMENT on the second page of Form A must be signed and dated by a person that is authorized to execute contracts for the bidding company. Note: These questions are for assistance only and are not required to be completed.

1. Does anyone in your organization have a direct or beneficial ownership share of greater than $5 \%$ of the bidding entity or parent entity? YES $\qquad$ NO
2. Does anyone in your organization have a direct or beneficial ownership share of less than $5 \%$, but which has a value greater than \$102,600.00? YES ___ NO
3. Does anyone in your organization receive more than $\$ 102,600.00$ of the bidding entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.) YES $\qquad$ NO $\qquad$
4. Does anyone in your organization receive greater than $5 \%$ of the bidding entity's or parent entity's total distributive income, but which is less than $\$ 102,600.00$ ? YES $\qquad$ NO
(Note: Only one set of forms needs to be completed per person per bid even if a specific individual would require a yes answer to more than one question.)

A "YES" answer to any of these questions requires the completion of Form A. The bidder must determine each individual in the bidding entity or the bidding entity's parent company that would cause the questions to be answered "Yes". Each form must be signed and dated by a person that is authorized to execute contracts for your organization. Photocopied or stamped signatures are not acceptable. The person signing can be, but does not have to be, the person for which the form is being completed. The bidder is responsible for the accuracy of any information provided.

If the answer to each of the above questions is "NO", then the NOT APPLICABLE STATEMENT on page 2 of Form A must be signed and dated by a person that is authorized to execute contracts for your company.

Form B: Identifying Other Contracts \& Procurement Related Information Disclosure Form B must be completed for each bid submitted by the bidding entity. Note: Checking the NOT APPLICABLE STATEMENT on Form A does not allow the bidder to ignore Form B. Form B must be completed, checked, and dated or the bidder may be considered nonresponsive and the bid will not be accepted.

The Bidder shall identify, by checking Yes or No on Form B, whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other (non-IDOT) State of Illinois agency. If "No" is checked, the bidder only needs to complete the check box on the bottom of Form B. If "Yes" is checked, the bidder must do one of the following:

Option I: If the bidder did not submit an Affidavit of Availability to obtain authorization to bid, the bidder must list all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. These items may be listed on Form B or on an attached sheet(s). Do not include IDOT contracts. Contracts with cities, counties, villages, etc. are not considered State of Illinois agency contracts and are not to be included. Contracts with other State of Illinois agencies such as the Department of Natural Resources or the Capital Development Board must be included. Bidders who submit Affidavits of Availability are suggested to use Option II.

Option II: If the bidder is required and has submitted an Affidavit of Availability in order to obtain authorization to bid, the bidder may write or type "See Affidavit of Availability" which indicates that the Affidavit of Availability is incorporated by reference and includes all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. For any contracts that are not covered by the Affidavit of Availability, the bidder must identify them on Form B or on an attached sheet(s). These might be such things as leases.

## D. Bidders Submitting More Than One Bid

Bidders submitting multiple bids may submit one set of forms consisting of all required Form A disclosures and one Form B for use with all bids. Please indicate in the space provided below the bid item that contains the original disclosure forms and the bid items which incorporate the forms by reference.

- The bid submitted for letting item $\qquad$ contains the Form A disclosures or Certification Statement and the Form B disclosures. The following letting items incorporate the said forms by reference:

| Contractor Name |  |  |
| :--- | :--- | :--- |
| Legal Address | Email Address |  |
| City, State, Zip | Fax Number (if available) |  |
| Telephone Number |  |  |

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Code ( 30 ILCS 500). Vendors desiring to enter into a contract with the State of Illinois must disclose the financial information and potential conflict of interest information as specified in this Disclosure Form. This information shall become part of the publicly available contract file. This Form A must be completed for bids in excess of $\$ 10,000$, and for all openended contracts. A publicly traded company may submit a 10 K disclosure (or equivalent if applicable) in satisfaction of the requirements set forth in Form A. See Disclosure Form Instructions.

## DISCLOSURE OF FINANCIAL INFORMATION

1. Disclosure of Financial Information. The individual named below has an interest in the BIDDER (or its parent) in terms of ownership or distributive income share in excess of $5 \%$, or an interest which has a value of more than $\$ 102,600.00$ ( $60 \%$ of the Governor's salary as of 7/1/07). (Make copies of this form as necessary and attach a separate Disclosure Form A for each individual meeting these requirements)
FOR INDIVIDUAL (type or print information)
NAME:
ADDRESS

Type of ownership/distributable income share:
stock
sole proprietorship
Partnership
other: (explain on separate sheet):
\% or \$ value of ownership/distributable income share:
2. Disclosure of Potential Conflicts of Interest. Check "Yes" or "No" to indicate which, if any, of the following potential conflict of interest relationships apply. If the answer to any question is "Yes", please attach additional pages and describe.
(a) State employment, currently or in the previous 3 years, including contractual employment of services.

If your answer is yes, please answer each of the following questions.

1. Are you currently an officer or employee of either the Capitol Development Board or the Illinois Toll Highway Authority?

Yes $\qquad$ No
2. Are you currently appointed to or employed by any agency of the State of Illinois? If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds $\$ 102,600.00$, ( $60 \%$ of the Governor's salary as of $7 / 1 / 07$ ) provide the name the State agency for which you are employed and your annual salary.

## RETURN WITH BID/OFFER

3. If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds $\$ 102,600.00$, ( $60 \%$ of the Governor's salary as of $7 / 1 / 07$ ) are you entitled to receive (i) more than $71 / 2 \%$ of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of the salary of the Governor? Yes $\qquad$ No $\qquad$
4. If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds $\$ 102,600.00$, ( $60 \%$ of the Governor's salary as of $7 / 1 / 07$ ) are you and your spouse or minor children entitled to receive (i) more than $15 \%$ in the aggregate of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of 2 times the salary of the Governor?

Yes $\qquad$ No -
(b) State employment of spouse, father, mother, son, or daughter, including contractual employment services in the previous 2 years.

If your answer is yes, please answer each of the following questions.
Yes $\qquad$ No _

1. Is your spouse or any minor children currently an officer or employee of the Capitol Development Board or the Illinois Toll Highway Authority?

Yes $\qquad$ No
2. Is your spouse or any minor children currently appointed to or employed by any agency of the State of Illinois? If your spouse or minor children is/are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds $\$ 102,600.00$, ( $60 \%$ of the Governor's salary as of 7/1/07) provide the name of your spouse and/or minor children, the name of the State agency for which he/she is employed and his/her annual salary.
3. If your spouse or any minor children is/are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds $\$ 102,600.00$, ( $60 \%$ of the salary of the Governor as of $7 / 1 / 07$ ) are you entitled to receive (i) more then $71 / 2 \%$ of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of the salary of the Governor?

Yes $\qquad$ No
4. If your spouse or any minor children are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds $\$ 102,600.00$, ( $60 \%$ of the Governor's salary as of 7/1/07) are you and your spouse or minor children entitled to receive (i) more than $15 \%$ in the aggregate of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of 2 times the salary of the Governor?
Yes___No_
(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years.

Yes $\qquad$ No
(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter.

Yes $\qquad$ No
(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of the expenses incurred in the discharge of that office currently or in the previous 3 years.

Yes $\qquad$ No
(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter.

Yes $\qquad$ No _-
(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government.
$\qquad$ No

## RETURN WITH BIDIOFFER

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. $\qquad$ No
(i) Compensated employment, currently or in the previous 3 years, by any registered election or reelection committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections.

Yes $\qquad$ No $\qquad$
(j) Relationship to anyone; spouse, father, mother, son, or daughter; who was a compensated employee in the last 2 years by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections.
Yes __ No __

## APPLICABLE STATEMENT

This Disclosure Form A is submitted on behalf of the INDIVIDUAL named on previous page.
Completed by: $\square$

NOT APPLICABLE STATEMENT

## I have determined that no individuals associated with this organization meet the criteria that would require the completion of this Form $A$.

This Disclosure Form A is submitted on behalf of the CONTRACTOR listed on the previous page.

## ILLINOIS DEPARTMENT OF TRANSPORTATION

## Form B Other Contracts \& <br> Procurement Related Information Disclosure

| Contractor Name |  |  |
| :--- | :--- | :--- |
| Legal Address | Email Address |  |
| City, State, Zip |  |  |
| Telephone Number |  |  |

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Act (30 ILCS 500). This information shall become part of the publicly available contract file. This Form B must be completed for bids in excess of $\$ 10,000$, and for all open-ended contracts.

## DISCLOSURE OF OTHER CONTRACTS AND PROCUREMENT RELATED INFORMATION

1. Identifying Other Contracts \& Procurement Related Information. The BIDDER shall identify whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other State of Illinois agency: Yes $\qquad$ No
If "No" is checked, the bidder only needs to complete the signature box on the bottom of this page.
2. If "Yes" is checked. Identify each such relationship by showing State of Illinois agency name and other descriptive information such as bid or project number (attach additional pages as necessary). SEE DISCLOSURE FORM INSTRUCTIONS:

> THE FOLLOWING STATEMENT MUST BE CHECKED


## RETURN WITH BID

## SPECIAL NOTICE TO CONTRACTORS

The following requirements of the Illinois Department of Human Rights' Rules and Regulations are applicable to bidders on all construction contracts advertised by the Illinois Department of Transportation:

## CONSTRUCTION EMPLOYEE UTILIZATION PROJECTION

(a) All bidders on construction contracts shall complete and submit, along with and as part of their bids, a Bidder's Employee Utilization Form (Form BC-1256) setting forth a projection and breakdown of the total workforce intended to be hired and/or allocated to such contract work by the bidder including a projection of minority and female employee utilization in all job classifications on the contract project.
(b) The Department of Transportation shall review the Employee Utilization Form, and workforce projections contained therein, of the contract awardee to determine if such projections reflect an underutilization of minority persons and/or women in any job classification in accordance with the Equal Employment Opportunity Clause and Section 7.2 of the Illinois Department of Human Rights' Rules and Regulations for Public Contracts adopted as amended on September 17, 1980. If it is determined that the contract awardee's projections reflect an underutilization of minority persons and/or women in any job classification, it shall be advised in writing of the manner in which it is underutilizing and such awardee shall be considered to be in breach of the contract unless, prior to commencement of work on the contract project, it submits revised satisfactory projections or an acceptable written affirmative action plan to correct such underutilization including a specific timetable geared to the completion stages of the contract.
(c) The Department of Transportation shall provide to the Department of Human Rights a copy of the contract awardee's Employee Utilization Form, a copy of any required written affirmative action plan, and any written correspondence related thereto. The Department of Human Rights may review and revise any action taken by the Department of Transportation with respect to these requirements.

## RETURN WITH BID

## Contract No. 74287 <br> CUMBERLAND County <br> Section D-7 PAVT PRSV 2008-1 <br> Route FAP 828 <br> District 7 Construction Funds

## PART I. IDENTIFICATION

Dept. Human Rights \# $\qquad$ Duration of Project: $\qquad$
Name of Bidder: $\qquad$
PART II. WORKFORCE PROJECTION
A. The undersigned bidder has analyzed minority group and female populations, unemployment rates and availability of workers for the location in which this contract work is to be performed, and for the locations from which the bidder recruits employees, and hereby submits the following workforce projection including a projection for minority and female employee utilization in all job categories in the workforce to be allocated to this contract:

TABLE A

| TOTAL Workforce Projection for Contract |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | MINORITY EMPLOYEES |  |  |  |  |  | TRAINEES |  |  |  |
| $\begin{gathered} \text { JOB } \\ \text { CATEGORIES } \end{gathered}$ | TOTAL EMPLOYEES |  | BLACK |  | HISPANIC |  | *OTHER MINOR. |  | APPRENTICES |  | ON THE JOB TRAINEES |  |
|  | M | F | M | F | M | F | M | F | M | F | M | F |
| OFFICIALS (MANAGERS) |  |  |  |  |  |  |  |  |  |  |  |  |
| SUPERVISORS |  |  |  |  |  |  |  |  |  |  |  |  |
| FOREMEN |  |  |  |  |  |  |  |  |  |  |  |  |
| CLERICAL |  |  |  |  |  |  |  |  |  |  |  |  |
| EQUIPMENT OPERATORS |  |  |  |  |  |  |  |  |  |  |  |  |
| MECHANICS |  |  |  |  |  |  |  |  |  |  |  |  |
| TRUCK DRIVERS |  |  |  |  |  |  |  |  |  |  |  |  |
| IRONWORKERS |  |  |  |  |  |  |  |  |  |  |  |  |
| CARPENTERS |  |  |  |  |  |  |  |  |  |  |  |  |
| CEMENT MASONS |  |  |  |  |  |  |  |  |  |  |  |  |
| ELECTRICIANS |  |  |  |  |  |  |  |  |  |  |  |  |
| PIPEFITTERS, PLUMBERS |  |  |  |  |  |  |  |  |  |  |  |  |
| PAINTERS |  |  |  |  |  |  |  |  |  |  |  |  |
| LABORERS, SEMI-SKILLED |  |  |  |  |  |  |  |  |  |  |  |  |
| LABORERS, UNSKILLED |  |  |  |  |  |  |  |  |  |  |  |  |
| TOTAL |  |  |  |  |  |  |  |  |  |  |  |  |

TABLE B

| CURRENT EMPLOYEES TO BE ASSIGNED TO CONTRACT |  |  |  |
| :---: | :---: | :---: | :---: |
| TOTAL EMPLOYEES |  | MINORITYEMPLOYEES |  |
| M | F | M | F |
|  |  |  |  |
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TABLE C

| TOTAL Training Projection for Contract |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EMPLOYEES <br> IN TRAINING | TOTAL EMPLOYEES |  | BLACK |  | HISPANIC |  | *OTHER MINOR. |  |
|  | M | F | M | F | M | F | M | F |
| APPRENTICES |  |  |  |  |  |  |  |  |
| ON THE JOB TRAINEES |  |  |  |  |  |  |  |  |

*Other minorities are defined as Asians (A) or Native Americans (N).
Please specify race of each employee shown in Other Minorities column.
Note: See instructions on the next page

## RETURN WITH BID

## Contract No. 74287 <br> CUMBERLAND County <br> Section D-7 PAVT PRSV 2008-1 <br> Route FAP 828 <br> District 7 Construction Funds

## PART II. WORKFORCE PROJECTION - continued

B. Included in "Total Employees" under Table A is the total number of new hires that would be employed in the event the undersigned bidder is awarded this contract.

The undersigned bidder projects that: (number) $\qquad$ new hires would be recruited from the area in which the contract project is located; and/or (number) new hires would be recruited from the area in which the bidder's principal office or base of operation is located.
C. Included in "Total Employees" under Table A is a projection of numbers of persons to be employed directly by the undersigned bidder as well as a projection of numbers of persons to be employed by subcontractors.

The undersigned bidder estimates that (number) $\qquad$ persons will be directly employed by the prime contractor and that (number) $\qquad$ persons will be employed by subcontractors.

## PART III. AFFIRMATIVE ACTION PLAN

A. The undersigned bidder understands and agrees that in the event the foregoing minority and female employee utilization projection included under PART II is determined to be an underutilization of minority persons or women in any job category, and in the event that the undersigned bidder is awarded this contract, he/she will, prior to commencement of work, develop and submit a written Affirmative Action Plan including a specific timetable (geared to the completion stages of the contract) whereby deficiencies in minority and/or female employee utilization are corrected. Such Affirmative Action Plan will be subject to approval by the contracting agency and the Department of Human Rights.
B. The undersigned bidder understands and agrees that the minority and female employee utilization projection submitted herein, and the goals and timetable included under an Affirmative Action Plan if required, are deemed to be part of the contract specifications.

Company $\qquad$ Telephone Number $\qquad$

Address $\qquad$

## NOTICE REGARDING SIGNATURE

The Bidder's signature on the Proposal Signature Sheet will constitute the signing of this form. The following signature block needs to be completed only if revisions are required.

Signature: $\qquad$ Title: $\qquad$ Date: $\qquad$ -

Instructions: All tables must include subcontractor personnel in addition to prime contractor personnel.
Table A - Include both the number of employees that would be hired to perform the contract work and the total number currently employed (Table B) that will be allocated to contract work, and include all apprentices and on-the-job trainees. The "Total Employees" column should include all employees including all minorities, apprentices and on-the-job trainees to be employed on the contract work.

Table B - Include all employees currently employed that will be allocated to the contract work including any apprentices and on-the-job trainees currently employed.

Table C - Indicate the racial breakdown of the total apprentices and on-the-job trainees shown in Table A.

## RETURN WITH BID

Contract No. 74287
CUMBERLAND County
Section D-7 PAVT PRSV 2008-1
Route FAP 828
District 7 Construction Funds

## PROPOSAL SIGNATURE SHEET

The undersigned bidder hereby makes and submits this bid on the subject Proposal, thereby assuring the Department that all requirements of the Invitation for Bids and rules of the Department have been met, that there is no misunderstanding of the requirements of paragraph 3 of this Proposal, and that the contract will be executed in accordance with the rules of the Department if an award is made on this bid.

|  | Firm Name |
| :---: | :---: |
| ${ } \quad$ Signature of Owner $}$ |  |
|  |  |
| Business Address |  |


| (IF A CO-PARTNERSHIP) | Firm Name |  |
| :---: | :---: | :---: |
|  | By |  |
|  | Business Address |  |
|  |  | Name and Address of All Members of the Firm: |
|  |  |  |

Corporate Name
By $\qquad$
$\ldots$ Typed or printed name and title of Authorized Representative

## (IF A CORPORATION)

(IF A JOINT VENTURE, USE THIS SECTION
FOR THE MANAGING PARTY AND THE SECOND PARTY SHOULD SIGN BELOW)

Attest $\qquad$
Business Address $\qquad$

| Corporate Name |  |  |
| :---: | :---: | :---: |
| By |  |  |
|  |  | Signature of Authorized Representative |
| (IF A JOINT VENTURE) |  | Typed or printed name and title of Authorized Representative |
|  | Attest |  |
|  |  | Signature |
|  | Business Address |  |
| If more than two parties are in the joint venture, please attach an additional signature sheet. |  |  |

Division of Highways<br>Proposal Bid Bond<br>(Effective November 1, 1992)

Illinois Department of Transportation

Item No.
Letting Date

KNOW ALL MEN BY THESE PRESENTS, That We

[^0]held jointly, severally and firmly bound unto the STATE OF ILLINOIS in the penal sum of 5 percent of the total bid price, or for the amount specified in Article 102.09 of the "Standard Specifications for Road and Bridge Construction" in effect on the date of invitation for bids, whichever is the lesser sum, well and truly to be paid unto said STATE OF ILLINOIS, for the payment of which we bind ourselves, our heirs, executors, administrators, successors and assigns.

THE CONDITION OF THE FOREGOING OBLIGATION IS SUCH, That Whereas, the PRINCIPAL has submitted a bid proposal to the STATE OF ILLINOIS, acting through the Department of Transportation, for the improvement designated by the Transportation Bulletin Item Number and Letting Date indicated above.

NOW, THEREFORE, if the Department shall accept the bid proposal of the PRINCIPAL; and if the PRINCIPAL shall, within the time and as specified in the bidding and contract documents, submit a DBE Utilization Plan that is accepted and approved by the Department; and if, after award by the Department, the PRINCIPAL shall enter into a contract in accordance with the terms of the bidding and contract documents including evidence of the required insurance coverages and providing such bond as specified with good and sufficient surety for the faithful performance of such contract and for the prompt payment of labor and material furnished in the prosecution thereof; or if, in the event of the failure of the PRINCIPAL to make the required DBE submission or to enter into such contract and to give the specified bond, the PRINCIPAL pays to the Department the difference not to exceed the penalty hereof between the amount specified in the bid proposal and such larger amount for which the Department may contract with another party to perform the work covered by said bid proposal, then this obligation shall be null and void, otherwise, it shall remain in full force and effect.

IN THE EVENT the Department determines the PRINCIPAL has failed to comply with any requirement as set forth in the preceding paragraph, then Surety shall pay the penal sum to the Department within fifteen (15) days of written demand therefor. If Surety does not make full payment within such period of time, the Department may bring an action to collect the amount owed. Surety is liable to the Department for all its expenses, including attorney's fees, incurred in any litigation in which it prevails either in whole or in part.

In TESTIMONY WHEREOF, the said PRINCIPAL and the said SURETY have caused this instrument to be signed by their respective officers this $\qquad$ day of $\qquad$ A.D., $\qquad$ -

## PRINCIPAL

SURETY
(Company Name)
$\mathrm{By}: \quad$ (Signature \& Title)
(Company Name)
(Sigature \& Title)
By: $\qquad$
Notary Certification for Principal and Surety
STATE OF ILLINOIS,
COUNTY OF $\qquad$
I, $\qquad$ , a Notary Public in and for said County, do hereby certify that and $\qquad$
(Insert names of individuals signing on behalf of PRINCIPAL \& SURETY)
who are each personally known to me to be the same persons whose names are subscribed to the foregoing instrument on behalf of PRINCIPAL and SURETY, appeared before me this day in person and acknowledged respectively, that they signed and delivered said instrument as their free and voluntary act for the uses and purposes therein set forth.

Given under my hand and notarial seal this $\qquad$ day of $\qquad$ A.D. $\qquad$

My commission expires $\qquad$
Notary Public

In lieu of completing the above section of the Proposal Bid Form, the Principal may file an Electronic Bid Bond. By signing below the Principal is ensuring the identified electronic bid bond has been executed and the Principal and Surety are firmly bound unto the State of Illinois under the conditions of the bid bond as shown above.

## PROPOSAL ENVELOPE

## PROPOSALS

for construction work advertised for bids by the Illinois Department of Transportation

| Item No. | Item No. | Item No. |
| :---: | :---: | :---: |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

Submitted By:

| Name: |
| :--- |
| Address: |
|  |
|  |
| Phone No. |

Bidders should use an IDOT proposal envelope or affix this form to the front of a $10^{\prime \prime} \times 13^{\prime \prime}$ envelope for the submittal of bids. If proposals are mailed, they should be enclosed in a second or outer envelope addressed to:

Engineer of Design and Environment - Room 326
Illinois Department of Transportation
2300 South Dirksen Parkway
Springfield, Illinois 62764

## NOTICE

Individual bids, including Bid Bond and/or supplemental information if required, should be securely stapled.

# CONTRACTOR OFFICE COPY OF CONTRACT SPECIFICATIONS 

## NOTICE

None of the following material needs to be returned with the bid package unless the special provisions require documentation and/or other information to be submitted.

Contract No. 74287
CUMBERLAND County
Section D-7 PAVT PRSV 2008-1
Route FAP 828
District 7 Construction Funds

## NOTICE TO BIDDERS

1. TIME AND PLACE OF OPENING BIDS. Sealed proposals for the improvement described herein will be received by the Department of Transportation at the Harry R. Hanley Building, 2300 South Dirksen Parkway, in Springfield, Illinois until 10:00 o'clock a.m., January 18, 2008. All bids will be gathered, sorted, publicly opened and read in the auditorium at the Department of Transportation's Harry R. Hanley Building shortly after the 10:00 a.m. cut off time.
2. DESCRIPTION OF WORK. The proposed improvement is identified and advertised for bids in the Invitation for Bids as:

Contract No. 74287
CUMBERLAND County
Section D-7 PAVT PRSV 2008-1
Route FAP 828
District 7 Construction Funds

### 5.60 miles of Cape Seal pavement preservation treatment along the Montrose Blacktop.

3. INSTRUCTIONS TO BIDDERS. (a) This Notice, the invitation for bids, proposal and letter of award shall, together with all other documents in accordance with Article 101.09 of the Standard Specifications for Road and Bridge Construction, become part of the contract. Bidders are cautioned to read and examine carefully all documents, to make all required inspections, and to inquire or seek explanation of the same prior to submission of a bid.
(b) State law, and, if the work is to be paid wholly or in part with Federal-aid funds, Federal law requires the bidder to make various certifications as a part of the proposal and contract. By execution and submission of the proposal, the bidder makes the certification contained therein. A false or fraudulent certification shall, in addition to all other remedies provided by law, be a breach of contract and may result in termination of the contract.
4. AWARD CRITERIA AND REJECTION OF BIDS. This contract will be awarded to the lowest responsive and responsible bidder considering conformity with the terms and conditions established by the Department in the rules, Invitation for Bids and contract documents. The issuance of plans and proposal forms for bidding based upon a prequalification rating shall not be the sole determinant of responsibility. The Department reserves the right to determine responsibility at the time of award, to reject any or all proposals, to readvertise the proposed improvement, and to waive technicalities.

By Order of the
Illinois Department of Transportation
Milton R. Sees, Secretary

Adopted January 1, 2008
This index contains a listing of SUPPLEMENTAL SPECIFICATIONS and frequently used RECURRING SPECIAL PROVISIONS.

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## STATE OF ILLINOIS

## SPECIAL PROVISIONS

The following Special Provisions supplement the "Standard Specifications for Road and Bridge Construction," adopted January 1, 2007, the latest edition of the "Manual on Uniform Traffic Control Devices for Streets and Highways," and the "Manual of Test Procedures for Materials" in effect on the date of invitation for bids, and the Supplemental Specifications and Recurring Special Provisions indicated on the Check Sheet included herein which apply to and govern the construction of FAP Route 828, D-7 Pavt. Prsv. 2008-1 in Cumberland County and in case of conflict with any part or parts of said Specifications, the said Special Provisions shall take precedence and shall govern.

## LOCATION OF PROJECT

The work on this project is located north of Montrose in Cumberland County on FAP Route 828 from Spring Point Creek to Township Road 600N.

## DESCRIPTION OF PROJECT

The work on this project consists of Cape Seal of 5.6 miles of 24 ' wide pavement.

## TRAFFIC CONTROL PLAN

Traffic Control shall be in accordance with the applicable sections of the Standard Specifications for Road and Bridge Construction, the applicable guidelines contained in the Illinois Manual on Uniform Traffic Control Devices for Streets and Highways, these Special Provisions, and any special details and Highway Standards contained herein and in the plans.

Special attention is called to Articles 107.09, 107.14 and 107.15 of the Standard Specifications for Road and Bridge Construction, the following Highway Standards and Recurring Special Provisions relating to traffic control:

Highway Standards:
701301701306701311701901
Standard 701301: This standard shall be used for short-time work operations.
Standard 701306: This standard shall be used for slow-moving operations requiring a lane closure.

Standard 701311: This standard shall be used for pavement marking.

In addition to the above traffic control, the Contractor shall provide traffic control for the roads intersecting the state highway within the limits of the project during the curing period of the micro-surfacing. This traffic control shall consist of Type III barricades placed at appropriate locations at the intersection with the State highway and another location in advance of the State highway. The Type III barricade in advance of the State highway shall have a sign attached with the message "ROAD CLOSED LOCAL TRAFFIC ONLY". The Contractor shall also post the roadway with "LOOSE GRAVEL" and "SPEED LIMIT 35" signs in accordance with applicable articles of Division 700 of the Standard Specifications. These signs shall be placed at the start of the work and throughout the section at a 0.5 mile spacing. The signs may be removed after completion of the sweeping operation. This work will not be paid for separately, but shall be included in the bid price for the other items of work.

## CAPE SEAL (BMPR)

Effective: June 24, 2004
Revised: January 1, 2007
Description. This work shall consist of placing a single surface treatment (A-1) followed by a latex modified asphalt pavement course to provide a wearing course for existing hot-mix asphalt pavements.

Materials. Materials shall conform to the following Articles of Division 1000 - Materials.
(a) A-1 Surface Treatment. The materials for the A-1 surface treatment shall be according to the following.
(1) Seal Coat Aggregate. Aggregate used for this purpose shall be crushed stone, wet bottom boiler slag, crushed sandstone, crushed gravel, crushed steel slag, or crushed air-cooled blast furnace (ACBF) slag. When used as a surface course, the aggregate shall conform to the friction requirements of the Department's "WetPavement Crash Reduction Program". The aggregate shall be either coarse or fine aggregate according to the following.
a. Coarse Aggregate. The coarse aggregate shall meet the Class C Quality requirements from Article 1004.01(b), and shall have no more than 25 percent chert by weight (mass). The gradation shall be specified on the plans as either CA 20 as shown in Table 1, or CA 15 or CA 16 conforming to Article 1004.01(c).

TABLE 1.

| SIEVE SIZE | CA 20 <br> \% PASSING |
| :---: | :---: |
| $1 / 2 \mathrm{in} .(12.5 \mathrm{~mm})$ | 100 |
| $3 / 8 \mathrm{in} .(9.5 \mathrm{~mm})$ | $84-100$ |
| $\# 4(4.75 \mathrm{~mm})$ | $10-30$ |
| $\# 8(2.36 \mathrm{~mm})$ | $0-10$ |
| $\# 16(1.18 \mathrm{~mm})$ | $0-6$ |

b. Fine Aggregate. The fine aggregate shall be Class C Quality aggregate other than limestone and dolomite meeting the requirements of Section 1003. The gradation shall be specified on the plans as either FA 1 (Special) or FA 4 (Special) as shown in Table 2.

TABLE 2.

| SIEVE SIZE | FA 1 (SPECIAL) <br> \% PASSING | FA 4 (SPECIAL) <br> \% PASSING |
| :---: | :---: | :---: |
| $3 / 8 \mathrm{in} .(9.5 \mathrm{~mm})$ | 100 | 100 |
| $\# 4(4.75 \mathrm{~mm})$ | $80-100$ |  |
| $\# 8(2.36 \mathrm{~mm})$ | $45-80$ | $0-4$ |
| $\# 16(1.18 \mathrm{~mm})$ | $25-40$ |  |
| $\# 40(425 \mathrm{um})$ | $0-15$ | $0-2.5$ |
| $\# 200(75 \mathrm{um})$ | $0-2.5$ |  |

(2) Bituminous Materials. The bituminous material shall be a polymer modified emulsified asphalt, CRSP or HFP conforming to the requirements in Article 1032.06(f)(2).
(b) Micro-Surfacing. The materials for the micro-surfacing shall be according to the following.
(1) Water. The water shall conform to the requirements of Section 1002.
(2) Fine Aggregate. The fine aggregate shall conform to the requirements of Article 1003.01, except the following.

The aggregate shall be 100 percent crushed material and shall be crushed stone, crushed sandstone, crushed steel slag, crushed air-cooled blast furnace slag, or crushed gravel, Class B quality meeting the gradation limits in Table 3 below. When used as a surface course, the aggregate shall conform to the friction requirements of the Department's "Wet-Pavement Crash Reduction Program".

To assure the material is totally crushed, 100 percent of the parent aggregate shall be larger than the largest stone in the gradation to be used.

TABLE 3.

| SIEVE SIZE | TYPE II \% PASSING | TYPE III \% PASSING* | STOCKPILE <br> TOLERANCE |
| :--- | :---: | :---: | :---: |
| $3 / 8 \mathrm{in} .(9.5 \mathrm{~mm})$ | 100 | 100 |  |
| $\# 4(4.75 \mathrm{~mm})$ | $90-100$ | $70-90$ | $\pm 5 \%$ |
| $\# 8(2.36 \mathrm{~mm})$ | $65-90$ | $45-70$ | $\pm 5 \%$ |
| $\# 16(1.18 \mathrm{~mm})$ | $45-70$ | $28-50$ | $\pm 5 \%$ |
| $\# 30(600 \mu \mathrm{~m})$ | $30-50$ | $19-34$ | $\pm 5 \%$ |
| $\# 50(330 \mu \mathrm{~m})$ | $18-30$ | $12-25$ | $\pm 4 \%$ |
| $\# 100(150 \mu \mathrm{~m})$ | $10-21$ | $7-18$ | $\pm 3 \%$ |
| $\# 200(75 \mu \mathrm{~m})$ | $5-15$ | $5-15$ | $\pm 2 \%$ |

* The Type III gradation shall only be used for rut filling. All surface mixes shall be constructed using a Type II gradation.

The blending, alternate use, and /or substitutions of aggregates from different sources for use in this work will not be permitted without the approval of the Engineer. Any blending shall be by interlocked mechanical feeders. The blending shall be uniform, and the equipment shall be approved by the Engineer.

If blending aggregates, the blend shall have a washed gradation performed every other day or a minimum of three tests per week. Testing shall be completed before the aggregate receives final acceptance for use in the mix. All gradation tests shall be conducted according to AGCS.

Once the JMF is established and accepted, the stockpile tolerances shown in the table above shall be used for control of the percentages indicated in the JMF.

Aggregates shall be screened at the stockpile prior to delivery to the paving machine to remove oversized material or contaminants.
(3) Mineral Filler. The mineral filler shall be Type 1 portland cement conforming to the requirements of Section 1001.
(4) Latex Modified Emulsified Asphalt. The emulsified asphalt shall be a quick-traffic, latex modified asphalt emulsion conforming to the requirements specified in AASHTO M 208 or ASTM D 2397 for CSS-1h, plus the following.

| AASHTO TEST <br> NO. | ASTM TEST NO. | QUALITY | SPECIFICATION |
| :--- | :--- | :--- | :--- |
| AASHTO T 59 ${ }^{1 /}$ | ASTM D 244 | Residue after <br> Distillation | $62 \%$ Minimum |

1/ The distillation temperature shall be $347 \pm 9^{\circ} \mathrm{F}\left(175 \pm 5^{\circ} \mathrm{C}\right)$.

| AASHTO <br> TEST NO. | ASTM <br> TEST NO. | TESTS <br> RESIDUE | SPECIFICATION |
| :--- | :--- | :--- | :--- |
| AASHTO T 53 | ASTM D 36 | Softening Point | $135^{\circ} \mathrm{F}\left(57^{\circ} \mathrm{C}\right)$ Min. |
| AASHTO T 49 | ASTM D 5 | Penetration at <br> $77^{\circ} \mathrm{F}\left(25^{\circ} \mathrm{C}\right)$ | $40-90 \mathrm{dmm}{ }^{2 /}$ |
| AASHTO T 201 | ASTM D 2170 | Kinematic Viscosity <br> at $275^{\circ} \mathrm{F}\left(135^{\circ} \mathrm{C}\right)$ | 650 cSt Min. |

2/ Climatic conditions should be considered when establishing this range.
The cement mixing test will be waived for this emulsion.

The latex shall be milled or blended into the emulsifier solution prior to the emulsification process.
(5) Additives. Additives may be added to the emulsion mix or any of the component materials to provide the control of the quick-traffic properties. They shall be included as part of the mix design and be compatible with the other components of the mix.
(c) Bituminous Material (Crack Filler). The bituminous material for crack filler shall be a fiber-modified asphalt binder meeting one of the following.
(1) Jobsite-Mixed Filler. Fiber-modified asphalt crack filler mixed at the jobsite shall contain the following materials and be proportioned according to the following requirements.
a. Asphalt Binder. The asphalt binder shall be PG 58-28, PG 58-22, or PG 64-22.
b. Fibers. Fibers shall be short cut polypropylene fibers meeting the properties listed below. The fiber may be accepted on certification from the manufacturer that it meets the specified requirements.

| Property | Value |
| :--- | :--- |
| Length, in. (mm) | $0.3-0.5(8-12)$ |
| Denier | $13-16$ |
| Crimps | None |
| Tensile Strength, Minimum, psi (MPa) | $40,000(275)$ |
| Specific Gravity (typical) | 0.91 |
| Moisture Regain @ $\left.70{ }^{\circ} \mathrm{F} \mathrm{(21}{ }^{\circ} \mathrm{C}\right)$ and $65 \%$ <br> RH (typical), $\%$ | 0.1 |

c. Percent Fibers. The fiber-asphalt mixture shall contain of a minimum of $8.0 \%$ by weight of fibers.
d. Heating Temperature. The fiber-asphalt filler shall be heated in the kettle at temperatures between 255 and $285{ }^{\circ} \mathrm{F}\left(124\right.$ and $\left.141^{\circ} \mathrm{C}\right)$. The temperature shall never exceed $290^{\circ} \mathrm{F}\left(143{ }^{\circ} \mathrm{C}\right)$.
(2) Pre-Mixed Filler. Fiber-modified asphalt crack filler that is pre-mixed and packaged shall consist of fibers, asphalt binder, and other modifiers. The filler and its components shall be accepted on certification from the manufacturer that it meets the following requirements.
a. Asphalt Binder. The asphalt binder shall be PG 64-22.
b. Fibers. Fibers shall be short cut polyester fibers meeting the properties listed below.

| Property | Value |
| :--- | :--- |
| Length, in. $(\mathrm{mm})$ | $0.25 \pm 0.2(6.25 \pm 0.005)$ |
| Denier | $3-6$ |
| Crimps | None |
| Tensile Strength, Minimum, psi (MPa) | $70,000(482)$ |
| Specific Gravity (typical) | $1.32-1.40$ |
| Elongation at Break, $\%$ | $35-38$ |
| Melt Temperature, ${ }^{\circ} \mathrm{F}\left({ }^{\circ} \mathrm{C}\right)$ | $475-490(246-254)$ |

c. Percent Fibers. The fiber-asphalt mixture shall contain $5.0 \pm 0.5 \%$ by weight of fibers.

The crack filler, in its final form, shall meet the following requirements when sampled and heated to the manufacturer's recommended maximum heating temperature according to ASTM D 5167.

| Test | Value |
| :--- | :--- |
| Cone Penetration @ $77^{\circ} \mathrm{F}\left(25^{\circ} \mathrm{C}\right)$, <br> ASTM D 5329 | $10-35 \mathrm{dmm}$ |
| Softening Point, ASTM D 36 | $175^{\circ} \mathrm{F}\left(79^{\circ} \mathrm{C}\right)$ min. |
| Maximum Heating Temperature | $400^{\circ} \mathrm{F}\left(204^{\circ} \mathrm{C}\right)$ |
| Application Temperature | $350^{\circ} \mathrm{F}\left(177^{\circ} \mathrm{C}\right)$ min. |

Equipment. Equipment shall be according to the following.
(a) Self-Propelled Pneumatic-Tired Roller. The pneumatic-tired roller shall conform to the requirements of Article 1101.01. There shall be a minimum of two rollers, with the final number of rollers determined by the rollers' abilities to maintain proper spacing with the aggregate spreader as directed by the Engineer.
(b) Mechanical Sweepers. The mechanical sweeper shall conform to the requirements of Article 1101.03, except the following.

The mechanical sweeper shall be power driven and self-propelled with the broom located between the axles. The mechanical sweeper shall not use a cantilever-mounted broom and the broom rotation shall not be operated by forward movement.
(c) Spreader. The aggregate spreader shall conform to the requirements of Article 1102.04, except the following.

The aggregate spreader shall be a self-propelled mechanical type with the receiving hopper in the rear and shall pull the aggregate truck. The spreader shall be fitted with a computerized system which provides positive interconnected control of the aggregate flow with the forward speed of the spreader. The computerized system shall provide
uniform and consistent aggregate application at the rate specified. The contractor shall provide a description of the spreader interconnected computer control system to the Engineer for approval prior to use.

The Engineer will check the spread roll of the aggregate spreader for straightness each day before operations begin. Should the surface of the spread roll vary off a straight line along its longitudinal dimension by more than $1 / 16 \mathrm{in}$. ( 1.5 mm ), the Engineer will inspect the application of aggregate for corrugations and, should these occur, the machine shall be repaired or replaced. The forward speed of the spreader during calibration shall be the same as is to be used during construction. The equipment required for aggregate spreader calibration may consist of several sheets of canvas, each being exactly 1 sq yd ( 0.8 sq m ), and a weight scale. By making several runs at different gate openings over the sheets of canvas, placed to cover the full width applied by the spreader, and carefully measuring the aggregate on each canvas sheet, the gate opening at the preestablished speed required to apply aggregate at the specified rate may be determined.
(d) Heating Equipment. The heating equipment shall conform to the requirements of Article 1102.07.
(e) Pressure Distributor. The pressure distributor shall conform to the requirements Article 1102.05, except the following.

The pressure distributor shall have a minimum capacity of $3000 \mathrm{gal}(11,500 \mathrm{~L})$. The application rate control shall be computerized and use a radar ground-sensing device that controls the application rate regardless of ground speed or spray bar width. The computer shall have the capability of recording application rate, gallons sprayed, square yards and feet traveled. The pressure distributor shall be capable of maintaining the asphalt emulsion at the specified temperature. The spray bar nozzles shall produce a uniform triple lap application fan spray, and the shutoff shall be instantaneous, with no dripping. The pressure distributor shall be capable of maintaining the specified application rate within $\pm 0.015 \mathrm{gal} / \mathrm{sq}$ yd ( $\pm 0.070 \mathrm{~L} / \mathrm{sq} \mathrm{m}$ ) for each load. The spray-bar nozzles shall be turned to make the same angle with the longitudinal axis of the spray bar as recommended by the manufacturer unless otherwise directed by the Engineer. Each nozzle shall also be replaced in the presence of the Engineer at the start of each project unless otherwise directed by the Engineer.

Application rates shall be determined by the procedures listed in ASTM D 2995, except the sample may be taken on three 8 in . x 12 in . $(200 \times 300 \mathrm{~mm})$ metal plates rather than on the larger plates as specified in the ASTM method. The three plates shall be positioned as directed by the Engineer.
(f) Micro-Surfacing Mixing Machine. The machine shall be specifically designed and manufactured to place micro-surfacing. The material shall be mixed by an automaticsequenced, self-propelled micro-surfacing mixing machine, which shall be a continuousflow mixing unit able to accurately deliver and proportion the aggregate, emulsified asphalt, mineral filler, control setting additive, and water to a revolving multi-blade, double-shafted mixer and to discharge the mixed product on a continuous-flow basis.

The machine shall have sufficient storage capacity for aggregate, emulsified asphalt, mineral filler, control additive and water to maintain an adequate supply to the proportioning controls.

The machine shall be either a continuous (self-loading) machine or a non-continuous (self-contained) machine depending on the size of the project as described below.

Machines that are the continuous (self-loading) type shall be capable of loading materials while continuing to place micro-surfacing, thereby minimizing construction joints. The self-loading machine shall be equipped to allow the operator to have full control of the forward and reverse speeds during applications of the micro-surfacing material and be equipped with opposite-side driver stations to assist in alignment. The self-loading device, opposite-side driver stations, and forward and reverse speed controls shall be original equipment manufacturer design.

Non-continuous (self-contained) machines will be allowed on projects with a length of 2 lane-mi (3.2 lane-km) or less. For mainline paving, the Contractor shall have at least three self-contained machines in continuous operation to ensure appropriate production rates. In all other instances, self-contained machines will be allowed on shoulders, ramps, where the micro-surfacing can be placed in a single loading capacity of the machine and for short applications such as bridge decks with the approval of the Engineer.

Each mixing unit to be used in the performance of the work shall be calibrated in the presence of the Engineer prior to construction. Each new or different aggregate requires a new calibration. Previous calibration documentation covering the exact materials to be used may be acceptable, provided that no more than 30 days have lapsed. The documentation shall include an individual calibration of each material at various settings, which can be related to the machine metering devices. Prior to the calibration process, portable scales used to calibrate the mixing machine for emulsion and aggregate shall be checked with 25 lb and 50 lb weights, respectively. Results from the standard weight checks shall be furnished to the Engineer. No machine will be allowed to work on the project until the calibration has been completed and/or accepted.
(g) Micro-Surfacing Spreader. The mixture shall be agitated and spread uniformly in the surfacing box by means of twinshafted paddles or spiral augers fixed in the spreader box. A front seal shall be provided to insure no loss of the mixture at the road contact point. The rear seal shall act as a final strike-off and shall be adjustable. The spreader box and rear strike-off shall be so designed and operated that a uniform consistency is achieved to produce a free flow of material to the rear strike-off. The spreader box shall have suitable means provided to side shift the box to compensate for variations in the pavement geometry.

A secondary strike-off shall be provided to improve surface texture on the surface course. The secondary strike-off shall have the same adjustments as the spreader box and shall not bounce, wobble, or chatter.

When required on the plans, before the final surface course is placed, preliminary microsurfacing material may be required to fill ruts, utility cuts, depressions in the existing surface, etc. Ruts of 0.5 in . ( 13 mm ) or greater in depth shall be filled independently with a rut-filling spreader box, either 5 or $6 \mathrm{ft}(1.5$ or 1.8 m$)$ in width. For irregular or shallow rutting of less than 0.5 in . $(13 \mathrm{~mm})$ in depth, a full-width scratch-coat pass may be used as directed by the Engineer utilizing either a stiff primary rubber or else a metal primary strike off. Ruts that are in excess of 1.5 in . ( 38 mm ) in depth may require multiple placements with the rut-filling spreader box to restore the cross-section. All rut-filling level-up material should cure under traffic for a minimum of 24 hours before additional material is placed on top of the level up.
(h) Micro-Surfacing Proportioning Devices. Individual volume or weight controls for proportioning each material to be added to the mix (i.e. aggregate, mineral filler, emulsified asphalt, additive, and water) shall be provided and properly marked. These proportioning devices are used in material calibration and determining the material output at any time. Calibration records, conversion formulas, and daily run sheets including the beginning and final numbers shown on the proportioning devices shall be submitted to the Engineer for approval. During production any deviations from the original JMF shall be approved by the Engineer.
(i) Air Compressor. The air compressor shall be capable of producing a minimum pressure of $90 \mathrm{psi}(620 \mathrm{kPa})$ at the end of the discharge hose. The air stream shall discharge onto the pavement through an appropriate air lance.
(j) Oil Kettle. The crack filler shall be heated in an oil jacketed double wall kettle equipped with an agitator (reversing rotary auger action) and separate thermometers for the oil bath and mixing chamber. The unit shall also be equipped with a reversible hydraulic 2-in. ( $50-\mathrm{mm}$ ) hot asphalt pump and a recirculating pump to circulate the oil bath. The kettle shall be capable of operating at temperatures between 248 and $293{ }^{\circ} \mathrm{F}$ ( 120 and $145{ }^{\circ} \mathrm{C}$ ).

## CONSTRUCTION REQUIREMENTS

Weather Limitations. Placement of the A-1 surface treatment shall be done between May 1 and August 31, with the micro-surfacing being placed according to the timeframe specified herein. Bituminous materials shall be applied only when the temperature of the air in the shade is above $55^{\circ} \mathrm{F}\left(13^{\circ} \mathrm{C}\right)$ No work shall be started if local conditions indicate that rain is imminent.

This work may be done between September 1 and September 15 provided both of the following conditions are met:
(a) The temperature of the air in the shade is above $70^{\circ} \mathrm{F}\left(20^{\circ} \mathrm{C}\right)$ and the temperature of the surface to which the asphalt will be applied is $70^{\circ} \mathrm{F}\left(20^{\circ} \mathrm{C}\right)$ or above, and
(b) The National Weather Service forecast for the area does not show any rain or any temperatures below $55^{\circ} \mathrm{F}\left(13^{\circ} \mathrm{C}\right)$ for the day the work is to be done or for the following five days.

Sequence of Work. The sequence of construction operations shall be undertaken as follows.
(a) Repair and preparation of base or existing surface.
(b) Applications of bituminous material and aggregate.
(c) Application of micro-surfacing.

Proportioning. A Contractor provided laboratory shall develop the Job Mix Formula (JMF) for the micro-surfacing mixture, shall verify the functioning of the set regulating additives, and shall present certified test results for the Engineer's approval. This laboratory shall be recognized by the International Slurry Surfacing Association as being capable of performing mix designs. The Engineer will verify the tests required in ISSA A143 have been conducted.

Proportions for the JMF shall be within the following limits.

| Mineral Aggregate, <br> dry weight (mass) Ib/sq yd (kg/sq m) | $15-50$ (8-30) |
| :--- | :--- |
| Latex Emulsified Asphalt Residue, <br> \% by wt. of Aggregate | $5.5-10.5$ |
| Latex Base Modifier | As required with \% by weight <br> (mass) of binder min. of 3.0 |
| Mix Set Additive | As required |
| Mineral Filler, <br> \% by weight (mass) of Aggregate | $0.25-3$ depending on weather <br> conditions |

The amount of mineral filler needed shall be determined by a laboratory mix design and will be considered as part of the aggregate gradation. An increase or decrease of less than 1.0 percent may be permitted when the micro-surfacing is being placed if it is found to be necessary for better consistency or set times.

The minimum amount and type of latex shall be determined by the laboratory performing the mix design. The minimum amount required will be based on asphalt weight content and will be certified by the emulsion supplier.

The Engineer shall approve the JMF prior to its use. After approval, the Contractor shall maintain continuous control of the latex modified emulsified asphalt to dry aggregate proportioning to conform to the approved JMF within a tolerance of $\pm 2 \mathrm{gal} / \mathrm{ton}$ ( $\pm 8 \mathrm{~L} / \mathrm{metric}$ ton).

For the aggregate blend in the JMF, test results for AASHTO T 176 shall be provided with the mix information to the Engineer. Aggregate test values below 65 shall require review and approval from the Engineer.

Micro-Surfacing Mix Design. Before the work commences, the Contractor shall submit to the Engineer a complete mix design for the micro-surfacing covering the specific materials to be used on the project. After the mix design has been approved, no substitutions will be permitted, unless approved by the Engineer. Compatibility of the aggregate, latex-modified emulsified asphalt, mineral filler, and other additives shall be verified by the mix design.

The materials shall meet the following requirements for ISSA A143.

| ISSA TEST NO. | DESCRIPTION | SPECIFICATION |
| :---: | :---: | :---: |
| ISSA TB-139 | Wet Cohesion <br> @ 30 Minutes Minimum (Set) <br> @ 60 Minutes Minimum (Traffic) | $12 \mathrm{~kg}-\mathrm{cm}$ Minimum <br> $20 \mathrm{~kg}-\mathrm{cm}$ Min. or Near Spin |
| ISSA TB-109 | Excess Asphalt by LWT Sand Adhesion | $50 \mathrm{gm} / \mathrm{sq} \mathrm{ft} \mathrm{(538} \mathrm{gm/sq} \mathrm{m)} \mathrm{Maximum}$ |
| ISSA TB-114 | Wet Stripping | Pass (90\% Minimum) |
| ISSA TB-100 | Wet-Track Abrasion Loss One-hour Soak Six-day Soak | $50 \mathrm{gm} / \mathrm{sq} \mathrm{ft}(538 \mathrm{gm} / \mathrm{sq} \mathrm{m})$ Maximum $75 \mathrm{gm} / \mathrm{sq} \mathrm{ft}(807 \mathrm{gm} / \mathrm{sq} \mathrm{m})$ Maximum |
| ISSA TB-147 | Lateral Displacement | 5\% Maximum |
|  | Specific Gravity after 1,000 Cycles of $25 \mathrm{lb}(11.34 \mathrm{~kg})$ | 2.10 Maximum |
| ISSA TB-144 | Classification Compatibility | 11 Grade Points Minimum (AAA, BAA) |
| ISSA TB-113 | Mix Time @ $25^{\circ} \mathrm{C}\left(77^{\circ} \mathrm{F}\right)$ | Controllable to 120 Seconds Minimum |

The mixing test and set-time test shall be checked at the highest temperatures expected during construction.

The mix design shall report the quantitative effects of moisture content on the unit weight of the aggregate (bulking effect). The report shall clearly show the proportions of aggregate, mineral filler (minimum and maximum), water (minimum and maximum), additive usage, and latexmodified asphalt emulsion based on the dry weight of the aggregate.

The percentages of each individual material required shall be shown in the laboratory report. Adjustments may be required during construction, based on field conditions. The Engineer will give final approval for all such adjustments.

Micro-Surfacing Test Strip. The Engineer has the option to waive the test strip based on past experience of the mix. For projects over $100,000 \mathrm{sq}$ yd ( $83,600 \mathrm{sq} \mathrm{m}$ ) at least seven days prior to starting the project the Contractor shall submit the mix design to the Engineer for evaluation and shall designate a mutually agreeable location and apply a test strip of micro-surfacing using the aggregate indicated in the mix design. The Engineer shall evaluate the micro-surfacing performance no sooner than 12 hours after application and approval will be required prior to initiation of the project.

Surface Preparation. Preparation of the pavement shall be completed prior to the application of the A-1 surface treatment.

Thermoplastic pavement markings shall be removed by grinding or another method approved by the Engineer. Only very small particles of tightly adhering existing markings may remain in place.

All bumps greater than or equal to 0.5 in . ( 13 mm ) shall be removed by grinding. The Contractor shall determine bump grinding locations in the presence of the Engineer by using a $16-\mathrm{ft}(5-\mathrm{m})$ straightedge with the scratcher bolts set to 0.5 in . ( 13 mm ). All locations marked by the scratcher bolts shall be ground using either a grinding machine consisting of multiple saws or a roto-milling machine at no additional cost to the department. The final surface condition shall be acceptable to the Engineer.

The fiber-asphalt filler shall be applied only when the joints and cracks are dry and free of dirt, vegetation, debris and loose filler. All joints and cracks to be filled shall be blown clean with an air compressor equipped with a lance using compressed air. The cleaning operations shall be kept close to the filling operations to prevent debris being carried back into the joints and cracks before filling.

The fiber-asphalt filler shall be applied using a pressurized wand delivery system with such devices as necessary to fill the cracks and form a nominal 0.125 in . ( 3 mm ) thick by 3 in . ( 75 mm ) wide overseal band centered so that the center of the 3 in . 75 mm ) wide band is within 1 in . ( 25 mm ) of the crack. The fiber-asphalt shall be applied taking care to not use excessive material in either thickness or location. The Engineer will determine the extent that fine cracks are filled. Care shall be taken to not place filler on top of pavement markings, manholes and drainage castings.

The ambient temperature during filling shall be above $40{ }^{\circ} \mathrm{F}\left(4^{\circ} \mathrm{C}\right)$ and below $85{ }^{\circ} \mathrm{F}\left(29{ }^{\circ} \mathrm{C}\right)$. The filler must cure before being opened to traffic. In order to more quickly open the road to traffic, the Contractor may use fine sand, mineral filler, or portland cement to dust the filler at no additional cost to the department.

Prior to applying the A-1 surface treatment, the Contractor shall clean the pavement surface by removing vegetation, loose materials, dirt, mud and other objectionable materials.

Manholes, valve boxes, drop inlets, and other service entrances shall be protected from the micro-surfacing by a suitable method. The Engineer will approve the surface preparation prior to surfacing. No dry aggregate either spilled from the lay-down machine or existing on the road, will be permitted.

Application. The cape seal shall be applied as shown on the plans and the following.
(a) A-1 Surface Treatment. The bituminous material and aggregate shall be applied according to the following.
(1) Application Rates. Based upon the aggregate gradation to be used, the Contractor shall determine the application rates of bituminous material and seal coat aggregate. The application rates along with the seal coat gradations shall be submitted to the Engineer for approval prior to the start of work. Application rates shall be according to Table 4 for the aggregate type shown on the plans, and shall result in aggregate embedment between 50 and 85 percent. Changes in the application rate of greater than 15 percent shall be resubmitted to the Engineer for approval.

TABLE 4.

| Aggregate Type | Bituminous Material Rate | Aggregate Rate |
| :---: | :---: | :---: |
| CA 15 | $0.38-0.46 \mathrm{gal} / \mathrm{sq} \mathrm{yd}$ | $22-30 \mathrm{lb} / \mathrm{sq} \mathrm{yd}$ |
|  | $(1.7-2.1 \mathrm{~L} / \mathrm{sq} \mathrm{m})$ | $(12-16 \mathrm{~kg} / \mathrm{sq} \mathrm{m})$ |
| CA 16 | $0.36-0.40 \mathrm{gal} / \mathrm{sq} \mathrm{yd}$ | $18-26 \mathrm{lb} / \mathrm{sq} \mathrm{yd}$ |
|  | $(1.6-1.8 \mathrm{~L} / \mathrm{sq} \mathrm{m})$ | $(8-14 \mathrm{~kg} / \mathrm{sq} \mathrm{m})$ |
| CA 20 | $0.36-0.40 \mathrm{gal} / \mathrm{sq} \mathrm{yd}$ | $18-26 \mathrm{lb} / \mathrm{sq} \mathrm{yd}$ |
|  | $(1.6-1.8 \mathrm{~L} / \mathrm{sq} \mathrm{m})$ | $(8-14 \mathrm{~kg} / \mathrm{sq} \mathrm{m})$ |
| FA 1 (Special) | $0.26-0.30 \mathrm{gal} / \mathrm{sq}$ yd | $16-20 \mathrm{lb} / \mathrm{sq} \mathrm{yd}$ |
|  | $(1.2-1.4 \mathrm{~L} / \mathrm{sq} \mathrm{m})$ | $(9-11 \mathrm{~kg} / \mathrm{sq} \mathrm{m})$ |
| FA 4 (Special) | $0.28-0.36 \mathrm{gal} / \mathrm{sq}$ yd | $18-24 \mathrm{lb} / \mathrm{sq} \mathrm{yd}$ |
|  | $(1.3-1.6 \mathrm{~L} / \mathrm{sq} \mathrm{m})$ | $(10-13 \mathrm{~kg} / \mathrm{sq} \mathrm{m})$ |

(2) Preparation of Bituminous Material. The temperature of the bituminous material at the time of application shall be such that it will spray uniformly without clogging the spraying nozzles and shall be applied within the temperature ranges of $150-190^{\circ} \mathrm{F}$ ( $65-90^{\circ} \mathrm{C}$ ).
(3) Preparation of Aggregate. The aggregate shall be stockpiled near the jobsite according to Article 1003.01(e) or 1004.01(e) of the Standard Specifications. The aggregate used shall contain no free moisture. Slightly damp aggregate may be used with the approval of the Engineer.
(4) Application of Bituminous Material. The bituminous material shall be applied with a pressure distributor. The entire length of the spray bar shall be set at the height above the surface recommended by the manufacturer for even distribution of the bituminous material.

The distributor shall be operated in a manner such that missing or overlapping of transverse joints will be avoided. A hand spray bar shall be used at places which are not covered by the distributor. To prevent overlapping of successive applications of bituminous material at transverse joints, heavy paper shall be spread over the previously applied bituminous material and aggregates. In order to obtain a uniform application of the bituminous material, the distributor shall be traveling at the speed required for the specified rate of application when the spray bar crosses the paper.

Adjacent construction, such as concrete pavement, curb and gutter, bridge floors, raised reflective pavement markers, and bridge handrails, shall be protected by shields, covers or other means. If bituminous material is applied to adjacent construction either by accident or because of inadequate protection, the Contractor shall remove such material to the satisfaction of the Engineer.

If the Contractor is unable to obtain satisfactory application due to unsuitable or poorly regulated distributing equipment, or to incompetent operators, the Contractor shall immediately replace or repair such equipment, or furnish competent operators.

The emulsified asphalt shall not be applied when the wind conditions will inhibit uniform coverage from the fans of asphalt being applied.

When treating one-half of the pavement width at a time, an inside strip of uncovered emulsified asphalt 3 in . $(75 \mathrm{~mm}$ ) wide shall be left during construction of the first half to provide center joint overlap when the second half of the treatment is placed.
(5) Application of Aggregates. The seal coat aggregates shall be spread evenly with an aggregate spreader over the entire surface being treated. In all cases, the aggregate shall be applied ahead of the truck or spreader wheels. Hand spreading will be permitted only when approved by the Engineer and, when so permitted, the aggregate shall be spread uniformly and at the approximate rate specified. Any ridges of aggregate left by the aggregate spreader shall be smoothed out with hand brooms immediately behind the aggregate spreader.

All equipment involved in the chip sealing shall operate as close to each other as practical. The aggregate shall cover the asphalt emulsion within 90 seconds of applications. At no time shall the aggregate spreader trail the pressure distributor by more than 150 feet ( 45 m ) to ensure proper asphalt/aggregate adhesion.

Each aggregate truck shall be equipped with a suitable hitch for connection to the aggregate spreader while unloading. The trucks shall be designated to avoid contact between the truck body or bed and the aggregate spreader. The body or bed of the truck shall be modified, if necessary, to empty cleanly and completely into the receiving hopper of the aggregate spreader. No aggregate shall be allowed to spill onto the road surface when the truck is emptying into this hopper.

The aggregate will be rolled following spreading. A maximum time of five minutes will be allowed between the spreading of aggregate and completion of the initial rolling of the aggregate. The rollers will proceed in a longitudinal direction at a speed less than or equal to $5 \mathrm{mph}(8 \mathrm{~km} / \mathrm{h})$. Each roller will travel over the aggregate a minimum of two times. The entire surface shall be rolled immediately with a selfpropelled pneumatic-tired roller. Rolling shall proceed in a longitudinal direction beginning at the edges and progressing toward the center, overlapping on successive trips by at least $1 / 2$ the width of the roller. The aggregate shall then be rolled with a separate pneumatic-tired roller until the aggregate is properly seated in the bituminous material.

The Contractor shall use the appropriate sweeping equipment to perform an initial sweeping after a minimum of two hours curing and not less than one hour before sunset on the day the A-1 surface treatment is placed. The initial sweeping shall remove excess aggregate by lightly sweeping each pavement lane. The sweeping shall be sufficient to prevent migration of loose aggregate back onto any part of the pavement.

The Contractor shall sweep the pavement surface as needed to remove excess aggregate.
(b) Micro-Surfacing. This method shall consist of applying the surface mix over the entire width of each lane in one pass using the gradation and application rate shown on the plans within a maximum of 12 calendar days of placing the A-1 surface treatment. The Contractor shall sweep the pavement surface immediately prior to applying the microsurfacing.

Determinations of application rates shall be from daily readings taken from the material control devices during the progress of the work.

The surface of the A-1 surface treatment shall be prewetted by water fogging ahead of the micro-surfacing spreader box when road conditions require, as determined by the Engineer. The rate of fogging shall be adjusted during the day based on pavement temperature, surface texture, and dryness.

The paving mixture shall be spread to leave a uniform surface. A sufficient amount of material shall be carried at all times in all parts of the spreader box to ensure complete coverage. Overloading of the spreader shall be avoided. No lumps or unmixed aggregate will be permitted in the finished surface.

The Contractor shall submit a daily "run sheet" for each day's work as soon as all the data is available. The run sheet shall provide a breakdown of the actual meter numbers and quantities of all materials actually used each day, as well as the respective locations.
(1) Mix Consistency. The finished product shall be uniform in color and composition. No streaks, such as those caused by oversized aggregate, shall be left in the finished surface. If excess streaking develops, the job will be stopped until the Contractor proves to the Engineer that the situation has been corrected. Excessive streaking is defined as more than four drag marks greater than 0.5 in . $(13 \mathrm{~mm})$ wide and $4 \mathrm{in} .(100 \mathrm{~mm})$ long, or $1 \mathrm{in} .(25 \mathrm{~mm})$ wide and $3 \mathrm{in}. \mathrm{( } 75 \mathrm{~mm}$ ) long, in any 30 sq yd ( 25 sq m ) area. No transverse ripples or longitudinal streaks of 0.25 in . ( 6 mm ) in depth will be permitted, when measured by placing a $10-\mathrm{ft}$ ( $3-\mathrm{m}$ ) straightedge over the surface.
(2) Mix Stability. The micro-surfacing shall possess sufficient stability so that premature breaking of the material in the spreader box does not occur. The mixture shall be homogeneous during and following mixing and spreading. It shall be free of excess water or emulsified asphalt and free of segregation of the emulsified asphalt and aggregate fines from the coarser aggregate. Under no circumstances shall water be sprayed directly into the lay-down box while placing micro-surfacing material.
(3) Joints and Edges. Joints shall be constructed according to ISSA A-143. On twopass work, the longitudinal joint on the surface course shall be offset apart from the joint on the bottom course. If practical, the surface course joint shall be at least 10 in . ( 255 mm ) away from the nearest edge of any subsequent permanent pavement markings. In order to accomplish the best placement of all these longitudinal joints, the Contractor shall devise a joint plan that meets the approval of the Engineer.

Micro-surfacing edges shall be parallel with the existing pavement edges. If the existing pavement edge cannot be used to give a straight edge, a stringline or other guide will be required. Edge lines shall not vary by more than $\pm 2 \mathrm{in}$. ( 50 mm ) horizontal variance in any $96 \mathrm{ft}(30 \mathrm{~m})$ of length.

A smooth, neat seam shall be provided where two passes meet. Excess material shall be immediately removed from the ends of each run. Any damage to, or irregularities in, the micro-surfacing shall be repaired, as directed by the Engineer. All repairs shall be made with a paver box, except areas designated as hand work areas.
(4) Hand Work. Those areas inaccessible to the spreader box and other areas approved by the Engineer shall be designated as hand work areas. Adjustments to the additive are permitted to provide a slower setting time when hand spreading is needed. If hand spreading is necessary, the mixture shall be poured in a small windrow along one edge of the surface to be covered and then spread uniformly by a hand squeegee or lute. Hand work areas shall have an appearance consistent with that being placed with a spreader box.

Clean-Up. All areas, such as manholes, gutters, and intersections, shall have the cape seal removed as specified by the Engineer. The Contractor shall, on a daily basis, remove any debris associated with the performance of the work.

Sampling and Testing. The Contractor shall check yield of the application after the first 1000 ft ( 300 m ), and throughout the day's paving, with a minimum of three tests per day. All yield check results shall be furnished to the Engineer.

Opening to Traffic. The A-1 surface treatment portion shall be opened to traffic according to Article 701.17(c)(4).

Micro-surfacing shall be capable of producing an emulsified asphalt paving mixture that will cure at a rate which will permit traffic on the pavement within one hour after application without damaging the pavement surface.

The Contractor shall post and maintain the roadway with "NO PASSING ZONES NOT STRIPED NEXT MILES" signs according to Article 701.17(c).

Method of Measurement. Crack filling will be measured for payment in pounds (kilograms) of the filler material used.

The cape seal will be measured for payment in place and the area computed in square yards (square meters). The width for measurement will be the width of the top surface as shown on the plans or as directed by the Engineer.

Basis of Payment. Crack filling will be paid for at the contract unit price per pound (kilogram) of CRACK FILLING. The unit price shall include the cleaning of the joints and cracks and the furnishing and placing of the filler.

Cape seal will be paid for at the contract unit price per square yard (square meter) for CAPE SEAL.

## TEMPORARY FLEXIBLE RAISED PAVEMENT MARKER

Effective: June 24, 2004
Description. This work shall consist of furnishing and installing a self adhesive "L" shaped temporary flexible raised pavement marker prior to placing a bituminous surface treatment, micro-surfacing, cape seal, or slurry seal, and removing a protective shield system after the treatment is applied.

Materials. Materials shall consist of a polyurethane device with a butyl rubber adhesive pad protected with a release paper. The reflective surface shall consist of a cube-corner micro prism reflective tape. The protective plastic cover shall be polyvinyl chloride. The marker body shall be made from approximately 1.5 mm ( 0.06 in .) thick polyurethane formed in an "L" shape. The base of the marker shall be approximately 100 mm ( 4 in. ) wide by 28 mm ( 1.125 in. ) long with a solid 3.2 mm ( 0.125 in.) thick butyl rubber adhesive pad protected with a release paper. The vertical portion of the marker shall be approximately $100 \mathrm{~mm}(4 \mathrm{in}$.) wide by 50 mm (2 in.) high. A cube-corner microprism reflective tape material shall be placed horizontally along both sides at the top of the vertical section of the marker. The reflective material shall be recessed in an "I-Beam" design to protect the reflective material from aggregate. A clear flexible polyvinyl chloride plastic cover is to be attached to the vertical section of the marker with a heavy duty staple to cover the reflective material during surfacing operations. The flexible raised pavement marker must be readily visible at night when viewed with high beam automobile headlamps from a distance of at least $90 \mathrm{~m}(300 \mathrm{ft})$. The flexible raised pavement marker shall be approved by the Engineer by visual acceptance prior to use.

## Construction Requirements

Application. Centerline or lane line markers shall be placed prior to surface treatment application in an abbreviated pattern of two markers spaced $1.2 \mathrm{~m}(4 \mathrm{ft})$ apart with a maximum spacing of $12 \mathrm{~m}(40 \mathrm{ft})$ between sets of markers. For temporary replacement of solid lines, one marker shall be placed every $1.5 \mathrm{~m}(5 \mathrm{ft})$. The marker color and location shall match the existing line color and location. Locations requiring additional markers at different spacings will be noted on the plans.

Basis of Payment. This work will be paid for at the contract unit price each for TEMPORARY FLEXIBLE PAVEMENT MARKER.

## EQUIPMENT RENTAL RATES (BDE)

Effective: August 2, 2007
Revised: January 2, 2008
Replace the second and third paragraphs of Article 105.07(b)(4)a. of the Standard Specifications with the following:
"Equipment idled which cannot be used on other work, and which is authorized to standby on the project site by the Engineer, will be paid for according to Article 109.04(b)(4)."

Replace Article 109.04(b)(4) of the Standard Specifications with the following:
"(4) Equipment. Equipment used for extra work shall be authorized by the Engineer. The equipment shall be specifically described, be of suitable size and capacity for the work to be performed, and be in good operating condition. For such equipment, the Contractor will be paid as follows.
a. Contractor Owned Equipment. Contractor owned equipment will be paid for by the hour using the applicable FHWA hourly rate from the "Equipment Watch Rental Rate Blue Book" (Blue Book) in effect when the force account work begins. The FHWA hourly rate is calculated as follows.

FHWA hourly rate $=($ monthly rate $/ 176) \times($ model year adj. $) \times($ Illinois adj. $)+$ EOC
Where: EOC = Estimated Operating Costs per hour (from the Blue Book)
The time allowed will be the actual time the equipment is operating on the extra work. For the time required to move the equipment to and from the site of the extra work and any authorized idle (standby) time, payment will be made at the following hourly rate: $0.5 \times$ (FHWA hourly rate - EOC).

All time allowed shall fall within the working hours authorized for the extra work.
The rates above include the cost of fuel, oil, lubrication, supplies, small tools, necessary attachments, repairs, overhaul and maintenance of any kind, depreciation, storage, overhead, profits, insurance, and all incidentals. The rates do not include labor.

The Contractor shall submit to the Engineer sufficient information for each piece of equipment and its attachments to enable the Engineer to determine the proper equipment category. If a rate is not established in the Blue Book for a particular piece of equipment, the Engineer will establish a rate for that piece of equipment that is consistent with its cost and use in the industry.
b. Rented Equipment. Whenever it is necessary for the Contractor to rent equipment to perform extra work, the rental and transportation costs of the equipment plus five percent for overhead will be paid. In no case shall the rental rates exceed those of established distributors or equipment rental agencies.

All prices shall be agreed to in writing before the equipment is used."

## PAYMENTS TO SUBCONTRACTORS (BDE)

Effective: June 1, 2000
Federal regulations found at 49 CFR §26.29 mandate the Department to establish a contract clause to require Contractors to pay subcontractors for satisfactory performance of their subcontracts and to set the time for such payments.

State law also addresses the timing of payments to be made to subcontractors and material suppliers. Section 7 of the Prompt Payment Act, 30 ILCS 540/7, requires that when a Contractor receives any payment from the Department, the Contractor shall make corresponding, proportional payments to each subcontractor and material supplier performing work or supplying material within 15 calendar days after receipt of the Department payment. Section 7 of the Act further provides that interest in the amount of two percent per month, in addition to the payment due, shall be paid to any subcontractor or material supplier by the Contractor if the payment required by the Act is withheld or delayed without reasonable cause. The Act also provides that the time for payment required and the calculation of any interest due applies to transactions between subcontractors and lower-tier subcontractors and material suppliers throughout the contracting chain.

This Special Provision establishes the required federal contract clause, and adopts the 15 calendar day requirement of the State Prompt Payment Act for purposes of compliance with the federal regulation regarding payments to subcontractors. This contract is subject to the following payment obligations.

When progress payments are made to the Contractor according to Article 109.07 of the Standard Specifications, the Contractor shall make a corresponding payment to each subcontractor and material supplier in proportion to the work satisfactorily completed by each subcontractor and for the material supplied to perform any work of the contract. The proportionate amount of partial payment due to each subcontractor and material supplier throughout the contracting chain shall be determined by the quantities measured or otherwise determined as eligible for payment by the Department and included in the progress payment to the Contractor. Subcontractors and material suppliers shall be paid by the Contractor within 15 calendar days after the receipt of payment from the Department. The Contractor shall not hold retainage from the subcontractors. These obligations shall also apply to any payments made by subcontractors and material suppliers to their subcontractors and material suppliers; and to all payments made to lower tier subcontractors and material suppliers throughout the contracting chain. Any payment or portion of a payment subject to this provision may only be withheld from the subcontractor or material supplier to whom it is due for reasonable cause.

This Special Provision does not create any rights in favor of any subcontractor or material supplier against the State or authorize any cause of action against the State on account of any payment, nonpayment, delayed payment, or interest claimed by application of the State Prompt Payment Act. The Department will not approve any delay or postponement of the 15 day requirement except for reasonable cause shown after notice and hearing pursuant to Section 7(b) of the State Prompt Payment Act. State law creates other and additional remedies available to any subcontractor or material supplier, regardless of tier, who has not been paid for
work properly performed or material furnished. These remedies are a lien against public funds set forth in Section 23(c) of the Mechanics Lien Act, 770 ILCS 60/23(c), and a recovery on the Contractor's payment bond according to the Public Construction Bond Act, 30 ILCS 550.

## REFLECTIVE SHEETING ON CHANNELIZING DEVICES (BDE)

Effective: April 1, 2007
Revise the seventh paragraph of Article 1106.02 of the Standard Specifications to read:
"At the time of manufacturing, the retroreflective prismatic sheeting used on channelizing devices shall meet or exceed the initial minimum coefficient of retroreflection as specified in the following table. Measurements shall be conducted according to ASTM E 810, without averaging. Sheeting used on cones, drums and flexible delineators shall be reboundable as tested according to ASTM D 4956. Prestriped sheeting for rigid substrates on barricades shall be white and orange.

| Initial Minimum Coefficient of Retroreflection |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| candelas/foot candle/sq ft (candelas/lux/sq m) of material |  |  |  |  |
| Observation <br> Angle (deg.) | Entrance Angle <br> (deg.) | White | Orange | Fluorescent <br> Orange |
| 0.2 | -4 | 365 | 160 | 150 |
| 0.2 | +30 | 175 | 80 | 70 |
| 0.5 | -4 | 245 | 100 | 95 |
| 0.5 | +30 | 100 | 50 | $40 "$ |

Revise the first sentence of the first paragraph of Article 1106.02(c) of the Standard Specifications to read:
"Barricades and vertical panels shall have alternating white and orange stripes sloping downward at 45 degrees toward the side on which traffic will pass."

Revise the third sentence of the first paragraph of Article 1106.02(d) of the Standard Specifications to read:
"The bottom panels shall be $8 \times 24 \mathrm{in}$. $(200 \times 600 \mathrm{~mm})$ with alternating white and orange stripes sloping downward at 45 degrees toward the side on which traffic will pass."

## SUBCONTRACTOR MOBILIZATION PAYMENTS (BDE)

Effective: April 2, 2005
To account for the preparatory work and operations necessary for the movement of subcontractor personnel, equipment, supplies, and incidentals to the project site and for all other work or operations that must be performed or costs incurred when beginning work approved for subcontracting in accordance with Article 108.01 of the Standard Specifications, the Contractor shall make a mobilization payment to each subcontractor.

This mobilization payment shall be made at least 14 days prior to the subcontractor starting work. The amount paid shall be equal to 3 percent of the amount of the subcontract reported on form BC 260A submitted for the approval of the subcontractor's work.

This provision shall be incorporated directly or by reference into each subcontract approved by the Department.

## WORKING DAYS (BDE)

Effective: January 1, 2002
The Contractor shall complete the work within 15 working days.


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$SDATES$
SHEET NO
IITLE
1 COVER SHEET
2 INDEX OF SHEETS
3 GENERAL NOTES
4 SLMMARY OF QUANTITIES
5 PROJECT LOCATION MAP
THE FOLLOWING STANDARDS aRE A PART OF THESE PLANS AND ARE INCLUDED FOLLOWING THE LAST NUMBERED SHEET OF THE PLANS.
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701301-02 LANE CLOSURE, 2L, 2W, SHORT TIME OPERATIONS
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701301-02 LANE CLOSURE, 2L, 2W, SHORT TIME OPERATIONS
701306-01 LANE CLOSURE, 2L, 2W. SLOW MOVING OPERATIONS DAY ONLY. SPEEDS > 45 MPH
701306-01 LANE CLOSURE, 2L, 2W. SLOW MOVING OPERATIONS DAY ONLY. SPEEDS > 45 MPH
701311-02 LANE CLOSURE, 2L, 2W, MOVING OPERATIONS - DAY ONLY
701311-02 LANE CLOSURE, 2L, 2W, MOVING OPERATIONS - DAY ONLY
701901 TRAFFIC CONTROL DEVICES
701901 TRAFFIC CONTROL DEVICES
780001-01 TYPICAL PAVEMENT MARKINGS

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780001-01 TYPICAL PAVEMENT MARKINGS
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FAP 828 (MONTROSE BLACKTOP)
D-7 PAVEMENT PRESERVATION 2008-1
CUMBERLAND COUNTY
SHEET }3\mathrm{ OF 5
CONTRACT NO. 74287
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## gENERAL NOTES

THIS SECTION SHALL BE CONSTRUCTED IN ACCORDANGE WITH THE PLANS, THE STANDARD SPECIFICATIONS FOR ROAD AND BRIDGE CONSTRUCTION, ADOPTED JANUARY 1, 2007; THE SUPPLEMENTAL SPECIFICATIONS AND RECURRING SPECIAL PROVISIONS INOTCATED ON THE CHECK SHEET. AND THE SPECIAL PROVISIONS INCLUDEO IN THE PROPOSAL.
THE WORK INCLUDED IN THIS SEGTION CONSISTS OF CAPE-SEALING OF THE EXISTING PAVENENT, PAVEMENT MARKING AND ANY OTHER WORK NECESSARY TO COMPLETE THIS SECTION.
THE PROPOSED PRONECT CONSISTS OF ONE SEGMENT 5.6 MILES LN LENGTH. FOR MEASUREMENT AND PAYMENT PURPOSES THE PAVEMENT SHALL BE CONSIDERED 24 FEET WIDE.
THE AGGEGATE FOR THE A-1 SURFACE TREATNENT SHALL BE CA 16.
THE MICRO-SURFACING SHALL BE SINGLE PASS, TYPE II, APPLIED AT 24 LB/ SO YO.
THE MICRO-SURFACING SHALL BE ALLOWED SEVEN DAYS OF DRYING WEATHER TO CURE BEFORE PAVEMENT MARKINGS ARE APPLIED.
THE CONTRACTOR SHALL DEVISE AND SUBMIT A JOINT PLAN THAT MEETS THE APPROVAL OF THE ENGINEER.
THE CONTRACTOR MAY USE EITRER SHORT-TERM PAVEMENT MARKINC TAPE OR TEMPORARY FLEXIBLE PAVEMENT MARKERS. IF MORE THAN FIVE PERCENT OF THE TAPE FALLS WITHIN 12 HOURS THE CONTRACTOR SHALL USE TEMPORARY FLEXIBLE PAVENENT MARKERS FOR THE REMAINING DURATION OF THE PROJECT.
PAINT PAVEMENT LINE $4^{\circ}$ CONSISTS OF 21,111 FEET OF YELLOW AND 59, 200 FEET OF WHITE.
SAVE AND PROTECT EXISTING SECTION AND $1 / 4$ SECTION CORNERS AS FOLLOWS: MMEDIATELY SOUTH OF SPRING POINT CREEK BRIDGE
VICINITY OF INTERSECTION WITH ROAD 100 N
VICINITY OF INTERSEOTION WITH ROAD 550N

11/1/2007
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## ILLINOIS DEPARTMENT OF LABOR

## PREVAILING WAGES FOR <br> CUMBERLAND COUNTY <br> EFFECTIVE DECEMBER 2007

The Prevailing rates of wages are included in the Contract proposals which are subject to Check Sheet \#5 of the Supplemental Specifications and Recurring Special Provisions. The rates have been ascertained and certified by the Illinois Department of Labor for the locality in which the work is to be performed and for each craft or type of work or mechanic needed to execute the work of the Contract. As required by Prevailing Wage Act ( 820 ILCS 130/0.01, et seq.) and Check Sheet \#5 of the Contract, not less than the rates of wages ascertained by the Illinois Department of Labor and as revised during the performance of a Contract shall be paid to all laborers, workers and mechanics performing work under the Contract. Post the scale of wages in a prominent and easily accessible place at the site of work.

If the Illinois Department of Labor revises the prevailing rates of wages to be paid as listed in the specification of rates, the contractor shall post the revised rates of wages and shall pay not less than the revised rates of wages. Current wage rate information shall be obtained by visiting the Illinois Department of Labor web site at http://www.state.il.us/agency/idol/ or by calling 312-793-2814. It is the responsibility of the contractor to review the rates applicable to the work of the contract at regular intervals in order to insure the timely payment of current rates. Provision of this information to the contractor by means of the Illinois Department of Labor web site satisfies the notification of revisions by the Department to the contractor pursuant to the Act, and the contractor agrees that no additional notice is required. The contractor shall notify each of its subcontractors of the revised rates of wages.

## Cumberland County Prevailing Wage for December 2007

Trade Name RG TYP C Base FRMAN *M-F>8 OSA OSH H/W Pensn Vac Trng

| ASBESTOS ABT-GEN | BLD | 25.070 | 26.070 | 1.5 | 1.5 | 2.0 | 5.050 | 5.980 | 0.000 | 0.700 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ASBESTOS ABT-MEC | BLD | 20.800 | 0.000 | 2.0 | 2.0 | 2.0 | 5.000 | 3.400 | 0.000 | 0.000 |
| BOILERMAKER | BLD | 28.500 | 31.000 | 1.5 | 1.5 | 2.0 | 6.820 | 11.03 | 0.000 | 0.300 |
| BRICK MASON | BLD | 24.970 | 26.470 | 2.0 | 2.0 | 2.0 | 5.300 | 6.400 | 0.000 | 0.630 |
| CARPENTER | BLD | 27.150 | 29.150 | 1.5 | 1.5 | 2.0 | 6.750 | 6.800 | 0.000 | 0.320 |
| CARPENTER | HWY | 26.720 | 28.470 | 1.5 | 1.5 | 2.0 | 6.750 | 7.300 | 0.000 | 0.320 |
| CEMENT MASON | BLD | 27.180 | 28.680 | 1.5 | 1.5 | 2.0 | 5.300 | 7.000 | 0.000 | 0.400 |
| CEMENT MASON | HWY | 25.570 | 26.570 | 1.5 | 1.5 | 2.0 | 5.300 | 7.000 | 0.000 | 0.400 |
| CERAMIC TILE FNSHER | BLD | 25.950 | 0.000 | 1.5 | 1.5 | 2.0 | 5.300 | 6.500 | 0.000 | 0.000 |
| ELECTRICIAN | BLD | 30.650 | 33.720 | 1.5 | 1.5 | 2.0 | 5.150 | 5.520 | 0.000 | 0.460 |
| ELECTRONIC SYS TECH | BLD | 26.930 | 28.430 | 1.5 | 1.5 | 2.0 | 5.150 | 4.040 | 0.000 | 0.250 |
| ELEVATOR CONSTRUCTOR | BLD | 34.190 | 38.460 | 2.0 | 2.0 | 2.0 | 8.275 | 6.060 | 2.050 | 0.000 |
| GLAZIER | BLD | 28.230 | 28.230 | 1.5 | 2.0 | 2.0 | 5.480 | 5.000 | 0.000 | 0.260 |
| HT/FROST INSULATOR | BLD | 26.110 | 27.110 | 1.5 | 1.5 | 2.0 | 4.800 | 6.860 | 0.000 | 0.190 |
| IRON WORKER | ALL | 25.000 | 26.300 | 1.5 | 1.5 | 2.0 | 6.000 | 8.900 | 0.000 | 0.410 |
| LABORER | BLD | 23.570 | 24.570 | 1.5 | 1.5 | 2.0 | 5.050 | 5.980 | 0.000 | 0.600 |
| LABORER | HWY | 25.020 | 25.770 | 1.5 | 1.5 | 2.0 | 5.050 | 5.980 | 0.000 | 0.600 |
| LATHER | BLD | 27.150 | 29.150 | 1.5 | 1.5 | 2.0 | 6.750 | 6.800 | 0.000 | 0.320 |
| MACHINIST | BLD | 38.390 | 40.390 | 2.0 | 2.0 | 2.0 | 4.880 | 6.550 | 2.650 | 0.000 |
| MARBLE FINISHERS | BLD | 25.950 | 0.000 | 1.5 | 1.5 | 2.0 | 5.300 | 6.500 | 0.000 | 0.000 |
| MARBLE MASON | BLD | 27.450 | 0.000 | 1.5 | 1.5 | 2.0 | 5.300 | 6.500 | 0.000 | 0.000 |
| MILLWRIGHT | BLD | 26.560 | 28.560 | 1.5 | 1.5 | 2.0 | 6.750 | 7.850 | 0.000 | 0.320 |
| MILLWRIGHT | HWY | 28.060 | 29.810 | 1.5 | 1.5 | 2.0 | 6.750 | 8.300 | 0.000 | 0.320 |
| OPERATING ENGINEER | ALL 1 | 28.650 | 0.000 | 1.5 | 1.5 | 2.0 | 5.200 | 6.750 | 0.000 | 0.650 |
| OPERATING ENGINEER | ALL 2 | 18.600 | 0.000 | 1.5 | 1.5 | 2.0 | 5.200 | 6.750 | 0.000 | 0.650 |
| PAINTER | ALL | 29.720 | 31.220 | 1.5 | 1.5 | 2.0 | 5.300 | 3.400 | 0.000 | 0.320 |
| PAINTER SIGNS | ALL | 29.720 | 31.220 | 1.5 | 1.5 | 2.0 | 5.300 | 3.400 | 0.000 | 0.320 |
| PILEDRIVER | BLD | 27.650 | 29.650 | 1.5 | 1.5 | 2.0 | 6.750 | 6.800 | 0.000 | 0.320 |
| PILEDRIVER | HWY | 27.720 | 29.470 | 1.5 | 1.5 | 2.0 | 6.750 | 7.300 | 0.000 | 0.320 |
| PIPEFITTER | BLD | 34.670 | 37.170 | 1.5 | 1.5 | 2.0 | 6.450 | 6.270 | 0.000 | 0.640 |
| PLASTERER | BLD | 25.390 | 26.890 | 1.5 | 1.5 | 2.0 | 5.300 | 8.200 | 0.000 | 0.300 |
| PLUMBER | BLD | 34.670 | 37.170 | 1.5 | 1.5 | 2.0 | 6.450 | 6.270 | 0.000 | 0.640 |
| ROOFER | BLD | 25.710 | 26.710 | 1.5 | 1.5 | 2.0 | 7.000 | 5.150 | 0.000 | 0.200 |
| SHEETMETAL WORKER | BLD | 30.000 | 32.000 | 1.5 | 1.5 | 2.0 | 7.150 | 7.890 | 0.000 | 0.520 |
| SPRINKLER FITTER | BLD | 31.240 | 33.240 | 1.5 | 1.5 | 2.0 | 6.500 | 5.350 | 0.000 | 0.250 |
| STONE MASON | BLD | 24.970 | 26.470 | 2.0 | 2.0 | 2.0 | 5.300 | 6.400 | 0.000 | 0.630 |
| TERRAZZO FINISHER | BLD | 25.950 | 0.000 | 1.5 | 1.5 | 2.0 | 5.300 | 6.500 | 0.000 | 0.000 |
| TERRAZZO MASON | BLD | 27.450 | 0.000 | 1.5 | 1.5 | 2.0 | 5.300 | 6.500 | 0.000 | 0.000 |
| TILE LAYER | BLD | 27.150 | 29.150 | 1.5 | 1.5 | 2.0 | 6.750 | 6.800 | 0.000 | 0.320 |
| TILE MASON | BLD | 27.450 | 0.000 | 1.5 | 1.5 | 2.0 | 5.300 | 6.500 | 0.000 | 0.000 |
| TRUCK DRIVER | ALL 1 | 26.655 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | ALL 2 | 27.055 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | ALL 3 | 27.255 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | ALL 4 | 27.505 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | ALL 5 | 28.255 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | O\&C 1 | 21.320 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | O\&C 2 | 21.640 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | O\&C 3 | 21.800 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | O\&C 4 | 22.000 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TRUCK DRIVER | O\&C 5 | 22.600 | 0.000 | 1.5 | 1.5 | 2.0 | 7.900 | 3.550 | 0.000 | 0.000 |
| TUCKPOINTER | BLD | 24.970 | 26.470 | 2.0 | 2.0 | 2.0 | 5.300 | 6.400 | 0.000 | 0.630 |

Legend:

Pensn (Pension)

Vac (Vacation)

Trng (Training)

## Explanations

## CUMBERLAND COUNTY

The following list is considered as those days for which holiday rates of wages for work performed apply: New Years Day, Memorial/Decoration Day, Fourth of July, Labor Day, Veterans Day, Thanksgiving Day, Christmas Day. Generally, any of these holidays which fall on a Sunday is celebrated on the following Monday. This then makes work performed on that Monday payable at the appropriate overtime rate for holiday pay. Common practice in a given local may alter certain days of celebration such as the day after Thanksgiving for Veterans Day. If in doubt, please check with IDOL.

Oil and chip resealing (O\&C) means the application of road oils and liquid asphalt to coat an existing road surface, followed by application of aggregate chips or gravel to coated surface, and subsequent rolling of material to seal the surface.

## EXPLANATION OF CLASSES

ASBESTOS - GENERAL - removal of asbestos material/mold and hazardous materials from any place in a building, including mechanical systems where those mechanical systems are to be removed. This includes the removal of asbestos materials/mold and hazardous materials from ductwork or pipes in a building when the building is to be demolished at the time or at some close future date.

ASBESTOS - MECHANICAL - removal of asbestos material from mechanical systems, such as pipes, ducts, and boilers, where the mechanical systems are to remain.

CERAMIC TILE FINISHER, MARBLE FINISHER, TERRAZZO FINISHER
Assisting, helping or supporting the tile, marble and terrazzo mechanic by performing their historic and traditional work assignments required to complete the proper installation of the work covered by said crafts. The term "Ceramic" is used for naming the classification only and is in no way a limitation of the product handled. Ceramic takes into consideration most hard tiles.

## ELECTRONIC SYSTEMS TECHNICIAN

Installation, service and maintenance of low-voltage systems which utilizes the transmission and/or transference of voice, sound, vision, or digital for commercial, education, security and entertainment purposes for the following: TV monitoring and surveillance, background/foreground music, intercom and telephone interconnect, field programming, inventory control systems, microwave transmission,
multi-media, multiplex, radio page, school, intercom and sound burglar alarms and low voltage master clock systems.

Excluded from this classification are energy management systems, life safety systems, supervisory controls and data acquisition systems not intrinsic with the above listed systems, fire alarm systems, nurse call systems and raceways exceeding fifteen feet in length.

TRUCK DRIVER - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION
Class 1. Drivers on 2 axle trucks hauling less than 9 ton. Air compressor and welding machines and brooms, including those pulled by separate units, truck driver helpers, warehouse employees, mechanic helpers, greasers and tiremen, pickup trucks when hauling materials, tools, or workers to and from and on-the-job site, and fork lifts up to 6,000 lb. capacity.

Class 2. Two or three axle trucks hauling more than 9 ton but hauling less than 16 ton. A-frame winch trucks, hydrolift trucks, vactor trucks or similar equipment when used for transportation purposes. Fork lifts over 6,000 lb. capacity, winch trucks, four axle combination units, and ticket writers.

Class 3. Two, three or four axle trucks hauling 16 ton or more. Drivers on water pulls, articulated dump trucks, mechanics and working forepersons, and dispatchers. Five axle or more combination units.

Class 4. Low Boy and Oil Distributors.
Class 5. Drivers who require special protective clothing while employed on hazardous waste work.

## TRUCK DRIVER - OIL AND CHIP RESEALING ONLY.

This shall encompass laborers, workers and mechanics who drive contractor or subcontractor owned, leased, or hired pickup, dump, service, or oil distributor trucks. The work includes transporting materials and equipment (including but not limited to, oils, aggregate supplies, parts, machinery and tools) to or from the job site; distributing oil or liquid asphalt and aggregate; stock piling material when in connection with the actual oil and chip contract. The Truck Driver (Oil \& Chip Resealing) wage classification does not include supplier delivered materials.

OPERATING ENGINEERS - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION
Class 1. Power Cranes, Draglines, Derricks, Shovels, Gradalls, Mechanics, Tractor Highlift, Tournadozer, Concrete Mixers with Skip, Tournamixer, Two Drum Machine, One Drum Hoist with Tower or Boom, Cableways, Tower Machines, Motor Patrol, Boom Tractor, Boom or Winch Truck, Winch or Hydraulic Boom Truck, Truck Crane, Tournapull, Tractor Operating Scoops, Bulldozer, Push Tractor, Asphalt Planer, Finishing Machine on Asphalt, Large Rollers on Earth, Rollers on Asphalt Mix, Ross Carrier or similar Machine, Gravel Processing Machine, Asphalt Plant Engineer, Paver Operator, Dredging Equipment, or Dredge Engineer, or Dredge Operator, Central Mix Plant Engineer, CMI or similar type machine, Concrete Pump, Truck or Skid Mounted, Tower Crane, Engineer or Rock Crusher Plant, Concrete Plant Engineer, Ditching Machine with dual attachment, Tractor Mounted Loaders, Cherry Picker, Hydro Crane, Standard or Dinkey Locomotives, Scoopmobiles, Euclid Loader, Soil Cement Machine, Back Filler, Elevating Machine, Power Blade, Drilling Machine, including Well Testing, Caissons, Shaft or any similar type drilling machines, Motor

Driven Paint Machine, Pipe Cleaning Machine, Pipe Wrapping Machine, Pipe Bending Machine, Apsco Paver, Boring Machine, (Head Equipment Greaser), Barber-Greene Loaders, Formless Paver, (Well Point System), Concrete Spreader, Hydra Ax, Span Saw, Marine Scoops, Brush Mulcher, Brush Burner, Mesh Placer, Tree Mover, Helicopter Crew (3), Piledriver-Skid or Crawler, Stump Remover, Root Rake, Tug Boat Operator, Refrigerating Machine, Freezing Operator, Chair Cart-Self-Propelled, Hydra Seeder, Straw Blower, Power Sub Grader, Bull Float, Finishing Machine, Self-Propelled Pavement Breaker, Lull (or similar type Machine), Two Air Compressors, Compressors hooked in Manifold, Overhead Crane, Chip Spreader, Mud Cat, Sull-Air, Fork Lifts (except when used for landscaping work), Soil Stabilizer (Seaman Tiller, Bo Mag, Rago Gator, and similar types of equipment), Tube Float, Spray Machine, Curing Machine, Concrete or Asphalt Milling Machine, Snooper Truck-Operator, Backhoe, Farm Tractors (with attachments), 4 Point Lift System (Power Lift or similar type), Skid-Steer (Bob Cat or similar type), Wrecking Shears, Water Blaster.

Class 2. Concrete Mixers without Skips, Rock Crusher, Ditching Machine under 6', Curbing Machine, One Drum Machines without Tower or Boom, Air Tugger, Self-Propelled Concrete Saw, Machine Mounted Post Hole Digger, two to four Generators, Water Pumps or Welding Machines, within 400 feet, Air Compressor $600 \mathrm{cu} . \mathrm{ft}$. and under, Rollers on Aggregate and Seal Coat Surfaces, Fork Lift (when used for landscaping work), Concrete and Blacktop Curb Machine, One Water Pump, Oilers, Air Valves or Steam Valves, One Welding Machine, Truck Jack, Mud Jack, Gunnite Machine, House Elevators when used for hoisting material, Engine Tenders, Fireman, Wagon Drill, Flex Plane, Conveyor, Siphons and Pulsometer, Switchman, Fireman on Paint Pots, Fireman on Asphalt Plants, Distributor Operator on Trucks, Tampers, Self-Propelled Power Broom, Striping Machine (motor driven), Form Tamper, Bulk Cement Plant, Equipment Greaser, Deck Hands, Truck Crane Oiler-Driver, Cement Blimps, Form Grader, Temporary Heat, Throttle Valve, Super Sucker (and similar type of equipment).

Other Classifications of Work:
For definitions of classifications not otherwise set out, the Department generally has on file such definitions which are available. If a task to be performed is not subject to one of the classifications of pay set out, the Department will upon being contacted state which neighboring county has such a classification and provide such rate, such rate being deemed to exist by reference in this document. If no neighboring county rate applies to the task, the Department shall undertake a special determination, such special determination being then deemed to have existed under this determination. If a project requires these, or any classification not listed, please contact IDOL at 618/993-7271 for wage rates or clarifications.

## LANDSCAPING

Landscaping work falls under the existing classifications for laborer, operating engineer and truck driver. The work performed by landscape plantsman and landscape laborer is covered by the existing classification of laborer. The work performed by landscape operators (regardless of equipment used or its size) is covered by the classifications of operating engineer. The work performed by landscape truck drivers (regardless of size of truck driven) is covered by the classifications of truck driver.


[^0]:    as PRINCIPAL, and

